

# PLANNING FOR COMPLIANCE



# Planning for Compliance

Getting ahead of compliance issues BEFORE they happen



Planning is proactive and seeks to lay out a path toward positive outcomes



Compliance is typically reactive (e.g., problem exists that must be corrected)

**Purpose Today: to explore your ideas for using the planning process to support compliance**

# We Want to Hear Your Ideas



**Educating Public Officials**



**Planning Tools that Work**



**Model Task Description**



# Educating Public Officials

**Public officials are often unaware of the grant obligations and the pitfalls of some decisions. How can there be a paradigm shift to plan for compliance rather than just reacting to it?**



# Planning Tools

**What tools or ideas do you have  
that could help sponsors avoid  
non-compliance problems  
BEFORE those issues arise?**



# Compliance Planning

**One tool we've considered is a  
“model” task description for  
airport planning projects and we  
would like your input on that.**

# Planning for Compliance

Now, let's hear your ideas!





# Model Task Description

## Objective

- Take a proactive—even preemptive—approach to achieving compliance and avoiding noncompliance at the airport; examine existing and potential compliance issues as part of a planning project.

## Approach

- Review the ALP, Exhibit ‘A’, Airport and Zoning Ordinances, Minimum Standards, budget, leases, easements, permits and any other pertinent governing documents to ascertain consistency with the Assurances.
- “Look around” to identify potential problems, such as:
  - incompatible land uses in the airport environs and/or an absence of appropriate compatibility zoning
  - existing “through-the-fence” access for aircraft based off airport property and/or possible future requests for same
  - revenue diversion
  - on-airport residential use
  - nonaeronautical local events closing the airport or a runway (such as drag racing on runways)



# Model Task Description (cont.)

## Product

- Describe each existing or potential compliance issue, reference the specific Assurance or other obligation involved.
- For existing violations, recommend remedies and time frame for achieving compliance.
- For potential violations, recommend strategies to avoid noncompliance (i.e., new ordinance, etc.).
- Develop and implement a strategy/program to educate the sponsor's decision-makers and the general public on the components and importance of compliance.

# Model Task Description

**We want to hear from you**

## Send us your thoughts:

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