

Element Performance Inspection (EPI)

4.1.2 Maintenance Certificate Requirements (AW)

Purpose of this Element (Air Carrier's responsibility):

To ensure personnel directly in charge of maintenance are appropriately certificated, trained, and qualified.

Objective (FAA responsibility):

To verify personnel directly in charge of maintenance are appropriately certificated, trained, and qualified in accordance with the Air Carrier's policies and procedures.

Specific Instructions for this EPI:

To accomplish this EPI, the inspector will ensure that persons directly in charge of maintenance hold the appropriate airmen certificate and have been trained in accordance with the Air Carrier's policies and procedures.

Task 4. Appropriate personnel are supervisors, foremen, lead mechanics, etc.

Related EPIs:

- 1.3.1 Maintenance Program (AW)
- 1.3.2 Inspection Program (AW)
- 1.3.7 Outsource Organization (AW)
- 1.3.11 Continuous Analysis and Surveillance (CAS) (AW)
- 1.3.14 General Maintenance Manual / Equivalent (AW)
- 4.2.1 Maintenance Training Program (AW)
- 5.1.1 Line Stations (AW)
- 7.1.1 Director of Maintenance (AW)
- 7.1.2 Chief Inspector (AW)
- 7.1.3 Director of Safety (AW / OP)

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To meet this objective, the inspector will accomplish the following tasks (at the inspection locations where applicable):

1. Review the FAA Guidance and Specific Regulatory Requirements (SRR) included in the supplemental information section of this EPI.
2. Review the associated SAI, with emphasis on the Controls Attribute section.
3. Review the Maintenance Certificate Requirements policies and procedures.
4. Discuss Maintenance Certificate Requirements with the appropriate personnel.
5. Verify management, supervisory, and maintenance personnel are appropriately certificated.
6. Review Maintenance personnel training records.

To meet this objective, the inspector will answer the following questions:

1. Were the following performance measures met:

<i>1.1 Personnel directly in charge of maintenance were available for consultation when supervising personnel.</i>	<input type="checkbox"/> YES <input type="checkbox"/> NO	If no, explain:
<i>1.2 Personnel directly in charge of maintenance were appropriately certificated.</i>	<input type="checkbox"/> YES <input type="checkbox"/> NO	If no, explain:
<i>1.3 The Air Carrier provided adequate training for personnel who were directly in charge of maintenance.</i>	<input type="checkbox"/> YES <input type="checkbox"/> NO	If no, explain:
<i>1.4 The Air Carrier maintained training records for personnel directly in charge of maintenance.</i>	<input type="checkbox"/> YES <input type="checkbox"/> NO	If no, explain:
2. Were the Maintenance Certificate Requirements policies and procedures followed?	<input type="checkbox"/> YES <input type="checkbox"/> NO	If no, explain:
3. Were the Maintenance Certificate Requirements identified controls followed?	<input type="checkbox"/> YES <input type="checkbox"/> NO	If no, explain:
4. <Deleted>		

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SUPPLEMENTAL INFORMATION

SRRs:

- 14 CFR 121.375, “Maintenance and preventive maintenance training program.”
- 14 CFR 121.378, “Certificate requirements.”

Other CFRs and FAA Guidance:

- FAA Order 8300.10, Vol. 2, Chap. 70, “Evaluate FAR Part 121/135.411(a)(2) Maintenance Training Program/Record.”
- FAA Order 8300.10, Vol. 2, Chap. 69, “Evaluate FAR Part 121/135 Maintenance Contractual Arrangement.”
- FAA Order 8300.10, Vol. 3, Chap. 2, Sect. 2, Par. 7, “Conduct Spot Inspection of Operator’s Aircraft.”
- FAA Order 8300.10, Vol. 1, Chap. 6, Sect. 19, “The FAA and Flight Standards: History, Organization, and the Public Law.”
- HBAW 98-01, “Air Carrier and Maintenance Provider Contracts.”
- Federal Register 60 FR 65832, “Conversion from 14 CFR 135 to 14 CFR 121 Requirements.”
- Legal Interpretation:
 - 3/29/91 to Mr. Bruce R. Hebert: regarding certificate requirements.
- Refer to Advisory Circulars using a search engine (such as ATP Navigator or Summit).