

	<h1 style="text-align: center;">AVS</h1> <h2 style="text-align: center;">Quality Management System</h2>	<p style="text-align: center;">QPM # AFS-050-004-F2.1</p>	<p style="text-align: center;">Revision 7.0</p>
<p><b>Title:</b> International Aviation Safety Assessment Assessor's Checklist – Primary Aviation Legislation – Critical Element 1</p>		<p><b>Effective Date:</b> November 1, 2025</p>	<p><b>Page 1 of 10</b></p>

<p style="text-align: center;"><b>IASA – CE-1 – 1.000 - Primary Aviation Legislation</b>  <b>Content and Amendment Procedure</b></p>	
<b>ICAO Reference</b>	CC = Chicago Convention; STD = Standard; GM = Guidance Material

<u>CC</u> Art 12 & 37 <u>STD</u> A6, Pt 1, 4.2.1.8, App 5, 1, 1.1 <u>GM</u> Doc 9734, Pt A, 2.2, 2.2.1, 3.2 Doc 9760, Pt II, 1.2, 1.2.1	<p>1.001 Does the State specifically promulgate primary aviation legislation (civil aviation act, civil aviation code, rules of the air, etc.) to enable it to implement the provisions of the <i>Convention on International Civil Aviation (Chicago Convention)</i> and its Annexes?</p> <p style="text-align: center;">Yes      No</p> <p>If yes, describe the primary aviation legislation promulgated.  If no, describe how the State undertakes to keep its own regulations in these respects uniform, to the greatest possible extent, with those established from time to time under the Chicago Convention.</p> <p>Enter date of initial promulgation and date of last amendment.</p>
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span> <span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>	

<u>STD</u> A6, Pt 1, 4.2.1.8, App 5 <u>GM</u> Doc 9734, Pt A, 3.2.5	<p>1.002 Specify the highest level of government at which the primary aviation legislation was promulgated.</p>
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span> <span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>	

<u>STD</u> A6, Pt 1, 4.2.1.8, App 5 <u>GM</u> Doc 8335, Pt I, 3.1, 3.1.2.1, 3.1.2.2 Doc 9734, Pt A, 3.3, 3.3.1.1	<p>1.003 Describe the procedures established by the Civil Aviation Authority (CAA) for amending primary aviation legislation.</p> <p>Provide the specific citation to the relevant statute, regulation, and any guidance material used in reference to your response.</p>
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span> <span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>	

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<u>CC</u> Art 12 & 37 <u>STD</u> A6, Pt 1, 4.2.1.8, App 5 <u>GM</u> Doc 8335, Pt I, 3.1, 3.1.2.2 Doc 9734, Pt A, 3.3, 3.3.1.1	<p>1.004 When was the legislation last amended or reissued to maintain alignment with updated ICAO Standards under the Chicago Convention?</p> <p>Provide the specific citation to the relevant statute, regulation, and any guidance material used in reference to your response.</p>	
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span>	<span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>		

<u>CC</u> Art 12 & 37 <u>STD</u> A6, Pt I, 4.2.1.8, App 5, 2.2.1 <u>GM</u> Doc 8335, Pt I 2.2, 3.1 Doc 9734, Pt A, 3.2, 3.3, 3.3.1.1 Doc 9760, Pt II, 1.2	<p>1.005 Describe the provisions of the primary aviation legislation that provide for the introduction/adoption of national aviation regulations and the promulgation thereof.</p> <p>Provide the specific citation to the relevant statute, regulation, and any guidance material used in reference to your response.</p>	
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span>	<span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>		

<u>STD</u> A6, 4.2.1.8, App 5 <u>GM</u> Doc 8335, Pt I, Ch 2 & Ch 3 Doc 9734, Pt A, 3.2, 3.4	<p>1.006 Describe the State's legal basis for the establishment of the CAA in accordance with the articles of the Chicago Convention.</p>	
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span>	<span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>		

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<u>CC</u> Art 12 <u>GM</u> Doc 8335, Pt I, 3.2, 3.3.2 Doc 9734, Pt A, 3.1, 3.2.5, 3.4.1	<p>1.007 Describe how the primary aviation legislation establishes a CAA led by a Director General (or equivalent), and grants that official the authority to oversee civil aviation matters.</p> <p>Provide the specific citation to the relevant statute, regulation, and any guidance material used in reference to your response.</p>
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span>
<b>Comments</b>	

<u>CC</u> Art 12 & 37 <u>STD</u> A6, Pt I, 4.2.1.8, App 5, 2 <u>GM</u> Doc 8335, Pt I, 2.2, 2.2.1, 3.1 Doc 9734, Pt A, 3.1.2.1, 3.2, 3.2.1.2, 3.3, 3.3.1.1 Doc 9760, Pt II, 1.2, 1.2.1	<p>1.008 Describe the provisions of the primary aviation legislation that:</p> <ul style="list-style-type: none"> <li>A) Delegates the authority and assigns the responsibility to the Director General to develop, issue, and revise specific operating regulations and rules (including orders, directives, or instructions) consistent with the ICAO Annexes.</li> <li>B) Provides the authority and any subsequent delegation to the Director General to issue, suspend, and/or revoke aviation documents.</li> </ul> <p>Provide the specific citation to the relevant statute, regulation, and any guidance material used in reference to your response.</p>
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span>
<b>Comments</b>	

<u>CC</u> Art. 16 <u>GM</u> Doc 8335, Pt I, 3.1.2.1 (b), 3.3.2 Doc 9734, Pt A, 3.2, 3.8	<p>1.009 Describe how the primary aviation legislation delegates sufficient legal authority to the CAA and its inspectors.</p> <p>Provide the specific citation to the relevant statute, regulation, and any guidance material used in reference to your response.</p>
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span>
<b>Comments</b>	

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<u>STD</u> A6, Pt. I, 4.2.1.8 & App 5 <u>GM</u> Doc 9379, Pt. I, Ch 6 Doc 9734, Pt A, 2.3	1.010 Is there an overlap of civil aviation responsibilities among any government entities or organizations in addition to the CAA (e.g., Ministry of Transportation, etc.)? Yes      No  If yes, explain the overlap of responsibilities, and whether any of these government agencies or entities have the authority to issue civil aviation regulations. Provide the legal citation for such authority.
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span> <span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>	

<u>STD</u> A6, Pt I, 4.2.1.8, App 5, 2, 2.1 <u>GM</u> Doc 8335, Pt I, 2.2, 3.1 Doc 9734, Pt A, 3.2, 3.3, 3.3.1.1, 3.3.7 Doc 9760, Pt II, 1.2, 1.2.1	1.011 Does the primary aviation legislation provide for the issuance of exemptions/exceptions to the air navigation regulations and associated operating regulations?   Yes      No  If yes, describe the policy and associated procedure for the issuance of the exemption (including exceptions/deviations/extensions/variations) with limitations. Include criteria used for the risk assessment before granting the exemption.
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span> <span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>	

<u>CC</u> Art 29 <u>STD</u> A6, Pt I, 4.2.1.8, App 5 <u>GM</u> Doc 8335, Pt I, 3.5 Doc 9734, Pt A, 2.3, 2.3.1, 2.3.2, 3.1, 3.2.4 Doc 9760, Pt II, 1.1, 1.1.7	1.012 Describe how the primary aviation legislation requires commercial air transport operations to comply with any conditions the State deems necessary in the interest of safety.  Provide the specific citation to the relevant statute, regulation, and any guidance material used in reference to your response.
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span> <span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>	

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<u>CC</u> Art 12 <u>STD</u> A6, Pt I, 4.2.1.8, A8 <u>GM</u> Doc 8335, Pt I, 3.1, 3.1.2.2, 5.2, 5.2.1 Doc 9379, Pt I, 3.5, 10.4 Doc 9734, Pt A, C3 Doc 9760, Pt II, 2.1, 4.4	<p>1.013 Describe the provisions of the primary aviation legislation that provides for the enforcement of the air navigation regulations and associated specific operating regulations, and for the rules specifying any penalty to be applied in the event of violation.</p> <p>Note: Verify that the penalty is commensurate with the level or type of violation identified.</p> <p>Provide the specific citation to the relevant statute, regulation, and any guidance material used in reference to your response.</p>
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span>
<b>Comments</b>	<span style="color: red;">Does Not Meet ICAO Standards</span>

<u>STD</u> A6, Pt I, 4.2.1.5 & 6, 4.2.1.8, APP 5,6 <u>GM</u> Doc 8335, Pt I, 2.2, 2.2.1, 3.2	<p>1.014 Describe how the CAA/Ministry determines the technical and financial capability of the operator to conduct proposed operations.</p>
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span>
<b>Comments</b>	<span style="color: red;">Does Not Meet ICAO Standards</span>

<u>STD</u> A6, Pt I, 4.2.1.8, App 5, <u>GM</u> Doc 8335, Pt I, 2.2, 2.2.1, 3.2, 4.3 Doc 9760, Pt IV, 2.1.6.2	<p>1.015 Describe the legal basis as to how the Director General can issue an air operator certificate (AOC) and operations specifications in the interests of safety for a particular operation.</p> <p>Provide the specific citation to the relevant statute, regulation, and any guidance material used in reference to your response.</p>
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span>
<b>Comments</b>	<span style="color: red;">Does Not Meet ICAO Standards</span>

<u>STD</u> A6, Pt I, 4.2.1.8, App 5 <u>GM</u> Doc 8335, Pt I, 2.2, 2.2.1, 3.2	<p>1.016 Describe legal basis as to how the Director General can refuse, withdraw, revoke, suspend, or amend any license, authorization, or operation authorized by the AOC, if it is necessary in the interest of safety.</p> <p>Provide the specific citation to the relevant statute, regulation, and any guidance material used in reference to your response.</p>
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span>
<b>Comments</b>	<span style="color: red;">Does Not Meet ICAO Standards</span>

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<u>CC</u> Art 37 & 38 <u>STD</u> A1, A6, Pt 1, 4.2.1.8, App 5, A8 <u>GM</u> Doc 8335, Pt I, 3.1, 3.1.2.2 Doc 9734, Pt A, 3.3, 3.3.1.1 Doc 9734, Pt B, 3.3, 3.3.3 Doc 9379, Pt I, 3.4 Doc 9760, Pt II, 1.2, 2.2.3, 4.3 Doc 10055	<p>1.017 Describe the procedure for implementing amendments to Standards and Recommended Practices (SARPs) of Annexes 1, 6, and 8 and for listing and notifying the differences, if any, to ICAO.</p> <p>If the State has adopted another State's regulations, describe the method used to outline the differences between ICAO SARPs and the adopted regulations and to notify ICAO of the differences that have been reproduced in the national regulations.</p>
<b>Outcome</b>	Meets ICAO Standards
<b>Comments</b>	Does Not Meet ICAO Standards

<u>CC</u> Art. 12 <u>GM</u> Doc 9379, Pt I, Ch 3 Doc 9734 Pt A, 3.2 & 3.3 Doc 9760, Pt II, 4.9	<p>1.018 Are the primary aviation legislation, the national aviation regulations, and the associated specific operating regulations and rules available to all users?</p> <p>Yes      No</p> <p>If yes, by what methods and means are they available?</p>
<b>Outcome</b>	Meets ICAO Standards
<b>Comments</b>	Does Not Meet ICAO Standards



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## Quality Management System

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<p><u>CC</u> Art 81, 83bis <u>STD</u> A6 Pt I, 4.2.1.8, Ch 3, Att E, 2.5, <u>GM</u> Cir 295 Doc 8335, Pt V Doc 9318 Doc 9760, Pt IV, 2.1. Doc 9626 Doc 9734, Pt A, 3.3.3.3 Doc 10059</p>	<p>1.019 Has the State ratified Article 83bis? Yes No</p> <p>If yes, describe how the State revised or modified the primary aviation legislation and specific operating regulations in order to:</p> <p>A) Recognize the validity of certificates of airworthiness and/or personnel licenses issued/rendered valid by the State of the Operator</p> <p>B) Transfer its State of Registry's functions and duties to the State of the Operator</p> <p>If the State has any agreements regarding the transfer of functions and duties from the State of Registry to the State of the Operator under the provisions of Article 83bis then:</p> <p>A) List the countries, and provisions, with which such agreements exist.</p> <p>B) Describe the process used by the State to notify and register these agreements with ICAO as specified in Doc. 7300, Art. 81, ICAO Circular 295, and Doc 10059.</p>
<b>Outcome</b>	<b>Meets ICAO Standards</b> <span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>	

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<p style="text-align: center;"><b>IASA – CE-1 – 1.100 - Primary aviation legislation Enforcement of Civil Aviation Law and Regulations</b></p>	
<p><b>ICAO Reference</b></p>	<p>CC = Chicago Convention; STD = Standard; GM = Guidance Material</p>

<u>CC</u> Art. 12, <u>STD</u> A1 A6, Pt I, 4.2.1.8, App 5 <u>GM</u> Doc 8335, Pt I, 3.1, 3.1.2.2, 5.2, 5.2.1 Doc 9379, Pt I, 3.5, 10.4 Doc 9734, Pt A, 3.2, 3.8 Doc 9760, Pt II, 2.1, 4.4	<p>1.101 Who is responsible for the enforcement of the primary aviation legislation and how is enforcement implemented?</p>
<p><b>Outcome</b></p>	<p style="color: green;">Meets ICAO Standards</p>
<p><b>Comments</b></p>	

<u>CC</u> Art. 12 <u>STD</u> A6, Pt I, 4.2.1.8, App 5 <u>GM</u> Doc 8335, Pt I, 3.1, 3.1.2.2, 5.2, 5.2.1 Doc 9379, Pt I, 3.5, 10.4 Doc 9734, 3.2, 3.8 Doc 9760, Pt II, 4.4	<p>1.102 Who is responsible for the enforcement of specific operating regulations including decrees, rules, and orders, if applicable?</p>
<p><b>Outcome</b></p>	<p style="color: green;">Meets ICAO Standards</p>
<p><b>Comments</b></p>	

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<u>CC</u> Art. 12, <u>STD</u> A6, Pt I, 4.2.1.8, App 5 <u>GM</u> Doc 8335, Pt I, 3.1, 3.1.2.2, 5.2, 5.2.1 Doc 9379, Pt I, 3.5, 10.4 Doc 9734, 3.2, 3.8 Doc 9760, Pt II, 4.4	1.103 Provide an overview of enforcement action(s) taken during the last twelve months.
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span> <span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>	

<b>ICAO Reference</b>	<b>IASA – CE-1 – 1.200 - Primary aviation legislation Empowerment of Inspectors</b>
	CC = Chicago Convention; STD = Standard; GM = Guidance Material

<u>CC</u> Art 16 <u>STD</u> A6, Pt I, App 5, 3 Part III, App 1 <u>GM</u> Doc 8335 Pt IV,1.1, 1.4 Doc 9734, Pt A, 3.4, 3.4.2, 3.4.2.2 Doc 9760, Pt II, 4.5.1.1 Doc 9774 Ch 2, Ch 3	1.201 Does the State's primary aviation legislation and specific operating regulations codify: <ul style="list-style-type: none"> <li>A) Laws of the State to provide the CAA's inspectors with complete and uninterrupted access to an air operator's personnel, aircraft, operations, facilities, and associated records and documents for the purpose of certification and continued surveillance, and resolution of safety issues? Yes <input type="checkbox"/> No <input type="checkbox"/></li> <li>B) Civil aviation law or civil aviation regulations that require the CAA to issue inspector credentials that authorizes inspectors to independently accomplish their certification and surveillance duties? Yes <input type="checkbox"/> No <input type="checkbox"/></li> </ul> If yes, provide the specific citation to the relevant statute, regulation, and any guidance material used in reference to your response
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span> <span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>	



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<u>CC</u> Art. 33 <u>GM</u> Doc 8335, Pt I, 5.2 Doc 9734, Pt A, 3.2, 3.8 Doc 9379, Pt II, 10.4 Doc 9760, Pt II, 4.7, 4.7.3(n), Pt IV, 5.4, 5.4.1	1.202 Does an inspector have the right to detain aircraft and impose prohibitions and conditions in relation to non-compliance with CAA regulations? Yes      No  If yes, describe the process used by an inspector to detain aircraft, impose prohibitions and conditions in relation to non-compliance with CAA regulations, or to otherwise directly prevent an aircraft from flying.  Provide the specific citation to the relevant statute, regulation, and any guidance material used in reference to your response
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span> <span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>	

<u>CC</u> Art 32 <u>STD</u> A1, 1.2.5 <u>GM</u> Doc 8335, Pt I, 5.2 Doc 9734, Pt A, 3.2, 3.8 Doc 9379, Pt II, 10.4 Doc 9760, Pt II, 4.7	1.203 Does an inspector have the right to prohibit any person from exercising the privileges of any aviation license, certificate, or document, in relation to non-compliance with CAA regulations? Yes      No  If yes, describe the process used by an inspector to prohibit any person from exercising the privileges of any aviation license, certificate, or document in relation to non-compliance with CAA regulations. Provide the specific citation to the relevant statute, regulation, and any guidance material used in reference to your response.
<b>Outcome</b>	<span style="color: green;">Meets ICAO Standards</span> <span style="color: red;">Does Not Meet ICAO Standards</span>
<b>Comments</b>	