



AVS

Quality Management System

QPM #
AFS-050-004-F2.8

Revision
7.0

Title: International Aviation Safety Assessment Assessor's Checklist – Resolution of Safety Issues – Critical Element 8

Effective Date:
November 1, 2025

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Inspector will ask the State to provide evidence of resolution of safety issues to each question, as applicable.

IASA – CE – 8 - 8.000 – Resolution of Safety Issues Certificated Persons/Organizations – General

ICAO Reference

CC = Chicago Convention; STD = Standard; GM = Guidance Material

<u>STD</u> A6, Pt I, 4.2.1.8, App 5, para. 8 <u>GM</u> Doc 8335 Pt IV, Ch 3 Doc 9760, Pt II, 4.4(g), 4.7.4.2, 4.7.4.3; Pt III, 1.2(t)(u); Pt IV, 1.2.1(l)	8.001 Validate that the Civil Aviation Authority (CAA) effectively implemented its procedures when deficiencies are identified during inspections of certificated air operators' certificate (AOC) holders, approved maintenance organizations (AMOs), or approved training organizations (ATOs), and confirm that these entities are required to correct the deficiencies within a reasonable period of time. Each resolution of a safety issue must provide a sufficient level of resolution and deterrence for future noncompliance.
Outcome	Meets ICAO Standards Does Not Meet ICAO Standards
Comments	

<u>STD</u> A6, Pt I, 4.2.1.8, App 5, para. 8 <u>GM</u> Doc 8335 Pt IV, 3.2, 3.3 Doc 9760, Pt II, 4.4(g), 4.7.4.2; Pt III, 1.2(t)(u); Pt IV, 1.2.1(l)	8.002 Validate the CAA effectively implemented its procedure if a certificated AOC holder, AMO, or ATO does not correct deficiencies in a reasonable period of time. Each resolution of a safety issue must provide a sufficient level of resolution and deterrence for future noncompliance.
Outcome	Meets ICAO Standards Does Not Meet ICAO Standards
Comments	

<u>STD</u> A1, 1.2.5.1; A6, Pt I, App 5, para. 8 <u>GM</u> Doc 8335 Pt IV, Ch 3 Doc 9379, Pt II, 10.4 Doc 9760, Pt II, 4.4(g), 4.7.4.2; Pt III, 1.2(t)(u); Pt IV, 1.2.1(l)	8.003 Validate that the Civil Aviation Authority (CAA) effectively implemented its procedures when deficiencies are identified during inspections of licensed individuals or certificated persons and confirm that these individuals or persons are required to correct the deficiencies within a reasonable period of time. Each resolution of a safety issue must provide a sufficient level of resolution and deterrence for future noncompliance.
Outcome	Meets ICAO Standards Does Not Meet ICAO Standards
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<u>STD</u> A1, 1.2.5.1; A6, Pt I, App 5, para. 8 <u>GM</u> Doc 8335 Pt IV, Ch 3 Doc 9379, Pt II, 10.4 Doc 9760, Pt II, 4.4(g), 4.7.4.2; Pt III, 1.2(t) (u); Pt IV, 1.2.1(l)	8.004 Validate the action taken by the CAA if licensed individuals/certificated persons do not correct deficiencies in a reasonable period of time. Each resolution of a safety issue must provide a sufficient level of resolution and deterrence for future noncompliance.
Outcome	Meets ICAO Standards
Comments	Does Not Meet ICAO Standards

<u>STD</u> A6, Pt I, 3.2, 4.2.2 <u>GM</u> Doc 8335 Pt VI, 6.1 Doc 9760, Pt II, 4.4(g), 4.7.4.2; Pt III, 1.2(t) (u); Pt IV, 1.2.1(l)	8.005 Validate the CAA effectively implemented its procedure if deficiencies are found during an inspection of a foreign air operator. A) Validate a foreign air operator is required to correct deficiencies in a reasonable period of time. B) Validate the CAA implemented its procedures for notifying the State of the Operator and/or State of Registry if deficiencies are found. Each resolution of a safety issue must provide a sufficient level of resolution and deterrence for future noncompliance.
Outcome	Meets ICAO Standards
Comments	Does Not Meet ICAO Standards

<u>STD</u> A6, Pt I, 3.2, 4.2.2 <u>GM</u> Doc 8335 Pt VI, 6.1 Doc 9760, Pt II, 4.4(g), 4.7.4.2; Pt III, 1.2(t) (u); Pt IV, 1.2.1(l)	8.006 Validate the action taken by the CAA if a foreign air operator does not correct deficiencies in a reasonable period of time. Each resolution of a safety issue must provide a sufficient level of resolution and deterrence for future noncompliance.
Outcome	Meets ICAO Standards
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<u>STD</u> A6, Pt I, 4.2.1.8, App 5 A1, App 2 <u>GM</u> Doc 8335 Pt I, 5.3.2, 5.3.4, 5.3.5; Pt IV, 2.6 Doc 9734, Pt A, 3.8 Doc 9760, Pt II, 4.4(g), 4.7.4.2; Pt III, 1.2(t) (u); Pt IV, 1.2.1(l)	8.007 Validate that the Civil Aviation Authority (CAA) effectively implemented its procedures when deficiencies are identified during an inspection or renewal of the certificate of a designee, delegated persons, or individuals employed by a certificate holder acting on behalf of the CAA and confirm these individuals are required to correct the deficiencies within a reasonable period of time. Each resolution of a safety issue must provide a sufficient level of resolution and deterrence for future noncompliance.
Outcome	Meets ICAO Standards Does Not Meet ICAO Standards
Comments	

<u>STD</u> A6, Pt I, 4.2.1.8, App 5 A1, App 2 <u>GM</u> Doc 8335 Pt I, 5.3.2, 5.3.4, 5.3.5; Pt IV, 2.6 Doc 9734, Pt A, 3.8 Doc 9760, Pt II, 4.4(g), 4.7.4.2; Pt III, 1.2(t) (u); Pt IV, 1.2.1(l)	8.008 Validate the action taken by the CAA if designees, delegated persons, or personnel employed by a certificate holder while acting on behalf of the CAA, do not correct deficiencies in a reasonable period of time. Each resolution of a safety issue must provide a sufficient level of resolution and deterrence for future noncompliance.
Outcome	Meets ICAO Standards Does Not Meet ICAO Standards
Comments	