

SQMS Table E.6. ELEMENT ASSESSMENT Table

Status and Comment Codes*

STATUS CODE	Explanation	COMMENT CODE	Explanation
N	<ul style="list-style-type: none"> ○ Noncompliance / Nonconformity ○ Corrective Action IS Required ○ No evidence of: <ul style="list-style-type: none"> A. Compliance or conformity. B. Identification, definition or documentation of an essential policy, process, procedure. C. Implementation of an applicable policy, process, procedure. D. Adequacy or effectiveness of implemented policy, process, procedure. 	R	<ul style="list-style-type: none"> ○ Request ○ A suggestion or proposal ○ Minor change to facilitate assessment or clarify verbiage ○ Used in conjunction with or in place of status code
P	<ul style="list-style-type: none"> ○ Partial Compliance / Partial Conformity ○ Corrective Action IS Required ○ Evidence of: <ul style="list-style-type: none"> A. Partial conformity / compliance. B. Incomplete or out-dated written description. C. Inadequate identification, definition or documentation of an essential policy, process, procedure. D. The policy, process, procedure not utilized/applied as appropriate, necessary, or as defined in the QMS. 	Q	<ul style="list-style-type: none"> ○ Question ○ Clarify Information ○ Used in conjunction with or in place of status code
Y	<ul style="list-style-type: none"> ○ Satisfactory / Acceptable / Fully Compliant ○ Corrective Action NOT Required ○ Evidence of: <ul style="list-style-type: none"> A. Complete and current written description. B. Adequate identification, definition or documentation of an essential policy, process, procedure. C. The policy, process, procedure utilized/applied as appropriate, necessary and/or as defined in the QMS. D. The policy, process, procedure is achieving satisfactory results 	O	<ul style="list-style-type: none"> ○ Observation ○ Remark, comment, statement ○ Used in conjunction with or in place of status code

*Codes are used for Initial assessments and On-site assessments