REQUEST FOR RECORDS DISPOSITION AUTHORITY

| JOB NUMBER | 101-237-10-2 |
| Diploma | JONI-237-10-2 |

**To:** NATIONAL ARCHIVES & RECORDS ADMINISTRATION
8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001

**Date received:** 10/23/09

| FROM (Agency or establishment) | Federal Aviation Administration (FAA) |

**MAJOR SUBDIVISION**
Office of Aviation Safety (AVS)

**MINOR SUBDIVISION**
Organizational Office of Aerospace Medicine (AAM), Medical Certification Division, AAM-300, Civil Aeronautical Medical Institute (CAMI)

**NAME OF PERSON WITH WHOM TO CONFER**
Dr. Warren Silberman

**TELEPHONE NUMBER**
405-954-7653

**NOTIFICATION TO AGENCY**
In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked “disposition not approved” or “withdrawn” in column 10.

**AGENCY CERTIFICATION**
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached page(s) are not needed now for the business for this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

x is not required
☐ is attached, or
☐ has been requested

**DATE**
10/23/09

**SIGNATURE OF AGENCY REPRESENTATIVE**

**TITLE**
FAA RECORDS OFFICER

<table>
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**SEE ATTACHED**
### Compliance and Enforcement Tracking Subsystem (CETS)

The purpose of this system is to track compliance with drug and alcohol abuse by regulated entities. The Drug Abatement Division uses Compliance and Enforcement Tracking Subsystem (CETS) to automate capabilities for tracking, scheduling, and managing inspections, investigations, and other enforcement related activities. The system uses a web-based interface accessed by Drug Abatement Employees only.

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#### a. Master file

The master file information includes company profiles, certificate and registration information, enforcement investigation record number (case number), type of inspection, violation type, and type of drugs or alcohol levels, inspector name, starting and ending dates of an investigation, and investigation status. Also includes actions taken including administrative sanctions and civil penalties. Information does include individual personal information which is protected under the rules governing personally identifiable information.

**TEMPORARY.** Cut off at the end of the fiscal year in which all action taken is completed. Destroy 50 years after cut off.

Note: The FAA agrees to maintain these records in accordance with 36 CFR 1234.30 and 1234.32 for their entire retention period.

#### b. Inputs

1. Hard copy U.S. Department of Transportation Drug and Alcohol Testing MIS Data Collection Form received by the airlines report the results of drug tests by mail, fax or phone as mandated by Part 120.113 (d)(5) and Part 120 221(c)(2)

Disposion. Temporary. Cut off at the end of the fiscal year in which data is entered and verified into the system. Destroy when no longer needed for legal or audit purposes or to support the reconstruction of, or serve as a backup to, the electronic records (GRS 20, Item 2a, (4))

2. Electronic. Direct entry

#### c. Outputs.

The system generates documents maintained by Legal Counsel which are scheduled separately under N1-237-92-4.

#### d. System Documentation

**TEMPORARY** Destroy/delete upon authorized deletion of the related electronic records or upon the destruction of the output of the system if the output is needed to protect legal rights, whichever is later GRS 20 Item 11(a)(1)