

DBE/ACDBE Program Compliance Reviews



Federal Aviation
Administration

AMAC 2012
Airport Business Diversity Conference
St. Louis, Missouri

Office Of Civil Rights

ACHIEVING SAFETY
THROUGH DIVERSITY

Regulation

49 CFR § 26.103(b):

Compliance Reviews. The concerned operating administration may review the recipient's compliance with this part at any time, including reviews of paperwork and on-site reviews, as appropriate.

Process - Past Reviews

The review process consisted of the following stages:

- **Notification to recipient of selection for compliance review**
- **Request for documents**
- **Publish review agenda**
- **Desk review of preliminary documents**
- **Prepare final agenda if necessary**
- **Site visit (2-3 Days)**
- **Final report** (Issued approximately 6 weeks after exit conference)
- **Compliance plan**

FY 2012 Main Focus

- Review & Examine DBE Programs
- Fact Finding – Monitoring & Enforcement
- Identify Best Practices
- Develop a “Best Practices” Tool
- Publish - training, guidance, and possibly examples of effective DBE practices

FY 2012 Main Focus

- Identified Participants
 - a group of sponsors who have taken proactive efforts to strengthen their monitoring and enforcement processes
- Seven Site Visits (February – May)
 - San Antonio International Airport
 - Miami International Airport
 - Fort Wayne International Airport
 - Richmond International Airport
 - Salt Lake City International Airport
 - Anchorage International Airport
 - Lincoln Airport

FY 2012 Process

The review process consisted of the following stages:

- **Notification to recipient of selection for compliance review**
- **Request for documents**
- **Desk review of preliminary documents**
- **Site visit (1½ Days)**
- **Final Report of Findings – Issued on site**

FY 2012 Compliance Review Status

- Completed Seven Compliance Reviews
- Reviewed within applicable FAA offices
- Collected good information
 - well-structured processes
 - sample several forms (i.e., Site Visit Reporting & Commercially Useful Function)
- Still Collecting Data
 - Send in information
- “Best Practices” report - late September 2012

Best Practices Report

- Compilation of various data sources
 - Compliance Reviews
 - FHWA
 - FTA
 - OIG
 - Others
- Initial Step - Additional tips/guidance for the administration of DBE programs
- Initiative to unfold department-wide
- Modes collaborate - continue to offer more tips/guidance on effective DBE practices

Do You Have a “Best Practice”?

Send it to:

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Questions?

