

| CASE NUMBER  | NAME   | ENTITY TYPE        | DATE KNOWN | ACTION                   | SANCTION AMOUNT | SANCTION          | CASE TYPE    | CLOSED DATE |
|--------------|--|--------------------|------------|--------------------------|-----------------|-------------------|--------------|-------------|
| 2015SO150119 | ACS AEROSPACE INC                                  | APPROVD REPAIR STA | 06/25/15   | CERTIFICATE REVOCATION   |                 | REVOCATION        | MAINTENANCE  | 04/04/17    |
| 2016SW910224 | AVIATION SPECIALISTS INC                           | A/C or COMM OPER   | 05/16/16   | ORD ASSESS CIVIL PENALTY | 4000            | DOLLARS           | DRUG TESTING | 04/05/17    |
| 2016WP910071 | ATLANTIC AVIATION OREGON GENERAL AVIATION SERVICES | APPROVD REPAIR STA | 03/10/16   | ORD ASSESS CIVIL PENALTY | 10000           | DOLLARS           | DRUG TESTING | 04/06/17    |
| 2016WP910152 | FLIGHT DEVELOPMENT LLC                             | A/C or COMM OPER   | 03/16/16   | ORD ASSESS CIVIL PENALTY | 1787            | DOLLARS           | DRUG TESTING | 04/06/17    |
| 2010NM070009 | WESTERN SKYWAYS INC                                | APPROVD REPAIR STA | 11/05/09   | CERTIFICATE SUSPENSION   | 75              | DAYS              | RECORDS/RPTS | 04/11/17    |
| 2011NM070072 | WESTERN SKYWAYS INC                                | APPROVD REPAIR STA | 05/05/11   | CERTIFICATE SUSPENSION   |                 | CONSOLIDATED CASE | MAINTENANCE  | 04/11/17    |
| 2010NM130027 | AMERIFLIGHT LLC                                    | A/C or COMM OPER   | 05/13/10   | CIVIL PENALTY            | 10000           | DOLLARS           | MAINTENANCE  | 04/11/17    |
| 2010NM130027 | AMERIFLIGHT LLC                                    | A/C or COMM OPER   | 05/13/10   | CIVIL PENALTY            | 140000          | SANCTION WAIVED   | MAINTENANCE  | 04/11/17    |
| 2013NM090042 | SEAPORT AIRLINES INC                               | A/C or COMM OPER   | 05/22/13   | CIVIL PENALTY            | 88075           | DOLLARS           | RECORDS/RPTS | 04/11/17    |
| 2015NM720024 | FEDERAL EXPRESS CORP                               | A/C or COMM OPER   | 12/12/14   | ORD ASSESS CP HMT        | 23000           | DOLLARS           | HAZ MAT      | 04/11/17    |
| 2009NM010069 | SOUTHWEST AIRLINES CO                              | A/C or COMM OPER   | 03/03/09   | CIVIL PENALTY            | 2800000         | DOLLARS           | MAINTENANCE  | 04/12/17    |
| 2009NM010069 | SOUTHWEST AIRLINES CO                              | A/C or COMM OPER   | 03/03/09   | CIVIL PENALTY            | 5500000         | SANCTION WAIVED   | MAINTENANCE  | 04/12/17    |
| 2009NM010070 | SOUTHWEST AIRLINES CO                              | A/C or COMM OPER   | 03/03/09   | CIVIL PENALTY            |                 | CONSOLIDATED CASE | MAINTENANCE  | 04/12/17    |

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| 2012SW290064 | SOUTHWEST AIRLINES CO       | A/C or COMM OPER   | 01/13/12   | CIVIL PENALTY            |                 | CONSOLIDATED CASE | MAINTENANCE  | 04/12/17    |
| 2016WP910142 | CALIBER JET CHARTER, LLC    | A/C or COMM OPER   | 03/16/16   | ORD ASSESS CIVIL PENALTY | 1000            | DOLLARS           | DRUG TESTING | 04/12/17    |
| 2017SW910099 | DUNCAN AVIATION INC         | A/C or COMM OPER   | 01/24/17   | ORD ASSESS CIVIL PENALTY | 7600            | DOLLARS           | DRUG TESTING | 04/13/17    |
| 2015WP010007 | ENCORE FLIGHT ACADEMY       | CERTIFICATE SCHOOL | 10/27/14   | ORD ASSESS CIVIL PENALTY | 12000           | DOLLARS           | TRNG-OTHER   | 04/14/17    |
| 2015SO950071 | CRITICAL CARE MEDFLIGHT INC | A/C or COMM OPER   | 11/17/14   | ORD ASSESS CIVIL PENALTY | 3300            | DOLLARS           | DRUG TESTING | 04/14/17    |
| 2015WP010045 | ENCORE FLIGHT ACADEMY       | CERTIFICATE SCHOOL | 03/12/15   | CIVIL PENALTY            | 86000           | DOLLARS           | TRNG-OTHER   | 04/19/17    |
| 2015WP130080 | PACIFIC AVIONICS INC        | APPROVD REPAIR STA | 03/28/14   | CERTIFICATE REVOCATION   |                 | REVOCATION        | OTHER        | 04/20/17    |
| 2015WP910136 | EAGLE INDUSTRIES INC        | APPROVD REPAIR STA | 03/16/15   | ORD ASSESS CIVIL PENALTY | 3120            | DOLLARS           | DRUG TESTING | 04/20/17    |
| 2015WP910161 | ENDLESS MOUNTAINS AIR INC   | A/C or COMM OPER   | 03/16/15   | ORD ASSESS CIVIL PENALTY | 2000            | DOLLARS           | DRUG TESTING | 04/20/17    |
| 2015WP910143 | NEITZ AVIATION INC          | A/C or COMM OPER   | 03/16/15   | ORD ASSESS CIVIL PENALTY | 1787            | DOLLARS           | DRUG TESTING | 04/20/17    |
| 2016EA270011 | METROPOLITAN AVIATION LLC   | A/C or COMM OPER   | 05/11/16   | ORD ASSESS CIVIL PENALTY | 5000            | DOLLARS           | RECORDS/RPTS | 04/21/17    |
| 2015WP090037 | TANGO AIR INC               | A/C or COMM OPER   | 12/03/14   | ORD ASSESS CIVIL PENALTY | 18000           | DOLLARS           | RECORDS/RPTS | 04/26/17    |
| 2012SO110101 | ATLANTA TECHNICAL COLLEGE   | CERTIFICATE SCHOOL | 07/20/12   | ORD ASSESS CIVIL PENALTY | 10000           | DOLLARS           | RECORDS/RPTS | 04/27/17    |

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| 2015SO090050 | AVALEX TECHNOLOGIES CORPORATION    | APPROVD REPAIR STA | 06/17/15   | ORD ASSESS CIVIL PENALTY | 22000           | DOLLARS  | MAINTENANCE  | 04/28/17    |
| 2015WP910097 | PARS AVIATION INC                  | APPROVD REPAIR STA | 04/23/15   | ORD ASSESS CIVIL PENALTY | 24933           | DOLLARS  | DRUG TESTING | 04/28/17    |
| 2015WP910152 | AVIATION JET COMPONENTS            | APPROVD REPAIR STA | 03/16/15   | ORD ASSESS CIVIL PENALTY | 3120            | DOLLARS  | DRUG TESTING | 05/03/17    |
| 2016SW910142 | GTA AIR INC                        | A/C or COMM OPER   | 03/16/16   | ORD ASSESS CIVIL PENALTY | 15000           | DOLLARS  | DRUG TESTING | 05/05/17    |
| 2016WP910165 | DOMINION AIR CHARTER INC           | A/C or COMM OPER   | 03/16/16   | ORD ASSESS CIVIL PENALTY | 1375            | DOLLARS  | DRUG TESTING | 05/08/17    |
| 2016WP910089 | NORTHWIND AVIATION LLC             | A/C or COMM OPER   | 04/20/16   | ORD ASSESS CIVIL PENALTY | 1500            | DOLLARS  | DRUG TESTING | 05/08/17    |
| 2017WP910045 | SOCAL JETS INC                     | APPROVD REPAIR STA | 11/15/16   | ORD ASSESS CIVIL PENALTY | 7150            | DOLLARS  | DRUG TESTING | 05/08/17    |
| 2016SO950188 | GRANDAIR AVIATION INC              | A/C or COMM OPER   | 06/14/16   | ORD ASSESS CIVIL PENALTY | 730             | DOLLARS  | DRUG TESTING | 05/09/17    |
| 2017WP910035 | ALASKA BUSH FLOATPLANE SERVICE CO  | A/C or COMM OPER   | 10/17/16   | ORD ASSESS CIVIL PENALTY | 2800            | DOLLARS  | DRUG TESTING | 05/16/17    |
| 2014SO630007 | AIR CHARTER INC                    | A/C or COMM OPER   | 10/31/13   | ORD ASSESS CIVIL PENALTY | 6000            | DOLLARS  | FLT OPNS     | 05/18/17    |
| 2016SO950076 | MEM MED CTR AIR AMBULANCE SERV INC | A/C or COMM OPER   | 01/28/16   | ORD ASSESS CIVIL PENALTY | 10075           | DOLLARS  | DRUG TESTING | 05/19/17    |
| 2017WP910099 | ROYAL PACIFIC AIR LLC              | A/C or COMM OPER   | 02/10/17   | ORD ASSESS CIVIL PENALTY | 5500            | DOLLARS  | DRUG TESTING | 05/22/17    |
| 2016WP910124 | STELLER AIR SERVICE LLC            | A/C or COMM OPER   | 04/20/16   | ORD ASSESS CIVIL PENALTY | 1500            | DOLLARS  | DRUG TESTING | 05/26/17    |
| 2014SO670048 | NATIONAL AIR CARGO GROUP INC       | A/C or COMM OPER   | 06/16/14   | ORD ASSESS CIVIL PENALTY | 24305           | DOLLARS  | FLT OPNS     | 05/30/17    |

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| 2014SO670048 | NATIONAL AIR CARGO GROUP INC | A/C or COMM OPER   | 06/16/14   | ORD ASSESS CIVIL PENALTY | 24305           | SANCTION WAIVED | FLT OPNS     | 05/30/17    |
| 2014SO670050 | NATIONAL AIR CARGO GROUP INC | A/C or COMM OPER   | 08/11/14   | ORD ASSESS CIVIL PENALTY | 13889           | DOLLARS         | FLT OPNS     | 05/30/17    |
| 2014SO670050 | NATIONAL AIR CARGO GROUP INC | A/C or COMM OPER   | 08/11/14   | ORD ASSESS CIVIL PENALTY | 13889           | SANCTION WAIVED | FLT OPNS     | 05/30/17    |
| 2017WP910030 | ARROWHEAD OUTFITTERS LLC     | A/C or COMM OPER   | 10/18/16   | ORD ASSESS CIVIL PENALTY | 1800            | DOLLARS         | DRUG TESTING | 05/30/17    |
| 2013GL230041 | NATIONAL AIR CARGO GROUP INC | A/C or COMM OPER   | 04/29/13   | CIVIL PENALTY            | 24305           | DOLLARS         | FLT OPNS     | 05/30/17    |
| 2013GL230041 | NATIONAL AIR CARGO GROUP INC | A/C or COMM OPER   | 04/29/13   | CIVIL PENALTY            | 24305           | SANCTION WAIVED | FLT OPNS     | 05/30/17    |
| 2016WP910148 | JKG LLC                      | A/C or COMM OPER   | 03/16/16   | ORD ASSESS CIVIL PENALTY | 1787            | DOLLARS         | DRUG TESTING | 06/02/17    |
| 2013AL030106 | REDEMPTION INC               | A/C or COMM OPER   | 08/15/13   | ORD ASSESS CIVIL PENALTY | 4400            | DOLLARS         | RECORDS/RPTS | 06/06/17    |
| 2013WP910184 | TECOM INDUSTRIES INC         | APPROVD REPAIR STA | 05/24/13   | CIVIL PENALTY            | 200000          | DOLLARS         | DRUG TESTING | 06/07/17    |
| 2017WP910017 | SACO LLC                     | A/C or COMM OPER   | 10/17/16   | ORD ASSESS CIVIL PENALTY | 7700            | DOLLARS         | DRUG TESTING | 06/08/17    |
| 2017WP910060 | FEJES GUIDE SERVICE LTD      | A/C or COMM OPER   | 12/21/16   | ORD ASSESS CIVIL PENALTY | 2000            | DOLLARS         | DRUG TESTING | 06/12/17    |
| 2016SO950126 | DUMONT AVIATION LLC          | APPROVD REPAIR STA | 03/07/16   | ORD ASSESS CIVIL PENALTY | 18700           | DOLLARS         | DRUG TESTING | 06/15/17    |
| 2016SW910269 | PHELPS COLLINS AVIATION INC  | A/C or COMM OPER   | 06/15/16   | ORD ASSESS CIVIL PENALTY | 2800            | DOLLARS         | DRUG TESTING | 06/21/17    |

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| 2016WP910133 | INNOTECH AVIATION INC            | APPROVD REPAIR STA | 06/15/16   | ORD ASSESS CIVIL PENALTY | 13000           | DOLLARS  | DRUG TESTING | 06/21/17    |
| 2016SO950106 | REVA INC                         | A/C or COMM OPER   | 12/04/15   | ORD ASSESS CIVIL PENALTY | 3300            | DOLLARS  | DRUG TESTING | 06/22/17    |
| 2016WP910151 | COMPONENT OVERHAUL SERVICES CORP | APPROVD REPAIR STA | 03/16/16   | ORD ASSESS CIVIL PENALTY | 3120            | DOLLARS  | DRUG TESTING | 06/23/17    |
| 2016WP910097 | POINT TO POINT AIR INC           | A/C or COMM OPER   | 04/04/16   | ORD ASSESS CIVIL PENALTY | 1800            | DOLLARS  | DRUG TESTING | 06/28/17    |
| 2015WA910115 | AERO TECH LLC                    | A/C or COMM OPER   | 03/19/15   | ORD ASSESS CIVIL PENALTY | 4500            | DOLLARS  | DRUG TESTING | 06/30/17    |