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# ATIEC 2021

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## Automatic Speech Recognition and Understanding of ATC Voice Communications

Presentation by: Hunter Kopald  
MITRE  
[hkopald@mitre.org](mailto:hkopald@mitre.org)



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# Outline

- Why ATC voice communications?
- How to get information through speech analysis?
- Examples
- What's next?

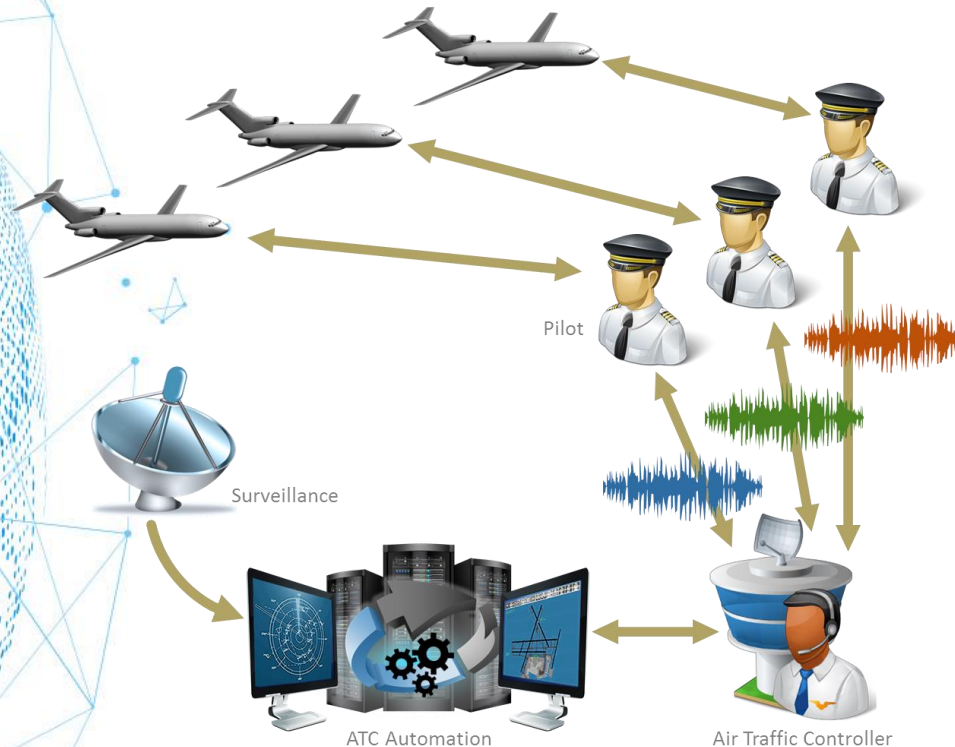




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# Why: ATC Voice Communications are Central to NAS Operations



## Air-ground communication

- Pilot or Controller initiates radio contact
- Several scenarios:
  - Controller issues clearance, information to pilot, Pilot reads back instructions
  - Controller provides traffic information
  - Pilot reports weather
  - Pilot makes request, Controller grants or denies request

## Ground-ground communication

- Controllers coordinate with each other

Note: Data Communications used instead of voice for some en route and pre-departure clearance operations



# Why: Value of Information within ATC Voice Communications



## For Analysis

to understand and improve operations in the future



## In Real-Time

to improve operations immediately





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# Why: Value of Information within ATC Voice Communications



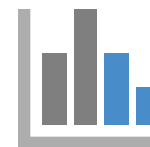
## For Analysis

to understand and improve  
operations in the future

Better and easier understanding  
of specific events



Identify operational information  
not otherwise available



Assess effects of procedure  
changes on operations



# Why: Value of Information within ATC Voice Communications



Detect unsafe instructions



Detect aircraft not following controller instructions



Detect pilot reports of weather information

Detect readback/hearback errors



**In Real-Time**

to improve operations  
immediately

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# How: Natural Language Processing – Automatic Speech Recognition and Semantic Extraction



Automatic speech recognition



November three one golf runway one  
zero right cleared to land

Semantic extraction



N4231G, CTL | 10R

Application



May also need:

- Audio segmentation
- Speaker role identification
- Non-speech context information



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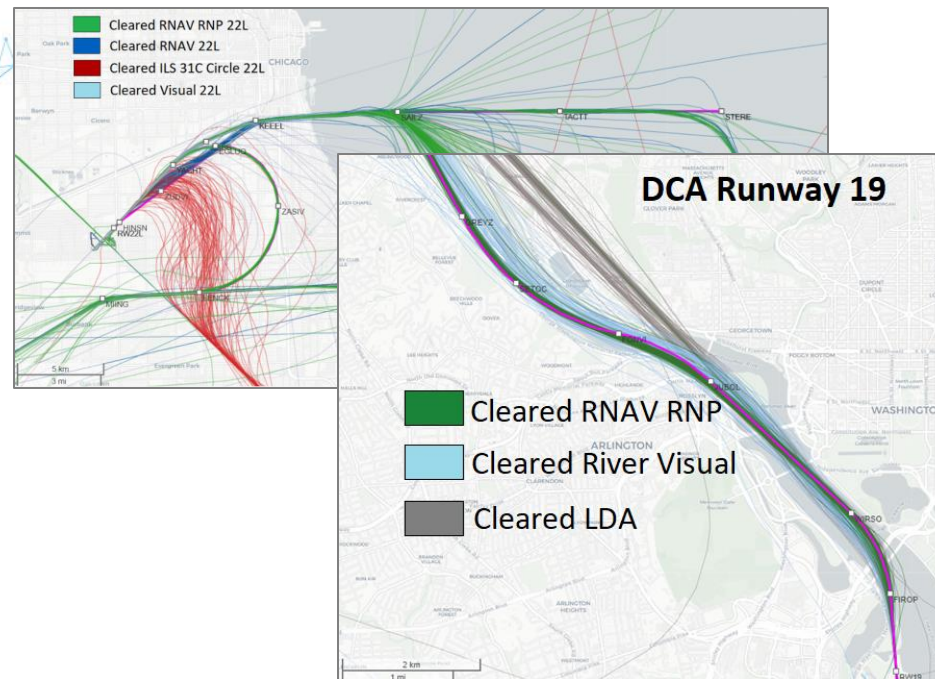
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# Identifying Operational Information Not Otherwise Available

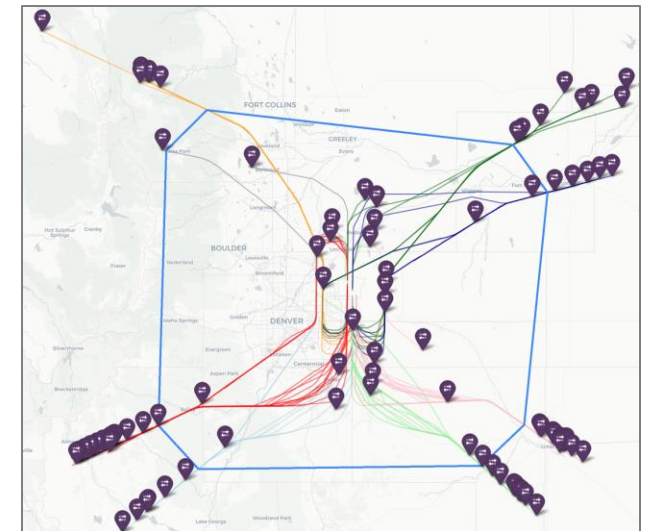
## Approach clearances

Which approach procedure was used?



## Runway assignments

Where were flights when their runway is assigned by Denver TRACON?







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# Example: PIREP Detection



Delta eleven forty seven flight level three  
eight zero smooth ride

**VS**

Southwest one oh four do you have any  
smooth ride reports ahead

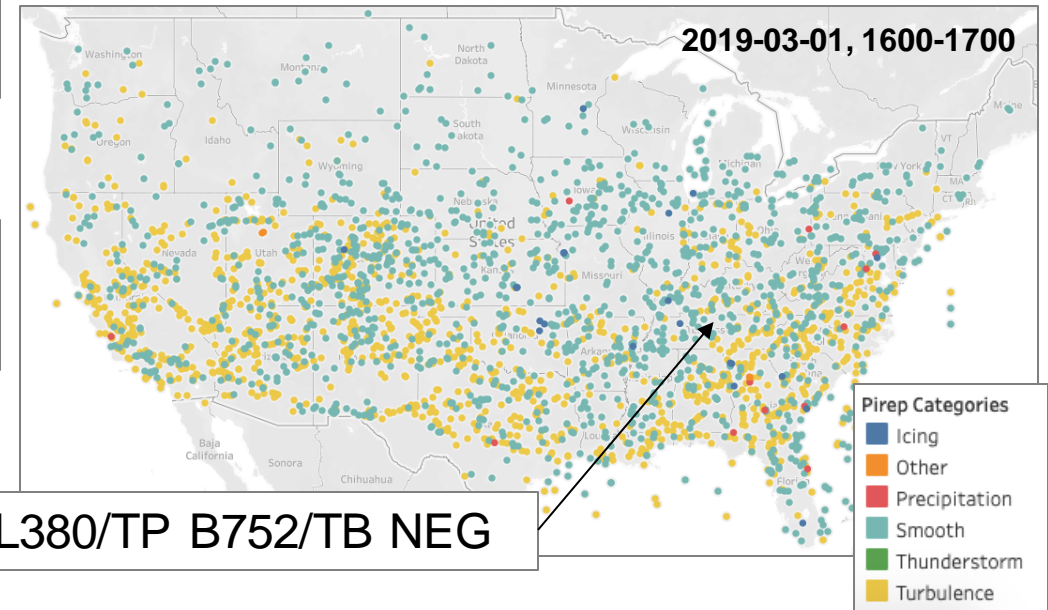
DAL1147, smooth ride

Find: location, altitude, aircraft type

UA/OV 3446N 08999W/TM 1119/FL380/TP B752/TB NEG

**Goal: capture pilot reports of weather  
information (PIREPs) not otherwise captured.**

**Example output: Historical data for researching  
forecast model improvements**



**Long-term: near-real time?**



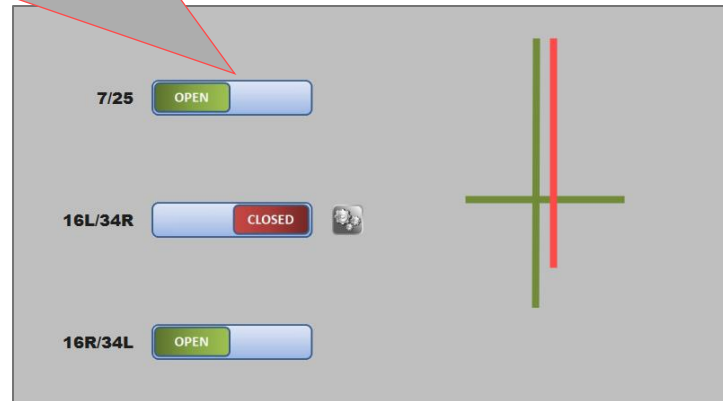
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# Research to Detect Unsafe Situations

## Closed Runway Operation Prevention Device (2012-2017)

**Controller:** "...runway three four right cleared to land"  
**System alert:** "Runway three four right is closed"



### Notes

Early R&D required significant site-specific customization

Relatively easy application: only need to detect clearance; no callsign

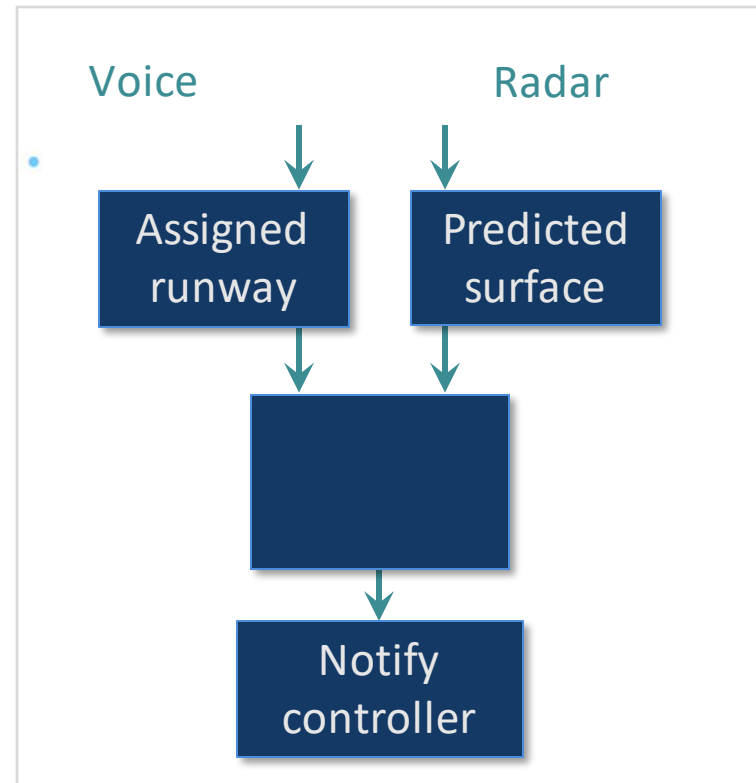
Since 2017, we've added more data to our corpus for machine learning, enabling more sophisticated models (deep neural networks)





# Research to Detect Aircraft not Following Controller Instructions

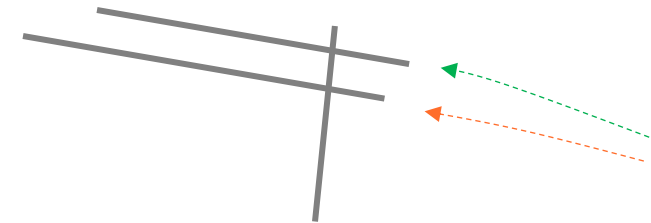
## Real-Time Wrong Surface Operation Detection



### Notes

More difficult application: requires correct callsign detection

Easier, quicker site-specific customization because baseline models are more robust



*...runway 28R cleared to land...*

# FAA-MITRE Progress Over Last 10 Years



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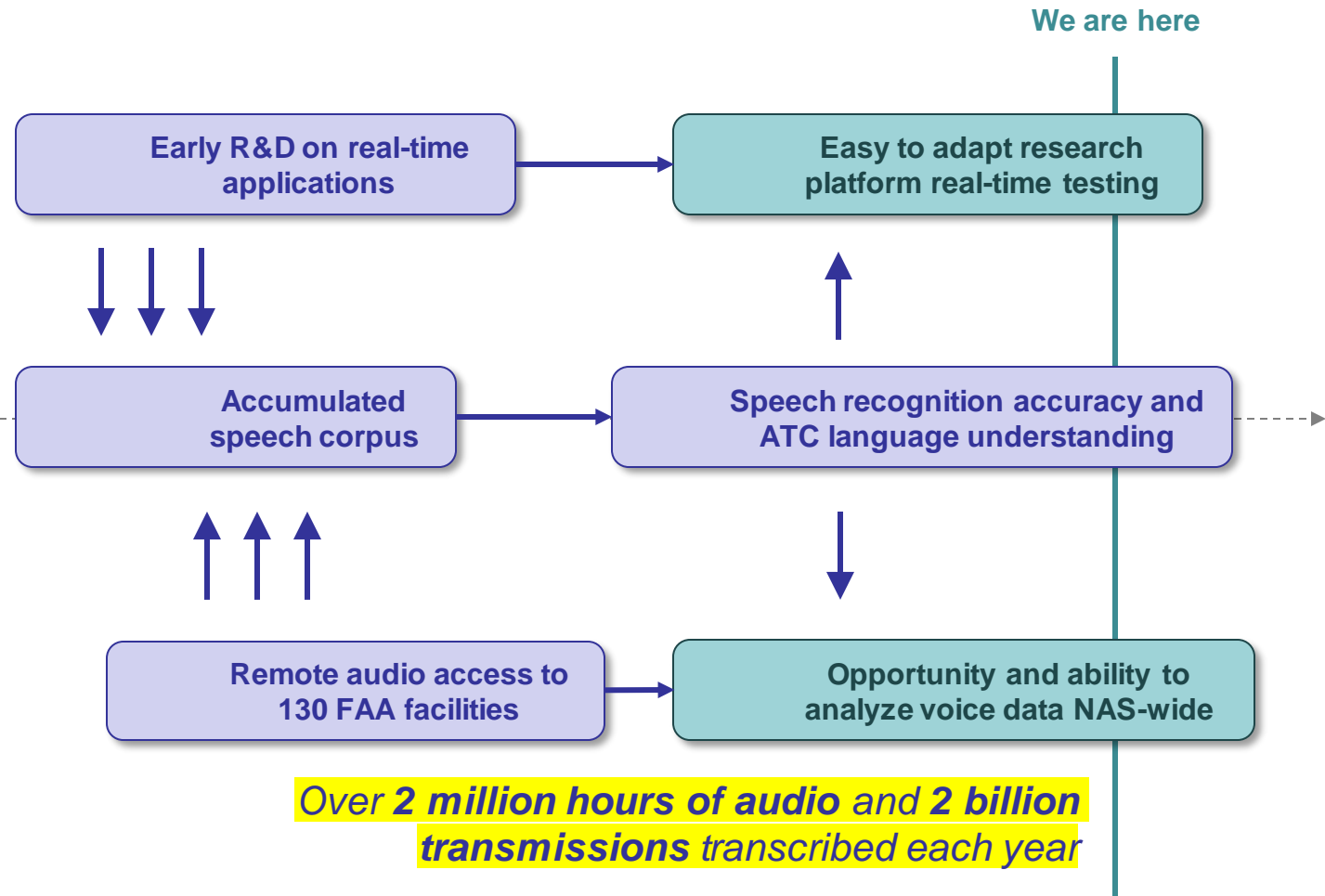
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**In Real-Time**  
to improve operations  
immediately



**For Analysis**  
to understand and improve  
operations in the future







EUROCONTROL

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We are here

Easy to adapt research  
platform real-time testing

Speech recognition accuracy and  
ATC language understanding

Opportunity and ability to  
analyze voice data NAS-wide

# The Next 10 Years?



In Real-Time

to improve operations  
immediately

**Real-time use in the NAS on ATC speech**

***Possible → Implementation***

e.g., for safety event detection/prediction, NAS-wide PIREP capture, readback error detection



**Speech recognition and understanding on  
other types of NAS speech**

e.g., traffic management telcons, flight deck speech



**The difference between real-time and post-op  
analysis becomes very small**

***Continual accuracy improvements enable more  
and easier applications of voice information***

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