

AAND and SDAMA 2014 Airports Fall Seminar Bismarck ND



Federal Aviation
Administration

AIP Program Update September 2014

Presented By: Laurie Suttmeier
Manager, BIS-ADO



Presentation Overview

- **FY14 Changes & Challenges**
- **FY14 Accomplishments**
- **FY14 AIP Program Wrap-Up**
- **FY15 The Year Ahead for AIP**
- **AIP Program Information Update**



FY14 Changes & Challenges

- **Full AIP Program Funding Available early.**
- **Organizational Changes**
 - ADO Organization
 - Personnel (ARP, ADO)
 - SOPs



FY14 Changes & Challenges

- **Backlog (Close-outs, ALP reviews, Airspace reviews, Environmental cases)**
- **Ongoing & New Program initiatives:**
 - **Ongoing:** Airports External Portal [AEP], Risk Management, Asset II review, e_Invoicing
 - **New:** 20:1 Reviews, Plan-On-File Reviews, Quarterly Payment Reviews, Training of new personnel
- **Inactive Grants**
- **Conducting Customer Outreach**



FY14 Program Accomplishments

- **Close-Outs**

- ✓ 278 Grants open on Oct 1, 2014
- ✓ (103) Grants Closed during FY14
- ✓ 74 Grants Initiated in FY14
- ✓ 249 Grants Open on Oct 1, 2015

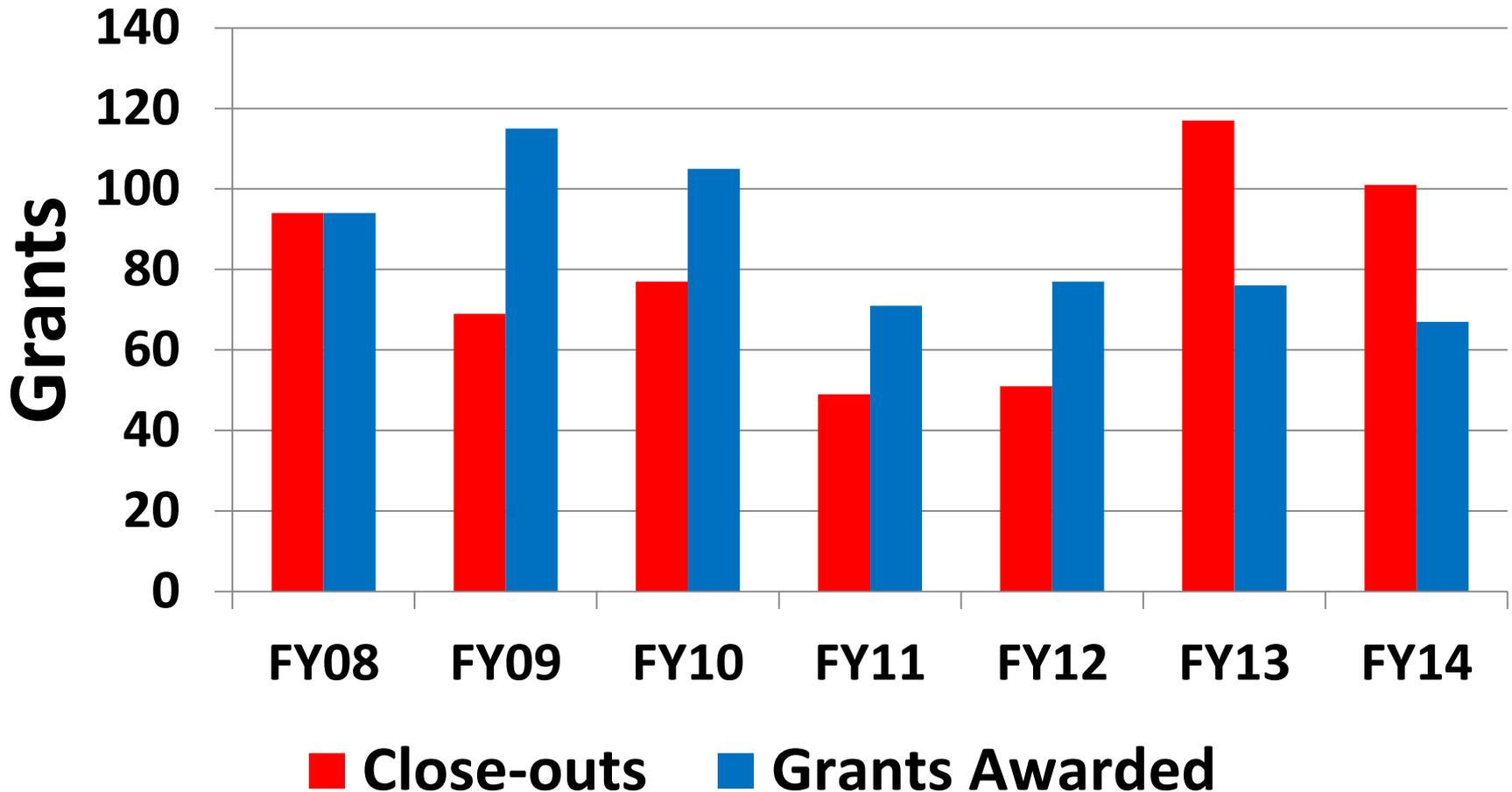


- **FY15 Close-Out Goals**

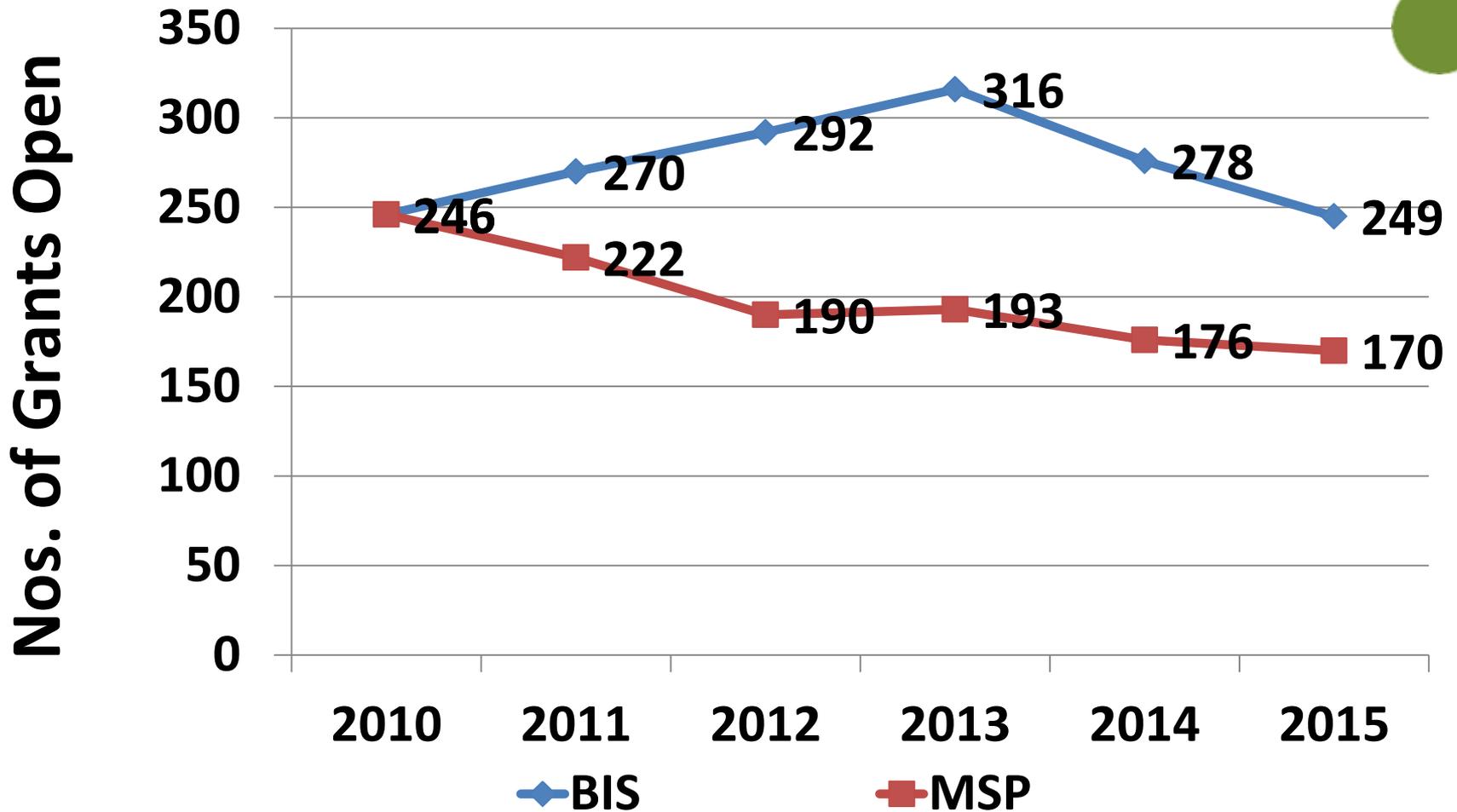
- ✓ 65 Grants (5+ years Old) **MUST** be closed in FY15
- ✓ 43 Grants (FY12) to be closed; possible to recoup and reuse unused funds.



Close-outs Processed vs Grants Awarded



Open Grants as of Oct 1 each FY



FY14 Program Accomplishments

Close-Outs with Recovered Funding



<u># Of Grants</u>	<u>Funding</u>	<u>Entitlements</u>	<u>Action</u>
19	Active	\$288,376	Went back to Sponsor for use on eligible projects
<u>49</u>	<u>Expired</u>	<u>\$928,842</u>	ADO was not able to utilize
68		\$1,217,218	

- **FY15 Funds Recovery Challenge**
 - Close a minimum of 75% of open FY12 (40 Total) grants in order to recoup and reuse unused funds.



FY14 Program Accomplishments



Environmental Assessment Cases

Ongoing EA's (Oct 1, 2013)	24
EA's Completed	-10
<u>New EA's (FY14)</u>	<u>6</u>
FY15 Active Cases	20



FY14 Program Accomplishments



ALP & Master Plans

Ongoing (Oct 1, 2013)	51
Completed (FY 2014)	-8
<u>New Projects (Oct 1, 2014)</u>	<u>11</u>
FY15 Active Projects	54



FY14 Program Accomplishments



Status ALP & Master Plans

✓ ADO Needs to Finalize/Draft Comments	22
✓ Consultant/Sponsor Action	28
✓ Need to Resolve Issues	4
✓ Closed	8

- **FY15 ALP & MP Challenge**
 - Submit 50% of Open ALP/MP Projects



FY14 Program Accomplishments

Inactive Grants = 35

17 Inactive 9 - 12 mos

7 Planning & Environmental Projects

3 Close-outs are in the office

10 Inactive 12 - 15 mos

8 ALP's and Master Plan updates

3 Close-outs are in the office

8 Zero (\$0.0) Balance

4 Close Outs in the Office and under review

4 Three (3) of the 4 have been inactive for up to 2 years.



- **FY15 Challenge: Reduce Inactives by 50%**



FY14 Program Accomplishments



Risk Assessments				
	Total	Rec'vd	Reviewed	Missing
North Dakota	55	29	16	26
South Dakota	58	48	44	10
Total	113	77	60	36

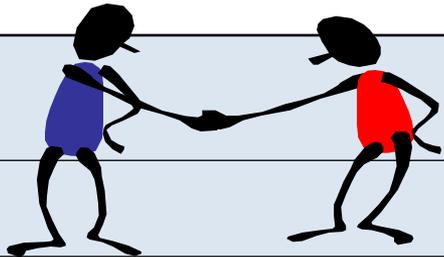
FY13 Requirements (33%)	37
FY14 Requirements (66%)	75
FY15 Requirements (100%)	113



- FY15 Goals: Receive and review remaining Assessments.**



FY14 Program Accomplishments



Customer Outreach

	Total	ND	SD	
CIP Meetings	10	6	4	Visited with 30+ Airport Sponsors
Misc Meetings (Construction, Planning, Board/Commission, Sponsor)	17	7	10	Site Visits; does not count sponsors visiting ADO offices.
Conferences	4	1	1	State Conferences, Semi-Annual Tribal Conference
Workshops	3			Environmental, Consultant & Sponsor, Inter-Agency
Total	34	14	15	

- FY15 Commitment: Continue to be present and available to Sponsors.**



FY14 Program Accomplishments

Initiative		FY 2013	FY 2014
	Close-Outs		
	ALP Reviews		
	Inactive Grants		
	Environmental Case Reviews		
	Airspace Reviews		
	Risk Management		
	CIP Meetings		
	e_Invoicing		
	AEP		
	Asset II Classification Effort		



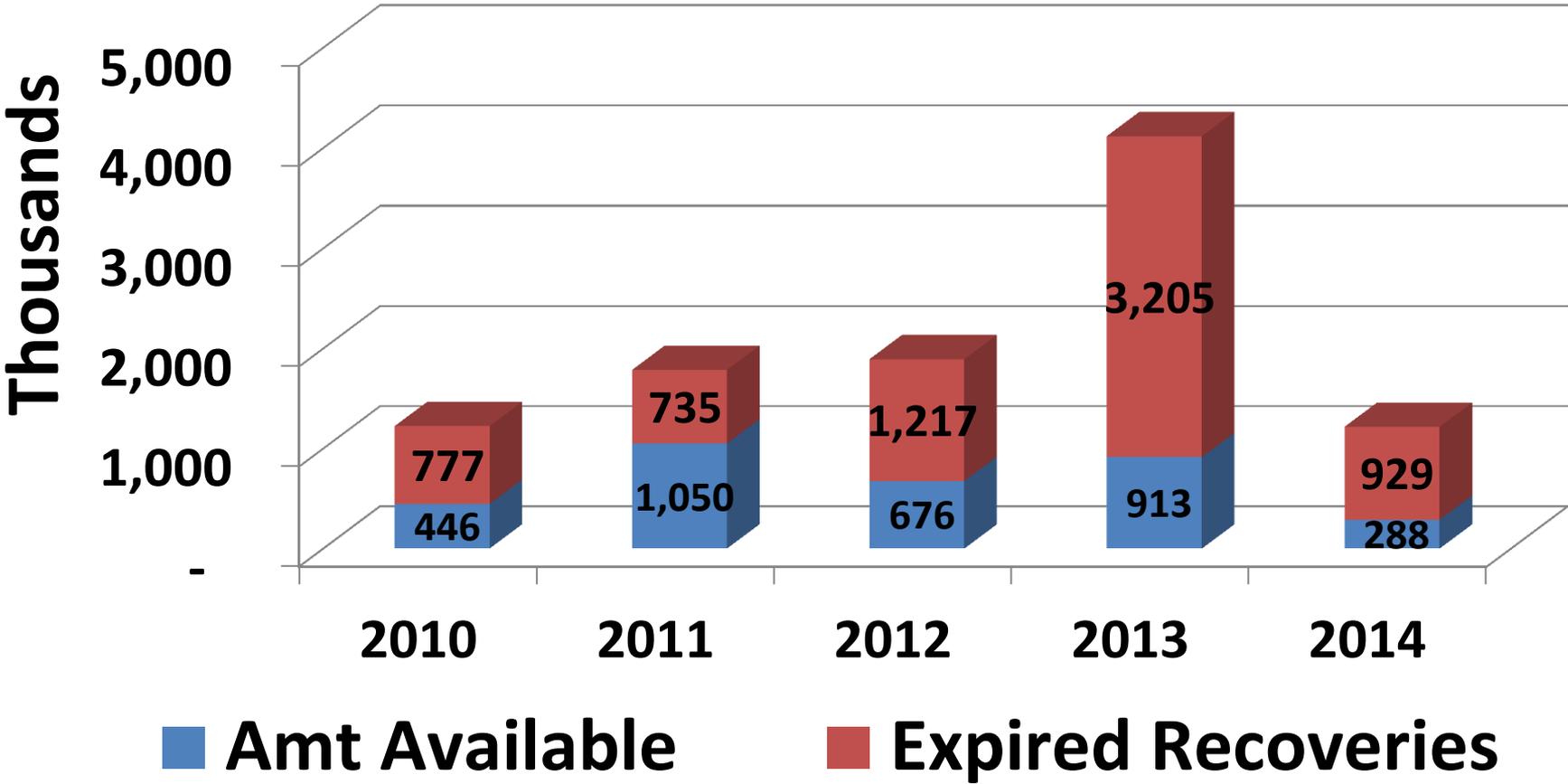
ND & SD Annual AIP

- **FY14 AIP**

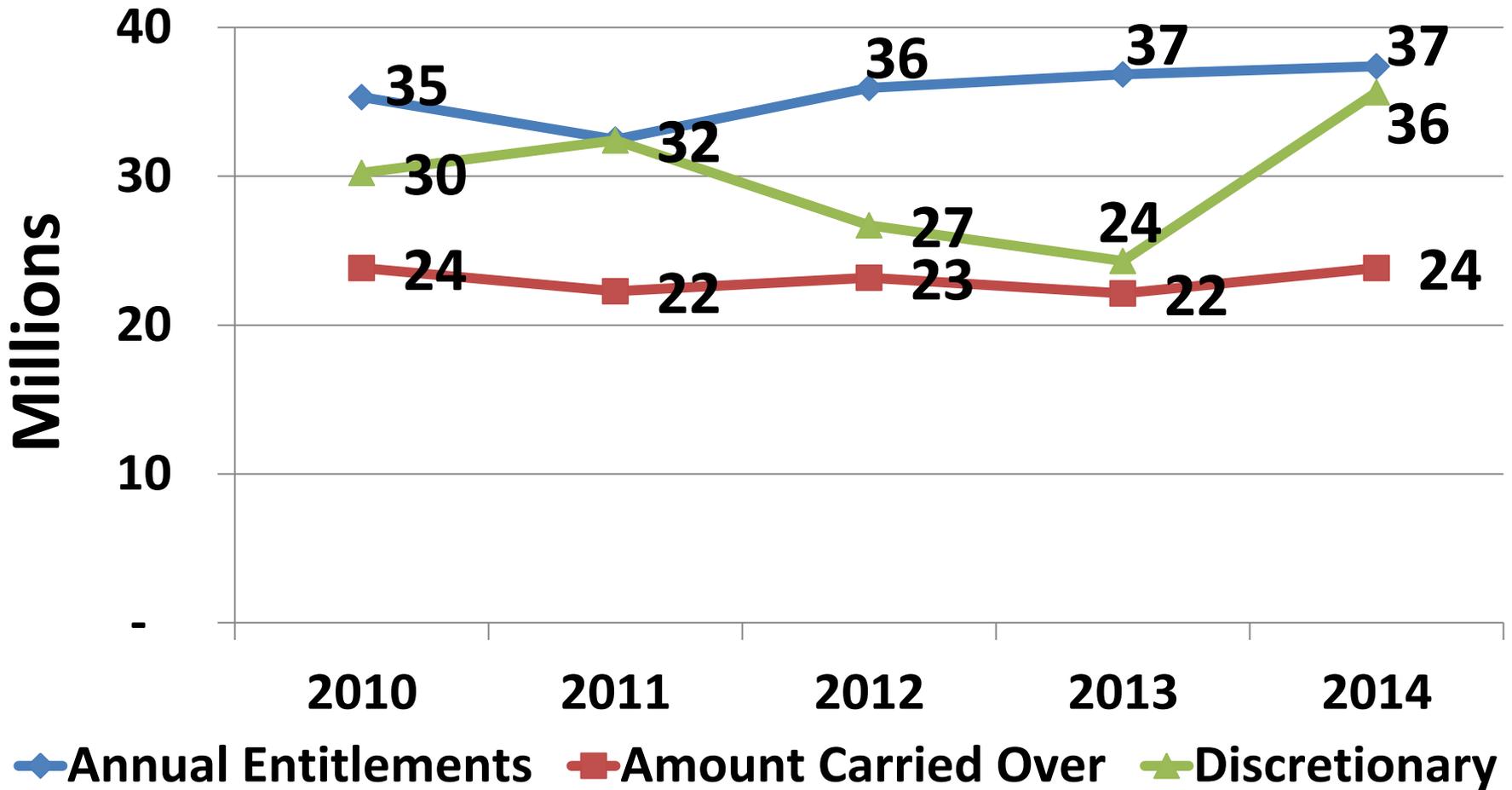
➤ Entitlements	\$37M
➤ <u>Prior Year Carry-Over</u>	<u>\$22M</u>
➤ Total Available for Grants (Rounding)	\$60M
➤ Carried Over	\$24M
➤ Discretionary	\$36M
➤ 74 Grants (includes MY):	\$68M



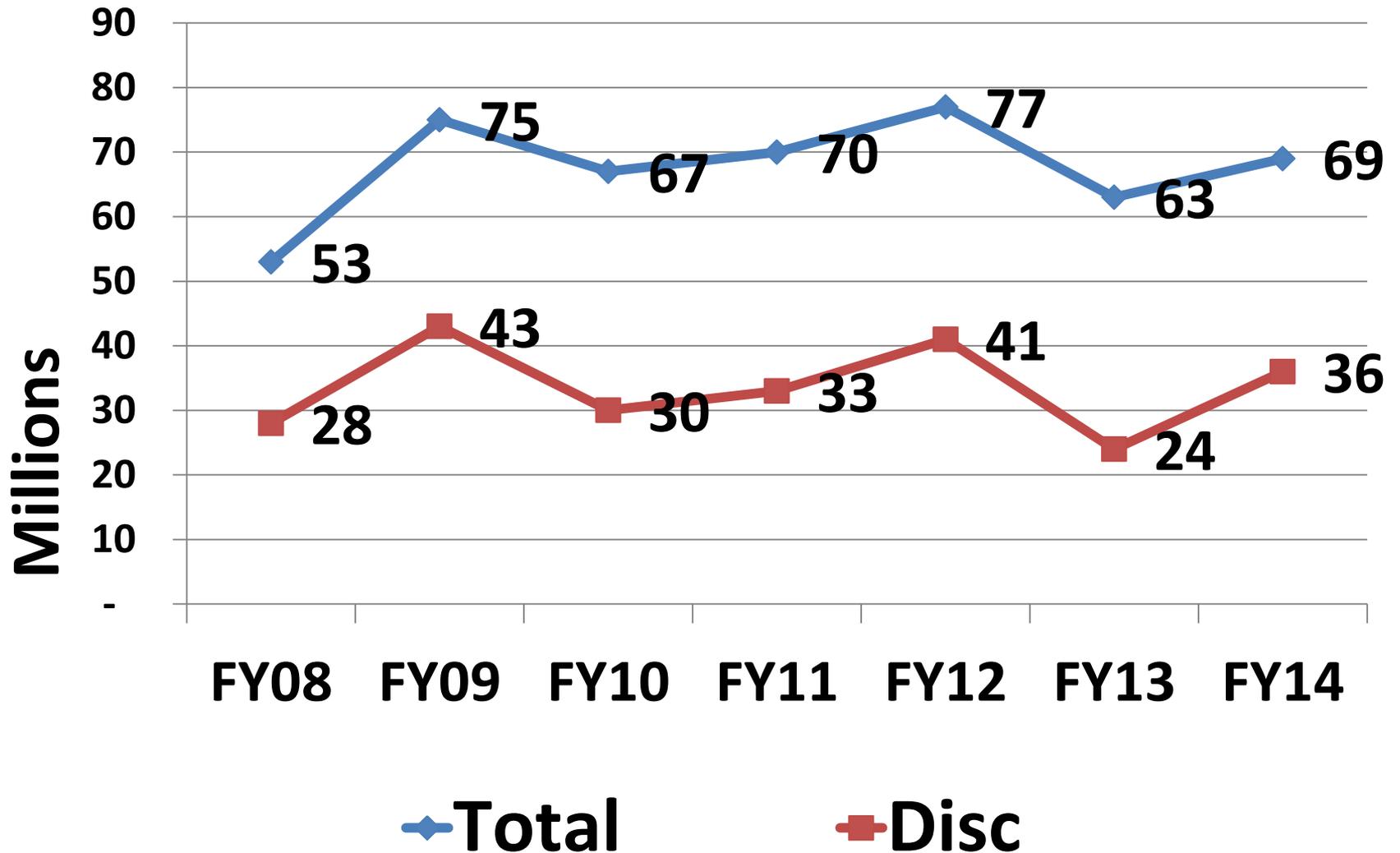
ND & SD Annual AIP Annual Recoveries



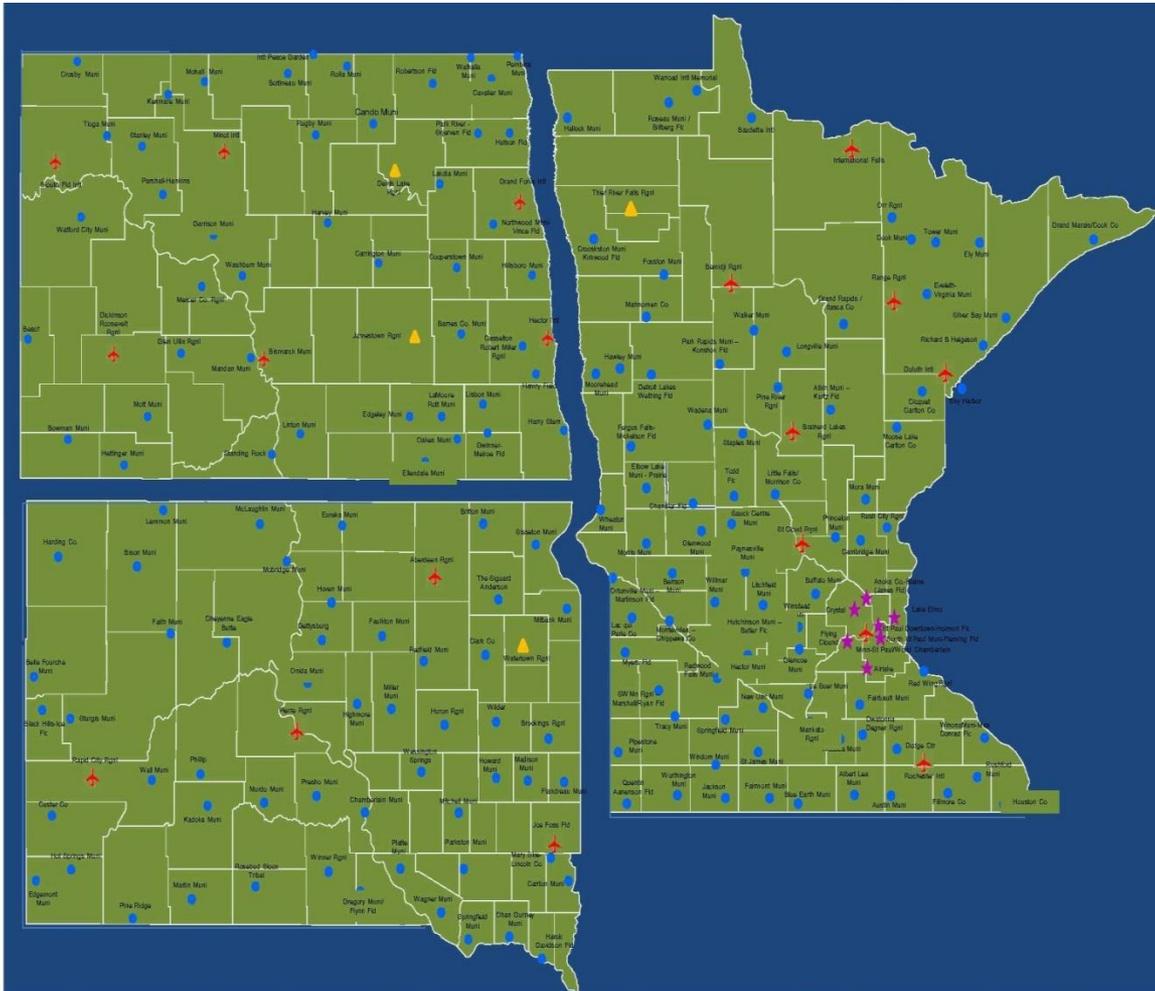
ND & SD Annual AIP



ND & SD Annual AIP



Dakota-Minnesota Airports District Office



Statistics	
Total Airports	
MN	95
ND/SD	113
NPIAS Role # of Airports	
Commercial Service	3
General Aviation	181
Primary	17
Reliever	7
Total	208
Hub Classification # of Airports	
Large (L)	1
Medium (M)	0
Small (S)	1
Non-Hub (N)	15
Total	17

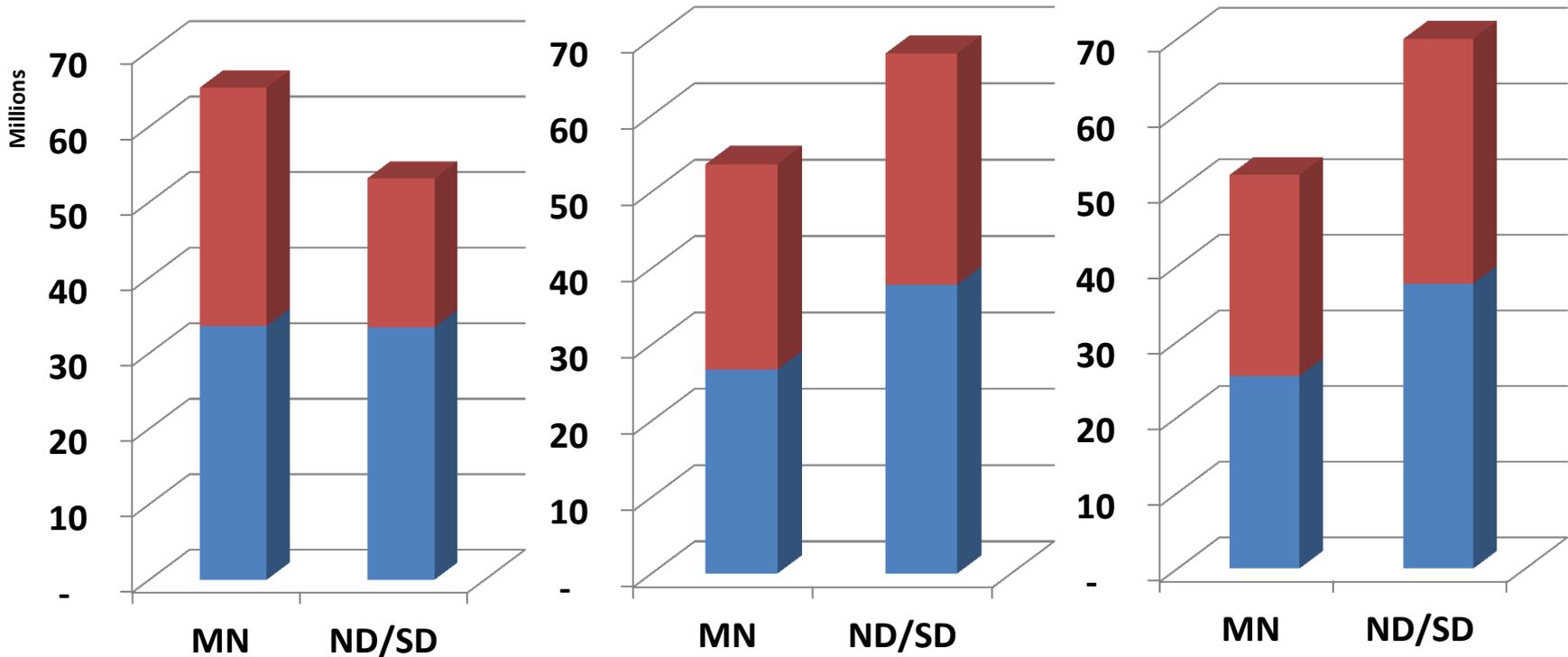


DMA Annual AIP

FY2009

FY2010

FY2011



■ Entitlement

■ Discretionary

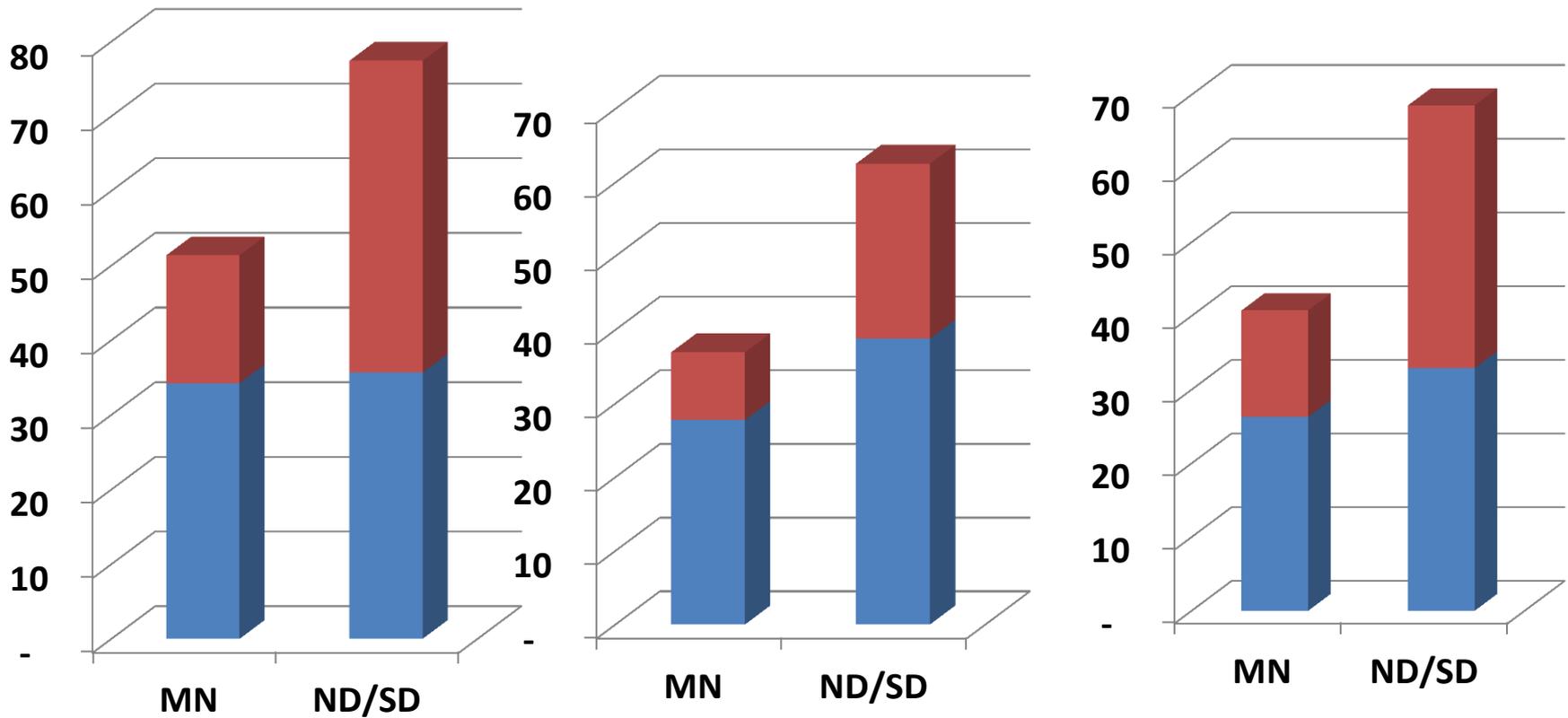


DMA Annual AIP

FY2012

FY2013

FY2014



■ Entitlement

■ Discretionary

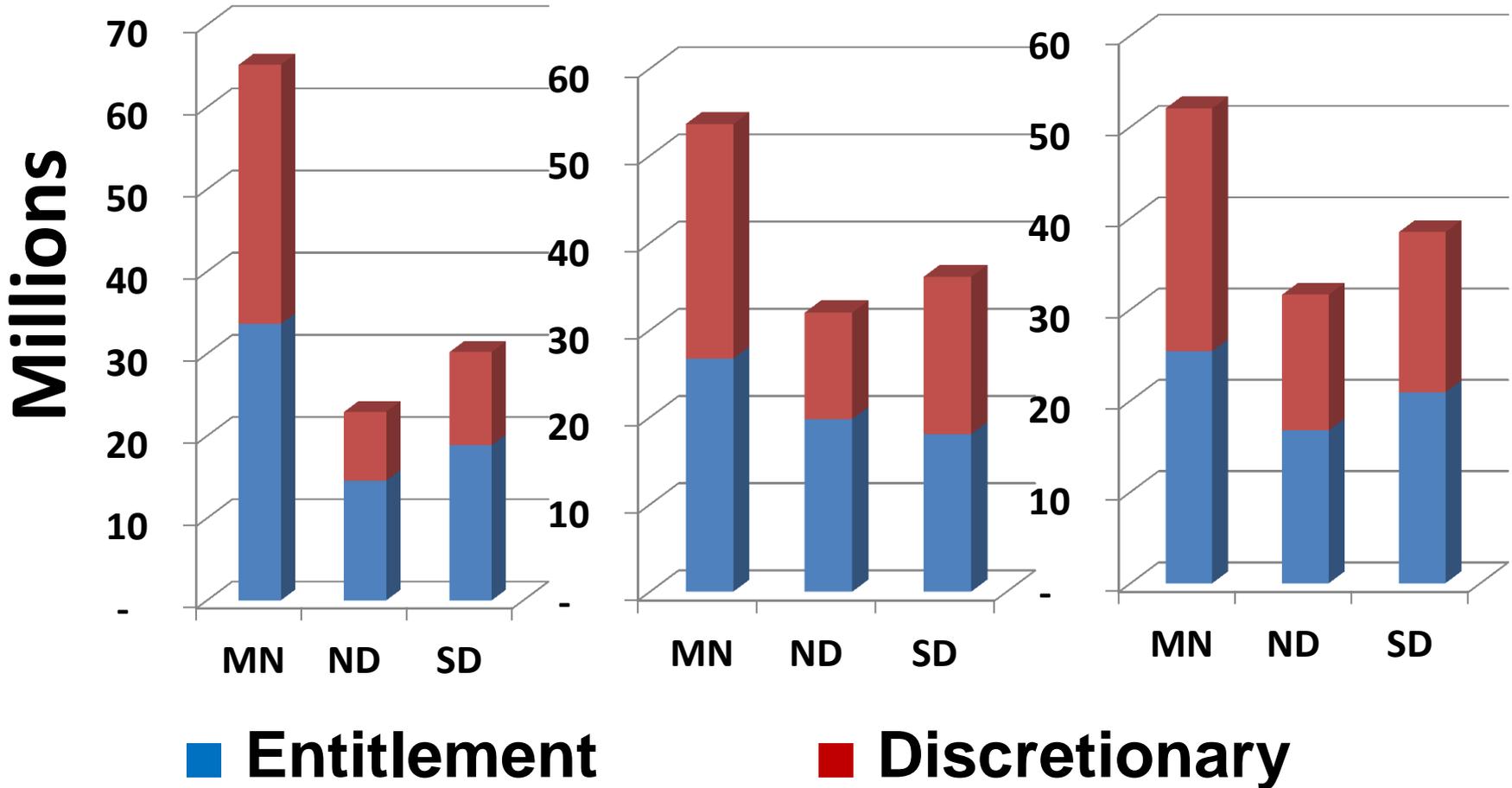


DMA Annual AIP

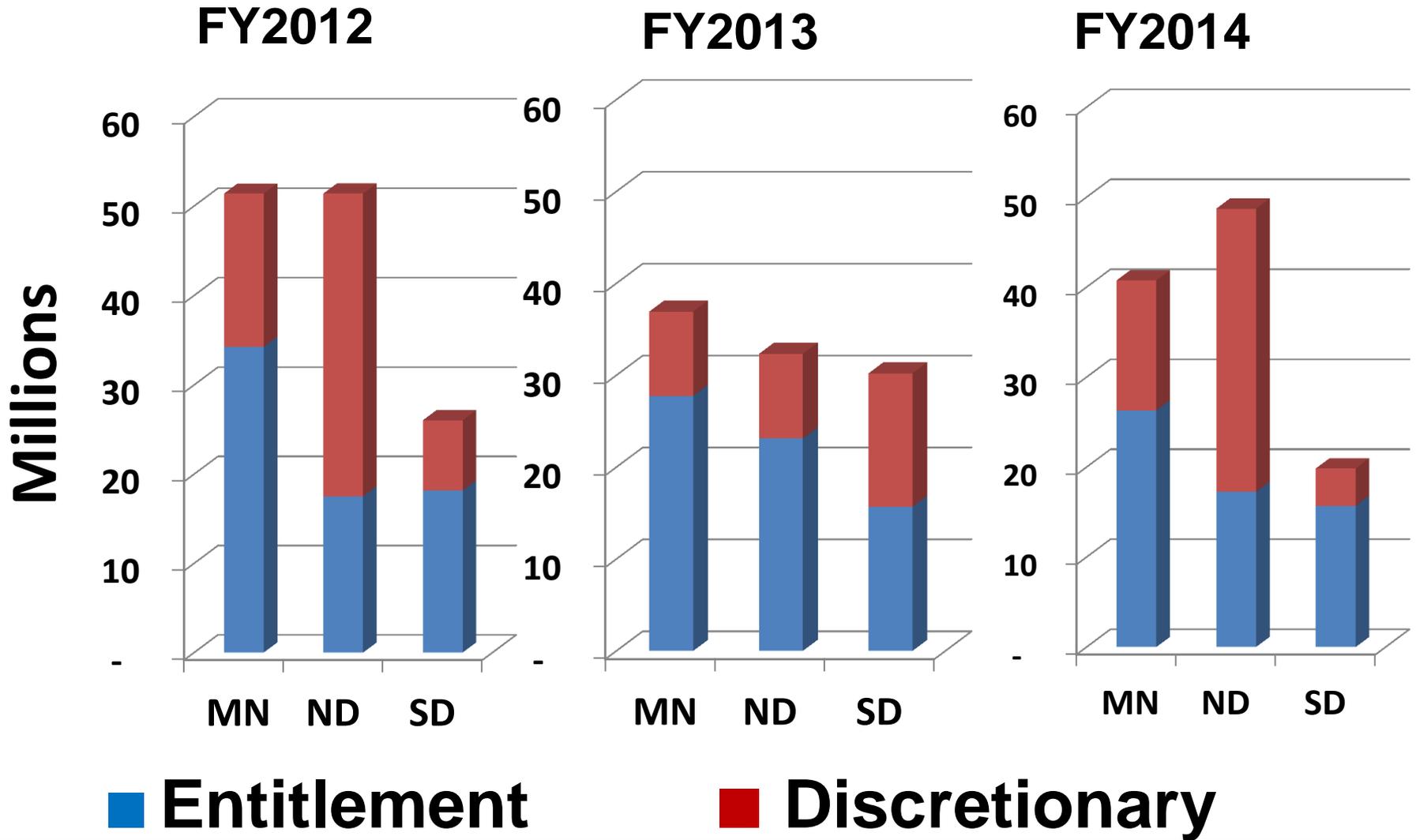
FY2009

FY2010

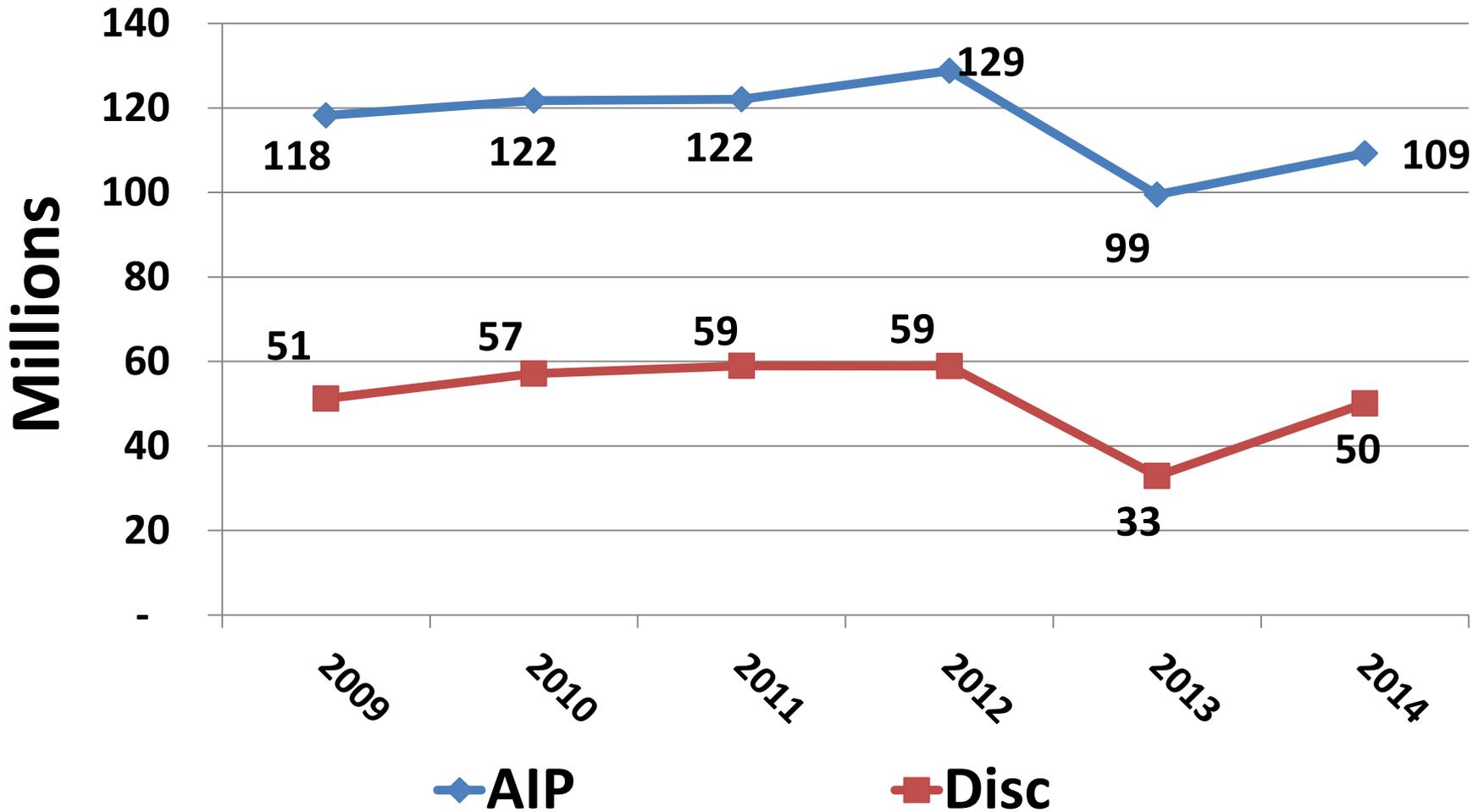
FY2011



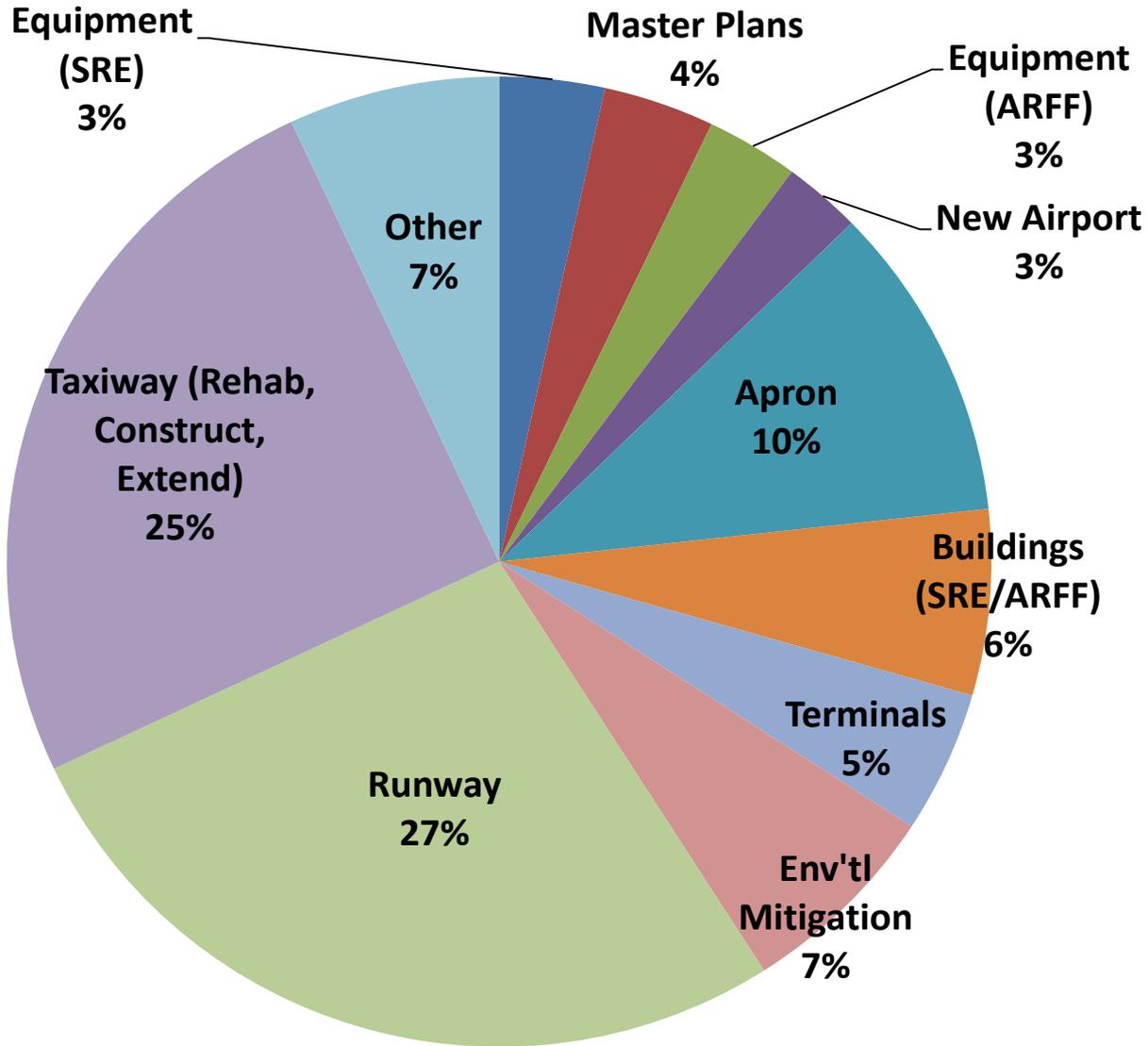
DMA Annual AIP



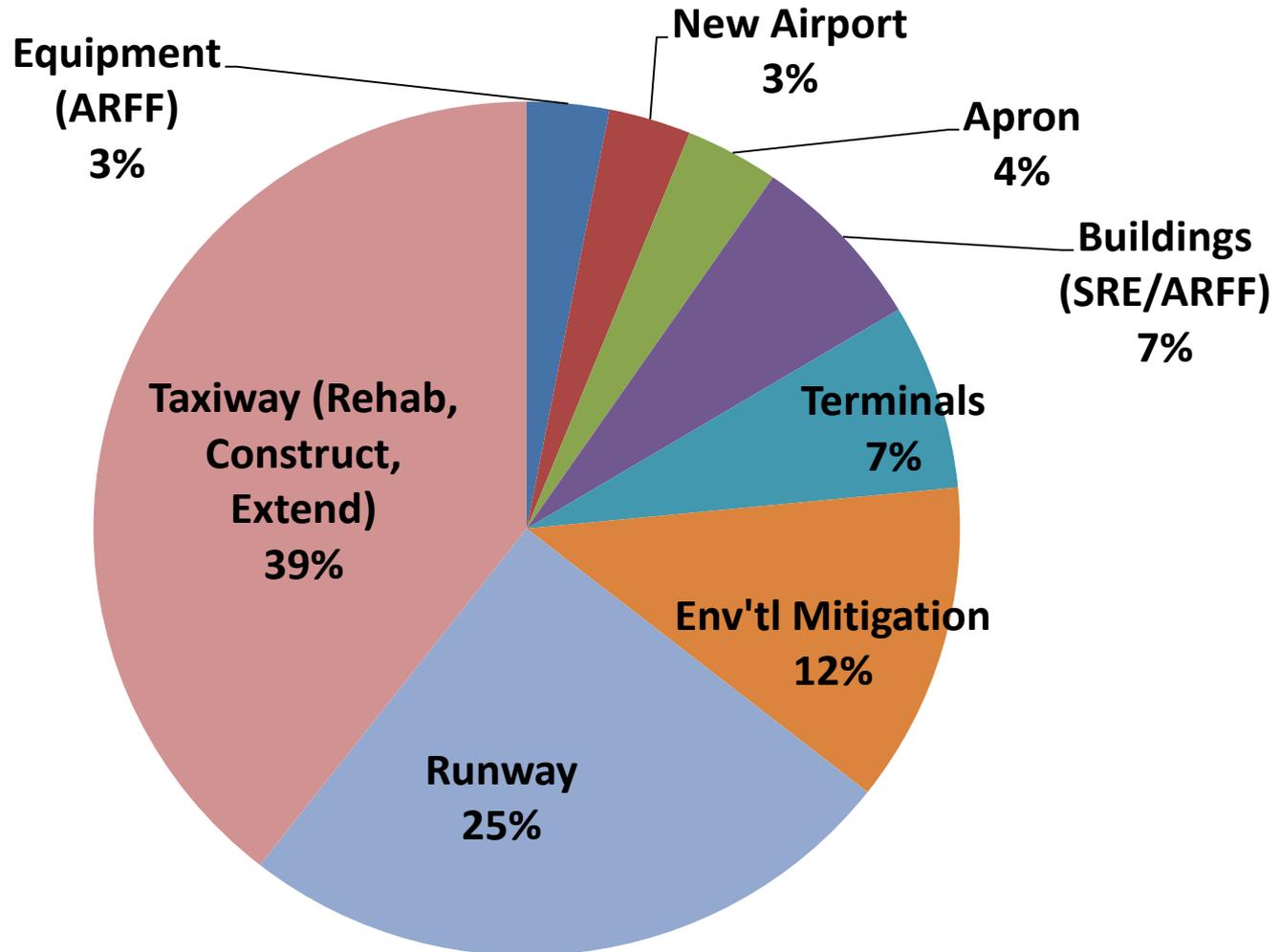
DMA Annual AIP



Distribution of AIP: MN, ND & SD



Distribution of Discretionary

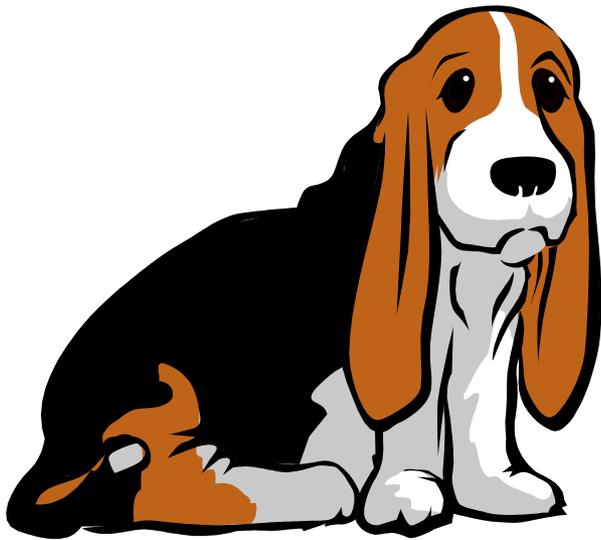


FY15 Outlook

- **FY15: Set to begin operations under a Continuing Resolution.**
- **Program Focus is shifting from RSA improvements to Complex Airport Geometry. RSA improvements will still carry a high national priority.**
- **Strategies to consider:**
 - Consider phasing projects
 - Having bids in hand
 - Submitting work scopes early for FAA review



- **Close-Outs – Close-Outs – Close-Outs**
- **Requirement** that all grants 4 years old and older be closed out.



"Old Dogs"

2009	16
2010	20
2011	26
2012	40
Total	102

- **Inactive Grants**

- Requirements: Grant reimbursement requests are being monitored for requests that exceed 1 year or more.
- Improper payments and inactive obligations have become a focus government wide, as well as within the FAA.
- On average, the ADO has had between 25 and 40+ grants listed on the national inactive list in the past year.



- **ALP and Master Plan Reviews!!!**
- **Inactive Grants**
- **Risk Assessments**
- **Quarterly Payment Reviews**
- **Close-Outs**



AIP Program Information Update

- ✓ Quarterly Performance Reports (FAA Form 5370-1) please provide adequate detail on the reports and submit them in a timely manner.
- ✓ E_Invoicing; concerns have been raised that it appears that the payment policy is not being followed.
- ✓ Change Order Requests.
- ✓ Pavement test results: we need complete test results included with project close-out documentation.

AIP Program Information Update

- ✓ Copy of 404 Permits need to be included with Grant Application
- ✓ Condensed EA no longer an option; Cat-Ex must follow Cat-Ex SOP.
- ✓ FAA Form 425 must be submitted annually and with project close-out.
- ✓ Life Cycle Cost Analysis.

Questions ?

