

## **APPENDIX A**

### **METHODOLOGY FOR THE 2006 GENERAL AVIATION AND PART 135 ACTIVITY (GAP135A) SURVEY**

#### **Purpose of Survey**

The purpose of the GAP135A Survey is to provide the Federal Aviation Administration (FAA) with information on general aviation and on-demand Part 135 aircraft activity. The information obtained from the survey enables the FAA to monitor the general aviation fleet so that it can, among other activities, anticipate and meet demand for National Airspace System (NAS) facilities and services, assess the impact of regulatory changes on the fleet, and implement measures to assure the safe operation of all aircraft in the NAS. The data collected are also used by other government agencies, the general aviation industry, trade associations, and private businesses to pinpoint safety problems and to form the basis for critical research and analysis of general aviation issues.

#### **Background and History**

Prior to the first implementation of the annual GAP135A Survey in 1978, the FAA used the Aircraft Registration Eligibility, Identification, and Activity Report (AC Form 8050-73) to collect data on general aviation activity. The form was sent annually to all owners of civil aircraft in the United States and served two purposes: (1) Part 1 was the mandatory aircraft registration revalidation form, and (2) Part 2 was voluntary and applied to general aviation aircraft only, asking questions on the owner-discretionary characteristics of the aircraft such as flight hours, avionics equipment, base location, and use. The FAA used this information to estimate aircraft activity.

In 1978, the FAA replaced AC Form 8050-73 with a new system: Part 1 was replaced by a triennial registration program. In January 1978, the FAA implemented a new procedure, known as triennial revalidation, for maintaining its master file. Instead of requiring all aircraft owners to revalidate and update their aircraft registration annually, the FAA only required revalidation for those aircraft owners who had not contacted the FAA Registry for three years. This less frequent updating of the master file affected its accuracy and representativeness:

1. The accuracy of current owners and their addresses deteriorated.
2. The master file combined a residue of aircraft, which under the old revalidation system would have been reregistered and purged from the file, but now remain under the new system.

Part 2 of AC Form 8050-73 was replaced by the General Aviation Activity (GAA) Survey. Conducted annually, the survey is based on a statistically selected sample of aircraft, and it requests the same type of information as Part 2 of AC Form 8050-73. The first GAA Survey took place in 1978, collecting data on the 1977 general aviation fleet.

In 1993, the name of the GAA Survey was changed to the General Aviation and Air Taxi Activity (GAATA) Survey to reflect that the survey includes air taxi (that is, on-demand Part 135) aircraft. Starting in 1999, the avionics section, which was previously included only every other year, was

Appendix A: Methodology for the 2006 General Aviation  
and Part 135 Activity (GAP135A) Survey

asked every year. As a result, the survey's name was changed to the General Aviation and Air Taxi Activity and Avionics (GAATAA) Survey. In 2006, "Part 135" replaced the term "Air Taxi" in the survey title and the word "Avionics" was removed (avionics data are still collected annually, however). The 2006 survey was conducted as the General Aviation and Part 135 Activity (GAP135A) Survey.

The GAP135A Survey has undergone periodic revisions to content, implementation, and definition of the GA population in order to remain current with regulations, activity patterns, and general aviation community. The table below summarizes changes in survey content.

<b>Year</b>	<b>Description of change to survey content</b>
1993	Added sightseeing and external load to use categories
1996	Added public use (i.e., flights for the purpose of fulfilling a government function) to use categories
1999	Significant re-design of the entire survey form to reduce item non-response, add new content, and be compatible with optical scanning Added air medical services to use categories Discontinued the use of a catch-all 'other' category as used in previous years Began collecting avionics data every year, rather than every other year
2000	Public use asked as a separate question, independent of other use categories (e.g., personal/recreation, business transportation), because it was not mutually exclusive with respect to other flight activity
2002	Use categories refined to be mutually exclusive and exhaustive and match definitions used by NTSB for accident reporting
2004	Air medical services was divided into two separate types to capture air medical flights under Part 135 and air medical flights not covered by Part 135 A more clearly defined 'other' category was reintroduced
2005	Fractional ownership question was changed from yes/no to a percentage Reduced the number of fuel type response categories by removing obsolete options Added question asking for average fuel consumption (in gallons per hour) Revised avionics page by adding and rearranging items

Appendix A: Methodology for the 2006 General Aviation  
and Part 135 Activity (GAP135A) Survey

The table below summarizes changes in survey implementation.

<b>Year</b>	<b>Description of change to survey implementation</b>
1999	Non-respondent telephone survey conducted to adjust active aircraft and hours flown estimates <sup>1</sup>
2000	Discontinued non-respondent telephone survey because of the variability of telephone non-respondent factors Added Internet response option
2003	Added a reminder/thank-you postcard between the first and second mailings
2004	Introduced “multiple aircraft” summary form to allow owners/operators of multiple aircraft to report aggregate data for their entire fleet on a single form Phone calls placed by PA and aviation associations to encourage participation by large fleet operators

The table below summarizes changes to the definition of the general aviation population and sample design.

<b>Year</b>	<b>Description of change to definition of the GA population and sample design</b>
1993	Number of aircraft types classified by the sample was expanded from 13 to 19
1999	Sample design revised to stratify by aircraft type (19 categories) and FAA region (9 categories) <sup>2</sup>
2003	Aircraft with known incorrect addresses and identified as “Postmaster Return” status on the Registry were retained in the definition of the survey population and were eligible for selection into the survey sample
2004	Aircraft reported as “registration pending” or sold (if sold status less than 5 years) on the Registry were retained in the definition of the survey population and were eligible for selection into the survey sample Sample design revised to stratify by aircraft type (19 categories), FAA region (9 categories), and whether or not the aircraft is owned by an entity certified to fly Part 135 (2 categories) Introduced 100% sample of the following groups: turbine aircraft, rotorcraft, on-demand Part 135 aircraft, and Alaska-based aircraft
2005	Sample design and reporting revised by introducing Light-sport aircraft as a 20th aircraft type sampled at 100%
2006	Sample design simplified by reducing the number of aircraft types to 14 (removed distinctions based on number of seats and eliminated “Other” subcategories of Piston, Turboprop, and Turbojet aircraft) <sup>3</sup> Sample design included 100% sample of aircraft manufactured in the past five years

<sup>1</sup> Telephone surveys of non-respondents also were conducted in 1977, 1978, 1979, 1997, and 1998. Please refer to the 1999 GAP135A Survey report for a full discussion of the telephone survey of non-respondents.

<sup>2</sup> Before 1999, the sample was stratified by aircraft type (19 categories) and state/territory (54 categories).

<sup>3</sup> Published estimates continue to distinguish 17 aircraft categories by engine type, number of engines, and number of seats.

## Appendix A: Methodology for the 2006 General Aviation and Part 135 Activity (GAP135A) Survey

The 2006 statistics in this report were derived from the twenty-ninth GAP135A Survey, which was implemented in 2007.

### Improvements to the 2006 Survey

As part of ongoing efforts to improve the survey data, five key changes were made to the 2006 survey:

1. The field period opened on March 9, 2006, the earliest date since the large-scale survey re-design in 1999.
2. The sample design included 100% sample of aircraft manufactured in the past five years.
3. The sample design was simplified by reducing the number of aircraft types to 14. Distinctions among aircraft based on the number of seats were removed from the design (but retained in reporting) and “other” subcategories for Piston, Turboprop, and Turbojet aircraft were eliminated.
4. Following on the elimination of “other” subcategories among Piston, Turboprop, and Turbojet aircraft in the sample, these distinctions were also removed from the preparation of statistical estimates and published report. The relatively small number of aircraft that would have been reported in these categories were reassigned to the most frequently occurring aircraft type within the same major group at the time of sample preparation.
5. Follow-up phone calls to large fleet operators were begun earlier in the field period, thus allowing more opportunities to locate respondents and encourage their participation.

Each of these improvements is discussed in further detail in subsequent sections of this Appendix.

### Survey Population and Survey Sample

The survey population for the 2006 General Aviation and Part 135 Activity Survey includes all civil aircraft registered with the FAA that are based in the U.S. or U.S. territories and that were in existence and potentially active between January 1 and December 31, 2006.<sup>4</sup> This includes aircraft operating under:

- Part 91: General operating and flight rules.
- Part 125: Certification and operations: Airplanes having a seating capacity of 20 or more passengers or a maximum payload capacity of 6,000 pounds or more (but not for hire).
- Part 133: Rotorcraft external load operations.

---

<sup>4</sup> According to the FAA Aircraft Registration Master File—the sample frame for the survey (discussed below)—over 99 percent of the aircraft in the 2006 survey population were registered to owners in the 50 states, the District of Columbia, Puerto Rico or other U.S. territories, such as American Samoa, Guam, and the Virgin Islands.

## Appendix A: Methodology for the 2006 General Aviation and Part 135 Activity (GAP135A) Survey

- Part 135: On-demand (air taxi) and commuter operations not covered by Part 121.
- Part 137: Agricultural aircraft operations.

Aircraft operating under Part 121 as defined in Part 119 are excluded from the survey population. Foreign air carriers, which operate under Part 129, are also not part of the survey population. Civil aircraft that are known not to be potentially active during the survey year are also excluded from the population—i.e., aircraft displayed in museums, aircraft destroyed prior to January 1, 2006.

The Aircraft Registration Master File, maintained by the FAA's Mike Monroney Aeronautical Center in Oklahoma City, serves as the sample frame or list of cases from which a sample of civil aircraft is selected. The Registration Master File ("Registry") is the official record of registered civil aircraft in the United States. For the purpose of defining the 2006 survey population, we used the Registry's list of aircraft as of December 31, 2006.

The Registry, like all sample frames, is an imperfect representation of the survey population. While it may exclude a very small number of aircraft that operate under the FAA regulations governing the operation of general aviation and on-demand Part 135 aircraft, it also includes aircraft that are not part of the survey population. Prior to sample selection, several steps are taken to remove ineligible aircraft from the sample frame. Specifically, this includes removing the following:

- Aircraft missing key identifiers that are necessary for classification or merging with other data sources (e.g., N-number, serial number, make/model information)
- Aircraft whose registration has been cancelled or revoked
- Aircraft based in Europe or registered to a foreign company that have not returned flight hour reports
- Aircraft that operate under Part 121
- Aircraft destroyed or moved to museums prior to January 1, 2006
- Aircraft reported sold before 2001 (5 years prior to survey year)<sup>5</sup>
- Aircraft that are flagged Postmaster Return (known to have incorrect address information) since before 1996 (10 years prior to survey year)
- Aircraft that are missing information on the registrant's name (i.e., the field is blank) (Aircraft for which the registrant is listed as "Pending" are retained in the survey population<sup>6</sup>)
- Aircraft that lack information necessary to execute the sample design (i.e., aircraft type, FAA region)

---

<sup>5</sup> Prior to 2004, aircraft were excluded if reported sold more than 1 year prior to the survey year.

<sup>6</sup> Prior to 2004, aircraft with "Registration Pending" were excluded from the population.

## Appendix A: Methodology for the 2006 General Aviation and Part 135 Activity (GAP135A) Survey

The Registry included 363,977 aircraft as of December 31, 2006. This represents an increase of 1.2 percent over the Registry file from 2005 (359,516 records). After excluding the aircraft described above, 302,832 records remain (83.2 percent of the original Registry as of December 31, 2006). The 2006 survey population of 302,832 represents an increase of 1.3 percent from 2005 (298,901).

### The 2006 GAP135A Survey Sample

The 2006 survey sample design differs in two ways from the 2005 sample design: (1) we introduced another 100 percent sample, and (2) we modified the definition of the aircraft type sample stratum. The survey sample has been stratified by aircraft type and the FAA region in which the aircraft is registered since 1999. In 2004, we introduced a third stratification variable—whether aircraft are operated under a Part 135 certificate—and sampled several strata at 100 percent. In 2006, we introduced a fourth stratification variable: whether an aircraft was manufactured in the past 5 years.

The 2006 survey sample is stratified by aircraft type (14), FAA region in which the aircraft is registered (9), whether the aircraft operates under a Part 135 certificate (2), and whether the aircraft was manufactured in the past 5 years (2). Aircraft operated under a Part 135 certificate were identified using the FAA's Operations Specifications Subsystem (OPSS) database that was merged with the Registry by N-number. The four stratifying variables yield a matrix of 504 cells.

### *Changes to the Definition of Aircraft Type*

The 2006 sample design was simplified by reducing the number of aircraft types from 20 to 14. The simplification reflects two separate steps. First, in discussion with the FAA, it was agreed that three residual "other" categories are relatively small and unable to support reliable statistical estimates. Aircraft in these categories were reassigned to the modal category in the corresponding larger group. Specifically, the following groups were combined:

- Fixed Wing Piston-Other aircraft were combined with Fixed Wing Piston-1 engine, 4 or more seats
- Fixed Wing Turboprop-Other aircraft were combined with Fixed Wing Turboprop-2 engines, 1-12 seats
- Fixed Wing Turbojet-Other aircraft were combined with Fixed Wing Turbojet-2 engines

The "Other" aircraft listed above will not appear separately in annual report of statistical estimates. Instead, the number, activity, and characteristics of the "other" aircraft will be combined with the modal category of the larger group as described. ***This change affects the sample design and the statistical reporting.***

Second, for the purposes of sampling only, the 2006 survey differentiated Fixed Wing aircraft by the number of engines and not the number of seats within engine category. Specifically, the Fixed Wing aircraft were combined as follows:

- Fixed Wing Piston—1 engine; formerly two categories of 1-3 seats and 4 or more seats

Appendix A: Methodology for the 2006 General Aviation  
and Part 135 Activity (GAP135A) Survey

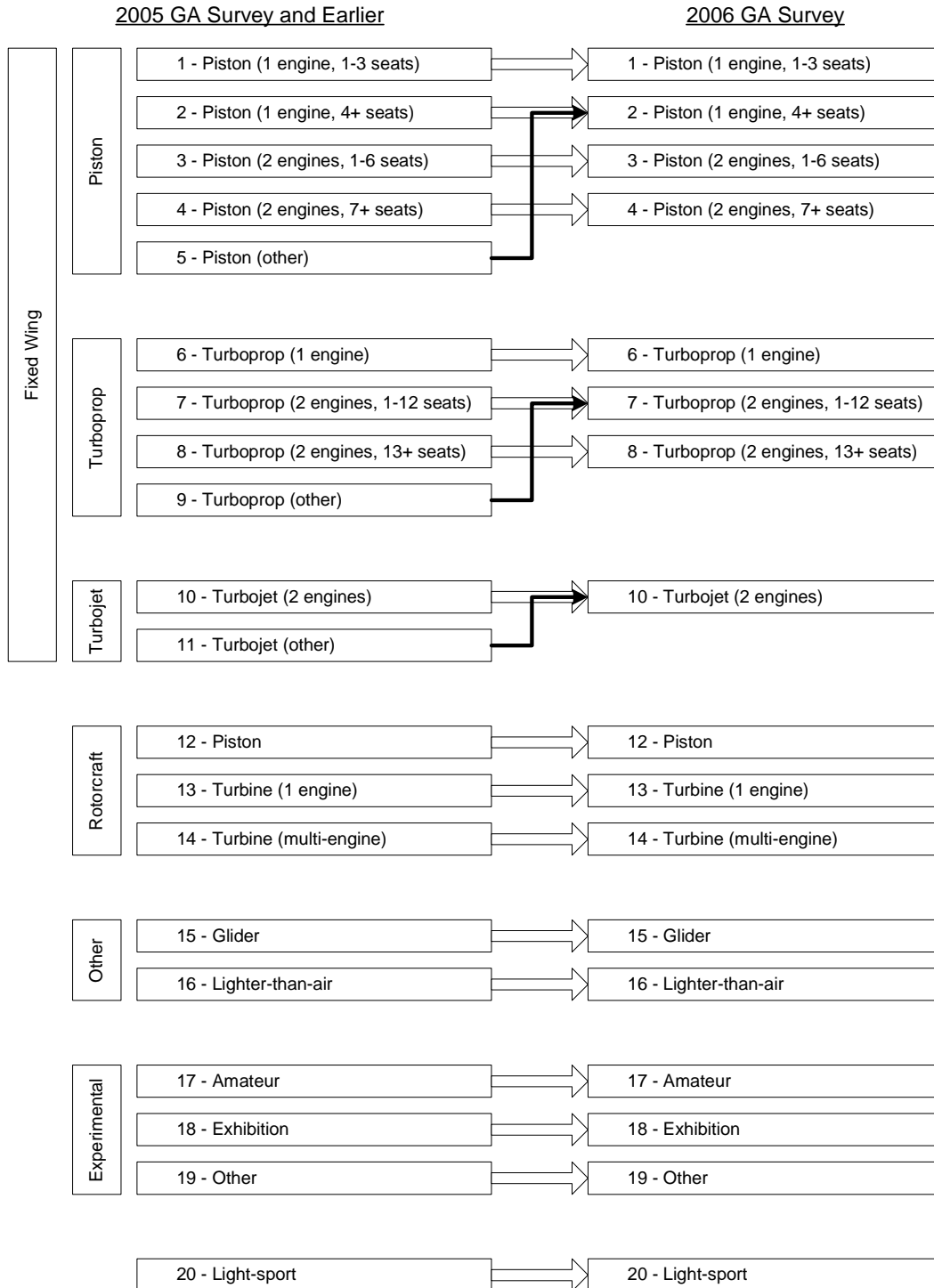
- Fixed Wing Piston—2 engines; formerly two categories of 1-6 seats and 7 or more seats
- Fixed Wing Turboprop—2 engines; formerly two categories of 1-12 seats and 13 or more seats

***This change affects only the sample design.*** Statistical estimates will continue to be reported for four categories of Fixed Wing Piston aircraft and two categories of Fixed Wing Turboprop aircraft (i.e., separately by number of engines and number of seats).

Charts A.1 and A.2 summarize these changes in sample design and reporting. Chart A.1 shows how the “other” aircraft types were combined with categories and enumerates the 17 aircraft types that will be reported in 2006. Chart A.2 shows the additional simplification into 14 aircraft types that affects only the sample design.

Appendix A: Methodology for the 2006 General Aviation  
and Part 135 Activity (GAP135A) Survey

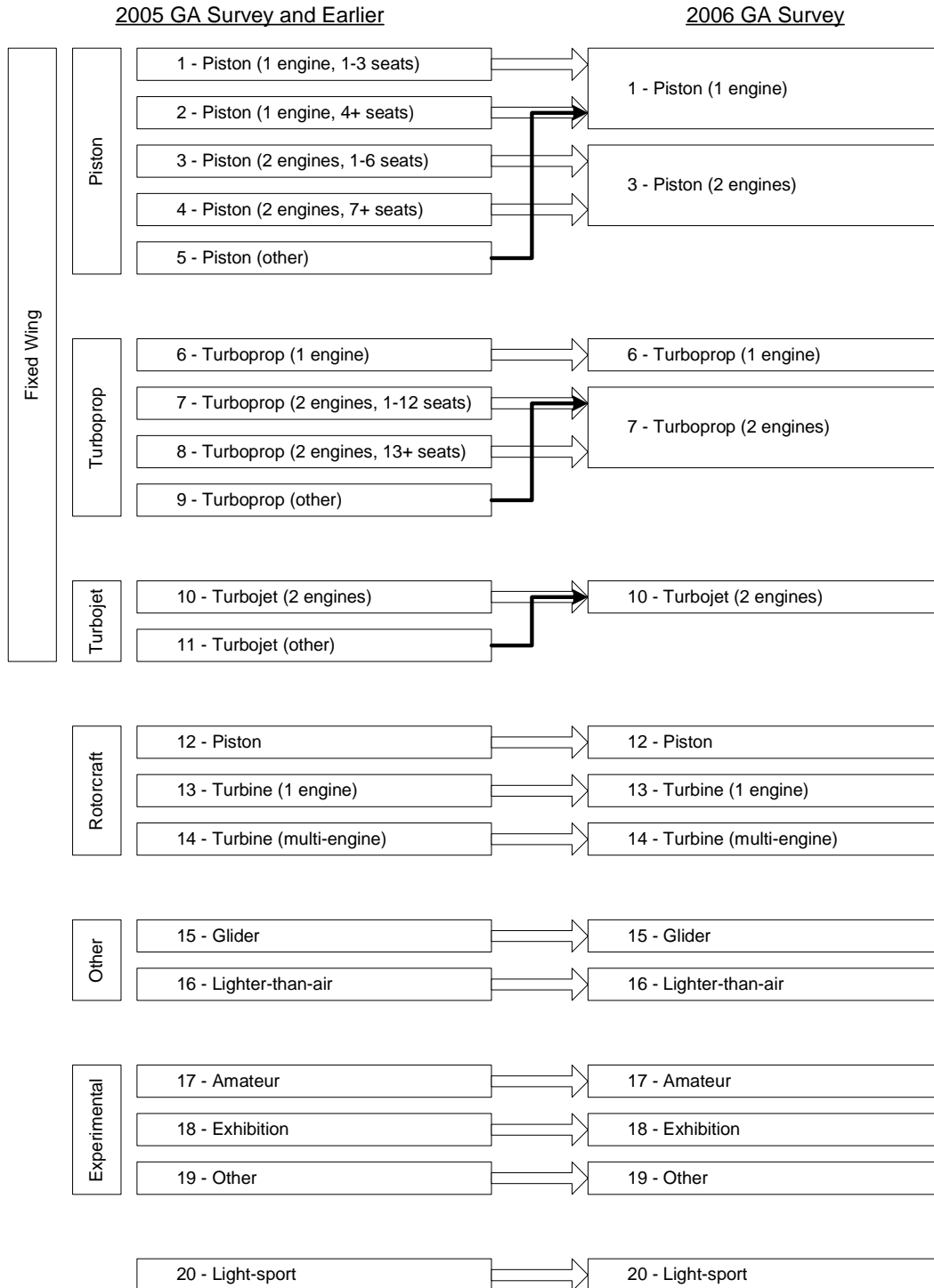
**Chart A.1**  
**Comtype Categories Used for Reporting**





Appendix A: Methodology for the 2006 General Aviation  
and Part 135 Activity (GAP135A) Survey

**Chart A.2**  
**Comtype Categories Used for Sample Design**



## Appendix A: Methodology for the 2006 General Aviation and Part 135 Activity (GAP135A) Survey

### *100 Percent Samples*

The 2006 survey sample included several types of aircraft that were sampled at a rate of 1.0 (i.e., 100 percent sample). Because of the FAA's interest in better understanding the operation of these aircraft, all such aircraft listed in the Registry were included in the survey sample to ensure a sufficient number of survey completes to support analysis and provide more precise estimates of fleet size and aircraft activity. These include:

- 100 percent sample of turbine aircraft (turboprops and turbojets)
- 100 percent sample of rotorcraft
- 100 percent sample of aircraft operating on-demand Part 135 (or, informally "Air Taxi" aircraft)
- 100 percent sample of aircraft based in Alaska<sup>7</sup>
- 100 percent of Light-sport aircraft
- 100 percent sample of aircraft manufactured within the past 5 years (since 2002 inclusive)

Since 2004, the survey design has included the first four 100 percent samples listed above. In 2005, we added the 100 percent sample of Light-sport aircraft. In 2006, we added the 100 percent sample of recently manufactured aircraft. Altogether, these aircraft contributed 58,992 observations to the 2006 survey sample. Other aircraft that are not part of a 100 percent sample are subject to selection based on sampling fractions defined for each cell in the sample design matrix. Average annual flight hours is the primary measure needed by the FAA to address survey goals. Sample fractions for each sample strata are defined to optimize sample size to obtain a desired level of precision for an estimate of flight activity. Data from the previous survey year on average hours flown, variability in hours flown by region and aircraft type, and response rates are used to set precision levels and identify the optimal sample size for each strata. Aircraft are randomly selected from each cell in the matrix, subject to the desired sample size. Strata that yield a very small sample size are examined and adjusted to include all observations in the strata if necessary. In 2006, an additional 25,494 aircraft were sampled at a rate of less than 1.0, yielding a total survey sample of 84,486.

---

<sup>7</sup> Alaska-based aircraft are identified by the state listed in the Registry file, not survey data on where the aircraft is operated.

Appendix A: Methodology for the 2006 General Aviation  
and Part 135 Activity (GAP135A) Survey

**Table A.1: Survey Sample and Population Figures by Aircraft Type**

<b>Aircraft Type</b>	<b>Population</b>	<b>Sample Size</b>	<b>Sample as Percent of Population</b>
<b>Fixed Wing - Piston</b>	<b>217,530</b>	<b>31,525</b>	<b>14.5</b>
1 engine, 1-3 seats	64,441	7,184	11.1
1 engine, 4+ seats	129,674	17,107	13.2
2 engines, 1-6 seats	15,656	4,407	28.1
2 engines, 7+ seats	7,759	2,827	36.4
<b>Fixed Wing - Turboprop</b>	<b>8,728</b>	<b>8,728</b>	<b>100.0</b>
1 engine	2,770	2,770	100.0
2 engines, 1-12 seats	4,926	4,926	100.0
2 engines, 13+ seats	1,032	1,032	100.0
<b>Fixed Wing - Turbojet</b>	<b>11,155</b>	<b>11,155</b>	<b>100.0</b>
2 engines	11,155	11,155	100.0
<b>Rotorcraft</b>	<b>12,157</b>	<b>12,157</b>	<b>100.0</b>
Piston	4,922	4,922	100.0
Turbine: 1 engine	5,820	5,820	100.0
Turbine: Multi-engine	1,415	1,415	100.0
<b>Other Aircraft</b>	<b>10,351</b>	<b>6,023</b>	<b>58.2</b>
Glider	3,202	2,354	73.5
Lighter-than-air	7,149	3,669	51.3
<b>Experimental</b>	<b>41,373</b>	<b>13,360</b>	<b>32.3</b>
Amateur	35,826	9,240	25.8
Exhibition	3,189	1,811	56.8
Experimental: Other	2,358	2,309	97.9
<b>Light-sport</b>	<b>1,538</b>	<b>1,538</b>	<b>100.0</b>
<b>Total</b>	<b>302,832</b>	<b>84,486</b>	<b>27.9</b>

Weighting the Survey Data

Data from completed surveys are weighted to reflect population characteristics. The weights reflect the proportion of aircraft sampled from the population in each sample strata and differential response as well as a small adjustment for aircraft that are not part of the survey population.

## Appendix A: Methodology for the 2006 General Aviation and Part 135 Activity (GAP135A) Survey

Initially, each aircraft for which we receive a completed survey is given a weight that reflects sampling fraction and differential response. That is:

$$\text{WEIGHT} = (\text{Population } N_{ijkl} / \text{Sample } N_{ijkl}) * (N \text{ Respondents}_{ijkl} / \text{Sample } N_{ijkl})$$

where i, j, k, and l represent the four sample strata of aircraft type, FAA region, Part 135 status, and whether an aircraft was manufactured in the past 5 years.

The weight is subsequently adjusted to reflect new information about non-general aviation aircraft. That is, survey responses that identify an aircraft as not being part of the survey population—destroyed prior to January 1, 2006; displayed in a museum; operated primarily as an air carrier under Part 121 or 129; or a military aircraft—are used to remove aircraft proportionally from the sample and from the population. This adjustment is done at the level of the 14 aircraft types. The procedure assumes that non-GA aircraft occur in the same proportion among survey respondents and non-respondents. To the extent that non-GA aircraft are less likely to receive and complete a survey, this approach will underestimate the adjustment for aircraft that are not part of the general aviation population.

### Errors in Survey Data

Errors associated with survey data can be classified into two types—sampling and non-sampling errors. Sampling errors occur because the estimates are based on a sample of aircraft rather than the entire population, and we can expect, by chance alone, that some aircraft selected into the sample differ from aircraft that were not selected.

Non-sampling errors can be further subdivided into a) errors that arise from difficulties in the execution of the sample (e.g., failing to obtain completed interviews with all sample units), and b) errors caused by other factors, such as misinterpretation of questions, inability or unwillingness to provide accurate answers, or mistakes in recording or coding data.

### *Sampling Error*

The true sampling error is never known, but in a designed survey we can estimate the potential magnitude of error due to sampling. This estimate is the standard error. The standard error measures the variation that would occur among the estimates from all possible samples of the same design from the same population.

This publication reports a standard error for each estimate based on survey sample data. An estimate and its standard error can be used to construct an interval estimate (“confidence interval”) with a prescribed level of confidence that the interval contains the true population figure. In general, as standard errors decrease in size we say the estimate has greater precision (the confidence interval is narrower), while as standard errors increase in size the estimate is less precise (the confidence interval is wider). Table A.2 shows selected interval widths and their corresponding confidence.

Appendix A: Methodology for the 2006 General Aviation  
and Part 135 Activity (GAP135A) Survey

**Table A.2: Confidence of Interval Estimates**

<u>WIDTH OF INTERVAL</u>	<u>APPROXIMATE CONFIDENCE THAT INTERVAL INCLUDES TRUE POPULATION VALUE</u>
1 Standard error	68%
2 Standard error	95%
3 Standard error	99%

This report presents a “percent standard error” for each estimate, which is the standard error relative to the mean. The percent standard error is the ratio of the standard error to its estimate multiplied by 100. For example, if the estimate is 4,376 and the standard error is 30.632, then the percent standard error is  $(30.632/4,376) * 100 = 0.7$ . Reporting percent standard errors makes it possible to compare the precision of estimates across categories.

Estimates and percent standard errors reported in Table 2.1 provide an example of how to compute and interpret confidence intervals. To obtain a 95 percent confidence interval for the estimated number of total hours flown for turbojets in 2006, where the total hours flown is estimated to be 4,077,209 and the percent standard error of the estimate is 0.8, the following computation applies:

$$\text{Lower confidence limit: } 4,077,209 - 2(0.8/100)(4,077,209) = 4,011,974$$

$$\text{Upper confidence limit: } 4,077,209 + 2(0.8/100)(4,077,209) = 4,142,444$$

In other words, if we drew repeated samples of the same design, 95 percent of the estimates of the total hours flown by turbojets would fall between 4,011,974 and 4,142,444.

*Non-sampling Error*

Sampling error is estimable and can be reduced through survey design (e.g., by increasing sample size), but it is difficult, if not impossible, to quantify the amount of non-sampling error. Although extensive efforts are undertaken to minimize non-sampling error, the success of these measures cannot be quantified.

Steps taken to reduce non-sampling error fall into two classes—strategies to reduce non-response and efforts to minimize measurement and coding errors. To this end, implementation and design of the 2006 GAP135A Survey incorporated the following steps to maximize cooperation among sample members:

- Two modes of administration to facilitate access to the survey—a postcard invitation to complete the survey on the Internet followed by a mail survey to be completed by pen or pencil
- Three mailings of the survey to individuals who had not yet responded, as well as a reminder/thank-you postcard

## Appendix A: Methodology for the 2006 General Aviation and Part 135 Activity (GAP135A) Survey

- Cover letters accompanying each survey mailing clearly explained the purpose of the survey as well as the endorsement (organizational logos) of several aviation associations<sup>8</sup>
- Cover letters assured owners of the confidentiality of their responses and informed them: “Names of individuals are never associated with responses. There is an identification number on your survey only so [survey contractor] knows who should receive the survey.”
- Use of additional sources to obtain updated contact information and help ensure the mail survey reaches the sample member (e.g., National Change of Address, updates from aviation associations)
- Use of a toll-free 800 telephone number and email address to respond to questions
- Collaboration with aviation organizations and industry groups to encourage cooperation of owners or operators of multiple aircraft

The survey also reflects efforts to minimize measurement error by increasing the likelihood that respondents share a common understanding of survey questions and reducing errors in data coding. These include:

- Close collaboration with the FAA, other federal agencies and aviation groups to refine and clarify question wording as well as definitions to questions. The questionnaire is re-examined each year to identify ambiguities or revisions necessary to remain consistent with aviation regulations and definitions.
- Significant reviews and re-designs of the questionnaire have been undertaken periodically (see “Background” section of this report). Each re-design is thoroughly pre-tested with a sample of aircraft owners or operators and, if necessary, modified on the basis of the pre-test results.
- Comprehensive editing and verification procedures to ensure the accuracy of data transcription to machine-readable form as well as internal consistency of responses.

We undertake extensive effort to reduce measurement error, particularly where we can anticipate systematic or repeated error on the part of survey respondents, but it impossible to eliminate all measurement error. Survey participants may misunderstand questions or misreport flight activity in ways that cannot be anticipated or prevented through survey or questionnaire design. Where survey reports appear nonsensical or contradict FAA regulations (e.g., Light-sport aircraft operating with a paid flight crew), we manually verify that the data were processed accurately. However, no additional steps are taken to “cleanse” the data of apparently illogical reports or assign them to other categories. To do so would introduce additional and systematic error that would be misleading and would affect other uses of the data, such as assessing the risk of accidents among aircraft types or by use of aircraft.

---

<sup>8</sup> The following associations' logos appeared on the 2006 cover letter: Aircraft Owners and Pilots Association (AOPA), Experimental Aircraft Association (EAA), General Aviation Manufacturers Association (GAMA), Helicopter Association International (HAI), National Agricultural Aviation Association (NAAA), National Air Transportation Association (NATA), National Business Aviation Association (NBAA), Small Aircraft Manufacturers Association (SAMA).

## Appendix A: Methodology for the 2006 General Aviation and Part 135 Activity (GAP135A) Survey

### Survey Content

The 2006 GAP135A Survey questionnaire, shown in figure B.1 of Appendix B, requests the aircraft owner or operator to provide the following information on the sampled aircraft's characteristics and uses:

1. Number of total hours flown in 2006, and hours flown by use
2. Airframe hour reading and the aircraft's base location as of December 31, 2006
3. IFR hours, percentage of hours flown in Instrument Meteorological Conditions (IMC) and Visual Meteorological Conditions (VMC) during the day and evening
4. Number of landings in 2006
5. Fuel type and average fuel consumption
6. Whether the aircraft was part of a fractional ownership program in 2006
7. Avionics equipage

The survey questionnaire was unchanged from the 2005 survey to the 2006 survey.

### Survey Method

Appendix B presents the materials used to conduct the 2006 survey. The standard survey form is shown in Figure B.1. The postcard invitation to the Internet component and the reminder/thank-you postcard are shown in Figure B.2. Each of the three mailings for the standard survey was accompanied by a cover letter, shown respectively in Figures B.3, B.4, and B.5.

The protocol used for the 2006 survey is similar to that used since the 2000 survey. The survey data were collected from owners and operators of the sampled aircraft through two venues – the Internet and mailings of the questionnaire. The Internet component was implemented before the mailing portion to capture as many respondents electronically as possible. Sampled aircraft were first sent a postcard inviting them to participate in the Internet version of the survey. The postcard was sent out on March 9, 2007, and the Internet component continued through August 14, 2007.

There were three mailings of the standard questionnaire, and a reminder/thank-you postcard sent between the first and second mailings. The first questionnaire mailing, sent out on April 11, 2007, included only those aircraft in the sample that had not completed a survey via the Internet or had not received a final disposition due to a returned postcard (refused, respondent deceased, undeliverable with no new address, etc.). The reminder/thank-you postcard was sent on May 2, 2007, and included only those aircraft in the sample that had not yet responded to the survey and were not part of the non-active sample. The second survey mailing was sent on May 23, 2007, and included only those aircraft in the sample that had not yet responded to the survey and were not part of the non-active sample. The third mailing was sent on June 27, 2007, to owners and operators of the sampled aircraft who had not responded to the first or second mailings.

## Appendix A: Methodology for the 2006 General Aviation and Part 135 Activity (GAP135A) Survey

### Alternative Survey for Reporting on Multiple Aircraft

The 2006 GAP135A Survey continued the effort initiated with the 2004 GAP135A Survey to increase cooperation among respondents who own or operate multiple aircraft. To achieve this objective, the 2006 survey employed the data collection tools and methods introduced in 2004.

The responses of multiple-aircraft owners/operators are extremely important for accurately estimating general aviation activity. Because of the increased burden of reporting for multiple aircraft, there was a concern that these high-end, high-use operators were less likely to respond to the survey. Therefore, after the sample was selected, the FAA's Operations Specifications Subsystem (OPSS) was used to group aircraft belonging to the same operator's fleet. Operators with three or more aircraft were classified as "multiple owners/operators" for survey purposes, regardless of the number of their aircraft present in the survey sample.

To avoid confusion among respondents, aircraft were assigned to a single data collection track. The 12,142 aircraft in the "multiple owner/operator" track followed an independent protocol developed in 2004. The remaining 72,344 aircraft followed the same protocol used in previous years and is described above.

In order to minimize the reporting burden on operators of multiple aircraft, a summary survey was developed with the cooperation of several aircraft operators and aviation associations to enable an operator to report activity for an entire fleet on a single condensed form, instead of completing the longer questionnaire for each individual aircraft. This survey form (Appendix B, Figure B.6) allows operators to report on key variables—including hours flown, how flown, fuel consumption, and number of landings—for major classes of aircraft. The form did not collect data on flying conditions, fuel type, fractional ownership, or avionics.

Data collection for multiple-aircraft owners/operators followed the same timing as the standard data collection track. Like the standard survey protocol, an Internet survey that matched the mail questionnaire was programmed to enable respondents to report online.

To maximize the survey response rate, follow-up phone calls were placed to all multiple-aircraft owners/operators. These calls focused not only on encouraging survey participation, but also on ensuring survey mailings were reaching the appropriate person in the operator's organization. In many cases, aviation associations with which operators had an existing relationship made these telephone calls.

Benefits resulting from the new systems of data collection implemented since 2000 include quicker processing of the results, improved data quality, and considerable savings of time and money to both the public and the federal government.

### **Response Rate**

As shown in Table A.3, the overall response rate for the 2006 survey was 46.5 percent.<sup>9</sup> The response rate for the Internet portion of the survey was 20.5 percent and accounted for 44.3 percent of the total responses to the survey. The first mailing had a response rate of 17.8

---

<sup>9</sup> Although the 2006 response rate of 46.5% represents a decrease from response rates reported prior to 2003, this decrease is attributable to changes in survey methodology that have occurred over the past 7 years. The inclusion of bad addresses in the response rate calculation, adding more aircraft registered as "Sale Reported" or "Registration Pending" to the survey population, and other factors have resulted in the decrease to the response rate.



Appendix A: Methodology for the 2006 General Aviation  
and Part 135 Activity (GAP135A) Survey

percent and accounted for 32.4 percent of the total responses to the survey. The second mailing had a response rate of 9.4 percent, which accounted for 13.6 percent of the total responses to the survey. The third mailing produced a response rate of 7.8 percent, approximately 9.8 percent of the total responses to the survey.

**Table A.3: Summary of Response Rate Information**

<b>Phase</b>	<b>Valid Sample<sup>10</sup></b>	<b>Completes<sup>11</sup></b>	<b>Response Rate</b>	<b>% Total Response</b>
Internet	84,220	17,266	20.5%	44.3%
1 <sup>st</sup> Mailing	71,051	12,621	17.8%	32.4%
2 <sup>nd</sup> Mailing	56,406	5,283	9.4%	13.6%
3 <sup>rd</sup> Mailing	49,036	3,803	7.8%	9.8%
Overall	83,892	38,973	46.5%	100.0%

Table A.4 illustrates the steady increase in the Internet response as a percentage of all returned surveys from 2000 to 2006 (32.8 percent for 2000 compared with 44.3 percent for 2006). This increase in response illustrates an increasing effectiveness of utilizing the Internet for data collection, thereby improving the efficiency and cost savings of the data collection process.

**Table A.4: Percentage of All Completed Surveys Responding by Internet**

	<b>2000</b>	<b>2001</b>	<b>2002</b>	<b>2003</b>	<b>2004</b>	<b>2005</b>	<b>2006</b>
Total Completes	15,689	16,432	15,254	14,471	32,056	34,248	38,973
Internet Completes	5,144	5,954	5,304	6,059	13,441	14,555	17,266
Internet % of Total	32.8%	36.2%	34.8%	41.9%	41.9%	42.5%	44.3%

Table A.5 shows responses by aircraft type.

---

<sup>10</sup> Even though efforts are made to remove non-GA aircraft from the population before the sample is selected, a small number of surveys are returned each year indicating that the aircraft should not be part of the survey population (e.g., the aircraft was used primarily as a Part 121 air carrier, or was a museum piece the entire survey year). The Total Valid Sample Size used to compute the overall survey response rate excludes such aircraft.

<sup>11</sup> The total number of completes is not an exact sum of the separate components due to a small number of aircraft that did not receive a mailing but that were reported by a large fleet and therefore received a complete disposition.

Appendix A: Methodology for the 2006 General Aviation  
and Part 135 Activity (GAP135A) Survey

**Table A.5: Response Rate by Aircraft Type**

<b>Aircraft Type</b>	<b>Sample</b>	<b>Invalid Sample<sup>12</sup></b>	<b>Completes</b>	<b>Response Rate</b>
<b>Fixed Wing - Piston</b>				
1 engine, 1-3 seats	7,184	45	3,280	45.9%
1 engine, 4+ seats	17,107	106	7,965	46.9%
2 engines, 1-6 seats	4,407	24	1,914	43.7%
2 engines, 7+ seats	2,827	14	1,244	44.2%
<b>Fixed Wing - Turboprop</b>				
1 engine	2,770	25	1,302	47.4%
2 engines, 1-12 seats	4,926	16	1,933	39.4%
2 engines, 13+ seats	1,032	7	323	31.5%
<b>Fixed Wing - Turbojet</b>				
2 engines	11,155	64	5,064	45.7%
<b>Rotorcraft</b>				
Piston	4,922	33	1,706	34.9%
Turbine: 1 engine	5,820	35	2,582	44.6%
Turbine: Multi-engine	1,415	5	813	57.7%
<b>Other Aircraft</b>				
Glider	2,354	19	1,165	49.9%
Lighter-than-air	3,669	45	1,424	39.3%
<b>Experimental</b>				
Amateur	9,240	87	5,561	60.8%
Exhibition	1,811	36	838	47.2%
Experimental: Other	2,309	26	865	37.9%
<b>Light-sport</b>	1,538	7	994	64.9%
<b>Total</b>	<b>84,486</b>	<b>594</b>	<b>38,973</b>	<b>46.5%</b>

<sup>12</sup> Even though efforts are made to remove non-GA aircraft from the population before the sample is selected, a small number of surveys are returned each year indicating that the aircraft should not be part of the survey population (e.g., the aircraft was used primarily as a Part 121 air carrier, or was a museum piece the entire survey year). The Invalid Sample represents such aircraft, which are excluded from response rate calculations.