



FAA
Airports

FAA Form 5200-9, Safety Assessment Screening for Modification of Standards (SAS-2)

Instructions for FAA Form 5200-9, ARP Safety Assessment Screening for Modification of Standards (SAS-2)

General

Use Form 5200-9 (SAS-2) to document the Safety Risk Management (SRM) process for Modification of Standards (MOS) approvals. The SAS should be completed and signed as part of the workflow in the Airport Data and Information Portal (ADIP) MOS Tool. ADO completes SAS-2 and forward to the Region. The Region accomplish coordination with HQ for final disposition. Regardless whether the Region or HQ is final approving authority, the SAS-2 is completed by the ADO. Access the tool and instructions under quick links on-line help at <https://adip.faa.gov/agis/>. These instructions may be supplemented or replaced by program guidance for the Modification of Standards process. See FAA Order 5300.1 (latest version), Modifications to Agency Airport Design, Construction, and Equipment Standards.

Purpose

A completed SAS-2 documents the SRM process for the FAA Office of the Associate Administrator for Airports (ARP). It is intended to ensure that ARP program decisions, including final approval of MOS, properly consider safety. It will also help staff determine when a project requires an SRM panel.

Timing

Complete the SAS-2 in the ADIP MOS Tool as part of the MOS workflow. ADIP will store Safety Assessment data. Hard copies are optional. Note: Completion of the SAS-2 includes uploading the SAS-2 document or completing the SAS-2 form within the MOS project.

Availability

This form is available electronically only through the ADIP MOS Tool at <https://adip.faa.gov/agis/>.

Safety Assessment Screening for Modification to Standards (SAS-2)

1. Project Location

SMS ID:

LOCID:

Airport:

City:

State:

Sponsor:

Service level:

CFR 139 date:

CFR 139 type:

2. Describe the Proposed Modification of Standards

Include the Advisory Circular and paragraph to be modified.

AC Number:

Chapter:

Paragraph:

Page Number:

3. Standards Type

This modification applies only to the following (See Order 5300.1, Modification to Agency Airport Design, Construction, and Equipment Standards), Choose all that apply:

Dimensional standards for Runway Safety Area (AC 150/5300-13, paragraph 305)

Regional or state standards

Material standards

Construction standards

4. Proposal Screening

A preliminary analysis indicates that an SRM review is required. (Complete form pages 2 and 3)

The proposal does **not** require further SRM review. (Discard form pages 2 and 3)

5. Justification

(Include economy, durability, and aviation demand to be satisfied by the proposed modification)

Regional Review: Justified SRM Panel Recommended

AAS Review: Justified SRM Panel Recommended

6. Was the proposal reviewed by OE/AAA?

Yes No -- *Skip* to block 7 (Review required if airfield layout or dimensional standards impacted)

Case Number (Ex: 2008-ASW-1234-NRA):

Determination Date:

OE/AAA review comments are attached

OE/AAA review indicates an objection to the proposal

7. A review of the proposal indicates the following:

The OE/AAA review indicates that an SRM panel is required.

A review of the justification indicates that an SRM panel is required.

An SRM panel is not required. No further review is necessary.

Complete and sign block **11**.

8. SRM Panel and Findings

Report date:

Report is attached.

9. Initial Risk Determination

Low Initial Risk. Attach supporting documentation.

Medium Initial Risk. Attach detailed explanation of hazards.

High Initial Risk. Attach detailed explanation of hazards. Requires review by the ARP Safety Review Board.

10. Final Risk Determination

Attach initial risk supporting document.

Low Initial Risk. Attach detailed explanation of mitigation measures, including NOTAM requirements.

Medium Initial Risk. Attach detailed explanation of mitigation measures, including NOTAM requirements.

High Initial Risk. The project proposal with risk mitigation in place is unacceptable.

11. Safety Risk Management (SRM) Panel Members and Certification

We certify that we have reviewed the project documentation and have fully considered the potential hazards (and any proposed mitigation measures) before reaching this determination. Dissenting opinions concerning the determination are included in the report.

FAA Office:

Name:

Title:

Signature: _____

12. Airport Sponsor Certification and Acceptance

As a duly authorized representative of the sponsor of the airport identified above, I hereby certify that I have reviewed and understand the hazards and mitigation measures identified in the attached documentation. I further certify that I understand it is our legal duty, as sponsor, to ensure that any and all airport-related mitigation measures are fulfilled and documented in a timely manner. Any such commitments on our part represent an obligation under our Federal grant assurances, regardless of whether the FAA participates in the funding of any part of the Proposed Action. Nothing in the FAA's review may be deemed as relieving the sponsor of its legal obligations as owner and operator of the airport.

Accept

13. FAA Safety Risk Management Approval

Hazards were identified and analyzed using standard procedures and processes in accordance with FAA Order 5200.11A. Mitigation measures, including draft NOTAM requirements, if necessary, are attached and are included with the formal FAA project approval action. These measures will help ensure safety levels are maintained at acceptable levels both during and after the proposed construction and non-construction airport changes.

Accept