

U.S. DEPARTMENT OF TRANSPORTATION FEDERAL AVIATION ADMINISTRATION

Air Traffic Organization Policy

JO 7000.5F

ORDER

Effective date: 12/31/2020

SUBJ: Procedures for Submitting Changes to Air Traffic Control Publications

- 1. **Purpose of This Order.** This order establishes procedures for submitting changes to Air Traffic publications that are administered by the Air Traffic Organization (ATO), Mission Support Services Policy Directorate (AJV-P). This order outlines processes that Offices of Primary Responsibility (OPR) or their designated representatives must follow to ensure modifications are accurately and efficiently incorporated into the appropriate publication(s).
- 2 Audience. This order applies to agency personnel that support an OPR for the orders and directives listed in Appendix A and Appendix B.
- 3. Where to Find This Order. This order is available on the FAA website at https://faa.gov/air_traffic/publications and https://employees.faa.gov/tools_resources/orders_notices/.
- **4.** What This Order Cancels. FAA Order JO 7000.5E, Procedures for Submitting Changes to Air Traffic Control Publications, dated September 8, 2017, is canceled.
- **5. Background.** The Mission Support Services Policy Directorate supports the ATO and other entities by processing changes to be incorporated in the publications listed in Appendix A. These publications are updated and maintained by AJV-P and are made available electronically and some are widely distributed in print.
- **6. Explanation of Changes.** This change reflects the functional realignment from AJV-8 to AJV-P, updates the email address for the Correspondence Mailbox, and removes the following three orders from Appendix B (AJI has retaken the responsibility for doing the updates to these directives):
 - a. FAA Order JO 7210.632 Air Traffic Organization Occurrence Reporting.
 - **b.** FAA Order JO 7210.633 Air Traffic Organization Quality Assurance Program.
 - c. FAA Order JO 7210.634 Air Traffic Organization (ATO) Quality Control.
- 7. **Program Procedures.** The following procedures must be followed to effect changes to the publications listed in Appendix A. In addition, AJV-P will assist OPRs and others to process numerous orders, as stipulated in Appendix B.
 - **a.** Submission Cutoff and Effective Dates.
 - (1) Publishing dates vary according to the publication.
 - (2) Refer to the cutoff dates as stipulated in the specific publication you intend to change.

The change must then be submitted via the AJV-P Correspondence Mailbox at <u>9-AJV-P-HQ-Correspondence@faa.gov</u>.

- (3) Submit *Publication-Ready Changes* on or before the cutoff date for inclusion in the publication. A *Publication Ready Change* is one that meets the criteria highlighted in paragraph 7c (2) of this order.
- (4) For orders/directives that are not on a normal publication cycle AJV-P will work with the OPR to meet a desired publication date.
- **b.** Authorization for Submission.
 - (1) A publication's OPR is authorized to submit changes to a publication. Editorial changes (such as misspelled words, typographical errors, changes in address, phone numbers, routing symbols, etc.) may be submitted by any organization.
 - (2) Changes to publications by an entity other than the OPR must first be coordinated and approved by the OPR.

NOTE-

While existing orders pertaining to Directives Management (FAA Order JO 1320.1 and FAA Order JO 1320.62) only allow for the establishment of one OPR, the ATO recognizes there are numerous orders and manuals (for example FAA Order JO 7210.3, FAA Order JO 7340.1, Aeronautical Information Manual (AIM), Aeronautical Information Publication (AIP), all listed in Appendix A) where various FAA organizations are responsible for the content. Therefore, the term Content Owner is conceived to differentiate the responsibilities. For the purposes of this order only, a Content Owner is deemed to have the full authorization of an OPR to modify or revise sections of the above mentioned orders and manuals that pertain directly to their Line of Business.

- c. Submit Changes/Material as Follows:
 - With the exception of those Orders/Publications listed in Appendix B, all changes must be submitted to the Correspondence Mailbox using the Document Change Proposal (DCP) template. (Refer to Appendix C, Document Change Proposal (DCP) Template, and Appendix D, Instructions for Completing a DCP.)

NOTE-

A DCP is not required if the publication is being rewritten in its entirety. In such cases, submit the rewrite to the Correspondence Mailbox as a Word document. Afterwards, the Publications team will make the appropriate changes to the order.

- (2) The AJV-P Publications Coordinator, a publications specialist within the Publications and Administration Team, must receive the following documentation via the Correspondence Mailbox, for review, before changes will be submitted to the AJV-P Publications team:
 - (a) Completed coordination with stakeholders (in accordance with FAA Order JO 1320.62) in the form of a signed Clearance Record.
 - (b) Appropriately coordinated and signed Safety Risk Management (SRM) documentation.
 - (c) Final signed DCPs; send both Word document and PDF format copy of the DCP.
- (3) In most cases, submit a separate, signed DCP for each paragraph that is to be changed. In cases where a single change spans multiple paragraphs in the same Order, you may combine multiple paragraphs on one DCP form. If the submitted change alters the

naming/numbering convention of remaining paragraphs, indicate this information on the DCP. If the Index of a publication requires a change/deletion, also indicate this information on the DCP.

- (4) An editorial change such as a misspelled word, typographical error, address change, routing symbol change, new telephone number, etc., does not require a DCP. Due to the nature of the change, submit email requests directly to the Correspondence Mailbox.
- (5) All graphics submitted for inclusion must be of high quality and in camera-ready form. This means it is in the correct format and of good enough quality to be reproduced photographically onto a printing plate. Acceptable formats include: PDF, JPEG, TIFF, or BMP. The graphic must be submitted as a separate attachment, and should be embedded within the document if possible. Graphics may be submitted in color or black and white.

NOTE-

In most cases, if you make a change to the AIM, you must also make a change to the AIP. The AIP is the international version of the [domestic] AIM and should be synched when possible. A separate DCP is required for each change.

8. Responsibilities. The processing, coordination, and publishing of DCPs are delegated to Mission Support Services Policy Directorate (AJV-P).

a. AJV-P must:

- (1) Establish and maintain a process for submitting changes, updates, and material to the publications listed in Appendix A.
- (2) Review incoming documentation to ensure that procedures are followed for efficient processing.
- (3) Ensure submission complies with process requirements.
- (4) Evaluate operational impact on other Air Traffic Control orders and other publications, like the AIM and AIP.
- (5) Ensure the submitter is the publication's OPR, or has the OPR's approval for the change submitted.
- (6) Ensure appropriate SMS documentation is provided.
- (7) Return requests to the OPR that do not meet the procedures stated herein, with a clear statement of what is needed to process the changes.
- (8) Provide the OPR with the draft layout for review prior to finalizing for print.
- (9) Submit proposed change documents to FAA field facilities and other stakeholders for a 45-day comment/review period.
- (10) Coordinate the printing and publishing efforts with the appropriate offices to ensure timely publication of changes.
- (11) Prepare applicable publications for printing and distribution by the Government Printing Office.
- (12) Prepare and post a PDF version of publication(s) online in accordance with delivery dates as stipulated in the specified orders. Based on those timelines, the publications can be accessed online at the following websites: <u>https://faa.gov/air_traffic/publications</u> and

https://employees.faa.gov/tools resources/orders notices/.

b. The Office of Primary Responsibility (OPR) must:

- Ensure all changes to publications are submitted in accordance with the procedures specified in this order and Directives Management Orders FAA Order 1320.1 and FAA Order JO 1320.62.
- (2) Notify Policy (AJV-P) of any change in OPRs. A change in OPR requires proper collaboration and mutual concurrence amongst affected entities.
- (3) Review all drafts to ensure that changes have been accurately captured and are presented in the document as intended. During this phase the OPR must ensure that a thorough Plain Language Review is conducted in accordance with FAA Order JO 1320.62, Paragraph 4-5, Preparing the Draft Directive.
- (4) Provide concurrence within the timeframe designated. If you do not approve of the presented layout, please send an email to the Correspondence Mailbox immediately with your non-concurrence and suggested change(s).
- (5) Ensure SMS documentation is provided as stipulated in the current version of the ATO SMS Manual, or in the SMS requirements for the OPR's lines of business.
- (6) Notify AJV-P1 which organizations to coordinate with on the proposed change by checking the appropriate boxes on the Coordination Cover Sheet provided by AJV-P.
- (7) Adjudicate all comments received from reviewers.
- (8) Resolve any non-concurrences with contesting Subject Matter Expert or office.
- (9) Ensure Union notification as applicable.

NOTE-

The OPR has the responsibility of engaging any impacted union(s) as appropriate, based on any existing FAA agreements.

Digitally signed by KAREN L CHIODINI KAREN L Date: 2020.11.18 CHIODINI 15:28:07 -05'00'

Karen Chiodini Director (A), Policy, AJV-P Air Traffic Organization November 18, 2020 Date

Appendix A. Publications for which Mission Support Services Policy Directorate (AJV-P) has a Publications Requirement

- FAA Order JO 7110.10 Flight Services
- FAA Order JO 7110.65 Air Traffic Control
- FAA Order JO 7210.3 Facility Operations and Administration
- FAA Order JO 7340.2 Contractions
- FAA Order JO 7350.9 Location Identifiers
- FAA Order JO 7400.2 Procedures for Handling Airspace Matters
- FAA Order JO 7610.4 Special Operations
- FAA Order 7930.2 Notices to Airmen (NOTAM)
- AIM Aeronautical Information Manual
- AIP Aeronautical Information Publication United States of America
- P/CG Pilot/Controller Glossary

Appendix B. Publications for which Mission Support Services Policy Directorate (AJV-P) Provides Processing Assistance to Other Operational Service Units

- 1. Responsibilities:
 - **a.** In order to ensure consistency in the processing of Orders listed in this Appendix, AJV-P1 agrees to provide the following services:
 - (1) Review and compare with other similar orders for consistency of language and format.
 - (2) Evaluate any operational impact on other Air Traffic Orders and publications.
 - (3) Submit the draft Order for coordination to those Lines of Business (LOB) the OPR has identified.
 - (4) Validate stakeholder coordination list within 10 days of receipt of KSN notification.
 - (5) Forward all comments to the OPR for adjudication.
 - (6) Post and/or publish the Order accordingly.
 - **b.** The OPR retains the responsibilities and authority designated by FAA Order 1320.1 and FAA Order 1320.62. Also, the OPR has the responsibility of engaging any impacted Union(s) as appropriate, based on any existing FAA agreements.
- **2.** Orders/Publications:

•	FAA Order JO 3000.24	ATO Terminal Automation Specialist Training
•		ATO Terminal Automation Specialist Training
٠	FAA Order JO 3400.20	Individual Performance Management (IPM) for
		Operational Personnel
•	FAA Order JO 7000.6	Identification and Notification of Differences
		between ATO Products and Services and ICAO
		Documents
•	FAA Order JO 7110.315	Mobile Airport Traffic Control Tower Siting Criteria
٠	FAA Order JO 7210.57	Traffic Counting, Reporting, and Processing for
		Determining Facility Classification Levels
•	FAA Order JO 7110.67	Air Traffic Management Security Services for
		Special Activities
•	FAA Order JO 7220.4	FAA Certification of Pilot Weather Briefing
•	FAA Order JO 7450.1	Special Use Airspace Management System
		• •

Appendix C. Document Change Proposal (DCP) Template

FIG C-1		
Document Change I	Proposal/Briefing Sheet	
IN	ITIAL	
	N Tracking #:	
Change: Effective Date:		
HQ Control Lead/Routing:) –	
HQ Specialist/Routing: ()	-	
Field Office Change Initiator:) -	
1. Paragraph Number and Title:		
2. Background:		
3. Explanation of Change:		
4. Change:		
OLD	NEW	
022		
Sample text sample text sample text 1234 56789	Sample text sample text sample text 1234 56789	
No further cha	nges to paragraph.	
5. Index Changes:		
6. Reference Changes:		
7. Graphics:		
8. Genot/Notice:		
9. Safety Risk Management: (Check appropriate	-	
	ario, a NAS change or existing safety issue is assessed t determines that hazards could be introduced or that	
safety risk could increase. (Refer to SMS Mi		
Safety Finding Without Hazards. An SRM		
analysis that was performed but did not reveal new hazards or any perceived or calculated increase in safety risk. (Refer to SMS Manual, Section 5.4.3).		
No Safety Documentation Required. The proposed change does not meet the requirements for		
	in the ATO Safety Management System Manual, inistrative changes (i.e., any changes that do not affect	
the substantive elements of a procedure or system) do not require SRM.		
10. ICAO Differences: Yes 🔲 No 🔲		
11.	12. Date:	
ATO D CP FOR M 3101-01 (07/04/14)	1	

Internal AJV-P source:

https://ksn2.faa.gov/ajv/home/AJV-8/Shared%20Documents/Forms/AllItems.aspx

External source:

https://ksn2.faa.gov/ajv/home/AJV-8/AJV8%20Guest%20Library/Forms/AllItems.aspx

Appendix D. Instructions for Completing a DCP

- 1. The DCP Template (ATO DCP Form 3101-01), highlighted in Appendix C, must be used for submitting changes to the following publications:
 - FAA Order JO 7110.10 Flight Services
 - FAA Order JO 7110.65 Air Traffic Control
 - FAA Order JO 7210.3 Facility Operation and Administration
 - FAA Order JO 7610.4 Special Operations
 - FAA Order JO 7930.2 Notices to Airmen (NOTAM) (see exceptions at Appendix F)
 - AIM Aeronautical Information Manual
 - AIP Aeronautical Information Publication, United States
- 2. The most common mistakes that result in the delay of processing DCPs include:
 - **a.** Using an outdated version of the directive/Order being changed in the **OLD** column.
 - **b.** Not following the correct **bolding** and <u>underlining</u> guidelines in the **OLD** and **NEW** columns.
 - c. Failing to capture the correct charts or graphs within the change.
 - d. Having incomplete safety documentation completed.
- 3. Throughout the DCP template, there are gray boxes that contain drop-down lists. Click on each box to select the activity that best applies to your change request. The first box, below the title, contains three choices: INITIAL, FINAL DISPOSITION, FINAL DISPOSITION (INITIAL not required). Select one of these three options, depending on the requirements of the submitting entity.

ORDER/PUBLICATION: Order Number or Title of Publication (7110.65, AIM, etc.)

CHANGE: Select one of the following: Basic, Change 1, Change 2 or Change 3. *For the AIP only, select* Amendment 1, Amendment 2 or Amendment 3, whichever applies for the change cycle.

KSN TRACKING #: This is a KSN-generated number that the AJV-P1 specialist will populate.

EFFECTIVE DATE: This is the date when the change will be published based on the established publication schedule.

HQ CONTROL LEAD/ROUTING: The name, routing symbol, and telephone number of the responsible AJV-P1 manager is populated by the HQ specialist who prepares the form.

HQ SPECIALIST/ROUTING: The name, routing symbol, and telephone number of the responsible AJV-P1 specialist.

FIELD OFFICE CHANGE INITIATOR: The name, routing symbol, and telephone number of the person who is responsible for processing the change for his/her organization, working with the AJV-P specialist. For example, this person can be located at the FAA Washington HQ or in one of the Service Centers.

Completion of the grayed out boxes is the same for the Control Lead, HQ Specialist, or Field Office Change Initiator. Insert the first and last name in the first box, the Routing Symbol in the second, and the 10 digits of their telephone number in the last three boxes.

1. PARAGRAPH NUMBER AND TITLE:

- a. Enter paragraph number(s) in single digits, in the three boxes that are separated by dashes, e.g., 3-4-5. Do not put three numbers in one block. The boxes will also accommodate paragraphs with double digits, e.g., 3-4-12. *Exception: AIP and P/CG changes follow different formatting standards for this section; see FIG E-5 and FIG E-6*.
- b. For convenience, the fourth box is programmed to default to all capital letters. Enter the paragraph title in this box.
- 2. <u>BACKGROUND:</u> It is easy to get wordy in this section; however; this information will be included in the Order's Briefing Guide, which explains the changes made to an order, where applicable. Therefore, descriptions must be factual and easy to understand. Enter a concise yet thorough, statement that describes how and why the change is being made. Bear in mind that brevity should not leave the reader guessing about how the change evolved.
- 3. **EXPLANATION OF CHANGE:** Similar to the rule in the BACKGROUND, be factual and make the idea of the change stick in the reader's mind. Enter a brief statement to explain the change; let it jump off the page, like an executive summary. This information will be included in the Explanation of Changes page as well. Do not simply state, "deleted paragraph d." You must describe the content being deleted.

4. CHANGE:

- a. The *left* column is labeled **OLD** and contains paragraphs/subparagraphs current at the time of submission.
- b. The *right* column is labeled **NEW** and contains the new, modified, or added paragraphs/ subparagraphs.
- c. Paragraph numbers and titles must be included beneath the headers labeled **OLD and NEW**. Paragraph numbers and titles must be in **bold** print and titles must be **CAPITALIZED**. This is how the information is published in the orders.
- d. Paragraphs and subparagraphs must be aligned and numbers and letters must be in **bold** print. (e.g., **a.**, **1.**, **(a)**, **(1)**)
- e. If there is a deletion of any part of a paragraph, indicate this deletion by <u>underlining</u> the words/text you wish to delete in the **OLD** column.
- f. The new information in the right column must be **<u>underlined</u>** and in <u>bold</u> print.
- g. List only those paragraphs that are modified, added, deleted or not changed (see special circumstances).

- (1) The statement *No further changes to paragraph* is automatically included at the end of **OLD/NEW** section of the DCP to indicate as it states. For DCPs with multiple paragraphs, when you change the first few subparagraphs from a section but you do not amend the remainder of the paragraph, type the statement in both columns at the end of the applicable paragraphs. This tells the reader that you did not make any amendments to the remaining paragraphs in the affected order or publication.
- (2) When you do not change the preceding subparagraph, but it is followed by another paragraph that must be changed, insert or describe ("subparagraph b") the unaffected subparagraph in the **OLD** column then type the phrase 'No change' in the **NEW** column. (See Page D-5). The 'No change' phrase is not underlined or bolded.
- (3) Changes to Phraseology, Notes, Examples, and References must follow the same format used for updating subparagraphs, with the appropriate font.
- h. When it is necessary to delete an entire chapter—even if it creates a very lengthy DCP-you must list and <u>underline</u> every paragraph, subparagraph, note, and reference of that chapter in the **OLD** column. Under the NEW column, type "Delete," adjacent to the first line of every subparagraph that is being deleted. If the deleted chapter is being significantly rewritten, add the new paragraphs below the "Delete" instructions in **NEW**, with "Add" in the **OLD** column.

Listing the entire contents of a chapter for deletion will result in lengthy DCPs; however, this is the required method that allows the history of the change to be documented.

- i. When inserting Figures into DCPs:
 - (1) Place them within the body of the DCP template as appropriate.
 - (2) When the Figures or charts are too large for the available space, you are authorized to attach the figure or chart on a separate page with any relevant descriptions. In some cases, you may also add attachments to the DCP template to complete your work.

OLD	NEW
1-1-1. GENERAL	<u>1-1-1.</u> GENERAL
The paragraph number and title must always be typed	The paragraph number and title must always be
in the OLD section at the beginning of every change.	typed in the NEW section at the beginning of every
	change.

FIG D-1	
Header and Paragraph Number	

FIG D-2 Modifying a Paragraph

OLD	NEW
c. This is how, what currently appears in the	c . This is how <u>a new</u> subparagraph is to be
order/publication, an old subparagraph is to be	shown in a Document Change Proposal.
shown in a Document Change Proposal.	

NOTE-

Typically, if there is a term/phrase being added clarified, or if most of the original content is remaining in place in the same order, it is best to use the side-by-side (as shown above in the 'Modifying a Paragraph' example). This makes what is being changed much clearer for the reader. However, if subparagraphs are being re- ordered, most of the original content is NOT remaining, or the change is so complicated that the DCP becomes nearly unreadable, it might be better to Delete and Add entire paragraphs or even sections (as seen in example "Complete Paragraph Deletion and Rewrite").

<i>FIG D-3</i> Deleting Portions of a Paragraph/Subparagraph		
OLD	NEW	
d. If there is to be a deletion to a part of the	d . If there is to be a deletion, show it in this	
paragraph, show it in this manner.	manner.	

FIG D-4 Deleting a Subparagraph

OLD	NEW
e. If a subparagraph is to be deleted, show it	Delete
in this manner	

Deleting an Entire Faragraph		
OLD	NEW	
<u>1-1-3. APPLICATION</u>	Delete	
<u>a.</u> If a paragraph is to be deleted, show it in this manner: insert Delete in the NEW column, across from the paragraph header and each ensuing subparagraph.	Delete	
<u>b.</u> So this deleted subparagraph would also have a <u>"Delete."</u>	Delete	

FIG D-5 Deleting an Entire Paragraph

FIG D-6 Deleting an Entire Section or Chapter

OLD	NEW
<u>1-1-1.</u> NAME OF FIRST PARAGRAPH	Delete
<u>a.</u> To delete an entire Section or Chapter, you must include every word of every paragraph being deleted, underline it, and write "Delete" across from it.	Delete
b. This might lead to a lengthy DCP.	Delete
<u>1-1-2.</u> NAME OF SECOND PARAGRAPH	Delete
<u>a.</u> Each subparagraph should have a "Delete"	Delete

FIG D-7 Renumbering Paragraphs

OLD	NEW
Paragraph 1-1- <u>3</u> through 1-1- <u>13</u>	Renumber as 1-1-2 through 1-1-12

FIG D-8 Re-lettering Subparagraphs

OLD	NEW
Subparagraph <u>f</u> through <u>j</u>	Re-letter as <u>e</u> through <u>i</u>

FIG D-9 Adding a New Paragraph or Subparagraph

OLD	NEW
Insert 'Add' in this column, across from a paragraph title, paragraph, subparagraph, <i>Note</i> , or <i>Reference</i> . 'Add' is never underlined or bolded.	<u>1-1-2. APPLICATION</u>
Add	a. Insert a new paragraph like this. If you insert a new paragraph in the middle of a section, renumber the following paragraphs as shown in FIG D-8.

FIG D-10 Complete Paragraph Deletion and Rewrite

OLD	NEW
4-5-6. NON-COMPLIANCE	Delete
<u>a.</u> Underline the entire paragraph when it must be deleted and replaced with new text, but you will maintain the same paragraph number.	Delete
Add	<u>4-5-6.</u> NON-COMPLIANCE
Add	<u>a.</u> <u>You must also type and underline the</u> <u>new change using bold print.</u>
Add	b. <u>The new text doesn't align well, so delete</u> <u>above and add the new paragraph below.</u>

TBL D-1 Deleting Items within a Table or Figure

Pre	efix	Branch	Prefix	Branch
	А	U.S. Air Force	А	U.S. Air Force
	С	U.S. Coast Guard	С	U.S. Coast Guard
	G	Air or Army National Guard	G	Air or Army National Guard
	R	U.S. Army	R	U.S. Army
VM	Ν	U.S. Marine Corps	VM	U.S. Marine Corps
VV	I	U.S. Navy	VV	U.S. Navy
CFO	C	Canadian Forces	CFC	Canadian Forces
CT	G	Canadian Coast Guard	Delete	Delete

When you are deleting an item within a Table or Figure that is exceedingly lengthy, you may include just the relevant portion in the DCP. If the Table or Figure fills an entire page and will not fit in the **OLD** or **NEW** sections of the DCP template, you must copy the Table or Figure onto a separate page. When this step is necessary, you must identify what is **OLD** and **NEW** in a heading. You must also underline the item to be deleted and enter the word Delete adjacent to it.

FIG D-11
Example 1: Using the No Change Option and the Deletion of a Single Word

OLD	NEW
2–2–4. DUTY FAMILIARIZATION AND THE TRANSFER OF POSITION RESPONSIBILITY	2–2–4. DUTY FAMILIARIZATION AND THE TRANSFER OF POSITION RESPONSIBILITY
a. Air traffic managers must determine which sectors or positions require "duty familiarization" for each shift and must provide a facility directive which specifies all sources of operational information which must be read and/or discussed as a part of the familiarization. Familiarizations should be scheduled within an 8-hour shift to the extent possible.	No change
b. Air traffic managers must determine which <u>sectors or positions must maintain operational</u> continuity through a transfer of position responsibility and must:	b. Air traffic managers must determine which positions must maintain operational continuity through a transfer of position responsibility and must:
1. Review each <u>sector or position</u> and provide a tailored checklist which lists the equipment and the operational conditions which are likely to be a factor at that position. Checklists must be reviewed annually to ensure the <u>sector/position</u> checklist items are current.	1. Review each position and provide a tailored checklist which lists the equipment and the operational conditions which are likely to be a factor at that position. Checklists must be reviewed annually to ensure the position checklist items are current.
No further changes t	o paragraph.

1. While the phrase is automatically included at the end of the last paragraph change on the DCP form, you may include 'No further changes to paragraph' under the **OLD** column and under the **NEW** column for any previous paragraph changes on the form for which it applies.

2. The boxes surrounding these examples are to differentiate the examples- there should not be boxes around the OLD and NEW columns on the DCP form.

OLD	NEW
4-3-2. DEPARTURE CLEARANCES	4-3-2. DEPARTURE CLEARANCES
Title through subparagraph d c. Altitude. Use one of the following in the order of preference listed. <u>Altitude may be omitted if the</u>	No change c. Altitude. Use one of the following in the order of preference listed.
top altitude is published in the SID route description. Subparagraph e1 through e3(b)	No change
Add	4. When a SID does not contain published crossing restrictions and/or is a SID with a Radar Vector segment or a Radar Vector SID, or a SID is constructed with a Radar Vector segment and contains published crossing restrictions after the vector segment, instruct aircraft to "MAINTAIN (altitude)."

FIG D-12 Example 2: How to Use the 'No Change' Option with a Description in OLD Column

FIG D-13
Including Notes and References in the Description and Adding a Figure

OLD	NEW
3-9-6. SAME RUNWAY SEPARATION	3-9-6. SAME RUNWAY SEPARATION
Title through subparagraph d Reference	No change
e. The minima in Para 5-5-4, Minima, subparagraph g, may be applied in lieu of the time interval requirements in subparagraphs <u>f and g</u> . When Paragraph 5-5-4, Minima, is applied, ensure that the appropriate radar separation exists at or prior to the time an aircraft becomes airborne.	e. The minima in Para 5-5-4, Minima, subparagraph g, may be applied in lieu of the time interval requirements in subparagraphs <u>f</u> , <u>g</u> , <u>and h</u> . When Paragraph 5-5-4, Minima, is applied, ensure that the appropriate radar separation exists at or prior to the time an aircraft becomes airborne.
Add	<u>REFERENCE–</u> <u>FAA Order 7210.3, Para 2–1–15, Authorization for Separation Services by</u> <u>Towers</u> <u>FAA Order 7210.3, Para 10–5–3, Functional Use of Certified Tower radar</u> <u>Displays</u>
NOTE– The pilot may request additional separation, but should make this request before taxiing on the runway.	NOTE – <u>1.</u> The pilot may request additional separation, but should make this request before taxiing on the runway.
Add	2. Takeoff clearance to the following aircraft should not be issued until the time interval has passed after the preceding aircraft begins takeoff roll.
f. Separate <u>IFR/VFR</u> aircraft taking off from the same runway or a parallel runway separated by less than 2,500 feet:	f. Separate aircraft taking off from the same runway or a parallel runway separated by less than 2,500 feet (See FIG 3-9-4.):
<u>NOTE–</u> <u>Takeoff clearance to the following aircraft should not be</u> <u>issued until the time interval has passed after the</u> <u>preceding aircraft begins takeoff roll.</u>	Delete
Subparagraph f1 and f2	No change
Add Add	<u>FIG 3-9-4</u> Same Runway Separation
	Departure Behind Departure Needs Wake Turbulence Separation

- 5. <u>INDEX CHANGES:</u> Identifies that terms should be revised, added, or deleted in the index. A "Yes" or "No" will suffice.
- 6. **<u>REFERENCE CHANGES</u>**: If this DCP is connected to more than one order and/or publication, please reference it here in the space provided. This section is used most often with the AIM and AIP since their data is paralleled.
- 7. <u>GRAPHICS</u>: Each graphic should be submitted as a separate file in any of the following acceptable formats: MS Word, PDF, JPEG, TIFF, or BMP. If the graphic fits in the DCP, it should be embedded as well as attached.
- 8. <u>**GENOT/NOTICE:**</u> If there is an associated GENOT/Notice specify it here, as well as the Notice number if known.
- SAFETY RISK MANAGEMENT: Check the appropriate box in accordance with instructions in the Safety Management System Manual, Paragraph 3-2-1 and Paragraph 3-2-2.

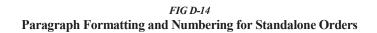
10. ICAO DIFFERENCES:

- a. The ATPS that is assigned the control must coordinate each DCP change with the AJV-P ICAO Differences Coordinator before the control is prepared for the Director. The ICAO Differences Coordinator is required to coordinate any differences with ATO International before they dispatch identified differences for posting in appropriate documents, per Articles 37 and 38 of the ICAO Convention. All DCPs that require differences verification must be submitted in a timely manner to allow for the Director's signature and to meet publications deadlines.
- b. The ICAO Differences Coordinator will certify the verification process by completing the Electronic Filing of Differences (EFOD) form.
- 11. The dropdown menu box contains the signature blocks of managers and directors. The signature authority should follow the requirements stipulated in FAA Order JO 1320.62, Paragraph 2-1.
 - a. **Initial**: For changes to orders for which AJV is not the OPR, AJV-P1 group managers may sign this block to release the DCP to the field for 45-day coordination.
 - b. **Final Disposition/Final Disposition (Initial Not Required)**: The OPR (content owner) or Director responsible for the change must sign the Final DCP.
- 12. The last menu box is for the date. DCPs are dated at the time they are signed.
- 13. When completing a DCP with multiple paragraphs from the same order, use two line spaces between the paragraphs.
- 14. All DCPs must be prepared with Times New Roman using the following font types and sizes:
 - a. DCP: 11 pt, in regular text
 - b. REFERENCES: 8 pt, in Italics

- c. NOTES: 10 pt, in Italics
- d. EXAMPLES: 10 pt, in Italics
- e. PHRASEOLOGY: 10 pt, in Italics
- f. TEXT in Tables: For tabular data, use Arial and a font size between 8 pt and 10 pt that corresponds with the size of the table that must be published.

If the table is too small to be read, the publisher will increase the size of the tabular data so it is legible.

15. Next is the hierarchical format for numbering paragraphs and subparagraphs within DCPs and Orders. You must only have four levels in the paragraph breakdown structure. There is no waiver for using this format; therefore, you must organize your work to meet these requirements. This format also meets the mandate for Section 508 Compliance.



1. Example
a. Another example
(1) Another example
(a) Another example

FIG D-15 Paragraph Formatting and Numbering for Cyclical Orders (e.g., FAA Order JO 7110.65)

1-1-1. TITLE

- a. Example
 - 1. Another Example
 - (a) Another Example
 - (1) Another Example

Appendix E. Sample of a Completed DCP

	Document Change Proposal/I	Briefing Sheet
Order/Publication:	INITIAL	0000
Change:	7110.65 Y KSN Tracking #: 9 2	7777
Effective Date:	July 16, 2020	
	: Natking Estevez AJV-P1 (202) 267–0859
	nawn Lovett AJV-P12 (202) 267-	
Field Office Change Initia	tor: () -	-
1. Paragraph Number and	d Title:	
3–6–1. EQUIPMENT U	ISAGE	
3–6–3. INFORMATION	N USAGE	
3–6–4. RADAR-ONLY	MODE	
3–6–5. SAFETY LOGIC	C ALERT RESPONSES	
statement.		
3. Explanation of Change reason for the change jump	: Begin change explanation with: " off the page. This technique will all of the change, without searching for	
reason for the change jump ounderstanding of the impact 4. Change:	off the page. This technique will all of the change, without searching for	low the reader to get an immediate or it.
 Explanation of Change reason for the change jump understanding of the impact Change: O 	off the page. This technique will all of the change, without searching for LD	low the reader to get an immediate or it. NEW
 Explanation of Change reason for the change jump understanding of the impact Change: O <u>3-6-1. EQUIPMENT US</u> 	off the page. This technique will all of the change, without searching for LD <u>SAGE</u>	low the reader to get an immediate or it.
 Explanation of Change reason for the change jump understanding of the impact Change: O 	off the page. This technique will all of the change, without searching for LD <u>BAGE</u> has of ASDE systems ig the relief briefing, or assuming responsibility	low the reader to get an immediate or it. NEW
 3. Explanation of Change reason for the change jump ounderstanding of the impact 4. Change: O 3-6-1. EOUIPMENT US a. The operational stat must be determined durin as soon as possible after a 	off the page. This technique will all of the change, without searching for LD <u>SAGE</u> <u>tus of ASDE systems</u> <u>ig the relief briefing, or</u> <u>assuming responsibility</u> <u>n.</u> <u>to augment visual</u> <u>iding or departing, and</u> <u>ements on runways and</u>	low the reader to get an immediate or it. NEW Delete
 Explanation of Change reason for the change jump ounderstanding of the impact 4. Change: O <u>3-6-1. EQUIPMENT US</u> <u>a. The operational stat</u> must be determined durin as soon as possible after a for the associated position <u>b. Use ASDE systems</u> observation of aircraft lan aircraft or vehicular moves taxiways, or other parts or 	off the page. This technique will all of the change, without searching for LD <u>SAGE</u> <u>tus of ASDE systems</u> <u>ig the relief briefing, or</u> <u>issuming responsibility</u> <u>n.</u> <u>to augment visual</u> <u>iding or departing, and</u> <u>ements on runways and</u> <u>f the movement area.</u> with safety logic must	low the reader to get an immediate or it. NEW Delete Delete
 Explanation of Change reason for the change jump ounderstanding of the impact Change: O 3-6-1. EQUIPMENT US a. The operational stat must be determined durin as soon as possible after a for the associated position b. Use ASDE systems observation of aircraft lar aircraft or vehicular move taxiways, or other parts on ASDE systems v 	off the page. This technique will all of the change, without searching for LD SAGE tus of ASDE systems ig the relief briefing, or assuming responsibility a. to augment visual iding or departing, and ements on runways and f the movement area. with safety logic must a	low the reader to get an immediate or it. NEW Delete Delete Delete

FIGE-1 Sample of a Completed DCP

(b) When visibility is less than the most distant point in the active movement area,	Delete
or	
(c) When, in your judgment, its use will assist you in the performance of your duties at any time.	Delete
Paragraph 3-6- <u>2</u>	Renumber as 3-6- <u>1</u>
	3-6- <u>2</u> . INFORMATION USAGE
3-6- <u>3</u> . INFORMATION USAGE	No Change
Title through a1 Note	
<u>2. Position aircraft and vehicles using the</u> movement area.	Delete
Subparagraph a <u>3</u> through a <u>6</u>	Renumber as subparagraph $a\underline{2}$ through $a\underline{5}$
No further changes to paragraph	No further changes to paragraph
Add	3-6-3. RADAR-ONLY MODE
Add	Radar-only mode is an enhancement of the ASDE-X and ASSC systems which allows the system to stay operational with safety logic processing, despite a critical fault in the Multilateration (MLAT) subsystem. The system stays in full core alert status under radar-only mode without data block capability.
3-6-4. SAFETY LOGIC ALERT RESPONSES	3-6-4. SAFETY LOGIC ALERT RESPONSE
When the system generates an alert, the controller must immediately assess the situation visually and as presented on the ASDE system display, then take appropriate action as follows:	Delete
Add	After an alert is generated by the system, the controller must instantly evaluate the situation visually and as shown on the ASDE display, then take the following actions:
a. When an arrival aircraft (still airborne, prior to the landing threshold) activates a warning alert, the controller must issue go- around instructions. (Exception: Alerts involving known formation flights, as they cross the	No Change
TO DCP FORM 3101-01 (04/22/19)	2

landing threshold, may be disregarded if all other factors are acceptable.)

<u>NOTE-</u>

The intent of this paragraph is that an aircraft does not land on the runway, on that approach, when the safety logic system has generated a warning alert. A side-step maneuver or circle to land on another runway satisfies this requirement.

REFERENCE-

FAAO JO 7110.65, Para 3-8-1, Sequence/Spacing Application. FAAO JO 7110.65, Para 3-9-6, Same Runway Separation. FAAO JO 7110.65, Para 3-10-3, Same Runway Separation. PICG Term- Go Around.

b. When <u>two</u> arrival aircraft, or an arrival aircraft and a departing aircraft activate an alert, the controller will <u>issue go-around instructions</u> <u>or</u> take appropriate action to ensure intersecting runway separation is maintained.

REFERENCE-

 EAA.0.10 7110.65, Para 3-9-8, Intersecting Runway/Intersecting Flight Path Operations, EAA.0.10 7110.65, Para 3-10-4, Intersecting Runway/Intersecting Elight Path Separation.

c. For other safety logic system alerts, issue instructions/clearances based on good judgment and evaluation of the situation at hand.

3-6-5. RADAR-ONLY MODE

Radar-only mode is an enhancement of the ASDE-X and ASSC systems which allows the system to stay operational with safety logic processing, despite a critical fault in the Multilateration (MLAT) subsystem. The system stays in full core alert status under radar-only mode without data block capability.

> Add Add

> > Add

Delete

Delete

b. When <u>three</u> arrival aircraft, or an arrival and a departing aircraft activate an alert, the controller will take appropriate action to ensure intersecting runway separation is maintained.

Delete

No Change

Delete

Delete

3-6-5. EQUIPMENT USAGE

a. The operational status of ASDE systems must be determined during the relief briefing, or as soon as possible after assuming responsibility for the associated position.

b. Use ASDE systems to augment visual observation of aircraft landing or departing, and aircraft or vehicular movements on runways and taxiways, or other parts of the movement area.

ATO DCP FORM 3101-01 (04/22/19)

3

FIG E-4

Add	<u>1. ASDE systems with safety logic must</u> be operated continuously.
Add	2. ASDE systems without safety logic must be operated:
Add	(a) <u>Continuously between sunset and</u> <u>sunrise.</u>
Add	(b) When visibility is less than the most distant point in the active movement area, or
Add	(c) <u>When, in your judgment, its use</u> will assist you in the performance of your duties at any time.
1	No further changes to paragraph.
5. Index Changes: No	
6. Reference Changes: No	
7. Graphics: No	
8. Genot/Notice: No	
9. Safety Risk Management: (Check	k appropriate box).
by an SRM panel, and the pane	. In this scenario, a NAS change or existing safety issue is assessed el perceives or determines that hazards could be introduced or that fer to SMS Manual, Section 5.4.3.)
	rds. An SRM panel uses an SRM document to reflect a safety tt did not reveal new hazards or any perceived or calculated increase Janual, Section 5.4.3.)
performing a Safety Analysis a Paragraph 3.2.1. Note that edite the substantive elements of a pr	quired. The proposed change does not meet the requirements for is highlighted in the ATO Safety Management System Manual, orial and administrative changes (i.e., any changes that do not affect rocedure or system) do not require SRM.
10. ICAO Differences: Yes 🗌 No	
	Date:

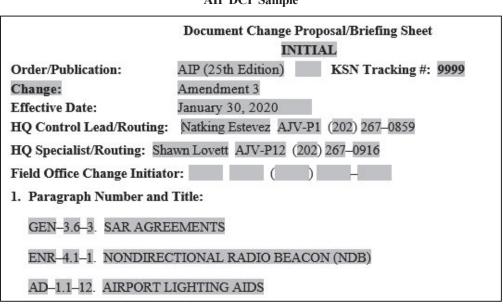


FIG E-5 **AIP DCP Sample**

NOTE-

The first box is for the part of the AIP (GEN, ENR, or AD), the second box is for the section number, the third box is for the paragraph number, and the final box is for the paragraph title. Ensure the top level paragraph is being used, not a subparagraph.

	P/CG	DCP Sample
	Docum	ent Change Proposal/Briefing Sheet INITIAL
Order/Publication:	PCG	KSN Tracking #: 9999
Change:	2	
Effective Date:	July 16,	, 2020
HQ Control Lead/Routing:	Natking	g Estevez AJV-P1 (202) 267–0859
HQ Specialist/Routing: Sha	wn Love	tt AJV-P12 (202) 267-0916
Field Office Change Initiate	or:	() -
1. Paragraph Number and	Title:	
H HOVER CHECK		
R RADAR ENVIRO	MENT	
R ROTOR WASH		

FIG E-6

NOTE-

P/CG changes should list the section in the first box and the term in the last box. Due to the structure of the DCP template, there will be unsused boxes; a single space can be inserted into empty boxes to collapse them.

<i>FIG E-7</i>
Electronic Filing of Differences (EFOD) Form

Annex Reference	Standard or Recommended Practice	State Legislation, Regulation or Document Reference	Level of implementation of SARP's	Text of the difference to be notified to ICAO	Comments including the reason for the difference

Appendix F. Procedures for Orders/Publications that Do Not Require a DCP

1. FAA Order JO 7340.2, Contractions

- **a.** Changes to Chapter 3, Sections 1 through 3, of this order are generated from Aeronautical Information Services (AJV-A), which serves as the OPR. The following steps are then executed every cycle:
- **b.** The AJV-P12 publisher initiates an email request to AJV-A for an update to FAA Order JO 7340.2 in advance of the cutoff date.
- **c.** Once the data run is complete and the files are available for download, the AJV-A representative emails the location of the files to the AJV-P12 publisher.
- **d.** The AJV-P12 publisher copies the files and begins the formatting procedures.

NOTE-

The AJV-P12 publisher is not responsible for the data provided and is solely responsible for formatting the contents received. However, errors in the data should be forwarded to the Correspondence Mailbox for resolution by AJV-A.

- e. Changes to Chapter 2 and Chapter 3, Section 4, are sent via email directly to the AJV-P12 publisher by the OPR on or before the cutoff date (as stipulated in FAA Order JO 7340.2) for timely inclusion in the publication. A cover letter and/or signed memorandum from the division level or above should also accompany submissions.
- **f.** Changes to the remaining chapters within the order are submitted in accordance with guidelines set forth in FAA Order JO 7000.5.

2. FAA Order JO 7350.9, Location Identifiers

All changes to this order are generated from AJV-A, which serves as OPR. The following steps are then executed every cycle:

- **a.** The AJV-P12 publisher initiates an email request to AJV-A for an update to FAA Order JO 7350.9 in advance of the cutoff date.
- **b.** Once the data run is complete and the files are available for download, the AJV-A representative emails the location of the files to the AJV-P12 publisher at <u>9-ATOR-HQ-PubGrp@faa.gov</u>.
- c. The AJV-P12 publisher copies the files and begins the formatting procedures.

NOTE-

The AJV-P12 publisher is not responsible for the data provided. He or she is solely responsible for formatting the contents received. However, errors in the data should be forwarded to the Correspondence Mailbox for resolution by AJV-A.

3. Domestic Notices

a. Domestic Notices are special notices or notices containing graphics pertaining to almost every aspect of aviation, such as military training areas, large scale sporting events, air show information, Special Management Programs (STMPs), and airport-specific information. They are published on the following website: https://www.faa.gov/air_traffic/publications/domesticnotices/.

- **b.** Data in this section are updated continuously. All submissions for inclusion in this section must have regional office approval and be submitted to AJV-P through the regional office. Submissions, as well as inquiries, should be addressed to <u>9-ATOR-HQ-PubGrp@faa.gov</u>.
- **c.** Notices for events requiring Special Traffic Management Programs (STMP) should be coordinated following the procedures in FAA Order JO 7210.3, Facility Operation and Administration.
- **d.** Submissions should be sent to AJV-P well in advance of, but no later than, 28 days prior to, the effective date of the Domestic Notices edition to ensure adequate lead-time for inclusion. Notices submitted for inclusion are published no earlier than two publication cycles (56 day periods) prior to the cycle in which the notice becomes effective. Special notices capture special events, like the Super Bowl, and are generally published on the Domestic Notices website for two consecutive publication cycles before their effective date. Notices that are more permanent in nature may be published until transferred to other appropriate Air Traffic Publications.
- e. With the exception of dated special events, any notice submitted for inclusion must include the following information at the end of the notice: submitting office and date of the submission (e.g., AJV-P, 10/10/2019). In addition, all electronic submissions should specify a time frame in which to expect the removal of the notice from the website. Regional offices should notify AJV-P12 when notices no longer need to be published.
- **f.** Text files should be submitted as Word documents. Any graphics submitted for inclusion must be of high quality; FAX copies will not be accepted. Graphics should be submitted in one of the following formats: GIF, JPEG, TIFF, BMP, or PDF. Please do not submit graphics with a ".doc" file extension. Each graphic must be submitted as a separate attachment. Graphic notices may be submitted in color or black and white. Avoid using white text in any graphic. Copyrighted materials, such as maps, should not be submitted for publication without written permission of the copyright owner.

4. International Notices

- **a.** International Notices are notices containing flight prohibitions, potential hostile situations, or other international/foreign oceanic airspace matters. Temporary data will be repeated in each issue until the condition ceases to exist. They are published on the following website: <u>https://www.faa.gov/air_traffic/publications/internationalnotices/</u>
- b. Submissions should be sent to AJV-P well in advance of, but no later than 28 days prior to, the effective date of the International Notices edition to ensure adequate lead-time for inclusion. Any notice submitted for inclusion must include the following information at the end of the notice: submitting office and date of the revision (e.g., AJV-P, 10/10/2019). In addition, all submissions should specify a time frame in which to expect the removal of the notice from the publication. Submitting offices should notify AJV-P when notices no longer need to be published.
- **c.** Text files should be submitted as Word documents. Any graphics submitted for inclusion must be of high quality; FAX copies will not be accepted. Electronic submissions are required and should be addressed to <u>9-ATOR-HQ-PubGrp@faa.gov</u>. Graphics should be submitted in one of the following formats: GIF, JPEG, TIFF, BMP, or PDF. Please do not

submit graphics with a ".doc" file extension. Each graphic must be submitted as a separate attachment. Graphic notices may be submitted in color or black and white. Avoid using white text in any graphic. Copyrighted materials, such as maps, should not be submitted for publication without written permission of the copyright owner.

d. Permanent data will be carried until it is sufficiently published or is available in other permanent sources.

5. FAA Order JO 7930.2, Notices to Airmen (NOTAM)

- **a.** Due to the infrequent publishing schedule of this order, the quantity of changes received for any one cycle from System Operations, Flight Services, U.S. NOTAM Governance and Operations, can be vast. In such cases, the consolidated submission will be treated as a *rewrite*, where the submission package is forwarded to the Correspondence Mailbox as a running Word document.
- **b.** In cases where less than 50 percent of the book is being changed, a DCP is the preferred method of submitting change(s). If you are unsure of which method to use, please seek the counsel of AJV-P12 prior to submission.
- **c.** A cover letter and/or signed memorandum from the division level or above must also accompany submissions.

6. Aeronautical Information Publication (AIP):

The AIP exists primarily to satisfy international requirements for the exchange of aeronautical information of a lasting character essential to air navigation. The AIP is prepared in accordance with the Standards and Recommended Practices of Doc 4444, Annex 15, Chapter 4. Changes to the AIP are coordinated and approved at the OPR level. Prior to submission for publication, the OPR must thoroughly review the material in order to make certain all necessary information has been included and that it is correct in detail. AJV-P is responsible for the consolidation and publication of the information submitted individually by each OPR.

a. General Section (GEN) 1.7, Differences from ICAO Standards, Recommended Practices and Procedures

- (1) GEN 1.7 is an exhaustive list (in tabular format) of differences from ICAO Standards, Recommended Practices and Procedures. This section may be updated in its entirety to reconcile the information contained within the AIP with that of ICAO. To streamline the submission, it is better translated as a running Word document rather than parsed out as individual DCPs. The consolidated submission must be forwarded to the AJV-P Correspondence Mailbox.
- (2) In cases where individual differences are updated, a DCP is the preferred method of submitting change(s), but a completed Electronic Filing of Differences (EFOD) form will also be accepted.
- (3) A cover letter and/or signed memorandum from the division level or above must also accompany submissions.
- **b.** Aerodromes Section (AD) 2, Aerodromes. Changes to AD 2 are generated from Aeronautical Information Services (AJV-A), which serves as the OPR. The following steps are then executed every cycle:

- (1) The AJV-P12 publisher initiates an email request to AJV-A for a new generation of the AIP Aerodromes data in advance of the cutoff date.
- (2) Once the data run is complete, the AJV-A representative emails the files to the AJV-P12 publisher.
- (3) The AJV-P12 publisher begins the formatting procedures.

The AJV-P12 publisher is not responsible for the data provided and is solely responsible for formatting the contents received. However, errors in the data should be forwarded to the Correspondence Mailbox for resolution by AJV-A.

- **c.** Appendix 1, ATS Routes. Changes to Appendix 1 are generated from AJV-A, which serves as the OPR. The following steps are then executed at the end of each calendar year:
 - (1) The AJV-P12 publisher initiates an email request to AJV-A for a pdf copy of the Minimum En Route IFR Altitudes Over Particular Routes and Intersections manual as soon as it is finalized for inclusion into the AIP.
 - (2) Once the manual is finalized, the AJV-A representative emails it to the AJV-P12 publisher.

7. FAA Order JO 7110.67, Air Traffic Management Security Services for Special Activities

- **a.** System Operations Security (AJR-2) serves as OPR and generates changes to Appendices 1 and 2 of this Order. The changes are sent via email directly to the AJR-0 publisher by the OPR on or before the cutoff date for timely inclusion in the publication. A cover letter and/or signed memorandum from the division level or above should accompany submissions.
- **b.** Changes to other sections or paragraphs of the Order are submitted in accordance with guidelines set forth in FAA Order JO 7000.5.
 - (1) The AJV-P12 publisher begins the formatting procedures and includes the data in the next iteration of the AIP based on the Aeronautical Information Regulation and Control (AIRAC) schedule.

NOTE-

The AJV-P12 publisher is not responsible for the data provided and is solely responsible for formatting the contents received. However, errors in the data should be forwarded to the Correspondence Mailbox for resolution by AJV-A.