SUBJ: Clarification on the Applicability of Change 9 Regarding the Implementation of the FAA Compliance Philosophy

1. Purpose. On September 3, 2015, Chapter 5 of FAA Order 2150.3B was changed to adopt new guidance for carrying out the FAA’s compliance and enforcement program in connection with the FAA Compliance Philosophy.

2. Who this change affects. The change affects all agency legal counsel who process enforcement actions and all lines of business and program offices having an oversight function.

3. Explanation of Policy Change. Change 10 is being issued to clarify the applicability of the guidance in Change 9. As of September 3, 2015, regulatory noncompliance is to be evaluated by FAA personnel under the guidance in Change 9. The guidance in Change 9 does not apply to regulatory noncompliance that was the subject of enforcement investigative reports that were referred to the Office of the Chief Counsel for legal enforcement action before September 3, 2015.

4. Disposition of Transmittal Paragraph. Retain this transmittal sheet until the Order directive is cancelled by a new directive. No pages are being added or deleted.

5. Administrative Information. This Order change is distributed to divisions and branches in Washington headquarters, regions, and centers and to all field offices and facilities.

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Administrator