

**CHANGE**

**U.S. DEPARTMENT OF TRANSPORTATION  
FEDERAL AVIATION ADMINISTRATION**

**ORDER 8130.21E  
CHG 2**

National Policy

Effective Date:  
2/4/2008

**SUBJ:** Procedures for Completion and Use of the Authorized Release Certificate,  
FAA Form 8130-3, Airworthiness Approval Tag

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**1. Purpose.** This change incorporates the following revised guidance for the issuance of Federal Aviation Administration (FAA) Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag:

- a.** Paragraph 401(d) was changed to allow the issuance of export Form 8130-3 for class II and III products located outside the United States.
- b.** Paragraph 402(e) was changed to allow manufacturing designated airworthiness representatives (DARs) and maintenance DARs, when authorized, to issue export Form 8130-3 for class III products at qualified accredited distributor facilities.
- c.** Paragraph 402(e)(1) through (4) were added to provide certain limitations when authorized DARs are to issue export Form 8130-3 at qualified accredited distributor facilities.
- d.** Figure 4-3 Remarks block was changed to read "Direct Shipment Authorization."

**2. Who this change affects.** This order applies to persons and organizations who are authorized to complete and use Form 8130-3 for products, parts, and appliances.

**3. Disposition of Transmittal Paragraph.** Retain this transmittal sheet until the directive is cancelled by a new directive.

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**Frank P. Paskiewicz**  
**Manager**  
**Production and Airworthiness Division, AIR-200**

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## **CHAPTER 4. EXPORT AIRWORTHINESS APPROVALS OF CLASS II AND III PRODUCTS (AND PARTS THEREOF)**

### **401. GENERAL INFORMATION ON EXPORT AIRWORTHINESS APPROVALS.**

**a.** Part 21, subpart L contains the procedural requirements for application for and issuance of export airworthiness approvals (in the form of Form 8130-3) for class II and III products and parts thereof. Written applications are not required for class II products manufactured by a PC holder nor class III products produced by a PAH. In these cases, oral applications or requests may be made to the FAA. Oral applications for class III products are made to the FAA designee authorized to issue these approvals. The authorized FAA ASI, DAR, DMIR, DOA, ODA, or ODAR is authorized to perform this function to determine the products conform to the FAA-approved design data, and to determine whether the importing country requires any special conditions and that the products are in a condition for safe operation. If the PAH knows the class II or class III part or appliance will be installed on a non-U.S.-registered aircraft, or on an aircraft registered in a country where the CAA requires an export airworthiness approval, then the approval must be accomplished regardless of the aircraft's location. Form 8130-3 does not constitute approval to install a product, part, or appliance on a particular aircraft, aircraft engine, or propeller.

**b.** The country of import may have a requirement that the FAA certify that the exported product conforms to that country's CAA-approved design approval; this is similar to the requirement placed on a CAA to certify that products exported to the United States meet the FAA-approved type design in accordance with part 21, subpart N, Approval of Engines, Propellers, Materials, Parts, and Appliances. The check in Block 14 ("Approved design data and are in a condition for safe operation") indicates that the product meets the CAA- and FAA-approved design and is in a condition for safe operation.

**(1)** It is the exporter's responsibility to meet the special import requirements of the country to which the product is being shipped. It is the responsibility of the exporter to obtain sufficient data that verifies the product being exported conforms to the importing country's design approval (if any) and any special import requirements.

**(2)** The special import requirements are referenced in AC 21-2, Export Airworthiness Approval Procedures, and applicable BASA IPAs.

**(3)** If a statement is requested by the country of import to document that country's design approval data and no such corresponding design approval data is available, a statement to that effect must be written in Block 13.

**(4)** The following instructions are to be followed before issuing an export airworthiness approval:

**(a) Review.** When a written application is required, part II of Form 8130-1, Application for Export Certificate of Airworthiness, must be reviewed to determine its accuracy and the validity of the eligibility of the product being submitted for FAA export approval. Designees will maintain records of the inspection and issuance or denial of Form 8130-3. These records must be made available for review and evaluation as requested by FAA personnel. Form 8130-1 may be documented electronically instead of formally populating, printing, signing, and retaining it in the paper format.

**(b) Product inspection.** When the application is determined acceptable, the product must be inspected to the extent necessary to ensure it conforms to the FAA-approved design data, and is in a condition for safe operation, is properly identified, and meets any design or special requirements of the importing country. The FAA managing office must make the determination of whether a Form 8100-1 has to be completed for each Form 8130-3 issued for export based on the PAH's quality system's health and/or the designee's previous history, experience, or performance, or if the information can be stored and retrieved in another format (for example, electronic database). If required by the FAA managing office responsible for the designee/designee organization, each designee authorized to issue class II and III product approvals for export will document the inspection results on Form 8100-1 for periodic review and evaluation by the FAA.

**1** When documenting the "nomenclature of item inspected" in Block 9 of the Form 8100-1, also include the form tracking number (Block 3) and item number (Block 6) from the Form 8130-3 completed for the product export airworthiness approval.

**2** When applicable, Form 8100-1 must include the results of the inspection, date of issuance, country of destination, description of product, and manufacturer's invoice or shipping document number.

**(c)** In the case where a product, part, or appliance is presented for inspection for the issuance of Form 8130-3, and the product, part, or appliance is sealed in a package that does not afford a visible inspection, the authorized person must request to see the objective evidence to determine that the appropriate inspections were conducted and approved before the issuance of Form 8130-3.

**c.** Splitting of previously exported bulk shipments by a PAH or a PAH's associate facility is not within the control or jurisdiction of the FAA. Therefore, once products, parts, or appliances are exported, those items would be under the control or jurisdiction of the receiving authority.

\* **d.** Form 8130-3 may be issued for class II and III products outside the United States if the FAA finds no undue burden in administering the applicable requirements in accordance with § 21.325(b)(4). \*

**e.** Form 8130-3 may be obtained through normal distribution channels from the Logistics Center, AML-8000, P.O. Box 25082, Oklahoma City, Oklahoma 73125. The telephone number is 405-954-8900 (ask for the Forms Inventory Manager). Form 8130-3 also is available from the Customer Care Center, AML-30, at 405-954-3793 or toll free at 1-888-322-9824, or may be obtained on the Internet at <http://www.faa.gov/aircraft>. The stock number for Form 8130-3 is 0052000129005.

**f.** Form 8130-3 must be completed as detailed in paragraph 405 of this order. All entries must be made in permanent ink and be in the English language. If any block on Form 8130-3 is not large enough to contain the required information, a separate page (for example, a packing list) should be used and referenced in the appropriate block (see figure 2-3 of this order). (Affix the form tracking number for the Form 8130-3 on all additional pages.) Samples of a Form 8130-3 for export airworthiness approval are found in figures 4-1, 4-2, and 4-3 of this order.



**402. NEW AND NEWLY OVERHAULED PRODUCTS.**

a. Export approvals for new and newly overhauled class II products may be issued in accordance with § 21.331. Export approvals for new class III products may be issued in accordance with § 21.333.

b. Under §§ 21.331(a)(1) and 21.333(a)(1), the applicant must show that its class II and III products meet the approved design data. In the case of products newly overhauled in accordance with § 43.2, approved design data refers to instructions for continued airworthiness that may be supplied by the holder of the design approval for the product or part in accordance with § 21.50. For overhauled products, FAA-approved/accepted data may have been developed to accomplish the maintenance function in order to comply with § 43.2.

c. Under §§ 21.331(a)(4) and 21.333(a)(3), the applicant must show that the products comply with the special requirements of the importing country.

d. Newly overhauled parts, products, or appliances reidentified in accordance with approved/accepted data and maintenance practices may be exported using the guidance contained in chapter 4 of this order.

\* e. Qualified members of the Aviation Suppliers Association may apply for an export airworthiness approval for class III products, subject to the following conditions and limitations:

(1) The member must employ a DAR with experience and privileges to issue export Forms 8130-3 for class III products (function code 20 or 32) using the guidance in chapter 4 of this order.

(2) The member must be an accredited facility as described in AC 00-56 and must be listed in the Aviation Suppliers Association database registry for that program.

(3) The member must comply with all regulatory requirements for the export of class III products, as identified in part 21, subpart L.

(4) The Aviation Suppliers Association must maintain a list of all members qualified to issue export airworthiness approvals for class III products. This list must be available to the public through the Aviation Suppliers Association Web site (<http://www.aviationsuppliers.org>) and must be provided to any interested person upon request.

\*

**403. USED PRODUCTS.** Used class II and III products are not eligible for an export airworthiness approval unless the importing country's CAA specifically agrees to accept used products in accordance with §§ 21.325(c) and 21.327(e)(4). These regulations require a written statement (acceptance letter) from the importing country's CAA, submitted by the applicant, acknowledging the status of the products being exported and that such an export approval is acceptable. However, when such a request is made, it must be processed as required by §§ 21.325(c) and 21.331(b), or § 21.333(b).

**404. PMA PARTS.** The following applies when exporting PMA parts using Form 8130-3:

**a.** Various BASA IPAs with countries have specific additional requirements for the acceptance of U.S. PMA parts into those countries. The applicable IPA should be reviewed for the specific provisions associated with PMA parts.

**b.** When a particular BASA IPA requires such a specific provision for PMA parts, statements must be entered in Block 13, if applicable.

**c.** The determination of a PMA part's criticality, as required to be entered in Block 13 when exported to certain European countries, can only be determined by the actual design approval holder (that is, the FAA-PMA holder).

**d.** The text of all BASA IPAs can be found on the Aircraft Certification Service Web site under International Aircraft Certification at [http://www.faa.gov/aircraft/air\\_cert/international/bilateral\\_agreements](http://www.faa.gov/aircraft/air_cert/international/bilateral_agreements).

**Figure 4-2. Sample Form 8130-3 for Export Airworthiness Approval for a New Subcomponent for a TSO Authorization Part or Article**

1. Approving National Aviation Authority/Country: FAA/United States		2. <b>AUTHORIZED RELEASE CERTIFICATE</b> FAA Form 8130-3, AIRWORTHINESS APPROVAL TAG				3. Form Tracking Number: ACE 2345	
4. Organization Name and Address: Ace Instrument Company, 1224 Wiley Post Drive, Oklahoma City, OK (PT0906SW)						5. Work Order/Contract/Invoice Number: WO 2020	
6. Item:	7. Description:	8. Part Number:	9. Eligibility: *	10. Quantity:	11. Serial/Batch Number:	12. Status/Work:	
1	Gimbal Ring	RI 4586	N/A	1	N/A	NEW	
13. Remarks:  Export airworthiness approval  This part is a subcomponent of a TSO article.							
14. Certifies the items identified above were manufactured in conformity to:  <input checked="" type="checkbox"/> Approved design data and are in a condition for safe operation. <input type="checkbox"/> Non-approved design data specified in Block 13.				19. <input type="checkbox"/> 14 CFR 43.9 Return to Service <input type="checkbox"/> Other regulation specified in Block 13 Certifies that unless otherwise specified in Block 13, the work identified in Block 12 and described in Block 13 was accomplished in accordance with Title 14, Code of Federal Regulations, part 43 and in respect to that work, the items are approved for return to service.			
15. Authorized Signature: <i>A. Inspector</i>		16. Approval/Authorization No.: DMIR-003333-SW		20. Authorized Signature:		21. Approval/Certificate No.:	
17. Name (Typed or Printed): A. Inspector		18. Date (m/d/y): Oct/25/2005		22. Name (Typed or Printed):		23. Date (m/d/y):	
<b>User/Installer Responsibilities</b>							
<p>It is important to understand that the existence of this document alone does not automatically constitute authority to install the part/component/assembly.</p> <p>Where the user/installer performs work in accordance with the national regulations of an airworthiness authority different than the airworthiness authority of the country specified in Block 1, it is essential that the user/installer ensures that his/her airworthiness authority accepts parts/components/assemblies from the airworthiness authority of the country specified in Block 1.</p> <p>Statements in Blocks 14 and 19 do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.</p>							

FAA Form 8130-3 (6-01)

\*Installer must cross-check eligibility with applicable technical data.

NSN: 0052-00-012-9005



**405. BLOCK-BY-BLOCK INSTRUCTIONS FOR COMPLETING FORM 8130-3 FOR EXPORT AIRWORTHINESS APPROVALS.**

**a. Block 1. Approving National Aviation Authority/Country.** FAA/United States.  
(Preprinted.)

**b. Block 2. Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag.** (Preprinted.)

**c. Block 3. Form Tracking Number.** Enter the unique number established by the numbering system. (Refer to paragraph 401j of this order.)

**d. Block 4. Organization Name and Address.**

(1) Enter the full name and physical address (no post office box numbers) of the organization or facility for which the form is being issued, and the organization or facility certificate or project number (for example, certificate No. PC 700 or PQ0123CE), if applicable. If the organization or facility does not hold a certificate or project number, no particular entry is required (as in the case of an accredited distributor). If the PAH is unsure of its project number, consult the FAA managing office for assistance. For accessibility purposes, it is optional to enter an e-mail address or telephone number in this block.

**NOTE: In the case where Form 8130-3 is issued at a PAH's extension facility and that facility is issued its own project number by the geographic managing office, that project number will be used, along with the full name and address of the extension facility.**

(2) When a supplier has direct shipment authorization from a PAH, the following information must be entered:

(a) PAH name and address.

(b) Supplier name and address.

(c) PAH certificate or project number (for example, certificate No. PC 700 or PQ0123CE). If the supplier is unsure what number to use, consult the PAH for assistance.

(3) If a supplier produces a product or part as a replacement or modification part, the supplier must either have direct shipment authorization or hold a production approval (PMA/TSO authorization) for each replacement or modification product or part shipped. If the supplier holds its own production approval, and the products, parts, and appliances were manufactured and are being shipped under that approval, the information required in paragraph 405d(1) must be listed.

**e. Block 5. Work Order/Contract/Invoice Number.**

(1) Fill in the work order number, contract number, and/or invoice number related to the shipment list, or maintenance release authorization number, and state the number of pages attached to the form, including dates, if applicable. If the shipment list contains the information required in

Blocks 6 through 12, the respective blocks may be left blank if an original or true copy of the list is attached to the form. In this case, the following statement must be entered in Block 13: "This is the certification statement for the products, parts, and appliances listed on the attached document dated \_\_\_\_\_, containing pages \_\_\_\_\_ through \_\_\_\_\_." (See figure 2-3 of this order.)

(2) In addition, the shipment list must cross-reference the form tracking number located in Block 3. The shipment list may contain more than one item, but it is the responsibility of the shipper to determine whether the CAA of the importing country will accept bulk shipments under a single Form 8130-3. If the CAA does not permit bulk shipments under a single form, Blocks 6 through 12 of each form must be filled in for each product, part, and appliance shipped.

(3) If work order/contract/invoice number is not available, enter "N/A."

**f. Block 6. Item.** When Form 8130-3 is issued, a single item number or multiple item numbers (for example, same item with different serial numbers) may be used for the same part number. Multiple items must be numbered in sequence, although not necessarily beginning with the number one (for example, 0040, 0050, 0062, 0063). If a separate listing is used, enter "List Attached" (refer to paragraph 405e of this order for further instructions).

**g. Block 7. Description.** Enter the name or description of the product, part, or appliance as shown on the design data.

**h. Block 8. Part Number.** Enter each part number of the product, part, or appliance.

**i. Block 9. Eligibility.** Enter "N/A."

**j. Block 10. Quantity.** Enter the quantity of each product, part, or appliance shipped.

**k. Block 11. Serial/Batch Number.** If the part is required by § 45.14 to be marked with a serial number or equivalent, enter the serial number or equivalent identified on the part. If a serial number or equivalent is not required under § 45.14 to be marked on the part, enter "N/A." Manufacturing process control numbers or other such serial numbers are not required by § 45.14 and must not be entered in Block 11.

**l. Block 12. Status/Work.** The following table describes what to enter in a specific situation. Only one term may be entered in Block 12, which should reflect the majority of the work performed.

<i>Enter—</i>	<i>For—</i>
"NEW"	newly manufactured products and parts.
"OVERHAULED"	those products overhauled in accordance with § 43.2.
"PROTOTYPE"	products, parts, and appliances submitted to support type certification programs.
"INSPECTED," "REPAIRED," or "MODIFIED"	other situations, as appropriate.

**NOTE: The term "INSPECTED" includes testing of products, parts, and appliances.**

**m. Block 13. Remarks.** Enter any information or references to support documentation necessary for the user or installer to make a final determination of airworthiness of the items listed in Block 6. Each statement must specify which item identified in Block 6 is related (if applicable). Examples of information (all of which may not be required depending on the product) to be supplied are as follows: (If additional space is needed, a separate sheet may be used and attached.)

(1) The purpose of this form (for example, export, this part meets the special requirements of the (enter name of country)).

(2) Part number list or attachment when multiple part numbers are used.

(3) Compliance with ADs or SBs.

(4) Information on life-limited parts (for example, total time, total cycles, time since new).

(5) Manufacturing, cure, or shelf-life data, and all restrictions of the products, parts, or appliances listed on the form (for example, shelf-life or storage restrictions).

(6) Drawing number and revision level.

(7) For the purposes of export only, "NEWLY OVERHAULED" may be entered in Block 13 to describe those products not operated or placed in service since overhaul. If "NEWLY OVERHAULED" is identified in Block 13, Blocks 14 through 18 must be completed. Enter "see Block 13" in Block 12 when this paragraph applies.

(8) When used by authorized suppliers with properly documented direct shipment authority from the PAH, the words "DIRECT SHIPMENT AUTHORIZATION" must be entered in Block 13, and the information from paragraph 405d(2) of this order must be entered in Block 4. (See figure 4-3 of this order.)

(9) When used for export approval for class II and III used products, parts, and appliances returned to service based on the requirements of part 43, the words "USED (PRODUCT/PART), SHIPPED PER COUNTRY ACCEPTANCE LETTER OF USED PRODUCT/PART)" must be entered. Refer to paragraph 403, which stipulates that the importing authority must submit a written statement accepting used products and parts. (Not necessary for newly overhauled class II products, parts, and appliances).

(10) When used for an export for a new subcomponent of a PMA/TSO authorization part or article higher assembly, complete Form 8130-3 with the subcomponent information, and enter a statement in Block 13 indicating that the part or article is a subcomponent of a PMA or TSO authorization (for example, "This part is a subcomponent of a PMA/TSO authorization"). (See figures 4-2 of this order.)

(11) If a statement is requested by the country to which the product is being exported, to document that country's design approval data and no such corresponding design approval data is available, a statement to that effect must be written in Block 13.

(12) If the manufacturer holds the type design data for replacement parts produced under an STC, "Produced by the STC design approval holder" must be entered in Block 13.

**Figure 4-3. Sample Form 8130-3 for a Direct Shipment Authorization**

1. Approving National Aviation Authority/Country: FAA/United States		2. <b>AUTHORIZED RELEASE CERTIFICATE</b> FAA Form 8130-3, AIRWORTHINESS APPROVAL TAG				3. Form Tracking Number: 991004327	
4. Organization Name and Address: Original Parts Manufacturing Corporation, 6210 Wing Avenue, Anyplace, AL (PQ02269CE) Everybody's Aircraft Supply Co., 810 Red Baron Way, Hooterville, OK 74032						5. Work Order/Contract/Invoice Number: WO 2020	
6. Item:	7. Description:	8. Part Number:	9. Eligibility: *	10. Quantity:	11. Serial/Batch Number:	12. Status/Work:	
1	Wing Tip	AE637781-1	N/A	5 ea.	N/A	New	
13. Remarks:  EXPORT – THIS PART MEETS THE SPECIAL REQUIREMENTS OF (ENTER COUNTRY)  DIRECT SHIPMENT AUTHORIZATION							
14. Certifies the items identified above were manufactured in conformity to:  <input checked="" type="checkbox"/> Approved design data and are in a condition for safe operation. <input type="checkbox"/> Non-approved design data specified in Block 13.				19. <input type="checkbox"/> 14 CFR 43.9 Return to Service <input type="checkbox"/> Other regulation specified in Block 13 Certifies that unless otherwise specified in Block 13, the work identified in Block 12 and described in Block 13 was accomplished in accordance with Title 14, Code of Federal Regulations, part 43 and in respect to that work, the items are approved for return to service.			
15. Authorized Signature: <i>A. Inspector</i>		16. Approval/Authorization No.: DMIR-00243-CE		20. Authorized Signature:		21. Approval/Certificate No.:	
17. Name (Typed or Printed): A. Inspector		18. Date (m/d/y): Apr/13/2006		22. Name (Typed or Printed):		23. Date (m/d/y):	
<b>User/Installer Responsibilities</b>							
It is important to understand that the existence of this document alone does not automatically constitute authority to install the part/component/assembly. Where the user/installer performs work in accordance with the national regulations of an airworthiness authority different than the airworthiness authority of the country specified in Block 1, it is essential that the user/installer ensures that his/her airworthiness authority accepts parts/components/assemblies from the airworthiness authority of the country specified in Block 1. Statements in Blocks 14 and 19 do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.							

FAA Form 8130-3 (6-01)

\*Installer must cross-check eligibility with applicable technical data.

NSN: 0052-00-012-9005

**n. Block 14. Airworthiness Approval.** Place a check in the "Approved design data and are in a condition for safe operation" box if the products, parts, and appliances were manufactured using FAA-approved design data and found to be in a condition for safe operation. Checking this box and signing Block 15 means that the products, parts, and appliances listed on the form meet the FAA-approved design data, are in a condition for safe operation, and, in the case of export, meet the importing country's design approval and meet the special requirements of that importing country. Also, if "NEWLY OVERHAULED" is identified in Block 13, check this box.

**o. Block 15. Authorized Signature.** The authorized FAA ASI or person with the appropriate function codes in accordance with Order 8100.8 signs in this block. The approval signature must be applied at the time and place of issuance and manually applied, except as provided in paragraph 401n of this order.

**p. Block 16. Approval/Authorization No.** Enter the approval/authorization number of the authorized representative/organization identified in Block 15. If signed by an FAA inspector, the authorization number is the applicable office identifier.