

U.S. DEPARTMENT OF TRANSPORTATION FEDERAL AVIATION ADMINISTRATION

Eastern Region Policy



Effective Date: 12/17/09

SUBJ: Regional Administrator's Honorary Awards Program

- **1. Purpose of this Order:** This Order establishes the Regional Administrator's Honorary Awards Program and provides basic policy and procedural guidance on how this program shall be implemented. It also incorporates the FAA External Awards Program.
- 2. Audience: All Eastern Region employees.
- **3. Where Can I Find this Order:** You can find this order on the Directives Management System (DMS) website: https://employees.faa.gov/tools_resources/orders_notices.
- **4. Cancellation**: Regional Administrator's Honorary Awards Program, Order EA 3450.6B, dated November 6, 2006 is cancelled.

5. Program Responsibilities:

- **a.** The Regional Administrator is responsible for providing personal leadership, resource support, and for reviewing selections and program results.
- **b.** The Human Resource Management Office is responsible for administering policies and procedures.
- **c.** Division Managers, Engineering Services Managers and Staff Officers are responsible for participating and actively supporting the program and for endorsing the nominations from their organization.
- **d.** Once winning nominations are announced, the nominee's Line of Business Awards Coordinator will be responsible for preparing a 100 words or less summary of the nomination accomplishments to be included in the awards ceremony program booklet.

6. Nominations:

- **a.** Solicitations for all internal and external award nominations will be distributed during the first week of January to all Eastern Region employees.
- **b.** All nominations shall be forwarded to your LOB Awards Coordinator for endorsement by the Division Manager/Engineering Services Manager/Staff Officer. When he/she is the nominee, it shall have the endorsement of their Line of Business Manager.
 - c. All nominations shall include the Nomination Cover Sheet & Justification, EA Form 3450-23

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and be no more than two typed pages. No hand-written nominations will be accepted.

- **d.** All endorsed nominations shall be submitted by the LOB Awards Coordinator to the AEA-1 mailbox, 9-AEA-Honorary-Awards, by the end of the first week of March of each year. An email will be generated to confirm receipt of your nomination. Any extension will require concurrence from the Regional Administrator.
- **e.** Nominations shall reflect services and accomplishments from the previous calendar year, with the exception of the Distinguished Service Award.
 - **f.** Organizations shall not submit more than three nominations per award category.
 - **g.** Employees may only receive an award for the same category once every three years.

7. Review Team and Selection Panel:

- a. The Review Team will review nominations in accordance with the attached Appendices.
- **b.** A Selection Panel will be comprised of 5 members (2 technical, 2 administrative and 1 Supervisor/Manager).
- **c.** The Selection Panel shall review the nominations and select recipients based on the Ranking Factors for each award category found in Appendix A.
- **d.** Recognition for internal award winners may consist of honorary and/or incentive awards (cash, time off or a combination of each).
- **8. Related Publications:** Human Resource Policy Manual (HRPM) Volume 9, Chapters 9.1 Performance Management and 9.2 Recognizing Employees.
- **9. Forms.** EA Form 3450-23 can be found on FAA Electronic Document System (FEDS) http://feds.faa.gov/.
- **10. Distribution**. This Order is distributed to all employees in the Regional Headquarters and in Field Offices and Facilities.

Carmine Gallo

Regional Administrator

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Appendix A. Award Categories, Criteria, Eligibility, and Selected Characteristics

CATEGORY	ELIGIBILITY	CRITERIA	RANKING FACTORS
Valor/Heroism	All Eastern Region FAA employees	Honors an employee who displayed outstanding courage in the service of the country, community or private citizen	Impact: (up to 100 points) Describe how the nominee demonstrated outstanding courage and voluntary risk of personal safety in the face of danger in an emergency while on or off duty
Extra Mile	All Eastern Region FAA employees	Honors an employee who displayed dedication, dependability and support to the FAA's mission	Benefit: (up to 25 points) Describe how the nominee provided exemplary support to fellow employees and/or other organizations in support of the FAA mission Initiative: (up to 25 points) Describe how the nominee proactively anticipated, identified and addressed impending requirements and challenges Support to the Organization: (up to 25 points) Describe instances when the nominee assumed additional responsibilities and provided assistance to others Integrity/Professionalism: (up to 25 points) Describe how the nominee has proven to be the consummate professional by going above and beyond the normal routine and being reliable, efficient and dependable
Excellence in Innovation	All Eastern Region FAA employees	Honors an employee who identified and successfully advocated changes that improved organizational performance or the quality of products and services Innovations should reflect and be relevant to FAA initiatives	Benefit: (up to 30 points) Describe significant contributions and achievements that were a result of the nominee's creativity in their commitment to organizational success Innovation: (up to 30 points) Describe how the nominee changed existing processes, services and/or procedures to enhance the overall efficiency/effectiveness of the organization. Discuss the changes what, how, why Initiative: (up to 20 points) Describe how the nominee dealt with unique challenges and effectively identified and implemented creative solutions, specifically, to save time, promote efficiency and increase productivity within the organization Use of Resources: (up to 20 points) Describe how the nominee used financial and human resources to improve organizational performance and implement new initiatives

Appendix A. Award Categories, Criteria, Eligibility, and Selected Characteristics (Continued)

CATEGORY	ELIGIBILITY	CRITERIA	RANKING FACTORS	
Team Excellence	Groups of two or more	Honors a team that	Impact on Organization: (1	up to 40 points)
	Eastern Region FAA	demonstrated a spirit of	Describe a difficult or important mission, operation or assign	ment accomplished by
	employees within the	cooperation in contributing to	the team and the impact on the FAA	
	same or different	the FAA mission, where	Innovation: (u	ip to 20 points)
	organizations	objectives were met, solutions	Describe creative and innovative techniques used by t	the team to develop
		found and processes changed	recommendations for improvements	
		or improved	Problem Solving: (u	ıp to 20 points)
			Describe how the team effectively used problem-solving	methods and tools to
			achieve desired objectives	
			Use of Resources: (u	p to 20 points)
			Describe how the team used financial and human r	resources to improve
			organizational performance or implement new initiatives	
Safety Champion(s)	All Eastern Region FAA	Honors an employee whose	Impact on Organization: (u	up to 25 points)
	employees	contributions exemplified the	Describe the contribution made and its impact to the mission of	of the FAA
		highest degree of excellence	Benefit: (u)	p to 25 points)
		in the pursuit of Safety, i e	Describe how the nominee promoted safety to benefit the	flying public, aviation
		OSHA, runway safety,	community and/or the employees of the FAA	
		hazards, safety initiatives,	Initiative: (u	p to 25 points)
		safety improvements, aviation	Describe the challenges overcome to achieve the desired resul	lts
		safety, maintenance and	Competence: (u	ıp to 25 points)
		operation of safety systems	Describe how the nominee successfully applied subject	matter expertise and
			efficiently used time and resources to achieve results	

Appendix A. Award Categories, Criteria, Eligibility, and Selected Characteristics (Continued)

CATEGORY	ELIGIBILITY	CRITERIA	RANKING FACTORS	
Managerial	All Eastern Region FAA	Honors Managers,	Leadership: (up to 30 points)	
Excellence	employees whose PD	Supervisors or Team Leaders	Describe how the nominee created an environment for performance improven	
	incorporates the title	who displayed excellence in	the accomplishment of organizational goals, role-model leadership and committee	ment
	Manager, Supervisor or	leadership and made a	to carrying out strategic objectives and action plans	
	Team Leader and has	substantial contribution to the	Impact on the Organization: (up to 20 points)	1 1
	been in the position for at	organization The recipient	Describe how the nominee enabled the organization to maximize output, response	
	least one year (This is not to be confused with	had the extraordinary ability to balance organizational	to changing conditions and requirements, delivered service of the highest qua and confronted difficult organizational challenges	anty,
	the designation of "Team	accomplishments and	Benefit: (up to 20 points)	
	Leader" for a special	employee needs and	Describe how the nominee took an active role in reward and recognition progr	
	project Awards in the	successfully led efforts to	to reinforce high performance, participated in succession planning and	the
	latter category should be	achieve goals.	development of future leaders	
	considered under		Integrity/Professionalism: (up to 15 points)	
	"Professional		Describe how the nominee promoted an environment that results in the high	ghest
	Excellence" or "Team		standards of ethical behavior	
	Excellence ")		Empowerment: (up to 15 points)	
			Describe how the nominee promoted open communication, employees	
			empowerment, teamwork, or risk-taking to achieve quality performance encouraged employee participation in the decision making process	ana
Professional	All Eastern Region FAA	Honors an employee	Competence: (up to 25 points)	
Excellence I	employees in	considered preeminent in their	Describe the nominee's consistently outstanding job performance as measured	d by
Excellence 1	Professional, Technical,	field Typically, recipients	organizational performance goals and standards, including demonstrating	
	Engineering, or other	would be sought out for	encouraging high standards of behavior	una
	Specialized job positions	current, reliable information	Initiative: (up to 25 points)	
		and authoritative guidance for	Describe the nominee's innovative solutions to complex problems	
		technical or professional	Benefit: (up to 25 points)	
		decisions on matters ranging	Describe how the nominee assisted co-workers, customers, other organizations	and
		from the routine to the highly	external entities	
		complex in their field of	Integrity/Professionalism: (up to 15 points)	
		expertise.	Describe the nominee's dedication to duty by continuously seeking to improve	e the
		•	quality of service, products and processes	
			Communication Skill: (up to 10 points)	
			Describe how the nominee advanced organizational objectives and satisfied v	
			requirements by communicating clearly and effectively with customers, peers,	
			higher-level officials and demonstrated an exceptional degree of tact, diplomacy	/ and
			extreme courtesy in potentially sensitive situations	

Appendix A. Award Categories, Criteria, Eligibility, and Selected Characteristics (Continued)

CATEGORY	ELIGIBILITY	CRITERIA	RANKING FACTORS
Professional	All Eastern Region	Honors an employee in	Competence: (up to 25 points)
Excellence II	FAA employees in Administrative, Secretarial, Clerical or support positions	the administrative field whose commitment to excellence has substantially contributed to the overall success of the FAA mission Recipients have demonstrated exemplary performance and initiative to produce consistently superior work products	Describe the nominee's personal diligence or initiative by accepting responsibility for completing projects involving unexpected challenges such as short deadlines and insufficient resources. Initiative: (up to 25 points) Describe the nominee's creativity and resourcefulness, including actively searching for better ways of doing the job, sharing insights and developing solutions Support to the Organization: (up to 25 points) Describe how the nominee supported and furthered organizational objectives through their outstanding job performance Integrity/Professionalism: (up to 15 points) Describe how the nominee relieved management of administrative responsibilities and effectively involved others in delivering high quality products Communication Skill: (up to 10 points) Describe how the nominee advanced organizational objectives and satisfied work requirements by communicating clearly and effectively with customers, peers, and/or higher-level officials, demonstrated an exceptional degree of fact, diplomacy and extreme courtesy in potentially sensitive situations
Distinguished	All Eastern Region	Honors an employee	Impact on Organization: (up to 20 points)
Career	FAA employees with 15 or more years of government service This award will be granted once in the employee's career with the FAA	whose on-the-job behavior and work performance has been a positive model for others Recipients have a positive impact on the organization and creatively use previous experience to support current initiatives and projects Fellow workers have a high regard for the recipient's work contributions, professional demeanor and can-do attitude.	Describe the nominee's contributions and their significant impact on the organization's success Competence: (up to 20 points) Describe how the nominee successfully applied subject matter knowledge and efficiently used time and resources to improve processes and support the organization's overall mission Initiative: (up to 20 points) Describe how the nominee dealt with unique challenges and developed solutions to achieve results Benefit: (up to 20 points) Describe how the nominee encouraged the open exchange of ideas, employee empowerment, teamwork, and risk-taking to improve performance and the overall effectiveness of the organization Integrity/Professionalism: (up to 20 points) Describe how the nominee's commitment to exemplifying the values of integrity, care/concern for others, teamwork, positive attitude and personal growth has gained the respect and admiration of those within and outside the organization

Appendix A. External Award Categories, Eligibility, Criteria and Areas Of Consideration

CATEGORY	ELIGIBILITY	CRITERIA	AREAS OF CONSIDERATION
External Awards	Individuals or groups of individuals not employed by the FAA, i.e. airport operators, airport users, local units of government, citizens, manufacturers, planners, architects, designers, engineers, aviation mechanics, flight instructors or anyone directly associated with an aviation related industry or activity who have impacted Eastern Region	Honors an individual or groups of individuals who in some significant way promoted, improved, enhanced or supported flight safety, air commerce and the aviation environment	 Please select <u>one or more</u> areas of consideration from the following Displayed extraordinary heroism in advancing aviation in the public interest Exhibited remarkable ingenuity in developing or applying scientific technology or engineering procedures that directly and tangibly improve aviation Rendered a special act or service contributing to overall national aviation in a variety of areas such as aviation education, research, technical procedures, management improvements, aircraft design, noise abatement or legislative developments Contributed through science, technology or engineering, with particular emphasis on the use of aircraft or aeronautical systems, ideas for advancement of the national airspace system, which are in the public interest or result in tangible or intangible benefits to FAA Performed an individual act of heroism, which resulted in the avoidance of a disaster and/or of life, by persons not employed by the FAA Served as an aviation mechanic and made outstanding contributions to air safety through maintenance practices Served as a flight instructor and made outstanding contributions or air safety through flight instruction practices Developed administrative, legal or policy innovations that resulted in extraordinary, distinguished or commendable benefits to aviation Volunteered time and talent to actively promote aviation safety, i.e., conducted aviation seminars/workshops and briefings and provided quality education to airmen

Appendix B. Regional Administrator's Honorary Awards
Nomination Cover Sheet & Justification (instructions included)
Award Category:
(Please check one)
☐ Valor/Heroism
Extra Mile
Excellence in Innovation
Team Excellence
Safety Champion(s)
Managerial Excellence
Professional Excellence – Technical
Professional Excellence – Administrative
Distinguished Career
External Awards
LACING TIVATUS
Name of Nominee or Team Member Names:
(Include name, title, office name, routing symbol and phone number)
(
Name of Nominator:
(Include office name, routing symbol and phone number)
Signature:
Endorsing Official: Division Manager/Engineering Services Manager/Staff Officer:
Name:
Routing Symbol:
Signature:
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Date Received by Review Team:

EA Form 3450-23 (Rev 12-09) Supersedes Previous Edition

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Instructions for Preparing Nominations

Introduction:

Well-prepared nomination packages are instrumental in ensuring that nominations receive full consideration. Please pay particular attention to the award category and ranking factors, Appendix A, and follow the instructions below.

Instructions:

- 1. Select the award category (Appendix A) that best describes the nominee's accomplishments for which the nominee is eligible.
- 2. Complete the Nomination Cover Sheet (Appendix B).
- 3. The nomination package should consist of the Cover Sheet and no more than two typed pages for the Justification.
- 4. **For internal awards only**, do not refer to the nominee by name, organization, or position title in the nomination justification narrative. All identifying characteristics or references will be omitted from the packages reviewed by the selection panel.
- 5. State the supporting rationale briefly and simply. State the facts related to the ranking factors for the award category. Consider using bullets to highlight the nominee's accomplishments.
- 6. Use size 12 font, Times New Roman.
- 7. Do not supplement the package with information such as photographs, articles, clippings, or letters of commendation.
- 8. Spell out any acronyms the first time they appear in the narrative.
- 9. Obtain any necessary endorsements and submit the nomination for consideration through your Line of Business to AEA-1.

Appendix B. Regional Administrator's Honorary Awards Nomination Cover Sheet & Justification		
Award Category:		
Award Justification: (Do not refer to the nominee by name, organization or position title in justification narrative except for External Awards.)		
Limit to 2 pages using Times New Roman font, 12 point		
Nominee Identifier: (to be completed by review team)		

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Aŗ	opendix B. Regional Administrator's Honorary Awards Nomination Cover Sheet & Justification (continuation)
Award Category:	
Award Justification	on:
	Times New Roman font, 12 point
Nominee Identifie	r: (to be completed by review team)