

Federal Aviation Administration  
Aviation Rulemaking Advisory Committee

Occupant Safety Issue Area  
Cabin Safety Harmonization Working Group

**Task 6 – Type III and Type IV Exit Requirements**

# **Task Assignment**

[Federal Register: November 26, 1999 (Volume 64, Number 227)]  
[Notices]  
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DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

Aviation Rulemaking Advisory Committee; Transport Airplane and  
Engine Issues--New and Revised Tasks

AGENCY: Federal Aviation Administration (**FAA**), DOT.

ACTION: Notice of new and revised task assignments for the Aviation  
Rulemaking Advisory Committee (ARAC).

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SUMMARY: Notice is given of new tasks assigned to and accepted by the  
Aviation Rulemaking Advisory Committee (ARAC) and of revisions to a  
number of existing tasks. This notice informs the public of the  
activities of ARAC.

FOR FURTHER INFORMATION CONTACT: Dorenda Baker, Transport Airplane  
Directorate, Aircraft Certification Service (ANM-110), 1601 Lind  
Avenue, SW., Renton, WA 98055; phone (425) 227-2109; fax (425) 227-  
1320.

SUPPLEMENTARY INFORMATION:

Background

The **FAA** has established an Aviation Rulemaking Advisory Committee  
to provide advice and recommendations to the **FAA** Administrator, through  
the Associate Administrator for Regulation and Certification, on the  
full range of the **FAA**'s rulemaking activities with respect to aviation-  
related issues. This includes obtaining advice and recommendations on  
the **FAA**'s commitment to harmonize its Federal Aviation Regulations  
(FAR) and practices with its trading partners in Europe and Canada.

One area ARAC deals with is transport airplane and engine issues.  
These issues involve the airworthiness standards for transport category

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airplanes and engines in 14 CFR parts 25, 33, and 35 and parallel  
provisions in 14 CFR parts 121 and 135. The corresponding Canadian  
standards are contained in Parts V, VI, and VII of the Canadian  
Aviation Regulations. The corresponding European standards are  
contained in Joint Aviation Requirements (JAR) 25, JAR-E, JAR-P, JAR-  
OPS-Part 1, and JAR-26.

As proposed by the U.S. and European aviation industry, and as

agreed between the Federal Aviation Administration (**FAA**) and the European Joint Aviation Authorities (JAA), an accelerated process to reach harmonization has been adopted. This process is based on two procedures:

(1) Accepting the more stringent of the regulations in Title 14 of the Code of Federal Regulations (FAR), Part 25, and the Joint Airworthiness Requirements (JAR); and

(2) Assigning approximately 41 already-tasked significant regulatory differences (SRD), and certain additional part 25 regulatory differences, to one of three categories:

<bullet> Category 1--Envelope

<bullet> Category 2--Completed or near complete

<bullet> Category 3--Harmonize

#### The Revised Tasks

ARAC will review the rules identified in the ``FAR/JAR 25 Differences List,' ' dated June 30, 1999, and identify changes to the regulations necessary to harmonize part 25 and JAR 25. ARAC will submit a technical report on each rule. Each report will include the cost information that has been requested by the **FAA**. The tasks currently underway in ARAC to harmonize the listed rules are superseded by this tasking.

#### New Tasks

The **FAA** has submitted a number of new tasks for the Aviation Rulemaking Advisory Committee (ARAC), Transport Airplane and Engine Issues. As agreed by ARAC, these tasks will be accomplished by existing harmonization working groups. The tasks are regulatory differences identified in the above-referenced differences list as Rule type = P-SRD.

#### New Working Group

In addition to the above new tasks, a newly established Cabin Safety Harmonization Working Group will review several FAR/JAR paragraphs as follows:

ARAC will review the following rules and identify changes to the regulations necessary to harmonize part 25 and JAR:

- (1) Section 25.787;
- (2) Section 25.791(a) to (d);
- (3) Section 25.810;
- (4) Section 25.811;
- (5) Section 25.819; and
- (6) Section 25.813(c).

ARAC will submit a technical report on each rule. Each report will include the cost information that has been requested by the **FAA**.

The Cabin Safety Harmonization Working Group would be expected to complete its work for the first five items (identified as Category 1 or 2) before completing item 6 (identified as Category 3).

#### Schedule

Within 120 days of tasking/retasking:

<bullet> For Category 1 tasks, ARAC submits the Working Groups' technical reports to the **FAA** to initiate drafting of proposed rulemaking documents.

<bullet> For Category 2 tasks, ARAC submits technical reports, including already developed draft rules and/or advisory materials, to the **FAA** to complete legal review, economic analysis, coordination, and issuance.

June 2000: For Category 3 tasks, ARAC submits technical reports including draft rules and/or advisory materials to the **FAA** to complete legal review, economic analysis, coordination, and issuance.

#### ARAC Acceptance of Tasks

ARAC has accepted the new tasks and has chosen to assign all but one of them to existing harmonization working groups. A new Cabin Safety Harmonization Working Group will be formed to complete the remaining tasks. The working groups serve as staff to ARAC to assist ARAC in the analysis of the assigned tasks. Working group recommendations must be reviewed and approved by ARAC. If ARAC accepts a working group's recommendations, it forwards them to the **FAA** and ARAC recommendations.

#### Working Group Activity

All working groups are expected to comply with the procedures adopted by ARAC. As part of the procedures, the working groups are expected to accomplish the following:

1. Document their decisions and discuss areas of disagreement, including options, in a report. A report can be used both for the enveloping and for the harmonization processes.
2. If requested by the **FAA**, provide support for disposition of the comments received in response to the NPRM or review the **FAA**'s prepared disposition of comments. If support is requested, the Working Group will review comments/disposition and prepare a report documenting their recommendations, agreement, or disagreement. This report will be submitted by ARAC back to the **FAA**.
3. Provide a status report at each meeting of ARAC held to consider Transport Airplane and Engine Issues.

#### Participation in the Working Groups

Membership on existing working groups will remain the same, with the formation of subtask groups, if appropriate. The Cabin Safety Harmonization Working Group will be composed of technical experts having an interest in the assigned task. A working group member need not be a representative of a member of the full committee.

An individual who has expertise in the subject matter and wishes to become a member of the Cabin Safety Harmonization Working Group should write to the person listed under the caption FOR FURTHER INFORMATION CONTACT expressing that desire, describing his or her interest in the tasks, and stating the expertise he or she would bring to the working group. All requests to participate must be received no later than December 30, 1999. The requests will be reviewed by the assistant chair, the assistant executive director, and the working group chair, and the individuals will be advised whether or not the request can be accommodated.

Individuals chosen for membership on the Cabin Safety Harmonization Working Group will be expected to represent their aviation community segment and participate actively in the working group (e.g., attend all meetings, provide written comments when requested to do so, etc.). They also will be expected to devote the resources necessary to ensure the ability of the working group to meet any assigned deadline(s). Members are expected to keep their management chain advised of working group activities and decisions to ensure that the agreed technical solutions do not conflict with their sponsoring organization's position when the subject being negotiated is presented to ARAC for a vote.

Once the working group has begun deliberations, members will not be added or substituted without the approval of the assistant chair, the assistant executive director, and the working group chair.

The Secretary of Transportation has determined that the formation and use of ARAC are necessary and in the public interest in connection with the performance of duties imposed on the **FAA** by law.

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Meetings of ARAC will be open to the public. Meetings of the working groups will not be open to the public, except to the extent that individuals with an interest and expertise are selected to participate. No public announcement of working group meetings will be made.

Issued in Washington, DC, on November 19, 1999.  
Anthony F. Fazio,  
Executive Director, Aviation Rulemaking Advisory Committee.  
[FR Doc. 99-30774 Filed 11-24-99; 8:45 am]  
BILLING CODE 4910-13-M

## **Recommendation Letter**

December 19, 2002

Anthony F. Fazio  
Executive Director  
Aviation Rulemaking Advisory Committee  
Federal Aviation Administration  
800 Independence Avenue S.W.  
Washington, D.C. 20591

**Subject: Cabin Safety Harmonization Working Group Recommendations**

Dear Mr. Fazio:

At the October 17, 2002 meeting of the Aviation Rulemaking Advisory Committee Occupant Safety Issues Group (OSIG), the Cabin Safety Harmonization Working Group (CSHWG) presented a report concerning the harmonization of FAR Part 25.813. This was in response to a tasking made by the FAA in December 1999.

In addition to the final report prepared by the working group, members of the working group prepared two dissenting views. As part of the working group report, dissenting views forming two large groups (together representing about 2/3rds of the membership) were documented and submitted to the OSIG (with the report). The OSIG discussed the final report and the dissenting views. The OSIG requested the co-chairs to provide a matrix summarizing the differences in positions.

The OSIG agreed that the report and the dissenting views represent the best available output from the working group and that additional working group activities are unlikely to result in further progress at this time. The CSHWG report is enclosed.

This action completes the tasking of the CSHWG.

Best Regards,

Billy M. Glover  
Assistant Chair of the Aviation Rulemaking Advisory Committee  
Occupant Safety Issues Group

Enclosure (4)

- 1) CSHWG Report
- 2) CSHWG Report Matrix
- 3) Attachment 1 Dissenting View
- 4) Attachment 2 Dissenting View

cc: Mike Kaszycki  
OSIG members and associates

## **Recommendation**

## **ARAC Cabin Safety Harmonization Working Group (CSHWG) Report**

FAR/JAR 25.813(c)

1 - What is underlying safety issue to be addressed by the FAR/JAR? [Explain the underlying safety rationale for the requirement. Why should the requirement exist? What prompted this rulemaking activity (e.g., new technology, service history, etc.)?]

The safe and expeditious evacuation of aircraft occupants in an emergency (for example: fire in the cabin), enabled by the application of appropriate design criteria for access to emergency exits. Recent accidents have demonstrated that proper access to emergency exits expedites emergency evacuation of aircraft in an emergency.

2 - What are the current FAR and JAR standards relative to this subject? [Reproduce the FAR and JAR rules text as indicated below.]

### Current FAR text:

(c) The following must be provided for each Type III or Type IV exit--

(1) There must be access from the nearest aisle to each exit. In addition, for each Type III exit in an airplane that has a passenger seating configuration of 60 or more--

(i) Except as provided in paragraph (c)(1)(ii), the access must be provided by an unobstructed passageway that is at least 10 inches in width for interior arrangements in which the adjacent seat rows on the exit side of the aisle contain no more than two seats, or 20 inches in width for interior arrangements in which those rows contain three seats. The width of the passageway must be measured with adjacent seats adjusted to their most adverse position. The centerline of the required passageway width must not be displaced more than 5 inches horizontally from that of the exit.

(ii) In lieu of one 10- or 20-inch passageway, there may be two passageways, between seat rows only, that must be at least 6 inches in width and lead to an unobstructed space adjacent to each exit. (Adjacent exits must not share a common passageway.) The width of the passageways must be measured with adjacent seats adjusted to their most adverse position. The unobstructed space adjacent to the exit must extend vertically from the floor to the ceiling (or bottom of sidewall stowage bins), inboard from the exit for a distance not less than the width of the narrowest passenger seat installed on the airplane, and from the forward edge of the forward passageway to the aft edge of the aft passageway. The exit opening must be totally within the fore and aft bounds of the unobstructed space.

(2) In addition to the access--

(i) For airplanes that have a passenger seating configuration of 20 or more, the projected opening of the exit provided must not be obstructed and there must be no interference in opening the exit by seats, berths, or other protrusions (including any seatback in the most adverse position) for a distance from that exit not less than the width of the narrowest passenger seat installed on the airplane.

(ii) For airplanes that have a passenger seating configuration of 19 or fewer, there may be minor obstructions in this region, if there are compensating factors to maintain the effectiveness of the exit.

(3) For each Type III exit, regardless of the passenger capacity of the airplane in which it is installed, there must be placards that--

(i) Are readable by all persons seated adjacent to and facing a passageway to the exit;

(ii) Accurately state or illustrate the proper method of opening the exit, including the use of handholds; and

(iii) If the exit is a removable hatch, state the weight of the hatch and indicate an appropriate location to place the hatch after removal.

Current JAR text:

(c) There must be access from each aisle to each Type III or Type IV exit, and –

(1) For aeroplanes that have a passenger seating configuration, excluding pilot's seats, of 20 or more, the projected opening of the exit provided may not be obstructed and there must be no interference in opening the exit by seats, berths, or other protrusions (including seatbacks in any position) for a distance from that exit not less than the width of the narrowest passenger seat installed on the aeroplane.

(2) For aeroplanes that have a passenger seating configuration, excluding pilots seats, of 19 or less, there may be minor obstructions in this region, if there are compensating factors to maintain the effectiveness of the exit.

2a – If no FAR or JAR standard exists, what means have been used to ensure this safety issue is addressed? [Reproduce text from issue papers, special conditions, policy, certification action items, etc., that have been used relative to this issue]

Both FAR and JAR standard exists.

3 - What are the differences in the FAA and JAA standards or policy and what do these differences result in?: [Explain the differences in the standards or policy, and what these differences result in relative to (as applicable) design features/capability, safety margins, cost, stringency, etc.]

The FAR is more stringent than the JAR. The FAR mandates minimum dimensions for the access to Type III exits, while the JAR does not. The JAA has accepted the application of criteria similar to the FAR requirements on some new certification/validation programs.

The requirements in FAR 25.813 (c) were based on testing that had shown improved evacuation rates for configurations in which passageway between the seat rows adjacent to the exit on the side containing three seats is 20 inches; however, subsequent testing in 1995 demonstrated that access provided by passageways 13 inches in width, with the centerline of the passageway displaced

horizontally no more than 6.5 inches from the exit centerline, is equivalent to that provided by 20 inch passageways. Since that time the FAA has granted findings of an equivalent level of safety for that configuration.

For passageways in excess of the minimum width, the FAA has allowed horizontal displacement (offset) of the passageway in excess of the maximum limit, so long as the passageway width is increased above the minimum width to account for twice the excess offset.

The FAA has published a notice of proposed rulemaking (NPRM) 95-1, based on the 1995 testing, that proposes to revise the requirements for passageways adjacent to the exit on the side containing three seats from 20 inches to 13 inches in width with the centerline of the required passageway width not displaced horizontally from the exit centerline by more than 6.5 inches. The final rule was drafted and sent to FAA Headquarters for approval, but was subsequently withdrawn pending the recommendations of the ARAC.

The FAA issued an amendment to the operating regulations for air carriers, FAR 121.310(f)(3), that required compliance with FAR 25.813(c) after December 3, 1992. This amendment to FAR 121.310(f)(3) also provided that the Manager of the Transport Airplane Directorate, Aircraft Certification Service, FAA, could authorize deviations from the requirements of FAR 121.310(f)(3) under special circumstances. Many air carriers applied for and were granted an equivalent level of safety finding for 13-inch passageway configurations.

The JAA has published Notice of Proposed Amendment (NPA) 25D-270, which proposes altered, as well as additional, requirements for Type III exits beyond those in FAR and JAR Parts 25 and proposed in NPRM 95-1. The differences include:

- for airplanes having passenger seating configurations of 20 or more, the actual projected opening of each exit to the nearest aisle must not be obstructed, (versus a FAR/JAR Part 25 requirement for a non-obstructed distance from the exit of not less than the width of the narrowest passenger seat installed on the airplane).
- for configurations having a single passageway leading to a single exit, the passageway must be not less than 10 inches wide, nor more than 25 inches wide, with the adjacent seat obstructing the projected exit opening no more than 4 inches beyond the exit centerline, (versus the FAR Part 25 requirement of at least 20 inches with a maximum 5 inch offset on the side containing three seats and 10 inches with a maximum 5-inch offset on the side containing no more than two seats. The NPRM proposes a passageway 13 inches wide with a maximum 6.5 inch offset on sides containing three seats, without changing requirements for interior arrangements in which the adjacent seat rows on the exit side of the aisle contain no more than two seats).

- for airplanes having two passageways, between seat rows only, leading to the same exit, a primary passageway at least 10 inches wide and a secondary passageway at least 6 inches wide are required (versus FAR requirements of a 6-inch minimum width for both). The distance between the centerline of the primary passageway and the centerline of the adjacent exit must not be greater than 10 inches (versus the FAR which requires that the exit opening must be totally within the fore and aft bounds of the unobstructed space).
- for airplanes type certificated for a maximum passenger seating capacity of 60 or more (versus FAR requirements for passenger seating configurations of 60 or more), the passageways must be configured as above.

The following NPA items are not in either the FARs or the JARs:

- The seat backs of all seats bounding the passageway to a Type III or Type IV exit must have restricted movement, i.e., remain in an essentially upright position (not exceeding 20 degrees rearward and 10 degrees forward) under loads of up to 668 N (150 lbf) applied horizontally at the top of the seat.
- The design of all seats bounding the passageways leading to each Type III or Type IV exit must be free from coat hooks and any protrusion which may impede evacuation.
- The design and arrangement of all seats leading to each Type III or Type IV exit must be free from any gap which might entrap a foot or other part of a person standing or kneeling on the seat.
- Table latch designs of seats adjacent to the passageways leading to each Type III or Type IV exit must be such that inadvertent release by evacuating passengers will not occur.
- Movable, quick-change, class dividers must not be installed adjacent to passenger seats at positions such that the dividers would form the boundary of a passageway leading to a Type III or Type IV exit.
- For each passageway leading to a Type III or Type IV exit, a placard must be installed to indicate that no baggage shall be stowed in the under seat stowages in or in front of that passageway.

4 - What, if any, are the differences in the current means of compliance? [Provide a brief explanation of any differences in the current compliance criteria or methodology (e.g., issue papers), including any differences in either criteria, methodology, or application that result in a difference in stringency between the standards.]

See question number 3.

5 – What is the proposed action? [Describe the new proposed requirement, or the proposed change to the existing requirement, as applicable. Is the proposed action to introduce a new standard, or to take some other action? Explain what action is being proposed (not the regulatory text, but the underlying rationale) and why that direction was chosen for each proposed action.]

The group determined that three separate, but related, issues need to be addressed to achieve an acceptable new harmonized standard for Type III exits. These are (i) the basic access to the exit from the aisle, (ii) the design and operation of the exit that would ensure that the specified access was protected, and (iii) other design features in the cabin that are determined to be necessary to ensure as efficient as practicable operation of the exit.

### Type III Exit Access

#### Proposal

For airplanes with a passenger seating capacity of 20 or more:

A minimum passageway width of 10 inches for two seats abreast, and 13 inches for three seats abreast. At least 10 inches of the required passageway must be within the projected width of the exit aperture.

The Outboard Seat Removed (OSR) configuration is allowed with two 6 inch passageways.

#### Rationale

The group reviewed the data from evacuation trials conducted at CAMI in 2001 as well as earlier trials at CAMI and Cranfield. The conclusion was that the main variable is the people characteristics and, in order to allow for a reasonable cross-section of the population, the proposed minimum dimensions should be provided.

The 10 inch and 13 inch passageways are similar either to those required by some national requirements or to deviations granted by the regulators at the request of airlines and/or manufacturers and voluntarily provided by manufacturers. It was not considered necessary to define any requirement for access past a single seat because of absence of data and a judgment that this configuration was not critical to egress.

The OSR configuration was decided suitable for continued use because, despite some earlier comment and evidence that it was more liable to periodic egress rate slow down or even blockage, more recent test data supports an earlier observation that the egress rates can be as quick or quicker than for the single access path and the tendency for slow down and blockage is not sufficiently substantiated.

### Non-Disposable Hatch Design, or Automatically Opening Exit ('AOE')

#### Proposal

For airplanes with a passenger seating configuration of 41 or more:

The Type III exit shall be designed such that, when opened, the hatch/door cannot reduce the size of the exit opening and/or adjacent passageways below the required minimum dimensions, nor shall it obstruct the required exit access to or from the exit in any way.

#### Rationale

The traditional removable hatch design for Type III exits has been recognized as having potential inherent limitations with regard to operation and disposal. Research trials have not always included full evaluation of the situations that could arise with respect to the hatch. However, the latest CAMI tests, where the hatch was deliberately positioned inside the cabin for some cases, confirmed that adverse positioning, which affected the access or the exit aperture, could happen and that this, on occasion, could affect egress rate. In addition, accident experience has resulted in some comment that the disposable hatch should be discontinued. The group decided that, taking these factors into account, and in the knowledge that an efficient non-disposable Type III exit design was already certificated and in service, it was justifiable to require such an exit on new type certificated aircraft.

The aircraft size discriminant for requiring this design is proposed as 41 or more passenger seats. This is based primarily on the estimate that smaller aircraft would involve a large design and cost penalty for incorporating such a feature. However, it was also recognized that smaller aircraft could benefit significantly and more work would be required to make a final decision.

#### Other Cabin Design Features

#### Proposal

The following are required:

- (a) All seats bounding the passageway to a Type III or Type IV exit must be restricted with respect to any movement that would reduce access to the exit or impede emergency evacuation (for interior configurations having 20 or more passenger seats that may be occupied for taxi, takeoff, and landing).
- (b) All seatbacks bounding the passageway to a Type III or Type IV exit must be capable of maintaining the essentially upright position under loads of up to 668 N (150 lbf).
- (c) All seats bounding the passageway to a Type III or Type IV exit must be free from any protrusion (coat hooks, etc.) that could impede emergency evacuation.

- (d) All seats, and their arrangements, bounding the passageway to a Type III or Type IV exit must be free from any gap or encumbrance that could entrap a foot or other part of a person standing or kneeling on the seat.
- (e) Tables and table latches on seatbacks bounding the passageway to a Type III or Type IV exit must be designed to preclude inadvertent release by evacuating passengers.
- (f) Movable, quick-change, cabin dividers must not be installed such that they bound the passageway to a Type III or Type IV exit.
- (g) All seats bounding the passageway to a Type III or Type IV exit must be designed to restrain items stowed under the seats to the requirements of FAR 25.561, or a placard must be installed to indicate that no unrestrained baggage shall be stowed under the seats bounding the passageway.
- (h) All deployable features (handsets, leg rests, tray tables, etc.) of the structures bounding the passageway to a Type III or Type IV exit must be designed and installed to preclude impeding emergency evacuation, or a placard must be installed to indicate that such features must be stowed for taxi, take-off, and landing.
- (i) The additional exit operation placard(s) must be within the normal field of vision of the passengers seated in the exit row.

#### Rationale

These features are inherited from national requirements and the draft JAA NPA. The group considers that in order to ensure optimum performance of the exit, these should be included in the requirement.

#### **For each proposed change from the existing standard, answer the following questions:**

6 - What should the harmonized standard be? [Insert the proposed text of the harmonized standard here]

Replace JAR 25.813(c) and FAR 25.813(c)(1)(i) and (ii) with the following text:

- (c) The following must be provided for each Type III or Type IV exit--
  - (1) There must be access from the nearest aisle to each exit. In addition, for each Type III exit in an airplane that has a passenger seating configuration of 20 or more--
    - (i) Except as provided in paragraph (c)(1)(ii) of this section, the access must be provided by an unobstructed passageway that is at least 10 inches in width for interior arrangements in which the adjacent seat rows on the exit side of the aisle

containing two seats, or 13 inches in width for interior arrangements in which those rows contain three seats. The width of the passageway must be measured with adjacent seats adjusted to their most adverse position.

At least 10 inches of the required passageway width must be within the required projected opening width of the exit.

(ii) In lieu of one 10- or 13-inch passageway, there may be two passageways, between seat rows only, that must be at least 6 inches in width and lead to an unobstructed space adjacent to each exit. (Adjacent exits must not share a common passageway.) The width of the passageways must be measured with adjacent seats adjusted to their more adverse position. The unobstructed space adjacent to the exit must extend vertically from the floor to the ceiling (or bottom of sidewall stowage bins), inboard from the exit for a distance not less than the width of the narrowest passenger seat installed on the airplane, and from the forward edge of the forward passageway to the aft edge of the aft passageway. The exit opening must be totally within the fore and aft bounds of the unobstructed space.

(2) In addition to the access--

(i) For airplanes that have a passenger seating configuration of 20 or more, the projected opening of the exit provided must not be obstructed and there must be no interference in opening the exit by seats, berths, or other protrusions (including any seatback in the most adverse position) for a distance from that exit not less than the width of the narrowest passenger seat installed on the airplane.

(ii) For airplanes that have a passenger seating configuration of 19 or fewer, there may be minor obstructions in this region, if there are compensating factors to maintain the effectiveness of the exit.

(3) For each Type III exit, regardless of the passenger capacity of the airplane in which it is installed, there must be placards that--

(i) Are readable by each person seated adjacent to and facing a passageway to the exit, in their normal field of view; and one adjacent to or on the exit.

(ii) Accurately state or illustrate the proper method of opening the exit, including the use of handholds; and

(iii) If the exit is a removable hatch, state the weight of the hatch and indicate an appropriate location to place the hatch after removal.

(4) For airplanes with a passenger seating configuration of 41 or more, Type III exit shall be designed such that, when opened, the hatch/door cannot reduce the size of the exit opening and /or adjacent passageways below the required minimum dimensions, nor shall it obstruct the required access to the exit in any way (i.e., a self-disposing or automatic opening hatch).

(5) The seat back of each seat bounding the passageway leading to each Type III or Type IV exit must be restricted in its movement to prevent evacuees from

folding down seat backs to climb over. The seat back must remain in an essentially upright position, i.e. not exceeding 20 degrees rearward and 10 degrees forward from a plane through the seat reference point normal to the floor and normal to the direction in which the occupant faces. The seat back must be capable of maintaining the essentially upright position under loads of up to 668 N (150 lbf) which should be applied horizontally, in each direction of travel, at the top of the seat back structure at the most adverse position relative to its support structure.

(6) The design of all seats bounding the passageways leading to each Type III or Type IV exit must be free from coat hooks and any protrusion which may impede evacuation.

(7) The design and arrangement of all seats leading to each Type III or Type IV exit must be free from any gap which might entrap a foot or other part of a person standing or kneeling on the seat.

(8) Table latch designs of seats adjacent to the passageways leading to each Type III or Type IV exit must be such that inadvertent release by evacuating passengers will not occur.

(9) Movable, quick-change, class dividers must not be installed adjacent to passenger seats at positions such that the dividers would form the boundary of a passageway leading to a Type III or Type IV exit.

(10) All deployable features (handsets, leg rests, tray tables, etc.) of the structures bounding the passageway to a Type III or Type IV exit must be designed and installed to preclude impeding emergency evacuation, or a placard must be installed to indicate that such features must be stowed for taxi, take-off, and landing.

(11) All seats bounding the passageway to a Type III or Type IV exit must be designed to restrain items stowed under the seats to the requirements of FAR 25.561, or a placard must be installed to indicate that no unrestrained baggage shall be stowed under the seats bounding the passageway.

7 - How does this proposed standard address the underlying safety issue (identified under #1)? [Explain how the proposed standard ensures that the underlying safety issue is taken care of.]

The proposed new standard provides specified minimum access, an objective exit design requirement, and other cabin design features to enhance operation and egress. This combination will address the underlying safety issues by providing adequate exit access for a reasonable cross-section of the population, the maintenance of this access throughout the evacuation process, efficient operation

of the exit by design, and additional measures which increase the likelihood of the passengers operating the exit and escaping in an efficient manner.

(Note: The current FAR provides for an equivalent level of safety for a 13 inch access past three seats in the row, instead of the 20 inches required. This is based on the testing that has been conducted by the FAA demonstrating that 13-inch access is equivalent to 20-inch access. The new 13 inch access therefore, technically, reduces the economic burden of the regulation.)

8 - Relative to the current FAR, does the proposed standard increase, decrease, or maintain the same level of safety? Explain. [Explain how each element of the proposed change to the standards affects the level of safety relative to the current FAR. It is possible that some portions of the proposal may reduce the level of safety even though the proposal as a whole may increase the level of safety.]

The proposed change to the FAR increases the level of safety. The FAA has been granting equivalent level of safety findings for interior arrangements in which the adjacent seat rows on the exit side containing three seats 13 inches in width and the centerline of the required passageway width must not be displaced horizontally from the exit more than 6.5 inches. This is the testing that had been conducted by the FAA demonstrating that 13 inch access was equivalent to 20 inch access. The new seat design and placarding requirements will enhance the existing requirements and expedite the evacuation of occupants to the ground in an emergency.

9 - Relative to current industry practice, does the proposed standard increase, decrease, or maintain the same level of safety? Explain. [Since industry practice may be different than what is required by the FAR (e.g., general industry practice may be more restrictive), explain how each element of the proposed change to the standards affects the level of safety relative to current industry practice. Explain whether current industry practice is in compliance with the proposed standard.]

The proposed change increases the level of safety. The FAA has been granting equivalent level of safety findings for interior arrangements in which the adjacent seat rows on the exit side containing three seats 13 inches in width and the centerline of the required passageway width must not be displaced horizontally from the exit more than 6.5 inches. This is the testing that had been conducted by the FAA demonstrating that 13 inch access was equivalent to 20 inch access.

The JAA has been using a hybrid of the FAA and NPA requirements for derivative and newly type certificated airplanes.

The proposed changes will provide a common minimum aisle width standard and the new seat design and placarding requirements will enhance the existing requirements and expedite the evacuation of occupants to the ground in an emergency.

10 - What other options have been considered and why were they not selected?: [Explain what other options were considered, and why they were not selected (e.g., cost/benefit, unacceptable decrease in the level of safety, lack of consensus, etc.) Include the pros and cons associated with each alternative.]

See Attachment 1 and Attachment 2

11 - Who would be affected by the proposed change? [Identify the parties that would be materially affected by the rule change – airplane manufacturers, airplane operators, etc.]

Airplane manufacturers, modifiers and airplane operators would be affected by this change.

12 - To ensure harmonization, what current advisory material (e.g., ACJ, AMJ, AC, policy letters) needs to be included in the rule text or preamble? [Does any existing advisory material include substantive requirements that should be contained in the regulation? This may occur because the regulation itself is vague, or if the advisory material is interpreted as providing the only acceptable means of compliance.]

None.

13 - Is existing FAA advisory material adequate? If not, what advisory material should be adopted? [Indicate whether the existing advisory material (if any) is adequate. If the current advisory material is not adequate, indicate whether the existing material should be revised, or new material provided. Also, either insert the text of the proposed advisory material here, or summarize the information it will contain, and indicate what form it will be in (e.g., Advisory Circular, policy, Order, etc.)]

Current FAA advisory material will not be adequate. New advisory material should accompany the new requirements noted in question 6.

14 - How does the proposed standard compare to the current ICAO standard? [Indicate whether the proposed standard complies with or does not comply with the applicable ICAO standards (if any)]

No specific ICAO standard exists.

15 - Does the proposed standard affect other HWG's? [Indicate whether the proposed standard should be reviewed by other harmonization working groups and why.]

No.

16 - What is the cost impact of complying with the proposed standard [Please provide information that will assist in estimating the change in cost (either positive or negative) of the proposed rule. For example, if new tests or designs are required, what is known with respect to the testing or engineering costs? If new equipment is required, what can be reported relative to purchase, installation,

and maintenance costs? In contrast, if the proposed rule relieves industry of testing or other costs, please provide any known estimate of costs.]

The proposed standard mandates the implementation of a new automatically disposed hatch for Type III exits on new type certified airplane programs. The new standard will require increased engineering, testing and certification costs. Without the benefit of having the actual design, some general assumptions can still be made. Large transport aircraft will have more structure and the design will likely have more mechanisms involved compared to a standard Type III hatch. In addition to the increased structure, design and certification costs, small transport aircraft will likely have an even higher cost due to the limited space available and the requirement to route systems differently compared to existing designs.

17. - If advisory or interpretive material is to be submitted, document the advisory or interpretive guidelines. If disagreement exists, document the disagreement.

None submitted.

18.- Does the HWG wish to answer any supplementary questions specific to this project? [If the HWG can think of customized questions or concerns relevant to this project, please present the questions and the HWG answers and comments here.]

Yes. The HWG believes there are items that were deemed to be outside of the scope of the WG task but should be reviewed. These items are as follows:  
Enhanced Passenger Safety Briefing, seat to bulkhead relationship for type III exit access, taxi takeoff and landing vs. in-flight considerations, seat recline, hatch disposition outside, changes to § 25.783, 25.807 and 25.809, exit marking, applicability definition for new airplanes.

19. – Does the HWG want to review the draft NPRM at “Phase 4” prior to publication in the Federal Register?

Yes.

20. – In light of the information provided in this report, does the HWG consider that the “Fast Track” process is appropriate for this rulemaking project, or is the project too complex or controversial for the Fast Track Process? Explain. [A negative answer to this question will prompt the FAA to pull the project out of the Fast Track process and forward the issues to the FAA’s Rulemaking Management Council for consideration as a “significant” project.]

No



# Federal Register

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**Thursday,  
June 19, 2003**

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**Part III**

## **Department of Transportation**

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**Federal Aviation Administration**

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**14 CFR Part 25**

**Lower Deck Service Compartments on  
Transport Category Airplanes; Final Rule**

**DEPARTMENT OF TRANSPORTATION****Federal Aviation Administration****14 CFR Part 25**

[Docket No. FAA-2002-11346; Amendment No. 110]

RIN 2120-AH38

**Lower Deck Service Compartments on Transport Category Airplanes**

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

**SUMMARY:** The Federal Aviation Administration amends the airworthiness standards for transport category airplanes concerning lower deck service compartments. This amendment requires that two-way voice communication systems between lower deck service compartments and the flightdeck remain available following loss of the normal electrical power generating system. It also clarifies the requirements for seats installed in the lower deck service compartment. Adoption of this amendment eliminates regulatory differences between the airworthiness standards of the U.S. and the Joint Aviation Requirements of Europe, without affecting current industry design practices.

**EFFECTIVE DATE:** July 21, 2003.

**FOR FURTHER INFORMATION CONTACT:** Jayson Claar, FAA, Airframe/Cabin Safety Branch, ANM-115, Transport Airplane Directorate, Aircraft Certification Service, 1601 Lind Avenue SW., Renton, WA 98055-4056; telephone 425-227-2194; facsimile 425-227-1320, e-mail [jayson.claar@faa.gov](mailto:jayson.claar@faa.gov).

**SUPPLEMENTARY INFORMATION:****Availability of Rulemaking Documents**

You can get an electronic copy using the Internet by taking the following steps:

(1) Go to the search function of the Department of Transportation's electronic Docket Management System (DMS) web page (<http://dms.dot.gov/search>).

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Government Printing Office's web page at [http://www.access.gpo.gov/su\\_docs/aces/aces140.html](http://www.access.gpo.gov/su_docs/aces/aces140.html).

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**Small Business Regulatory Enforcement Fairness Act**

The Small Business Regulatory Enforcement Fairness Act (SBREFA) of 1996 requires FAA to comply with small entity requests for information or advice about compliance with statutes and regulations within its jurisdiction. Therefore, any small entity that has a question regarding this document may contact their local FAA official or the person listed under **FOR FURTHER INFORMATION CONTACT**. You can find out more about SBREFA on the Internet at our site, <http://www.gov/avr/arm/sbreffa.htm>. For more information on SBREFA, e-mail us at [9-AWA-SFREFA@faa.gov](mailto:9-AWA-SFREFA@faa.gov).

**Background***What Are the Relevant Airworthiness Standards in the United States?*

In the United States, the airworthiness standards for type certification of transport category airplanes are contained in Title 14, Code of Federal Regulations (CFR) part 25. Manufacturers of transport category airplanes must show that each airplane they produce of a different type design complies with the appropriate part 25 standards. These standards apply to airplanes manufactured within the U.S. for use by U.S.-registered operators, and airplanes manufactured in other countries and imported to the U.S. under a bilateral airworthiness agreement.

*What Are the Relevant Airworthiness Standards in Europe?*

In Europe, the airworthiness standards for type certification of transport category airplanes are contained in Joint Aviation Requirements (JAR)-25, which are based on part 25. These were developed by the Joint Aviation Authorities (JAA) of Europe to provide a common set of airworthiness standards within the European aviation community. Twenty-three European countries accept airplanes type certificated to the JAR-25 standards, including airplanes

manufactured in the U.S. that are type certificated to JAR-25 standards for export to Europe.

*What is "Harmonization" and How Did it Start?*

Although part 25 and JAR-25 are very similar, they are not identical in every respect. When airplanes are type certificated to both sets of standards, the differences between part 25 and JAR-25 can result in substantial additional costs to manufacturers and operators. These additional costs, however, frequently do not bring about an increase in safety. In many cases, part 25 and JAR-25 may contain different requirements to accomplish the same safety intent. Consequently, manufacturers are usually burdened with meeting the requirements of both sets of standards, although the level of safety is not increased correspondingly.

Recognizing that a common set of standards would not only benefit the aviation industry economically, but also maintain the necessary high level of safety, the FAA and the JAA began an effort in 1988 to "harmonize" their respective aviation standards. The goal of the harmonization effort is to ensure that, where possible, standards do not require domestic and foreign parties to manufacture or operate to different standards for each country involved; and the standards adopted are mutually acceptable to the FAA and the foreign aviation authorities.

The FAA and JAA have identified a number of significant regulatory differences between the wording of part 25 and JAR-25. Both the FAA and the JAA consider "harmonization" of the two sets of standards a high priority.

*What Is ARAC and What Role Does It Play in Harmonization?*

After initiating the first steps towards harmonization, the FAA and JAA soon realized that traditional methods of rulemaking and accommodating different administrative procedures was neither sufficient nor adequate to make appreciable progress towards fulfilling the goal of harmonization. The FAA then identified the Aviation Rulemaking Advisory Committee (ARAC) as an ideal vehicle for assisting in resolving harmonization issues, and, in 1992, the FAA tasked ARAC to undertake the entire harmonization effort.

The FAA had formally established ARAC in 1991, to provide advice and recommendations concerning the full range of the FAA's safety-related rulemaking activity (56 FR 2190, January 22, 1991). The FAA sought this advice to develop better rules in less overall time and using fewer FAA

resources than previously needed. The committee provides the FAA firsthand information and insight from interested parties regarding potential new rules or revisions of existing rules.

There are 73 member organizations on the committee, representing a wide range of interests within the aviation community. Meetings of the committee are open to the public, except as authorized by section 10(d) of the Federal Advisory Committee Act.

The ARAC establishes working groups to develop recommendations for resolving specific airworthiness issues. Tasks assigned to working groups are published in the **Federal Register**. Although working group meetings are not generally open to the public, the FAA solicits participation in working groups from interested members of the public who possess knowledge or experience in the task areas. Working groups report directly to the ARAC, and the ARAC must accept a working group proposal before ARAC presents the proposal to the FAA as an advisory committee recommendation.

The activities of the ARAC will not, however, circumvent the public rulemaking procedures; nor is the FAA limited to the rule language "recommended" by ARAC. If the FAA accepts an ARAC recommendation, the agency proceeds with the normal public rulemaking procedures. Any ARAC participation in a rulemaking package is fully disclosed in the public docket.

#### *What Did the FAA Propose?*

The FAA proposed to amend § 25.819 by incorporating the "more stringent" requirements of the current JAR standard. The proposed amendment would require that two-way voice communication systems between lower deck service compartments and the flightdeck remain available following loss of the normal electrical power generating system, and seats installed in the lower deck compartment meet the requirements of § 25.785(d).

#### *What Other Options Have Been Considered and Why Were They Not Selected?*

The FAA considered two alternatives to this proposal: (1) No change to the existing standards. The FAA did not select this option because it would mean that the standards would continue to be "unharmonized" and manufacturers would continue to meet two different sets of standards when certifying their airplanes, and (2) The JAA could unilaterally adopt the standards of part 25. The FAA did not seriously consider this option, however, because where the part 25 standards are

"less stringent," this could potentially mean adopting a lower level of safety.

The FAA considered the proposal, to be the most appropriate method of ensuring that the highest level of safety is achieved and fulfilling the objectives of harmonizing the U.S. and European standards.

#### *Is Existing FAA Advisory Material Adequate?*

The FAA does consider that current guidance on this subject is adequate and that additional advisory material is not necessary as a result of this amendment.

#### *What Comments Were Received in Response to the Proposal?*

Notice of Proposed Rulemaking (NPRM) 02-06, was published in the **Federal Register** on January 24, 2002 (67 FR 3456). The comment period closed on March 25, 2002. Only one commenter responded to the request for comments. That commenter states that they have no comments at this time.

#### *What Regulatory Analyses and Assessments Has the FAA Conducted?*

##### Regulatory Evaluation Summary

Changes to Federal regulations must undergo several economic analyses. First, Executive Order 12866 directs that each Federal agency shall propose or adopt a regulation only upon a reasoned determination that the benefits of the intended regulation justify its costs. Second, the Regulatory Flexibility Act of 1980 requires agencies to analyze the economic effect of regulatory changes on small entities. Third, the Trade Agreements Act (19 U.S.C. 2531-2533) prohibits agencies from setting standards that create unnecessary obstacles to the foreign commerce of the United States. In developing U.S. standards, this Trade Agreements Act also requires the consideration of international standards and, where appropriate, that they be the basis of U.S. standards. And fourth, the Unfunded Mandates Reform Act of 1995 requires agencies to prepare a written assessment of the costs, benefits, and other effects of proposed or final rules that include a Federal mandate likely to result in the expenditure by State, local, or tribal governments, in the aggregate, or by the private sector of \$100 million or more annually (adjusted for inflation).

The FAA has determined that this amendment has no substantial costs, and that it is not "a significant regulatory action" as defined in Executive Order 12866, nor "significant" as defined in DOT's Regulatory Policies and Procedures.

Further, this amendment does not have a significant economic impact on a substantial number of small entities, reduces barriers to international trade, and does not impose an Unfunded Mandate on state, local, or tribal governments, or on the private sector. The DOT Order 2100.5 prescribes policies and procedures for simplification, analysis, and review of regulations. If it is determined that the expected impact is so minimal that the amendment does not warrant a full evaluation, a statement to that effect and the basis for it is included in the amendment. Accordingly, the FAA has determined that the expected impact of this amendment is so minimal (no substantial costs) that the amendment does not warrant a full evaluation. We provide the basis for this determination as follows.

Currently, airplane manufacturers must satisfy both part 25 and the European JAR-25 standards to certificate transport category airplanes in both the United States and Europe. Meeting two sets of certification requirements raises the cost of developing a new transport category airplane often with no increase in safety. In the interest of fostering international trade, lowering the cost of airplane development, and making the certification process more efficient, the FAA, JAA, and airplane manufacturers have been working to create, to the maximum possible extent, a single set of certification requirements accepted in both the United States and Europe. As explained in detail previously, these efforts are referred to as "harmonization."

This amendment revises the FAA requirements for lower deck service compartments on transport category airplanes that are not certified to be occupied during takeoff and landing. As explained previously in this preamble, this amendment revises part 25 to include the following "more stringent" requirements of the JAR standards: (1) § 25.819(b), two-way voice communication systems between lower deck service compartments and the flightdeck remain available following loss of the normal electrical power generating system; and (2) § 25.819(f), seats installed in the lower deck compartment meet the requirements of § 25.785(d), which include safety belt and either a shoulder harness, and/or energy absorbing rest, and/or elimination of injurious objects in the head strike path.

This amendment results from the FAA's acceptance of recommendations made by ARAC. We have concluded that, for the reasons previously

discussed in the preamble, the adoption of the amendment in 14 CFR part 25 is the most efficient way to harmonize these sections and, in so doing, the existing level of safety will be preserved.

There was consensus within the ARAC members, comprised of representatives of the affected industry, that the requirements of the amendment do not impose additional costs on U.S. manufacturers of part 25 airplanes. Concerning the cost impact of complying with the standard, ARAC states there are apparent administrative savings for the relevant airworthiness authorities and indirect savings for the general public. In fact, ARAC believes that the industry would estimate the cost burden being at a neutral level. We have reviewed the cost analysis provided by industry through the ARAC process. Based on this analysis, we consider that a full regulatory evaluation is not necessary.

#### Regulatory Flexibility Determination

The Regulatory Flexibility Act (RFA) of 1980, 50 U.S.C. 601–612, as amended, establishes “as a principle of regulatory issuance that agencies shall endeavor, consistent with the objective of the rule and of applicable statutes, to fit regulatory and informational requirements to the scale of the business, organizations, and governmental jurisdictions subject to regulation.” To achieve that principle, the RFA requires agencies to solicit and consider flexible regulatory proposals and to explain the rationale for their actions.

Agencies must perform a review to determine whether a proposed or final rule will have a significant impact on a substantial number of small entities. If the determination is that the rule will, the Agency must prepare a regulatory flexibility analysis as described in the RFA.

However, if an agency determines that a proposed or final rule is not expected to have a significant economic impact on a substantial number of small entities, section 605(b) of the RFA provides that the head of the agency may so certify and a regulatory flexibility analysis is not required. The certification must include a statement providing the factual basis for this determination, and the reasoning should be clear.

The FAA considers that this amendment does not have a significant impact on a substantial number of small entities for two reasons. First, the net effect of this amendment is minimum regulatory cost relief. The amendment requires that new transport category

airplane manufacturers meet just one certification requirement, rather than different standards for the United States and Europe. Airplane manufacturers already meet or expect to meet this standard as well as the existing 14 CFR part 25 requirement. Second, all U.S. transport category airplane manufacturers exceed the Small Business Administration small-entity criteria of 1,500 employees for airplane manufacturers. The current U.S. part 25 airplane manufacturers include: Boeing, Cessna Aircraft, Gulfstream Aerospace, Learjet (owned by Bombardier), Lockheed Martin, McDonnell Douglas (a wholly-owned subsidiary of The Boeing Company), Raytheon Aircraft, and Sabreliner Corporation.

Given that this amendment is minimally cost-relieving and that there are no small entity manufacturers of part 25 airplanes, the FAA certifies that this amendment does not have a significant impact on a substantial number of small entities.

#### International Trade Impact Assessment

The Trade Agreement Act of 1979 prohibits Federal agencies from engaging in any standards or related activities that create unnecessary obstacles to the foreign commerce of the United States. Legitimate domestic objectives, such as safety, are not considered unnecessary obstacles. The statute also requires consideration of international standards and, where appropriate, that they be the basis for U.S. standards.

In accordance with the above statute, the FAA has assessed the potential effect of this amendment and has determined that it complies with the Act because this rule would use European international standards as the basis for U.S. standards.

#### Unfunded Mandates Reform Act

Title II of the Unfunded Mandates Reform Act of 1995 (the Act), codified in 2 U.S.C. sections 1532–1538, enacted as Public Law 104–4 on March 22, 1995, requires each Federal agency, to the extent permitted by law, to prepare a written assessment of the effects of any Federal mandate in a proposed or final agency rule that may result in the expenditure by State, local, and tribal governments, in the aggregate, or by the private sector, of \$100 million or more (adjusted annually for inflation) in any one year.

This amendment does not contain a Federal intergovernmental or private sector mandate that exceeds \$100 million in any year; therefore, the requirements of the Act do not apply.

#### *What Other Assessments Has the FAA Conducted?*

##### Executive Order 13132, Federalism

The FAA has analyzed this amendment and the principles and criteria of Executive Order 13132, Federalism. The FAA has determined that this action would not have a substantial direct effect on the States, on the relationship between the national Government and the States, or on the distribution of power and responsibilities among the various levels of government. Therefore, the FAA has determined that this amendment does not have federalism implications.

##### Paperwork Reduction Act

The Paperwork Reduction Act of 1995 (44 U.S.C. 3507(d)) requires that the FAA consider the impact of paperwork and other information collection burdens imposed on the public. We have determined that there are no new information collection requirements associated with this amendment.

##### *International Compatibility*

In keeping with U.S. obligations under the Convention on International Civil Aviation, it is FAA policy to comply with International Civil Aviation Organization (ICAO) Standards and Recommended Practices to the maximum extent practicable. The FAA determined that there are no ICAO Standards and Recommended Practices that correspond to this amendment.

##### Environmental Analysis

FAA Order 1050.1D defines FAA actions that may be categorically excluded from preparation of a National Environmental Policy Act (NEPA) environmental impact statement. In accordance with FAA Order 1050.1D, appendix 4, paragraph 4(j), this amendment qualifies for a categorical exclusion.

##### Energy Impact

The energy impact of the amendment has been assessed in accordance with the Energy Policy and Conservation Act (EPCA) and Public Law 94–163, as amended (43 U.S.C. 6362), and FAA Order 1053.1. It has been determined that it is not a major regulatory action under the provisions of the EPCA.

##### Regulations Affecting Intrastate Aviation in Alaska

Section 1205 of the FAA Reauthorization Act of 1996 (110 Stat. 3213) requires the Administrator, when modifying regulations in Title 14 of the CFR in a manner affecting intrastate

aviation in Alaska, to consider the extent to which Alaska is not served by transportation modes other than aviation, and to establish such regulatory distinctions as he or she considers appropriate. Because this amendment applies to the certification of future designs of transport category airplanes and their subsequent operation, it could, if adopted, affect intrastate aviation in Alaska. The FAA has determined that there is no justification for applying the amendment differently to intrastate operations in Alaska.

#### Plain Language

In response to the June 1, 1998, Presidential memorandum regarding the issue of plain language, the FAA re-examined the writing style currently used in the development of regulations. The memorandum requires Federal agencies to communicate clearly with the public. We are interested in your comments on whether the style of this document is clear, and in any other suggestions you might have to improve

the clarity of FAA communications that affect you. You can get more information about the Presidential memorandum and the plain language initiative at <http://www.plainlanguage.gov>.

#### List of Subjects in 14 CFR Part 25

Aircraft, Aviation safety, Reporting and recordkeeping requirements.

#### The Amendment

■ In consideration of the foregoing, the Federal Aviation Administration amends part 25 of Title 14, Code of Federal Regulations, as follows:

#### **PART 25—AIRWORTHINESS STANDARDS: TRANSPORT CATEGORY AIRPLANES**

■ 1. The authority citation for part 25 continues to read as follows:

**Authority:** 49 U.S.C. 106(g), 40113, 44701, 44702 and 44704.

■ 2. Amend § 25.819 by revising paragraphs (b) and (f) to read as follows:

#### **§ 25.819 Lower deck surface compartments (including galleys).**

\* \* \* \* \*

(b) There must be a means for two-way voice communication between the flight deck and each lower deck service compartment, which remains available following loss of normal electrical power generating system.

\* \* \* \* \*

(f) For each occupant permitted in a lower deck service compartment, there must be a forward or aft facing seat which meets the requirements of § 25.785(d), and must be able to withstand maximum flight loads when occupied.

\* \* \* \* \*

Issued in Renton, Washington, on June 6, 2003.

**Vi Lipski,**

*Manager, Transport Airplane Directorate,  
Aircraft Certification Service.*

[FR Doc. 03-15532 Filed 6-18-03; 8:45 am]

**BILLING CODE 4910-13-P**

**DEPARTMENT OF TRANSPORTATION****Federal Aviation Administration****14 CFR Part 25**

[Docket No. FAA-2002-11346; Notice No. 02-06]

RIN 2120-AH38

**Lower Deck Service Compartments on Transport Category Airplanes**

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Notice of proposed rulemaking.

**SUMMARY:** The Federal Aviation Administration proposes to amend the airworthiness standards for transport category airplanes concerning lower deck service compartments. The proposed amendment would require that two-way voice communication systems between lower deck service compartments and the flightdeck remain available following loss of the normal electrical power generating system. It also would clarify the requirements for seats installed in the lower deck service compartment. Adopting this proposal would eliminate regulatory differences between the airworthiness standards of the U.S. and the Joint Aviation Requirements of Europe, without affecting current industry design practices.

**DATES:** Send your comments on or before March 25, 2002.

**ADDRESSES:** Address your comments to Dockets Management System, U.S. Department of Transportation Dockets, Room Plaza 401, 400 Seventh Street SW., Washington, DC 20590-0001. You must identify the Docket No. FAA-2002-11346 at the beginning of your comments, and you should submit two copies of your comments. If you wish to receive confirmation that the FAA has received your comments, please include a self-addressed, stamped postcard on which the following statement is made: "Comments to Docket No. FAA-2002-XXXX." We will date-stamp the postcard and mail it back to you.

You also may submit comments electronically to the following Internet address: <http://dms.dot.gov>.

You may review the public docket containing comments to this proposed regulation at the Department of Transportation (DOT) Dockets Office, located on the plaza level of the Nassif Building at the above address. You may review the public docket in person at this address between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. Also, you may review the

public dockets on the Internet at <http://dms.dot.gov>.

**FOR FURTHER INFORMATION CONTACT:** Jayson Claar, FAA, Airframe/Cabin Safety Branch, ANM-115, Transport Airplane Directorate, Aircraft Certification Service, 1601 Lind Avenue SW., Renton, WA 98055-4056; telephone 425-227-2194; facsimile 425-227-1320, e-mail [jayson.claar@faa.gov](mailto:jayson.claar@faa.gov).

**SUPPLEMENTARY INFORMATION:***How Do I Submit Comments to This NPRM?*

Interested persons are invited to participate in the making of the proposed action by submitting such written data, views, or arguments, as they may desire. Comments relating to the environmental, energy, federalism, or economic impact that might result from adopting the proposals in this document are also invited. Substantive comments should be accompanied by cost estimates. Comments must identify the regulatory docket number and be submitted in duplicate to the DOT Rules Docket address specified above.

All comments received, as well as a report summarizing each substantive public contact with FAA personnel concerning this proposed rulemaking, will be filed in the docket. The docket is available for public inspection before and after the comment closing date.

We will consider all comments received on or before the closing date before taking action on this proposed rulemaking. Comments filed late will be considered as far as possible without incurring expense or delay. The proposals in this document may be changed in light of the comments received.

*How Can I Obtain a Copy of This NPRM?*

You can get an electronic copy using the Internet by taking the following steps:

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requirements of both sets of standards, although the level of safety is not increased correspondingly.

Recognizing that a common set of standards would not only benefit the aviation industry economically, but also maintain the necessary high level of safety, the FAA and the JAA began an effort in 1988 to "harmonize" their respective aviation standards. The goal of the harmonization effort is to ensure that:

- Where possible, standards do not require domestic and foreign parties to manufacture or operate to different standards for each country involved; and
- The standards adopted are mutually acceptable to the FAA and the foreign aviation authorities.

The FAA and JAA have identified a number of significant regulatory differences (SRD) between the wording of part 25 and JAR-25. Both the FAA and the JAA consider "harmonization" of the two sets of standards a high priority.

#### *What Is ARAC and What Role Does It Play in Harmonization?*

After initiating the first steps towards harmonization, the FAA and JAA soon realized that traditional methods of rulemaking and accommodating different administrative procedures was neither sufficient nor adequate to make appreciable progress towards fulfilling the goal of harmonization. The FAA then identified the Aviation Rulemaking Advisory Committee (ARAC) as an ideal vehicle for assisting in resolving harmonization issues, and, in 1992, the FAA tasked ARAC to undertake the entire harmonization effort.

The FAA had formally established ARAC in 1991 (56 FR 2190, January 22, 1991), to provide advice and recommendations concerning the full range of the FAA's safety-related rulemaking activity. The FAA sought this advice to develop better rules in less overall time and using fewer FAA resources than previously needed. The committee provides the FAA firsthand information and insight from interested parties regarding potential new rules or revisions of existing rules.

There are 64 member organizations on the committee, representing a wide range of interests within the aviation community. Meetings of the committee are open to the public, except as authorized by section 10(d) of the Federal Advisory Committee Act.

The ARAC establishes working groups to develop recommendations for resolving specific airworthiness issues. Tasks assigned to working groups are published in the **Federal Register**.

Although working group meetings are not generally open to the public, the FAA solicits participation in working groups from interested members of the public who possess knowledge or experience in the task areas. Working groups report directly to the ARAC, and the ARAC must accept a working group proposal before ARAC presents the proposal to the FAA as an advisory committee recommendation.

The activities of the ARAC will not, however, circumvent the public rulemaking procedures; nor is the FAA limited to the rule language "recommended" by ARAC. If the FAA accepts an ARAC recommendation, the agency proceeds with the normal public rulemaking procedures. Any ARAC participation in a rulemaking package is fully disclosed in the public docket.

#### *What Is the Status of the Harmonization Effort Today?*

Despite the work that ARAC has undertaken to address harmonization, there remain a large number of regulatory differences between part 25 and JAR-25. The current harmonization process is extremely costly and time-consuming for industry, the FAA, and the JAA. Industry has expressed a strong desire to conclude the harmonization program as quickly as possible to alleviate the drain on their resources and to finally establish one acceptable set of standards.

Recently, representatives of the aviation industry (including Aerospace Industries Association of America, Inc. (AIA), General Aviation Manufacturers Association (GAMA), and European Association of Aerospace Industries (AECMA)) proposed an accelerated process to reach harmonization.

#### *What Is the "Fast Track Harmonization Program"?*

In light of a general agreement among the affected industries and authorities to expedite the harmonization program, the FAA and JAA in March 1999 agreed upon a method to achieve these goals. This method, which the FAA has titled "The Fast Track Harmonization Program," is aimed at expediting the rulemaking process for harmonizing not only the 42 standards that are currently tasked to ARAC for harmonization, but approximately 80 additional standards for part 25 airplanes.

The FAA initiated the Fast Track program on November 26, 1999 (64 FR 66522). This program involves grouping all of the standards needing harmonization into three categories:

*Category 1: Envelope*—For these standards, parallel part 25 and JAR-25 standards would be compared, and

harmonization would be reached by accepting the more stringent of the two standards. Thus, the more stringent requirement of one standard would be "enveloped" into the other standard. In some cases, it may be necessary to incorporate parts of both the part 25 and JAR standard to achieve the final, more stringent standard. (This may necessitate that each authority revises its current standard to incorporate more stringent provisions of the other.)

*Category 2: Completed or near complete*—For these standards, ARAC has reached, or has nearly reached, technical agreement or consensus on the new wording of the proposed harmonized standards.

*Category 3: Harmonize*—For these standards, ARAC is not near technical agreement on harmonization, and the parallel part 25 and JAR-25 standards cannot be "enveloped" (as described under Category 1) for reasons of safety or unacceptability. A standard developed under Category 3 would be mutually acceptable to the FAA and JAA, with a consistent means of compliance.

Further details on the Fast Track Program can be found in the tasking statement (64 FR 66522, November 26, 1999) and the first NPRM published under this program, Fire Protection Requirements for Powerplant Installations on Transport Category Airplanes (65 FR 36978, June 12, 2000).

Under this program, the FAA provides ARAC with an opportunity to review, discuss, and comment on the FAA's draft NPRM. In the case of this rulemaking, ARAC suggested one minor editorial change, which has been incorporated into this NPRM.

#### **Discussion of the Proposal**

##### *How Does This Proposed Regulation Relate to "Fast Track"?*

This proposed regulation results from the recommendations of ARAC submitted under the FAA's Fast Track Harmonization Program. In this NPRM, the FAA proposes to amend § 25.819, concerning lower deck service compartments on transport category airplanes. A lower deck service compartment as used in § 25.819 is defined as follows: "A lower deck service compartment is a galley or other service compartment located below the main passenger deck that is accessible during flight by crewmembers. A lavatory is not considered a lower deck service compartment and therefore is not covered by this regulation. Occupancy is not permitted during taxi, takeoff and landing. Also, it is limited to crewmembers only." This action has

been identified as a Category 1 (Envelope) project under the Fast Track program.

*What Is the Underlying Safety Issue Addressed by the Current Standards?*

The standards ensure the safety of occupants of lower deck service compartments that are not certified to be occupied during takeoff and landing. The standards apply design criteria relative to evacuation routes and various items of safety equipment. Many of the regulations that provide evacuation requirements and safety equipment address passenger and flightcrew compartments, but do not include lower deck service compartments.

*What Are the Current 14 CFR and JAR Standards?*

The current text of 14 CFR 25.819 (Amendment 25-53 (45 FR 41593, June 19, 1980)) is:

*Section 25.819 Lower deck service compartments (including galleys).*

For airplanes with a service compartment located below the main deck, which may be occupied during taxi or flight but not during takeoff or landing, the following apply:

(a) There must be at least two emergency evacuation routes, one at each end of each lower deck service compartment or two having sufficient separation within each compartment, which could be used by each occupant or the lower deck service compartment to rapidly evacuate to the main deck under normal and emergency lighting conditions. The routes must provide for the evacuation of incapacitated persons, with assistance. The use of the evacuation routes may not be dependent on any powered device. The routes must be designed to minimize the possibility of blockage which might result from fire, mechanical or structural failure, or persons standing on top of or against the escape routes. In the event the airplane's main power system or compartment main lighting system should fail, emergency illumination for each lower deck service compartment must be automatically provided.

(b) There must be a means for two-way voice communication between the flight deck and each lower deck service compartment.

(c) There must be an aural emergency alarm system, audible during normal and emergency conditions, to enable crewmembers on the flight deck and at each required floor level emergency exit to alert occupants of each lower deck service compartment of an emergency situation.

(d) There must be a means, readily detectable by occupants of each lower deck service compartment, that indicates when seat belts should be fastened.

(e) If a public address system is installed in the airplane, speakers must be provided in each lower deck service compartment.

(f) For each occupant permitted in a lower deck service compartment, there must be a forward or aft facing seat which meets the

requirements of § 25.785(c) and must be able to withstand maximum flight loads when occupied.

(g) For each powered lift system installed between a lower deck service compartment and the main deck for the carriage of persons or equipment, or both, the system must meet the following requirements:

(1) Each lift control switch outside the lift, except emergency stop buttons, must be designed to prevent the activation of the lift if the lift door, or the hatch required by paragraph (g)(3) of this section, or both are open.

(2) An emergency stop button, that when activated will immediately stop the lift, must be installed within the lift and at each entrance to the lift.

(3) There must be a hatch capable of being used for evacuating persons from the lift that is openable from inside and outside the lift without tools, with the lift in any position.

The current text of JAR paragraph 25.819 (Change 15, Amendment 25/96/1, October 2000) is:

JAR 25.819 Lower deck service compartments (including galleys).

For aeroplanes with a service compartment located below the main deck, which may be occupied during taxi or flight but not during takeoff or landing, the following apply:

(a) There must be at least two emergency evacuation routes, one at each end of each lower deck service compartment or two having sufficient separation within each compartment, which could be used by each occupant or the lower deck service compartment to rapidly evacuate to the main deck under normal and emergency lighting conditions. The routes must provide for the evacuation of incapacitated persons, with assistance. The use of the evacuation routes may not be dependent on any powered device. The routes must be designed to minimize the possibility of blockage which might result from fire, mechanical or structural failure, or persons standing on top of or against the escape routes. In the event the airplane's main power system or compartment main lighting system should fail, emergency illumination for each lower deck service compartment must be automatically provided.

(b) There must be a means for two-way voice communication between the flight deck and each lower deck service compartment, which remains available following loss of normal electrical power generating system.

(c) There must be an aural emergency alarm system, audible during normal and emergency conditions, to enable crewmembers on the flight deck and at each required floor level emergency exit to alert occupants of each lower deck service compartment of an emergency situation.

(d) There must be a means, readily detectable by occupants of each lower deck service compartment, that indicates when seat belts should be fastened.

(e) If a public address system is installed in the airplane, speakers must be provided in each lower deck service compartment.

(f) For each occupant permitted in a lower deck service compartment, there must be a forward or aft facing seat which meets the

requirements of JAR 25.785 (d) and must be able to withstand maximum flight loads when occupied.

(g) For each powered lift system installed between a lower deck service compartment and the main deck for the carriage of persons or equipment, or both, the system must meet the following requirements:

(1) Each lift control switch outside the lift, except emergency stop buttons, must be designed to prevent the activation of the lift if the lift door, or the hatch required by paragraph (g)(3) of this section, or both are open.

(2) An emergency stop button, that when activated will immediately stop the lift, must be installed within the lift and at each entrance to the lift.

(3) There must be a hatch capable of being used for evacuating persons from the lift that is openable from inside and outside the lift without tools, with the lift in any position.

*What Are the Differences in the Standards and What Do Those Differences Result in?*

There are two substantive differences between the standards:

First, the JAR requires that two-way voice communication between the flight deck and each lower deck service compartment remain available following loss of the normal electrical power generating system. Part 25 does not contain such a requirement. This results in system power on those airplanes certificated under the JAR being supplied from the essential bus; whereas, system power on airplanes certificated under part 25 may be supplied from a nonessential bus.

Second, the requirements for the seats located in the lower deck compartment are different between the part 25 and the JAR. Section 25.819(f) of part 25 requires that installed seats must meet the requirements of § 25.785(c), while JAR paragraph 25.819(f) requires that installed seats must comply with the requirements of JAR paragraph 25.785(d). At the current amendment levels, § 25.785(c) and JAR paragraph 25.785(d) present different requirements, although at one time (prior to Amendment 25-72) they were the same. This apparently is due to a renumbering error that occurred at Amendment 25-72, in which paragraph (c) of § 25.785 became paragraph (d), and there was no associated change to the reference in § 25.819(f). Thus, by referring to § 25.785(c), § 25.819(f) currently requires only that seats be "approved," which is not what was intended. The intent is that seat designs must comply with the specific design safety criteria that is described in § 25.785(d) (including a safety belt and either a shoulder harness, an energy absorbing rest, or no injurious objects present in the head strike path, as

appropriate). The correct reference in § 25.819 should be to § 25.785(d).

*What, If Any, Are the Differences in the Means of Compliance?*

Currently, U.S. manufacturers must comply with the more stringent JAR requirements if they intend to sell their airplanes in Europe. Future certificated airplanes also are expected to meet the existing JAR requirements.

*What Is the Proposed Action?*

The FAA proposes to amend § 25.819 by incorporating the "more stringent" requirements of the current JAR standard. The proposed amendment would require that:

- Two-way voice communication systems between lower deck service compartments and the flight deck remain available following loss of the normal electrical power generating system.
- Seats installed in the lower deck compartment meet the requirements of § 25.785(d).

*How Does This Proposed Standard Address the Underlying Safety Issue?*

The proposed standard would continue to address the original underlying safety issue. It would ensure the safety of occupants of lower deck service compartments that are not certified to be occupied during takeoff and landing.

*What Is the Effect of the Proposed Standard Relative to the Current Regulations?*

By requiring the more stringent standards of the JAR, the proposed amendment would mandate a higher level of safety than that provided by the currently applicable requirements.

*What Is the Effect of the Proposed Standard Relative to Current Industry Practice?*

In current practice, U.S. manufacturers already are complying with the more stringent JAR requirements in order to sell their airplanes in Europe. Future certificated airplanes also are expected to meet the existing JAR requirements, and this proposed rule would simply adopt those same requirements.

*What Other Options Have Been Considered and Why Were They Not Selected?*

The FAA considered two alternatives to this proposal:

1. *No change to the existing standards.* The FAA did not select this option because it would mean that the standards would continue to be

"unharmonized" and manufacturers would continue to meet two different sets of standards when certificating their airplanes.

2. *The JAA could unilaterally adopt the standards of part 25.* The FAA did not seriously consider this option, however, because where the part 25 standards are "less stringent," this could potentially mean adopting a lower level of safety.

The FAA considers the proposal, as contained in this NPRM, to be the most appropriate method of ensuring that the highest level of safety is achieved and fulfilling the objectives of harmonizing the U.S. and European standards.

*Who Would Be Affected by the Proposed Change?*

Manufacturers of transport category airplanes, as well as airplane modifiers potentially would be affected by the proposed amendment.

*Is Existing FAA Advisory Material Adequate?*

The FAA does consider that current guidance on this subject is adequate and that additional advisory material is not necessary as a result of the proposed rule.

*What Regulatory Analyses and Assessments Has the FAA Conducted?*

**Regulatory Evaluation Summary**

Proposed changes to Federal regulations must undergo several economic analyses. First, Executive Order 12866 directs that each Federal agency shall propose or adopt a regulation only upon a reasoned determination that the benefits of the intended regulation justify its costs. Second, the Regulatory Flexibility Act of 1980 requires agencies to analyze the economic effect of regulatory changes on small entities. Third, the Trade Agreements Act (19 U.S.C. section 2531–2533) prohibits agencies from setting standards that create unnecessary obstacles to the foreign commerce of the United States. In developing U.S. standards, this Trade Act also requires the consideration of international standards and, where appropriate, that they be the basis of U.S. standards. And fourth, the Unfunded Mandates Reform Act of 1995 requires agencies to prepare a written assessment of the costs, benefits, and other effects of proposed or final rules that include a Federal mandate likely to result in the expenditure by State, local, or tribal governments, in the aggregate, or by the private sector of \$100 million or more annually (adjusted for inflation).

The FAA has determined that this proposal has no substantial costs, and that it is not "a significant regulatory action" as defined in Executive Order 12866, nor "significant" as defined in DOT's Regulatory Policies and Procedures. Further, this proposed rule would not have a significant economic impact on a substantial number of small entities, would reduce barriers to international trade, and would not impose an Unfunded Mandate on state, local, or tribal governments, or on the private sector.

The DOT Order 2100.5 prescribes policies and procedures for simplification, analysis, and review of regulations. If it is determined that the expected impact is so minimal that the proposed rule does not warrant a full evaluation, a statement to that effect and the basis for it is included in the proposed regulation. Accordingly, the FAA has determined that the expected impact of this proposed rule is so minimal that the proposed rule does not warrant a full evaluation. We provide the basis for this determination as follows:

Currently, airplane manufacturers must satisfy both part 25 and the European JAR–25 standards to certificate transport category aircraft in both the United States and Europe. Meeting two sets of certification requirements raises the cost of developing a new transport category airplane often with no increase in safety. In the interest of fostering international trade, lowering the cost of aircraft development, and making the certification process more efficient, the FAA, JAA, and aircraft manufacturers have been working to create, to the maximum possible extent, a single set of certification requirements accepted in both the United States and Europe. As explained in detail previously, these efforts are referred to as "harmonization."

This proposal would revise the FAA requirements for lower deck service compartments on transport category airplanes that are not certified to be occupied during takeoff and landing. As explained previously in this preamble, this proposal would revise part 25 to include the following "more stringent" requirements of the JAR standards:

- § 25.819(b): two-way voice communication systems between lower deck service compartments and the flight deck remain available following loss of the normal electrical power generating system; and
- § 25.819(f): seats installed in the lower deck compartment meet the requirements of § 25.785(d), which include safety belt and either a shoulder

harness, and/or energy absorbing rest, and/or elimination of injurious objects in the head strike path.

This proposed rule results from the FAA's acceptance of recommendations made by ARAC. We have concluded that, for the reasons previously discussed in the preamble, the adoption of the proposed requirements in 14 CFR part 25 is the most efficient way to harmonize these sections and, in so doing, the existing level of safety will be preserved.

There was consensus within the ARAC members, comprised of representatives of the affected industry, that the requirements of the proposed rule will not impose additional costs on U.S. manufacturers of part 25 airplanes. Concerning the cost impact of complying with the proposed standard, ARAC states there are apparent administrative savings for the relevant airworthiness authorities and indirect savings for the general public. In fact, ARAC believes that the industry would estimate the cost burden being at a neutral level. We have reviewed the cost analysis provided by industry through the ARAC process. A copy is available through the public docket. Based on this analysis, we consider that a full regulatory evaluation is not necessary.

We invite comments with supporting documentation regarding the regulatory evaluation statements based on ARAC's proposal.

#### **Initial Regulatory Flexibility Determination**

The Regulatory Flexibility Act (RFA) of 1980, 50 U.S.C. 601–612, as amended, establishes “as a principle of regulatory issuance that agencies shall endeavor, consistent with the objective of the rule and of applicable statutes, to fit regulatory and informational requirements to the scale of the business, organizations, and governmental jurisdictions subject to regulation.” To achieve that principle, the RFA requires agencies to solicit and consider flexible regulatory proposals and to explain the rationale for their actions.

Agencies must perform a review to determine whether a proposed or final rule will have a significant impact on a substantial number of small entities. If the determination is that the rule will, the Agency must prepare a regulatory flexibility analysis as described in the RFA.

However, if an agency determines that a proposed or final rule is not expected to have a significant economic impact on a substantial number of small entities, section 605(b) of the RFA provides that the head of the agency

may so certify and a regulatory flexibility analysis is not required. The certification must include a statement providing the factual basis for this determination, and the reasoning should be clear.

The FAA considers that this proposed rule would not have a significant impact on a substantial number of small entities for two reasons:

First, the net effect of the proposed rule is minimum regulatory cost relief. The proposed rule would require that new transport category airplane manufacturers meet just one certification requirement, rather than different standards for the United States and Europe. Airplane manufacturers already meet or expect to meet this standard as well as the existing 14 CFR part 25 requirement.

Second, all U.S. transport category airplane manufacturers exceed the Small Business Administration small-entity criteria of 1,500 employees for airplane manufacturers. The current U.S. part 25 airplane manufacturers include: Boeing, Cessna Aircraft, Gulfstream Aerospace, Learjet (owned by Bombardier), Lockheed Martin, McDonnell Douglas (a wholly-owned subsidiary of The Boeing Company), Raytheon Aircraft, and Sabreliner Corporation.

Given that this proposed rule is minimally cost-relieving and that there are no small entity manufacturers of part 25 airplanes, the FAA certifies that this proposed rule would not have a significant impact on a substantial number of small entities.

#### **International Trade Impact Assessment**

The Trade Agreement Act of 1979 prohibits Federal agencies from engaging in any standards or related activities that create unnecessary obstacles to the foreign commerce of the United States. Legitimate domestic objectives, such as safety, are not considered unnecessary obstacles. The statute also requires consideration of international standards and, where appropriate, that they be the basis for U.S. standards.

In accordance with the above statute, the FAA has assessed the potential effect of the proposed rule and has determined that it complies with the Act because this rule would use European international standards as the basis for U.S. standards.

#### **Unfunded Mandates Reform Act**

Title II of the Unfunded Mandates Reform Act of 1995 (the Act), codified in 2 U.S.C. 1532–1538, enacted as Public Law 104–4 on March 22, 1995, requires each Federal agency, to the

extent permitted by law, to prepare a written assessment of the effects of any Federal mandate in a proposed or final agency rule that may result in the expenditure by State, local, and tribal governments, in the aggregate, or by the private sector, of \$100 million or more (adjusted annually for inflation) in any one year.

This proposed rule does not contain a Federal intergovernmental or private sector mandate that exceeds \$100 million in any year; therefore, the requirements of the Act do not apply.

#### *What Other Assessments Has the FAA Conducted?*

#### **Executive Order 13132, Federalism**

The FAA has analyzed this proposed rule and the principles and criteria of Executive Order 13132, Federalism. The FAA has determined that this action would not have a substantial direct effect on the States, on the relationship between the national Government and the States, or on the distribution of power and responsibilities among the various levels of government. Therefore, the FAA has determined that this notice of proposed rulemaking would not have federalism implications.

#### **Paperwork Reduction Act**

The Paperwork Reduction Act of 1995 (44 U.S.C. 3507(d)) requires that the FAA consider the impact of paperwork and other information collection burdens imposed on the public. We have determined that there are no new information collection requirements associated with this proposed rule.

#### **International Compatibility**

In keeping with U.S. obligations under the Convention on International Civil Aviation, it is FAA policy to comply with International Civil Aviation Organization (ICAO) Standards and Recommended Practices to the maximum extent practicable. The FAA determined that there are no ICAO Standards and Recommended Practices that correspond to this proposed regulation.

#### **Environmental Analysis**

FAA Order 1050.1D defines FAA actions that may be categorically excluded from preparation of a National Environmental Policy Act (NEPA) environmental impact statement. In accordance with FAA Order 1050.1D, appendix 4, paragraph 4(j), this proposed rulemaking action qualifies for a categorical exclusion.

#### **Energy Impact**

The energy impact of the proposed rule has been assessed in accordance

with the Energy Policy and Conservation Act (EPCA) and Public Law 94-163, as amended (43 U.S.C. 6362), and FAA Order 1053.1. It has been determined that it is not a major regulatory action under the provisions of the EPCA.

### Regulations Affecting Intrastate Aviation in Alaska

Section 1205 of the FAA Reauthorization Act of 1996 (110 Stat. 3213) requires the Administrator, when modifying regulations in Title 14 of the CFR in a manner affecting intrastate aviation in Alaska, to consider the extent to which Alaska is not served by transportation modes other than aviation, and to establish such regulatory distinctions as he or she considers appropriate. Because this proposed rule would apply to the certification of future designs of transport category airplanes and their subsequent operation, it could, if adopted, affect intrastate aviation in Alaska. The FAA therefore specifically requests comments on whether there is justification for applying the proposed rule differently to intrastate operations in Alaska.

### Plain Language

In response to the June 1, 1998, Presidential memorandum regarding the issue of plain language, the FAA re-examined the writing style currently used in the development of regulations. The memorandum requires Federal agencies to communicate clearly with the public. We are interested in your comments on whether the style of this document is clear, and in any other suggestions you might have to improve the clarity of FAA communications that affect you. You can get more information about the Presidential memorandum and the plain language initiative at <http://www.plainlanguage.gov>.

### List of Subjects in 14 CFR Part 25

Aircraft, Aviation safety, Reporting and recordkeeping requirements.

### The Proposed Amendment

In consideration of the foregoing, the Federal Aviation Administration proposes to amend part 25 of Title 14, Code of Federal Regulations, as follows:

### PART 25—AIRWORTHINESS STANDARDS: TRANSPORT CATEGORY AIRPLANES

1. The authority citation for part 25 continues to read as follows:

**Authority:** 49 U.S.C. 106(g), 40113, 44701, 44702 and 44704.

2. Amend § 25.819 by revising paragraphs (b) and (f) to read as follows:

#### § 25.819 Lower deck surface compartments (including galleys).

\* \* \* \* \*

(b) There must be a means for two-way voice communication between the flight deck and each lower deck service compartment, which remains available following loss of normal electrical power generating system.

\* \* \* \* \*

(f) For each occupant permitted in a lower deck service compartment, there must be a forward or aft facing seat which meets the requirements of § 25.785(d), and must be able to withstand maximum flight loads when occupied.

\* \* \* \* \*

Issued in Renton, Washington, on January 8, 2002.

**Ali Bahrami,**

*Acting Manager, Transport Airplane Directorate, Aircraft Certification Service.*

[FR Doc. 02-1766 Filed 1-23-02; 8:45 am]

BILLING CODE 4910-13-P

### NATIONAL INDIAN GAMING COMMISSION

#### 25 CFR Part 542

RIN 3141-AA24

#### Minimum Internal Control Standards; Correction

**AGENCY:** National Indian Gaming Commission.

**ACTION:** Proposed rule; correction.

**SUMMARY:** This document corrects part 542 of a proposed rule published in the **Federal Register** on December 26, 2001, regarding the Minimum Internal Control Standards. This correction remedies formatting changes made to the proposed rule and clarifies with which sections Tribal gaming operations are to comply.

**FOR FURTHER INFORMATION CONTACT:** Michele F. Mitchell, 202-632-7003.

#### Correction

In the proposed rule FR Doc. 01-30788, beginning on page 66500 in the issue of December 26, 2001, make the following correction:

1. On page 66506, in the second column, correct § 542.3(a)(1) to read as follows:

#### § 542.3 How do I comply with this part?

(a) *Compliance based upon tier.*

(1) Tier A gaming operations must comply with §§ 542.1 through 542.18,

and §§ 542.20 through 542.23 of this part.

(2) Tier B gaming operations must comply with §§ 542.1 through 542.18, and §§ 542.30 through 542.33 of this part.

(3) Tier C gaming operations must comply with §§ 542.1 through 542.18, and §§ 542.40 through 542.43 of this part.

\* \* \* \* \*

Dated: January 9, 2002.

**Montie R. Deer,**

*Chairman.*

**Elizabeth L. Homer,**

*Vice-Chair.*

**Teresa E. Poust,**

*Commissioner.*

[FR Doc. 02-882 Filed 1-23-02; 8:45 am]

BILLING CODE 7565-01-P

### DEPARTMENT OF THE TREASURY

#### Internal Revenue Service

#### 26 CFR Part 1

[REG-125638-01]

RIN 1545-BA00

#### Guidance Regarding Deduction and Capitalization of Expenditures

**AGENCY:** Internal Revenue Service (IRS), Treasury.

**ACTION:** Advance notice of proposed rulemaking.

**SUMMARY:** This document describes and explains rules and standards that the IRS and Treasury Department expect to propose in 2002 in a notice of proposed rulemaking that will clarify the application of section 263(a) of the Internal Revenue Code to expenditures incurred in acquiring, creating, or enhancing certain intangible assets or benefits. This document also invites comments from the public regarding these standards. All materials submitted will be available for public inspection and copying.

**DATES:** Written and electronic comments must be submitted by March 25, 2002.

**ADDRESSES:** Send submissions to: CC:ITA:RU (REG-125638-01), room 5226, Internal Revenue Service, POB 7604, Ben Franklin Station, Washington, DC 20044. Submissions may be hand delivered Monday through Friday between the hours of 8 a.m. and 5 p.m. to: CC:ITA:RU (REG-125638-01), Courier's Desk, Internal Revenue Service, 1111 Constitution Avenue NW., Washington, DC. Alternatively, taxpayers may send submissions