

Task Assignment

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[Notices]
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DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

Aviation Rulemaking Advisory Committee; Transport Airplane and
Engine issues--New Task

AGENCY: Federal Aviation Administration (**FAA**), DOT.

ACTION: Notice of new task assignment for the Aviation Rulemaking
Advisory Committee (ARAC).

SUMMARY: Notice is given of a new task assigned to and accepted by the
Aviation Rulemaking Advisory Committee (ARAC). This notice informs the
public of the activities of ARAC.

FOR FURTHER INFORMATION CONTACT: Dorenda Baker, Transport Standards
Staff (ANM-110) Federal Aviation Administration, 1601 Lind Avenue, SW.,
Renton, WA 98055-4056; phone (425) 227-2109; fax (425) 227-1320.

SUPPLEMENTARY INFORMATION:

Background

The **FAA** has established an Aviation Rulemaking Advisory Committee
to provide advice and recommendations to the **FAA** Administrator, through
the Associate Administrator for Regulation and Certification, on the
full range of the **FAA's** rulemaking activities with respect to aviation-
related issues. This includes obtaining advice and recommendations on
the **FAA's** commitment to harmonize its Federal Aviation Regulations
(FAR) and practices with its trading partners in Europe and Canada.

One area ARAC deals with is Transport Airplane and Engine Issues.
These issues involve the airworthiness standards for transport category
airplanes and engines in 14 CFR parts 25, 33, and 35 and parallel
provisions in 14 CFR parts 121 and 135.

The Task

This notice is to inform the public that the **FAA** has asked ARAC to
provide advice and recommendation on the following harmonization task:

Task: Flight Crew Error/Flight Crew Performance Considerations in the

Flight Deck Certification Process

Step 1. Review relevant existing material (FAR/JAR 25 regulations, advisory material, policy, and related references) and make recommendations about what regulatory standards and/or advisory material should be updated or developed to consistently address design-related flight crew performance vulnerabilities, and prevention and management (detection, tolerance, and recovery) of flight crew error. This review should be accomplished in the context of both the Type Certification and Supplemental type Certification processes.

Step 2. Based on results of the Step 1 review, recommend new advisory material to address design-related vulnerabilities of flight crew performance and the management of flight crew error.

Step 3. Recommend (or plan for the development of) new regulatory material to address design-related vulnerabilities of flight crew performance and the management of flight crew error. If rulemaking is not recommended, provide reasons and propose non-rulemaking alternatives.

Step 4. Recommend an implementation plan for products of Steps 1-3, and develop Terms of Reference for fulfilling the plan.

Step 5. During accomplishment of these steps, identify implications for qualification and operations for communication to appropriate groups.

The **FAA** requests that ARAC draft appropriate regulatory documents with supporting economic and other required analyses, and any other related guidance material or collateral documents to support its recommendations. If the resulting recommendation is one or more notices of proposed rulemaking (NPRM) published by the **FAA**, the **FAA** may ask ARAC to recommend disposition of any substantive comments the **FAA** receives.

An interim report responding to the first three steps would be required from the ARAC working group within 18 months. The entire project shall be completed within 36 months of tasking.

ARAC Acceptance of Task

ARAC has accepted the task and has chosen to establish a new Human Factors Harmonization Working Group. The working group will serve as staff to ARAC to assist ARAC in the analysis of the assigned task. Working group recommendations must be reviewed and approved by ARAC. If ARAC accepts the working group's recommendations, it forwards them to the **FAA** as ARAC recommendations.

Working Group Activity

The Human Factors Harmonization Working Group is expected to comply with the procedures adopted by ARAC. As part of the procedures, the working group is expected to:

1. Recommend a work plan for completion of the task, including the rationale supporting such a plan, for consideration at the meeting of ARAC to consider transport airplane and engine issues held following publication of this notice.

2. Give a detailed conceptual presentation of the proposed recommendations, prior to proceeding with the work stated in item 3 below.

3. Draft appropriate regulatory documents with supporting economic and other required analyses, and/or any other related guidance material

or collateral documents the working group determines to be appropriate; or, if new or revised requirements or compliance methods are not recommended, a draft report stating the rationale for not making such recommendations. If the resulting recommendation is one or more notices of proposed rulemaking (NPRM) published by the **FAA**, the **FAA** may ask ARAC to recommend disposition of any substantive comments the **FAA** receives.

4. Provide a status report at each meeting of ARAC held to consider transport airplane and engine issues.

Participation in the Working Group

The Human Factors Harmonization Working Group will be composed of technical experts having an interest in the assigned task. A working group member need not be a representative of a member of the full committee.

An individual who has expertise in the subject matter and wishes to become a member of the working group should write to the person listed under the caption FOR FURTHER INFORMATION CONTACT expressing that desire, describing his or her interest in the task, and stating the expertise he or she would bring to the working group. All requests to participate must be received no later than Sept. 17, 1999. The requests will be reviewed by the assistant chair and the assistant executive director, and the individuals will be advised whether or not the request can be accommodated.

Individuals chosen for membership on the working group will be expected to represent their aviation community segment and participate actively in the working group (e.g., attend all meetings, provide written comments when requested to do so, etc.). They also will be expected to devote the resources necessary to ensure the ability of the working group to meet any assigned deadline(s). Members are expected to keep their management chain advised of working group activities and decisions to ensure that the agreed technical solutions do not conflict with their sponsoring organization's position when the subject being negotiated is presented to ARAC for a vote.

Once the working group has begun deliberations, members will not be

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added or substituted without the approval of the assistant chair, the assistant executive director, and the working group chair.

The Secretary of Transportation has determined that the formation and use of ARAC are necessary and in the public interest in connection with the performance of duties imposed on the **FAA** by law.

Meetings of ARAC will be open to the public. Meetings of the Human Factors Harmonization Working Group will not be open to the public, except to the extent that individuals with an interest and expertise are selected to participate. No public announcement of working group meetings will be made.

Issued in Washington, DC, on July 14, 1999.
Ida M. Klepper,
Acting Executive Director Aviation Rulemaking Advisory Committee.
[FR Doc. 99-18718 Filed 7-21-99; 8:45 am]
BILLING CODE 4910-13-M

Recommendation Letter

Pratt & Whitney
400 Main Street
East Hartford, CT 06108



Human Factors
Pratt & Whitney
A United Technologies Company
File

June 22, 2004

Federal Aviation Administration
800 Independence Avenue, SW
Washington, D.C. 20591

Attention: Mr. Nicholas Sabatini, Associate Administrator for Regulation and Certification

Subject: ARAC Recommendation, Human Factors

Reference: ARAC Tasking, Federal Register, July 22, 1999

Dear Nick,

The Transport Airplane and Engine Issues Group is pleased to forward the attached material from the Human Factors Harmonization Working Group as an ARAC recommendation.

- Human Factor Working Group Report and proposed rule – 25.1302 Installed Systems and Equipment for Use by the Flight Crew.
- Proposed Advisory Circular

TAEIG requests that the FAA expedite release of this material to facilitate continued FAA/EASA harmonization. The Working Group is developing a cost-benefit analysis which will be provided to the FAA as soon as it is available.

TAEIG also suggests that a regulatory authority/industry "continuous improvement team" be formed to evaluate experience in use of this material and to recommend enhancements to the rule and/or advisory material if required.

Sincerely yours,

Craig R. Bolt

C. R. Bolt
Assistant Chair, TAEIG

Copy: Dionne Krebs – FAA-NWR
Mike Kaszycki – FAA-NWR
Alicia Douglas – FAA-Washington, D.C.
Curt Graeber - Boeing

Acknowledgement Letter

September 20, 2004

Mr. Craig R. Bolt
Assistant Chair, Aviation Rulemaking
Advisory Committee
Pratt & Whitney
400 Main Street, Mail Stop 162-14
East Hartford, CT 06108

Dear Mr. Bolt:

This letter acknowledges receipt of several letters that you sent for the Aviation Rulemaking Advisory Committee (ARAC) on Transport Airplane and Engine (TAE) Issues.

| Date of Letter | Description of Recommendation | Working Group |
|-----------------------|---|--|
| 01/06/2003 | Proposed rule and draft advisory material on bird ingestion capability (§ 33.76) | Engine Harmonization Working Group (HWG) |
| 10/22/2003 | Final report and position statements on bird strike requirements (§ 25.631) | General Structures HWG |
| 10/22/2003 | Final report and draft advisory material on alternative composite structure material (§ 25.603) | General Structures HWG |
| 05/14/2004 | Final report, proposed rule language, and draft advisory material on warning, caution, and advisory alerts installed in the cockpit (§ 25.1322) | Avionics Systems HWG |
| 06/17/2004 | Final report and draft advisory material on fire protection of flight controls, engine mounts and other flight structures (§ 25.865) | Loads and Dynamics HWG |
| 06/22/2004 | Final report, proposed rule, and draft advisory material on installed systems and equipment for use by the flight crew (§ 25.1302) | Human Factor HWG |

I wish to thank the ARAC and the working groups for the resources that industry gave to develop these recommendations. The recommendations from the Avionics Systems HWG, the Human Factor HWG, and the Loads and Dynamics HWG will remain open until these working groups complete a Phase 4 review. The remaining recommendations have been closed, as we consider submittal of the reports as completion of the tasks. All of these recommendations will be placed on the ARAC website at <http://www.faa.gov/avr/arm/arac/index.cfm>.

We will continue to keep you apprised of our efforts on the ARAC recommendations and the rulemaking prioritization at the regular ARAC TAE issues meetings.

Sincerely,

/s/ Margaret Gilligan

Nicholas A. Sabatini
Associate Administrator for Regulation
and Certification

Recommendation

Human Factors – HWG

Final Report

June 15, 2004



HF-HWG

Human Factors-Harmonization Working Group
Flight Crew Error / Flight Crew Performance
Considerations in the
Flight Deck Certification Process
Federal Aviation Administration – USA
European Aviation Safety Agency – Europe



| Document | | |
|--|----------------------|--|
| Title | Final Report | |
| Version number | 2.7 | |
| Number of pages | 18 plus 7 Appendices | |
| Status | Final version | |
| Authors | | |
| Editors – Dr. P. Emmerson, Dr. R.C. Graeber | BAE Systems | |
| | The Boeing Company | |
| | Concurred by | |
| Dr. R.C. Graeber – Co-Chair | The Boeing Company | |
| Mr. D. Ronceray – Co-Chair | Airbus Industrie | |

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1. BACKGROUND:

SAFETY ISSUE ADDRESSED/STATEMENT OF THE PROBLEM

(1) What prompted this rulemaking activity (e.g., accident, accident investigation, NTSB recommendation, new technology, service history, etc.)? What focused our attention on the issue?

Recent statistics (Boeing, 2003) indicate that there were 139 commercial jet aircraft accidents world-wide between 1993 and 2002. In 67% of these, flight crew error was cited as a major factor by the investigating authority. These statistics remain consistent across accident categories that have been recently examined, such as controlled flight into terrain, loss of control, etc.

For current generation aircraft the world-wide rate for hull-loss/fatal accidents over the last 10 years has remained static at about 1.5 accidents per million departures (Boeing 2003). Without a decrease in this rate, a substantial increase in the number of flights over the coming decades will result in an increase in hull losses. Reducing crew error, in addition to the implementation of advanced safety technology such as TAWS, provides the greatest opportunity to accomplish a rate decrease. At the same time, the vulnerability of the aviation system to flight crew error may increase in the future due to a number of factors, including increased traffic density and the growing diversity of cultures operating aircraft worldwide.

In order to develop effective strategies for reducing flight crew error it is important to look behind the label of "error" to find the contributing factors that have led crews to make errors, miss the detection of errors, or recover late from errors that have safety consequences. Contributing factors can often be -- and have been -- identified from design, qualification, operations (e.g., procedures, maintenance), and other areas. Such an analysis led both the 1996 FAA Human Factors Team report and the JAA Human Factors Steering Group to recommend that measures be taken to address one set of factors contributing to flight crew error, specifically the design and certification of transport category flight decks. As a result, the Harmonization Working Group on Flight Crew Error/Flight Crew Performance Considerations in the Flight Deck Certification Process (i.e., the Human Factors HWG) was established in the fall of 1999 and tasked as follows:

- Step 1. Review relevant existing material (FAR/JAR 25 regulations, advisory material, policy, and related references) and make recommendations about what regulatory standards and/or advisory material should be updated or developed to consistently address design-related flight crew performance vulnerabilities, and prevention and management (detection, tolerance, and recovery) of flight crew error. This review should be accomplished in the context of both the Type Certification and Supplemental type Certification processes.
- Step 2. Based on results of the Step 1 review, recommend new advisory material to address design-related vulnerabilities of flight crew performance and the management of flight crew error.
- Step 3. Recommend (or plan for the development of) new regulatory material to address design-related vulnerabilities of flight crew performance and the management of flight crew error. If rulemaking is not recommended, provide reasons and propose non-rulemaking alternatives.
- Step 4. Recommend an implementation plan for products of Steps 1-3, and develop Terms of Reference for fulfilling the plan.
- Step 5. During accomplishment of these steps, identify implications for qualification and operations for communication to appropriate groups.

At the same time, the European Joint Airworthiness Authorities issued an Interim Human Factors Policy (JAA INT POL 25/14) for certifying flight deck systems. It also supported forming the HF HWG with the expectation that its output should cover the scope and intent of the Interim Policy in such a way that would eventually enable a harmonized solution to be put in place and replace it.

(2) What is the underlying safety issue to be addressed in this proposal?

Detailed analyses of past incidents and accidents have shown that the majority are related to crew performance failures in the form of a series of errors, sometimes in combination with system failure, which led to severe safety consequences. In some cases the analysis of contributing factors has shown that the equipment was inadequately designed to support flight crew performance in a manner that would enable continued safe flight. This proposal addresses the contribution of flight deck equipment design to the occurrence of those flight crew errors that can significantly impair safe operations in commercial transport aircraft.

(3) What is the underlying safety rationale for the requirement?

This requirement is intended to help to prevent the certification of unsafe design features that may lead flight crews to make errors, not detect errors, or recover too late from errors that may have serious safety consequences. Current requirements address the design of various features of specific flight deck equipment in a manner intended to assure that the crew can operate that particular device safely; however, there is no guidance or existing requirement that addresses the prevention and management of flight crew error in a comprehensive or integrated manner across the various flight deck components. The proposed requirement addresses in a comprehensive fashion those design characteristics that affect the occurrence and management of flight crew error. It applies across all flight deck equipment that provides information to the flight crew or that the crew uses to control the operation of the airplane.

(4) Why should the requirement exist?

Human error cannot be entirely prevented, nor is it predictable. While effective training can help mitigate some of the threat, there must be a Part 25 requirement to address it responsibly within the design process, especially when novel features are proposed. Such a requirement must recognize that crew performance is by its very nature an integrated response to a particular operational situation. As a result, flight crew error typically involves interaction with more than one piece of equipment. Consequently, in order to address the safety risk resulting from flight crew error designers must undertake an integrated approach to minimize its occurrence and manage its effects. A list of typical human errors relevant to flight deck performance is given in Appendix 6.

CURRENT STANDARDS OR MEANS TO ADDRESS

(1) What are the current regulations relative to this subject? (Include both the FAR's and JAR's.)

The proposed rule is intended to define requirements that extend or generalize those in the regulations listed below, however it is not proposed that any of these regulations be amended or deleted. A more detailed description of the regulations is given in Appendix 5.

14 CFR 25:

14 CFR 25.671(a) Pilot compartment (as amended 4/30/1965).

14 CFR 25.771(a), (c) and (e) Pilot compartment (as amended 4/30/1965).

14 CFR 25.777 Cockpit controls (as amended 12/1/1978).

14 CFR 25.779 Motion and effect of cockpit controls (as amended 8/20/1990).

14 CFR 25.1301 Function and installation (10/3/1964).

25.1309 (a) and (c) Equipment, systems, and installations (as amended 9/1/1977).

14 CFR 25.1523 Minimum flight crew (as amended 5/28/1965).

14 CFR 25 Appendix D Criteria for determining minimum flight crew (as amended 5/28/1965).

EASA CS 25:

CS 25.1301 Function and installation.

25.1309 Equipment, systems, and installations.

(2) How have the regulations been applied? (What are the current means of compliance?) If there are differences between the FAR and JAR, what are they and how has each been applied? (Include a discussion of any advisory material that currently exists).

Individually and collectively the rules itemized above do not fully address design requirements relating to flight crew performance and flight crew error under all expected operating conditions or for all equipment and systems with which flight crews interact. Specifically:

- §25.671(a) applies only to flight controls.
- §25.771(a) addresses only avoidance of unreasonable concentration and fatigue and does not address other causes of flight crew error and performance degradation.
- §25.771(c) only requires that means to control the airplane must be functionally equivalent for each pilot position, and does not set criteria for these means.
- §25.777 defines requirements only for controls and only with respect to their locations and certain physical attributes, and does not comprehensively address control characteristics affecting all the aspects of flight crew error and performance that the proposed rule does.
- §25.779 defines requirements for the motion and effect of controls, and does not comprehensively address control characteristics affecting all the aspects of flight crew error and performance that the proposed rule does.
- §25.1301 is very general, and is not consistently applied to address the safety issues covered by the proposed rule.
- §25.1309(a) applies only to required equipment, and because it is very general it has not been consistently applied to address the safety issues covered by the proposed rule.
- §25.1309(c) defines requirements that are applicable only in the event of equipment failure, while the requirements of the proposed rule apply to all expected operating conditions.
- §25.1523 and Appendix D address workload only with respect to establishing the minimum flight crew, in contrast to the proposed rule which encompasses workload issues that may not be sufficient to affect the size or composition of the minimum flight crew.

Recent and current practice has been that compliance with requirements itemized above has followed the general guidance found in FAA ACs 20-88A, 25-7A, 25-11, and ACs dealing with specific types of equipment and systems, or with the guidance in JAA Advisory Circulars ACJ 25.1329. There is no specific, comprehensive guidance available defining acceptable means of

compliance for the rules identified above. In addition, advances in crew interface and systems technologies have outpaced both the existing FAA and JAA/EASA regulations and guidance. Flight crew interface related issue papers and interim policy guidance have been used to fill these gaps in the regulatory and acceptable means of compliance material.

JAA Interim Policy is used by EASA. Assessment of the flight deck to ascertain whether novel features are present is conducted in accordance with IR 21A.16B and if necessary the certification team raises a Special Condition as specified in the JAA Interim Policy. The Special Condition is being used in several certification programs.

(3) What has occurred since those regulations were adopted that has caused us to conclude that additional or revised regulations are necessary? Why are those regulations now inadequate?

As noted above, advances in crew interface and systems technologies have presented issues not addressed in the current regulations and advisory material. JAA sought to address this by issuing JAA INT POL 25/14, "Human Factors Aspects of Flight Deck Design." This Interim Policy, and the Special Condition that results from its general application on European certification programs, has created a de-facto lack of harmonization.

In order to comply with ER for airworthiness, the EASA needs to modify CS-25. The consequence is at least to incorporate the JAA INT POL 25/14 or equivalent into CS 25.

IF NO REGULATIONS CURRENTLY EXIST:

(1) What means, if any, have been used in the past to ensure that this safety issue is addressed? Has the FAA relied on issue papers? Special Conditions? Policy statements? Certification action items? Has the JAA relied on Certification Review Items? Interim Policy? If so, reproduce the applicable text from these items that is relative to this issue.

The JAA published an interim policy INT/POL 25/14 on 15 March 2001. This interim policy proposes a generic special condition, and guidelines for acceptable means of compliance to deal with the Human factors aspects of flight deck design when novel features are introduced. The INT POL states that:

"If novel features are present, the Team should raise a Special Condition as follows:

- a) The design of the integrated Flight Deck Interface must adequately address the foreseeable performance, capability and limitations of the Flight Crew.
- b) More specifically the Authority must be satisfied with the following aspects of the Flight Deck Interface design:
 - i) ease of operation [including automation]
 - ii) the effects of crew errors in managing the aircraft systems, including the potential for error, the possible severity of the consequences, and the provision for recognition and recovery from error
 - iii) task sharing and distribution of workload between crew members during normal and abnormal operation
 - iv) the adequacy of feedback, including clear and unambiguous:
 - presentation of information
 - representation of system condition by display of system status
 - indication of failure cases, including aircraft status
 - indication when crew input is not accepted or followed by the system
 - indication of prolonged or severe compensatory action by a system when such action could adversely affect aircraft safety."

The policy has been transferred to the EASA.

(2) Why are those means inadequate? Why is rulemaking considered necessary (i.e., do we need a general standard instead of addressing the issue on a case-by-case basis?)

Regulations on a case-by-case basis have been used in the past to respond to safety concerns and accident investigation boards' recommendations. This is a reactive approach. In order to address novel issues raised by new technologies and new operating environments, a proactive approach is needed because neither regulators nor industry can predict what those will be in the future.

2. DISCUSSION of PROPOSAL

A. SECTION-BY-SECTION DESCRIPTION OF PROPOSED ACTION

(1) What is the proposed action? Is the proposed action to introduce a new regulation, revise the existing regulation, or to take some other action?

The proposed action is to publish a new regulation and advisory material that provides guidance for this and certain existing rules, together with some recommended tasking for other groups to address deficiencies identified by this HWG in the following areas:

- Avionics
- Icing
- AFM

In proposing this action, the HF HWG also requests a Phase 4 review of the draft NPRM package and proposed AC material prior to publication, as per the Fast Track process.

(2) If regulatory action is proposed, what is the text of the proposed regulation?

FAR/CS§ 25.1302 Installed Systems and Equipment for Use by the Flight Crew

This section applies to installed equipment intended for the flight crewmembers' use in the operation of the airplane from their normally seated position on the flight deck. This installed equipment must be shown, individually and in combination with other such equipment, to be designed such that qualified flight crewmembers trained in its use can safely perform their tasks associated with the intended function by meeting the following requirements:

(a) Flight deck controls must be installed and information necessary to accomplish these tasks must be provided.

(b) The flight deck controls and information intended for the flight crew use must:

- i. Be presented in a clear and unambiguous form, at resolution and precision appropriate to the task, and
- ii. Be accessible and usable by the flight crew in a manner consistent with the urgency, frequency, and duration of their tasks, and
- iii. Enable flight crew awareness, if awareness is required for safe operation, of the effects on the aircraft or systems resulting from flight crew actions.

(c) Operationally-relevant behavior of the installed equipment must be:

- i. Predictable and unambiguous, and
- ii. Designed to enable the flight crew to intervene in a manner appropriate to the task.

(d) To the extent practicable, the installed equipment must enable the flight crew to manage errors resulting from flight crew interaction with the equipment that can be reasonably expected in service, assuming flight crews acting in good faith. This subparagraph does not apply to skill-related errors associated with manual control of the airplane.

(3) If this text changes current regulations, what change does it make? For each change: (a) What is the reason for the change? (b) What is the effect of the change?

This proposed text adds a new paragraph to existing regulations. The proposal does not replace or modify any text that currently exists in the regulations. The overall reason for adding this paragraph is as follows: 14 CFR Part 25 / CS-25 contains regulations for design of flight deck equipment that are system-specific (e.g. 25. 777, 1321, 1329, 1543 etc.), generally applicable (e.g. 25.1301(a), 1309(c), 771(a)), and for establishing minimum flight crew in 25.1523 and Appendix D. The proposed 14 CFR/CS 25.1302 augments the currently existing generally applicable rules by adding more explicit requirements for design attributes related to flight crew performance, including avoidance and management of flight crew errors. In addition, other ways to avoid and manage flight crew error are regulated through the rules that govern licensing and qualification of pilots and aircraft operations (e.g. Parts 61, 91, 121, 135 etc. for FAA and JAR FCL and JAR Ops for JAA/EASA). Taken together, the proposed regulation and existing rules as mentioned above represent complementary approaches to provide a high degree of safety.

This complementary approach is important and is based on recognition that equipment design, training/licensing/qualification, and operations/procedures each provide a safety contribution to risk mitigation and that an appropriate balance among them is needed. In the past there have been cases where design characteristics known to contribute to error were accepted because of the rationale that training or procedures would mitigate that risk. However, we now know that often can be inappropriate. Similarly, it would not be appropriate to require the equipment design to provide all risk mitigation, because of the unintended consequences. For example, if a pilot misunderstands a controller's clearance, that does not mean we should mandate datalink or some other design solution as a Part 25 requirement. In the current regulations, some error mitigations are required of the equipment as part of the operating rule requirements (TAWS, etc), not airworthiness requirements - and that is appropriate. The HWG did not find a safety case that would justify putting all of the error management into design requirements (and mandate it through Part 25).

As stated, a proper balance is needed among design approval requirements in the minimum airworthiness standards embodied in Part 25, and the requirements for training/ licensing/ qualification and operations/procedures. The proposed regulation is written and scoped with the intention of achieving that appropriate balance.

Change by change discussion:

Introductory Sentence:

This sentence states the requirement that the provisions of this paragraph apply to each item of installed equipment that is intended for the flight crew's use in operation of the aircraft from their normally seated positions in the flight deck.

"Intended for the flight crew's use in the operation of the aircraft from their normally seated position" means that the intended function of the installed equipment includes use by the flight crew in the operation of the aircraft; e.g., a display that provides information for the flight crew members to navigate. The phrase "flight crewmembers" is intended to include any or all

individuals making up the minimum flight crew as determined for compliance with §25.1523. The phrase “from their normally seated position” means the flight crewmembers are seated at their normal duty stations for operating the aircraft. It is intended to limit the scope of this requirement so that it does not address systems or equipment that are not used while performing their duties in normal and non-normal conditions in the operation of the aircraft. For example, this paragraph is not intended to apply to items such as certain circuit breakers or maintenance controls intended for use by the maintenance crew (or by the flight crew when they are not operating the aircraft).

Rationale for the change: The sentences are intended to describe the scope of applicability of this new paragraph and its requirements. The rationale for each element of this sentence is included in the discussion above.

Second sentence:

The phrase “it must be shown” means that the applicant is required to provide sufficient evidence to support compliance determinations for each of the requirements in the proposed rule. This language “must be shown” is included for harmonization with JAA/EASA rulemaking objectives. It is not intended to require a showing of compliance beyond what would be required according to §21.21(b). Accordingly, the extent of demonstrations, tests or data needed to show compliance with the proposed rule is not expected to entail more extensive or onerous efforts for items that are simple or similar to previously approved equipment and installations.

The phrase “individually and in combination with other such equipment” means that the requirements of this paragraph must be met when the equipment is installed on the flight deck with other equipment and that it must not cause other equipment to be unable to comply with these requirements. For example, a display must not be designed such that it provides inconsistent or conflicting information relative to other installed equipment.

In addition, the provisions of this paragraph assume a qualified flight crew trained in the use of the installed equipment. This means a flight crew that is allowed to fly the airplane by meeting the qualification requirements in the operating rules for the relevant authority (in the US, the FAA) – so the design must meet these requirements with qualified flight crewmembers allowed to operate the airplane. If type design or supplemental type design approval is sought before a training program is accepted, the applicant should document any novel, complex, or highly integrated design features and assumptions made during design that have the potential to affect training time or flight crew procedures. The rule and associated material are written assuming that either these design features and assumptions, or knowledge of a training program (proposed or in the process of being developed) will be coordinated with the appropriate operational approval authorities when judging the adequacy of the design.

The phrase that states “the equipment be designed so that the flight crew can safely perform the tasks associated with the intended function of the equipment” applies in both normal and non-normal conditions. Tasks intended for performance under non-normal conditions are generally those prescribed by non-normal (including emergency) flight crew procedures. The phrase “safely perform the tasks” is intended to describe the safety objective that the equipment design enables the flight crew to perform the tasks with sufficient accuracy and in a timely manner, and without unduly interfering with other required tasks. The phrase “tasks associated with the intended function” is intended to characterize either tasks required to operate the equipment or tasks for which the equipment’s intended function provides support.

Rationale for the change: The sentences are intended to describe the scope of applicability of this new paragraph and its provisions. The rationale for each element of this sentence is included in the discussion above.

25.1302 (a): This sentence requires that, for any flight deck equipment identified in the lead sentences of the proposed rule, appropriate controls must be installed and the necessary information must be provided. In addition, the controls and information displays must be sufficient to accomplish the tasks of the flight crew.

Rationale for the change: Based on a review of the deficiencies in the existing regulations, the HF HWG concluded that it is necessary to explicitly require that the information and control needs associated with the tasks of the flight crew be met. Although this may seem obvious, it is necessary to be explicit because this requirement is not included in the existing regulations.

25.1302 (b) This subparagraph addresses the requirements for the flight deck controls and information that are necessary and appropriate to accomplish the flight crew tasks, as determined for compliance with subparagraph (a). The intent is to assure that the design of the information presentation and controls is usable by the flight crew. In particular, this subparagraph seeks to reduce the occurrence of design-induced flight crew errors by imposing design requirements on flight deck information presentation and controls. Subparagraphs (1) through (3) specify these design requirements.

Specifically, the proposed 25.1302(b)(1) requires that the information and controls be provided in a clear and unambiguous form, at resolution and precision appropriate to the task. For information, “clear and unambiguous” means that it can be perceived correctly (e.g., is legible) and that the information can be correctly understood in the context of the flight crew tasks, and supports the flight crew’s ability to carry out those tasks. For controls, the requirement for “clear and unambiguous” presentation means that the crew must be able to use them appropriately to achieve the intended function of the equipment without contributing to crew confusion or errors that could degrade safe operation.

The general intent is to foster the design of equipment controls whose operation is intuitive, consistent with the effects on the parameters or states they affect, and compatible with the operation of other controls in the flight deck. “Resolution and precision appropriate to the task” means that the information or control must be provided or operate at a level of detail and accuracy appropriate to the accuracy in accomplishing the task expected of the flight crew. Insufficient resolution or accuracy would mean that the flight crew could not perform the task adequately, and excessive resolution has the potential to make a task too difficult due to readability issues, or by implying that a task must be accomplished more accurately than it actually must.

Subparagraph (2) requires that the information and controls be accessible and usable by the flight crew in a manner consistent with the urgency, frequency, and duration of their tasks. This means, for example, that controls used more frequently or urgently must be readily accessed (e.g., take fewer steps or actions to perform the control function). Controls needed less frequently or urgently may be acceptable if they are less accessible. It also means that controls used less frequently or urgently should not interfere with controls that are used more urgently or frequently.

Subparagraph (3) requires that the equipment presents information so that the flight crew can be aware of the effects on the aircraft or systems that result from flight crew actions, if that awareness is required for safe operation. The intent of this requirement is to assure that flight deck equipment provides feedback to the flight crew about system or aircraft states that result from flight crew actions, so that the flight crew can detect their own errors.

Rationale for the change: The requirements in 1302(b) are intended to address the following deficiencies of existing rules:

§25.771 (a) addresses flight crew interface requirements for controls, but does not include criteria for information presentation.

§25.777 (a) addresses flight crew interface requirements for controls, but only with respect to where they are located.

§§ 25.777(b) and 25.779 address direction of motion and actuation for controls but do not encompass new types of controls such as cursor control devices. These rules also do not encompass, for example, control functions incorporated into displays via menus, which have been shown to have a potential for significant accessibility issues.

§25.1523 and Appendix D address workload and task performance, but only with respect to determining minimum crew. These issues are known to have significant safety implication beyond the size of the minimum crew, for example with respect to flight crew error.

Design requirements about information and controls are necessary:

- to properly support flight crew in planning their tasks,
- to make available to the flight crew appropriate, effective means to carry-out planned actions, and
- to enable the flight crew to have appropriate feedback information about the effects of their actions on the aircraft.

25.1302 (c) This subparagraph requires that the equipment must be designed so that installed equipment behavior that is operationally relevant to the flight crew's tasks is: (1) Predictable and unambiguous, and (2) Designed to enable the flight crew to intervene in a manner appropriate to the task (and intended function). It is intended to define requirements for system behavior that could cause or contribute to flight crew error or otherwise prevent the flight crew from being able to properly perform their tasks.

The phrase "operationally-relevant behavior" is intended to convey what the equipment does from the flight crew's perspective and perception that is necessary for crew awareness of the system operation or planning, or necessary to operate the system. This is intended to distinguish it from the logic within the system design, much of which the flight crew does not know or need to know and should be transparent to them.

Subparagraph (1) is intended to describe that the behavior needs to be such that the flight crew can know what the system is doing and why. "Predictable and unambiguous" means that a qualified flight crew can retain enough information about what the system will do under foreseeable circumstances as a result of crew action or as a result of changing situation such that they can safely operate the systems. It is necessary for this behavior to be unambiguous because the pilot actions may have different effects on the airplane from different states or in different circumstances.

Subparagraph (2) requires that the design must be such that the flight crew will be able to take some action, or to change or alter an input to the system as appropriate to the task.

Rationale for the change: Improved technologies have increased safety and performance, but service experience has shown that flight crew confusion can result from equipment behavior (especially from automated systems) that is excessively complex or dependent on logical states or mode transitions that are not understood or expected by the flight crew. Such design characteristics have been determined to contribute to incidents and accidents.

25.1302 (d) This subparagraph addresses the reality that even well-trained, proficient flight crews using well-designed systems will make errors, so the equipment must be designed to enable the flight crew to manage errors that result from their interaction with the installed equipment. Errors "resulting from flight crew interaction with the equipment" means those errors in some way attributable to or related to the design of the controls, information, or equipment behavior (e.g., indications and controls that are complex and inconsistent with each

other or other systems on the flight deck, or a procedure that is inconsistent with the design of the equipment) are considered to be within the scope of this regulatory and advisory material.

“Managing errors” means that the design of the equipment must enable the flight crew to detect and/or recover from the errors resulting from flight crew interaction with the equipment, or ensure that effects of such flight crew errors on the airplane functions or capabilities are evident to the flight crew and continued safe flight and landing is possible, or discourage such flight crew errors by using switch guards, interlocks, confirmation actions, or other effective means, or preclude the effects of errors through system logic, redundant, robust, or fault tolerant system design.

The requirement to manage errors applies to those errors that can be reasonably expected in service from qualified and trained flight crews. The term “reasonably expected in service” means those errors that have been seen in service with similar or comparable equipment or which can be projected to occur based on general experience and knowledge of human performance capabilities and limitations related to the use of controls, information, or system logic of the type being assessed.

The statement “This subparagraph does not apply to skill-related errors associated with manual control of the airplane” is intended to exclude errors resulting from flight crew proficiency in control of flight path and attitude with the primary roll, pitch, yaw and thrust controls, and which are related to the design of the flight control systems. These issues are considered to be adequately addressed by existing rules such as 14 CFR 25 Sub-part B and §25.671(a).

It is not intended that the design be required to compensate for deficiencies in flight crew training or currency/recency of experience, assuming at least the minimum requirements for flight crew certification for the intended operation based on certification of flight crewmembers (per 14 CFR or JAR Ops or JAR FCL, for the US and Europe, respectively) as discussed above.

This requirement is intended to exclude management of errors as a result of decisions, acts, or omissions by the flight crew that are not in good faith. This exclusion is intended to avoid imposing requirements on the design to accommodate errors that are committed with malicious or purely contrary intent. The proposed rule is not intended to require the applicant to consider errors that are a result of acts of violence or threats of violence.

This “good faith” exclusion is also intended to avoid imposing requirements on the design to accommodate errors that are due to obvious disregard for safety by a flight crewmember. However, it is recognized that errors committed intentionally may still be in good faith and could be contributed to by design characteristics under certain circumstances; for example, a poorly designed procedure that is not compatible with the controls or information provided to the flight crew.

Requiring that errors be manageable only to the extent practicable is intended to address both economic and operational practicability. The intent is to avoid imposing requirements without considering the economic feasibility and commensurate safety benefit.

In addition, it is intended to address operational practicability, to avoid introducing error management into the design that make operation of the flight deck or airplane more difficult (for example too many guards or interlocks on means to shut down an engine, when in some instances the flight crew is required to do this rapidly) or that take away authority or means for the flight crew to intervene or carry out an action when it is their responsibility and best good faith judgment.

Rationale for the change: Service experience has shown that errors will occur, even with proficient, properly trained, and well rested flight crews operating well-designed systems. Such errors have been found to have contributed to transport accidents and incidents. Therefore, managing the errors that do occur (that are a result of flight crew interaction with the equipment that can be reasonably expected in service) is an important safety objective addressed by this

subparagraph. Even though the scope of applicability of this material is limited to errors for which there is a contribution from, or relationship to, design, this rule paragraph is expected to result in design changes that contribute to safety (such as "undo" functions in certain designs, among others.)

B. ALTERNATIVES CONSIDERED

(1) What actions did the working group consider other than the action proposed? Explain alternative ideas and dissenting opinions.

After the initial phases of the HFHWG task to identify deficiencies in the regulations, TAEIG requested that HFHWG develop not only recommendations, but also regulatory and guidance material. Section 4 of this report addresses development of the advisory material.

Several regulatory approaches were considered to address the highest priority deficiencies established during the initial phases of the tasking. Each of the following approaches to rules were debated and considered. The final version of the rule recommended in this report contains elements of the JAA Interim Policy, the "error" rule approach, and the "design attributes" approach. Significant elements of the "error" approach that were not used in the final recommendation are explicitly included in the recommended draft AC, and use the last paragraph of the final recommended rule to provide a regulatory basis.

Pros and Cons for various approaches to new or modified regulations are shown below:

Adopting JAA Interim policy

Pros:

- The JAA Interim Policy is already published and is being applied.
- It addresses a broad set of design considerations.

Cons:

- Does not establish or describe a minimum level of safety and so would require considerable re-drafting to become a rule.
- It is written as a policy, to be invoked for novel items as a CRI, not as an AC/AMC with MOC, etc.
- The scope of a rule based on the JAA IntPol is difficult to establish.
- The JAA IntPol addresses issues beyond Part 25 (maintenance and cabin crew functions).
- Methods of compliance have been defined only to a limited extent and at a general level.
- It is invoked for novel items only.

The "rule" part of the Interim Policy lists several important considerations to be used as part of the process of evaluating or analyzing the acceptability of a design. The language itself does not establish or describe a minimum level of safety and so would require considerable redrafting to become a rule. However, many of the considerations are embodied in the final recommended version of the new rule.

A new AC/ACJ based on existing regulations, with no new regulations or changes

Pros:

- A number of rules currently in Part 25 address the problem of flight deck design from both prescriptive and generally applicable perspectives, as well as governing how to establish the minimum flight crew. Most do have not guidance, and a new AC would address that.

- Providing missing guidance for these rules could improve the ability of regulators to interpret and enforce them.
- 25.1523 and appendix D in particular have much of the language needed to address error in terms of avoidance, recognition, and recovery.

Cons:

- 25.1523 and Appendix D are limited to the purpose of establishing minimum flight crew.
- Remaining rules are seen by regulators as too general, and therefore too arguable and easily contested by a determined applicant attempting to defend a “poor” design. For example, there are no standard interpretations for “intended function” (25.1301) in this context, nor for “unreasonable concentration or fatigue” (25.771(a)).
- On the whole, this approach was not seen by regulators to be sufficiently targeted at the identified deficiencies to be effective and enforceable.

Changes to multiple existing rules

Pros:

- Would require no new rules
- System specific rules are generally easier to interpret due to narrower context

Cons:

- Would be necessary to make changes to nearly every system specific rule
- Many existing rule are prescriptive, so effect would be limited to those systems covered.
- The effect of adding specific HF guidance to general rules on their original intent and meaning were unknown.
- Some general rules are limited in purpose, e.g. 25.1523 addresses workload only with respect to establishing the minimum crew.
- System specific rules would be unlikely to be able to address new interface technologies, or systems that do not exist today.
- Changes to multiple existing rules would be likely to lead to inconsistent approaches for different systems.

New rule with flight crew error as a central safety objective:

This approach was pursued diligently for over a year by HF HWG, developed through a multitude of versions, and debated and refined considerably. This approach was an attempt to address avoidance, recognition and detection, recovery, mitigation of effects, and making effects of errors apparent to the flight crew.

Pros:

- This approach would directly addresses the findings of many safety studies by addressing error explicitly
- A new rule explicitly addressing flight crew error would be global, intended to focus on the result of bad design and thus provide complete coverage.
- This approach explicitly addresses the deficiency related to design-related error and tasking of this group – explaining the rationale for the rule would be more straightforward.
- The objective and logic of rule are explicitly stated.
- This approach allows multiple ways of achieving compliance and the corresponding safety objective.
- Some boundaries for scope of rule are addressed explicitly.
- Simplifies 25.1309(c) by removing second sentence related to flight crew error after a failure.
- Apparent broad coverage.

Cons:

- Has face validity in that it addresses in name (error) the result of design inadequacies.
- It appears to regulate crew behavior rather than design.
- Part of the rule on avoidance of error required the applicant to prove a negative (i.e., that errors cannot occur).
- The WG was unable to develop language to appropriately limit scope.
- pushed the designer to consider the flight crew as an error generator
- It seems to invite probabilistic approaches to compliance, that are agreed not to have a basis or methodology.
- It could be interpreted as open ended; boundaries must be explicitly described.
- It may involve excessive use of subjective opinion for compliance determination
- Ties to AC/AMC & coverage of topics (automation, integration, pilots characteristics) are not completely clear (except for error section)
- MoCs may be difficult or impossible to define completely
- Some applicants may attempt to use analysis as primary MoC and may not result in better design

New rule emphasizing Design Attributes known to Address Flight Crew Error

Pros:

- It addresses design characteristics that lead to error rather than error itself, placing it more directly within the intent of Part 25, as well as potentially allowing for more focused discussions between the applicant and authority).
- It narrows the focus to certain aspects of design characteristics, allowing more focused discussions.
- It has explicit ties to the flight crew tasks.
- It is potentially easier to tie to methods of compliance.
- It allows a more direct regulatory basis for sections such as integration and automation.

Cons:

- The list of characteristics may not be complete, thus leaving “holes” that the error-based rule would cover.
- Being based on design characteristics may not result in applicant taking a better, more structured, approach to the design process.

Dissenting Opinions

There were no Minority Positions reported within the HF HWG, and all members agreed with the content of the proposed regulation FAR/CS§ 25.1302.

3. COSTS AND OTHER ISSUES THAT MUST BE CONSIDERED**COSTS ASSOCIATED WITH THE PROPOSAL**

(1) Who would be affected by the proposed change? How? (Identify the parties that would be materially affected by the rule change – airplane manufacturers, airplane operators, etc.)

Data not currently available

OTHER ISSUES

(1) Will small businesses be affected?

Small businesses may be affected if they do not already have a Human Factors capability within them (and many do not) when designing new products/components for the user interface on the

flight deck or when developing components in the role of a sub-contractor as well as when demonstrating compliance of their product to the new requirement. They will either be required to develop the capability within the company or contract relevant expertise to fulfill the requirements of the new rule.

Companies engaged in the modification of the flight decks of older aircraft that subsequently require an STC will be required to conform to the new rule if the modifications made are significant and hence will also require access to human factors capability.

(2) Will the proposed rule require affected parties to do any new or additional record keeping? If so, explain.

Some extra record keeping will be required outlining the human factors design, development and testing process. Additional record keeping will also be needed to report on the associated activity to demonstrate compliance with the new requirement (justification of the proposed evaluations, scenarios, etc, as well as compilation of the results to arrive at the final compliance statement). The extra record keeping required would be no different in its general nature, though, from that required for the design, development and testing of any new aircraft component, but the volume of work needed for an agreed certification document will certainly be considerably bigger than that needed for an internal manufacturer document.

(3) Will the proposed rule create any unnecessary obstacles to the foreign commerce of the United States -- i.e., create barriers to international trade?

Data not currently available

(4) Will the proposed rule result in spending by State, local, or tribal governments, or by the private sector, that will be \$100 million or more in one year?

Data not currently available

4. ADVISORY MATERIAL

A. IS EXISTING FAA OR JAA ADVISORY MATERIAL ADEQUATE? IS THE EXISTING FAA AND JAA ADVISORY MATERIAL HARMONIZED?

Part of the basis for the formation of the FAA/JAA HF HWG was that there was, in reality, very little advisory material to provide a possible means of compliance for the human factors aspects of a design for even the existing regulations. Any new proposed regulation related to the human factors aspects of a design, as it affected the cockpit flight crew in the performance of their duties, would also need appropriate advisory material. The extent of the deficiency, in this aspect of the advisory material, was unknown.

As explained in Appendix 2, the HF HWG applied a cross-basis analysis of the FAA and JAA regulatory and advisory material to determine their adequacy to address the flight crew error and performance vulnerabilities of a product or system's certification within the flight deck. A two-pronged analysis looked at the actual regulatory and advisory material to evaluate its adequacy in addressing the flight crew error and performance vulnerabilities of the design. These two approaches were based on the development and validation of both a theory-based and experience-based processes and topics. The theory-based approach was accomplished by HWG subgroup B. The HWG simultaneously looked at a large collection of accident and incident data to determine if their occurrence indicated inadequacies in the advisory material. This experience-based approach was accomplished by HWG subgroup C.

Each subgroup conducted their respective analysis. During the accomplishment of this process, subgroup B identified 33 design characteristics of the flight crew interface of a product /system /flight deck. These characteristics (shown in Appendix 3) were identified by first reviewing a construct of basic human-system input, action, and response model. They, and subsequently, subgroup C as well, conducted their analysis to identify if the advisory material itself, or the accidents/incidents data, indicated a deficiency reflected in one of the 33 identified flight crew interface design characteristics.

As with the analysis of the regulations, traceability of the analysis and analytical conclusions were used throughout the HWG's analysis phase of the advisory material. This traceability resulted in approximately 250 deficiencies being identified in the combined regulatory and advisory material, which related to the flight crew interface of a design. These deficiencies served as the framework for the rest of the investigation and the final recommendations.

Eventually, the HWG had reached a point where the analysis was complete. Both regulatory and advisory material was now evaluated by the full HF HWG to determine which if any of the regulations or advisory material was deemed deficient. This was accomplished by each member independently rating the deficiency as to the magnitude of its inadequacy relative to certification, thereby filtering the results of the analysis.

Using several analytical and statistical tools the HF HWG identified just 33 deficiencies that could be ranked high enough to form the basis for any recommended changes to the advisory material (or regulations). At the highest summary level the HF HWG concluded that:

- While some rules are not written perfectly, all are adequate -- if only marginally so.
- The rules are generally in place to legally define acceptability of the flight crew interface issues. However, there was not guidance as to what was (or is) an acceptable means to comply with this regulation subpart.
- As noted elsewhere in this report, this analysis concluded that a single new rule sub paragraph was needed that would set the overall flight crew interface and error tolerance requirement of a product/system/flight deck.
- More AC/ACJ's were identified as devoid of recommended information for defining a means to determine if the flight crew interface of a product/system/flight deck was adequate. A proposed single new AC/AMC, focused on the human performance aspects linked to a design, would indeed be needed.

The draft HF AC/AMC would be structured to focus on the deficiencies as they were identified. These could be grouped in the following domains:

- Evaluation process
- Intended function and associated tasks
- Flight crew error management
- Controls
- Displays
- Automation
- Interface integration

Some of the principle deficiencies would be addressed by constructing a data package of other industry teams. The deficiency topics that were addressed in this way were:

- Aircraft flight manual inclusion of the automation philosophy
- Review of the AC/ACJ addressing icing to insure adequate addressing of the specific flight crew interface to icing and associated systems.
- Certain aspects of displays and displays as controls
- Alerting

As far as harmonization is concerned, nothing is harmonized at the time of writing this report.

B. IF NOT, WHAT ADVISORY MATERIAL SHOULD BE ADOPTED? SHOULD THE EXISTING MATERIAL BE REVISED, OR SHOULD NEW MATERIAL BE PROVIDED?

Human factors design guidelines already existing within Advisory Circulars are somewhat fragmented and, taken as a whole, do not present a unified, coherent approach to the human factors engineering of the modern flight deck. Analysis of the human factors content of the advisory material reviewed as part of the analytical process showed its content to be specific to the application area with which the particular AC was concerned. As a direct result, any new advisory material should consider wider issues surrounding the human engineering of the flight deck, such as presenting a coherent, consistent interface to the pilots wherever possible. As such, the advisory material must describe general design principles that apply to all interfaces not just to interfaces with specific items of equipment.

The advisory material to complement the proposed rule should contain information on the generic principles of human centered design, for example providing guidance upon such issues and the basic tenets of display design, design of minor controls and implementation of automated systems, etc. It should also include aspects missing in their entirety from previous ACs, such as a description of the characteristics of the piloting population and methods for the human centered testing of flight deck equipment at the various stages of the design and development process. In accordance with the requirements outlined by the Aviation Rulemaking Advisory Committee, any advisory material developed should also specifically address techniques for the minimization and assessment of design-related flight crew error.

To help avoid potential conflicts in guidance with material in other advisory circulars, design issues that are associated with specific items of flight deck equipment already covered in separate, existing ACs, should not be included in the proposed Human Factors AC/ACJ.

C. INSERT THE TEXT OF THE PROPOSED ADVISORY MATERIAL HERE (OR ATTACH), OR SUMMARIZE THE INFORMATION IT WILL CONTAIN, AND INDICATE WHAT FORM IT WILL BE IN (E.G., ADVISORY CIRCULAR, ADVISORY CIRCULAR – JOINT, POLICY STATEMENT, FAA ORDER, ETC.)

The HWG found several inadequacies in the current regulations and advisory material. As also noted in section 2, the HWG developed a single new rule that, when integrated with the existing rules, will allow the human factors aspects of a design to be better addressed. Further it developed a draft Human Factors AC/AMC to provide the first ever guidance for compliance with several existing rules as well as the proposed new rule. The proposed draft of the AC/AMC is attached to this report as Appendix 1.

There were no Minority Position reports within the HF HWG regarding the proposed AC/AMC, and all members agreed with its content. However, the FAA subsequently requested that this report include a Position Paper which is provided as Appendix 7. The Position Paper was introduced on April 16, 2004, following the final HWG meeting in February 2004 during which all comments on the 15th draft were dispositioned as they had been for all previous drafts (see Appendix 2). Therefore, the Position Paper has not been reviewed, discussed, or agreed to by the Working Group. Its inclusion here is intended as information for the reader.

Appendices

Appendix 1. Text of Proposed Advisory Material



U.S. Department
of Transportation
**Federal Aviation
Administration**

Advisory Circular

Subject: DRAFT - Final

Date: May 18, 2004

AC No: DRAFT

Initiated by:

Change:

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1. PURPOSE

This AC/AMC describes guidance for showing compliance with § 25.1302 and several other rules in § 25 that relate to the installed equipment used by the flight crew in the operation of the aircraft. In particular, this AC/AMC addresses the design and approval of installed equipment intended for the flight crewmembers' use from their normally seated positions on the flight deck. This guidance is not mandatory and does not constitute a regulation. This AC/AMC describes acceptable approaches to compliance. This AC/AMC also provides recommendations for the design and evaluation of controls, displays, system behavior, and system integration, as well as design guidance for error management.

2. BACKGROUND

Flight crews make a positive contribution to the safety of the air transportation system because of their ability to assess continuously changing conditions and situations, analyze potential actions, and make reasoned decisions. However, even well trained, qualified, healthy, alert flight crewmembers commit errors. Some of these errors may be influenced by the design of the systems and their associated flight crew interfaces even with systems and associated interfaces that are carefully designed. Although most of these errors have no significant safety consequences, or are detected and/or mitigated in the normal course of events, accident analyses show that flight crew performance and error have been identified as significant factors in a majority of accidents involving transport category airplanes. Accidents most often occur as a result of a sequence or combination of errors and safety related events (e.g., equipment failure, weather conditions). These analyses also show that the design of the aircraft flight deck and other systems can influence the performance of flight crew tasks and the occurrence and effects of some flight crew errors.

A number of regulatory requirements are in place that are intended to improve aviation safety by requiring that the flight deck and its equipment be designed to have certain capabilities and characteristics. The approval of flight deck systems with respect to design-related flight crew error has typically been addressed by invoking rules that are system specific or rules with general applicability, such as 25.1301(a), 25.771(a), and 25.1523. However, little or no guidance has been available to show how the applicant may address potential crew limitations and errors. As a result, regulation §25.1302 and this advisory material have been developed.

In many cases, showing compliance with regulatory requirements related to designing for compatibility with human capabilities and limitations is subject to a great deal of interpretation and may vary depending upon the novelty, complexity, or other factors related to system design. It is considered beneficial to provide guidance describing a structured approach for selecting and developing acceptable means of compliance to aid in standardizing certification practices.

3. SCOPE AND ASSUMPTIONS

This AC/AMC describes guidance for showing compliance with § 25.1302 and guidance related to several other rules associated with installed equipment used by the flight crew in operation including 14 CFR/CS §§ 25.771(a), 25.771(c), 25.773, 25.777(a-c), 25.1301(a), 25.1303 (CS), 25.1309(a), 25.1321, 25.1322, 25.1329, 25.1523, 25.1543(b), and 25.1555(a), and Appendix D. It should be noted that, although some guidance is provided related to these other regulations, this document does not comprehensively address means of compliance for any regulation other than 25.1302.

This document also provides recommendations for the design and evaluation of controls, displays, system behavior, and system integration as well as design guidance for error management. This material applies to flight crew interfaces and system behavior for installed systems and equipment used by the flight crew on the flight deck in the operation of the aircraft in normal and non-normal conditions. It applies to those airplane and equipment design considerations within the scope of §14 CFR/CS 25 for type certificate, amended type certificate, supplemental type certificate (STC) projects, and amended supplemental type certificate projects. It does not apply to flight crew training, qualification, or licensing requirements. Similarly, it does not apply to flight crew procedures, except as required within §14 CFR/CS 25.

This AC/AMC is not intended to provide a full roadmap for consideration of equipment design used by the flight crew related to human performance. Other requirements are already in place for specific equipment used by the flight crew related to human performance, including other paragraphs of 14 CFR Part 25, Part 121, JAR OPS 1, and other parts of the regulations associated with training and qualification of flight crew. Where guidance in other AC/AMCs is provided for specific systems, the specific guidance is assumed to have precedence if a conflict exists with guidance provided herein. References to other relevant AC/AMCs are shown in section 4. Guidance contained in section 6 details a structured approach to develop compliance with those rules relating to design compliance objectives in section 7. Section 8 describes general Means of Compliance and their applicability.

In showing compliance to the rules referenced by this AC/AMC, the applicant may assume a qualified flight crew trained in the use of the installed equipment. This means a flight crew that is allowed to fly the airplane by meeting the requirements in the operating rules for the relevant authority (in the US, the FAA). The applicant is not required to consider acts of violence, willful negligence, or non-compliance with established or published procedures, disregard of alerts or displayed information, or errors in judgment or airmanship that are not contributed to by the design. Additionally, the applicant is not required to consider skill errors associated with manual control of the airplane.

4. RELATED DOCUMENTS

The following is a list of regulations, advisory circulars, and other documents that are relevant to flight deck design and flight crew interfaces and which may be useful when reviewing this document.

4.1 Federal Aviation Regulations and Joint Aviation Regulations

The following is a list of regulations related to flight deck design and flight crew interfaces for which this document provides guidance. However, it should be noted that this document does not provide a comprehensive means of compliance for any of the regulations beyond 25.1302.

Table 4.1 Regulations for which this AC/AMC provides guidance and where guidance can be found in this document.

| <i>Rule</i> | <i>General topic</i> | <i>Where guidance can be found in this AC/AMC</i> |
|---------------|--|--|
| § 25.771(a) | Unreasonable concentration or fatigue | Error, 7.6. Integration, 7.7. Controls, 7.3 System Behavior, 7.5. |
| § 25.771(c) | Controllable from either pilot seat | Controls, 7.3 Integration, 7.7. |
| § 25.773 | Pilot compartment view | Integration, 7.7. |
| § 25.777(a) | Location of cockpit controls. | Controls, 7.3. Integration, 7.7. |
| § 25.777(b) | Direction of movement of cockpit controls | Controls, 7.3. Integration, 7.7. |
| § 25.777(c) * | Full and unrestricted movement of controls | Controls, 7.3. Integration, 7.7. |

| Rule | General topic | Where guidance can be found in this AC/AMC |
|---|--|--|
| § 25.1301(a) | Intended function of installed systems | Error, 7.6. Integration, 7.7. Controls, 7.3. Presentation of Info., 7.4, System Behavior, 7.5. |
| § 25.1302 DRAFT | Flight crew error | Error, 7.6. Integration, 7.7. Controls, 7.3. Presentation of Info., 7.4. System Behavior, 7.5. |
| JAR 25.1303 | Flight and navigation instruments | Integration, 7.7. |
| § 25.1309(a) | Intended function of required equipment under all operating conditions | Controls, 7.3. Integration, 7.7. |
| § 25.1321 * | Visibility of instruments | Integration, 7.7. |
| § 25.1322 | Warning caution and advisory lights | Integration, 7.7. |
| § 25.1329 (EASA: new FAA: draft)) | Autopilot, flight director and autothrust | System Behavior, 7.5. |
| § 25.1523 | Minimum flight crew | Controls, 7.3. Integration, 7.7. |
| § 25.1543(b) | Visibility of instrument markings | Presentation of Info., 7.4. |
| § 25.1555 (a) | Control markings | Controls, 7.3. |
| § 25 Appendix D | Criteria for determining minimum flight crew | Integration, 7.7. |

* EASA differences (valid as of 1 October 2000 – CS 25 change 15) CS paragraph text differs from the 14 CFR paragraph.

Table 4.2 List of AC/AMCs referenced in this document:

The following is a list of advisory circulars which are referenced in this document.

| AC/AMC related rule | General topic | Associated Advisory Circulars |
|----------------------------|---|--------------------------------------|
| § 25.1309(c) | Minimizing flight crew errors that could create additional hazards. | AC 25.1309-1B (in work) |
| § 25.1523 | Minimum flight crew and workload. | AC 25.1523-1 |
| § 25.1322 | Colors for warning, caution, or advisory lights. | AC 25.1322-1 (in work) |
| § 25.1329 (new) | Autopilot, Flight Director, Autothrust | AC 25.1329 (new) |
| | Electronic Displays | AC 25-11 |

Note: Not all regulations associated with flight deck design and human performance are listed in the tables above. This document does not provide guidance for regulations that already have specific design requirements, such as 25.777(e) "Wing flap controls and other auxiliary lift device controls must be located on top of the pedestal, aft of the throttles, centrally or to the right of the pedestal centerline, and not less than 10 inches aft of the landing gear control."

**4.2 Related EASA CS-25 Book 2: Advisory Means of Compliance
(Decision No. 2003/2/RM of the Executive Director of the Agency of 17 October 2003)**

- AMC 25.11
- ACJ 25.785 (g)
- AMC 25.1309
- ACJ 25.1321 (a)
- AMC 25.1329
- ACJ 25.1543

4.3 FAA and JAA Orders and Policy

- Policy Memo ANM-99-2, Guidance for Reviewing Certification Plans to Address Human Factors for Certification of Transport Airplane Flight Decks.
- Policy Memo ANM-0103, Factors to Consider When Reviewing an Applicant's Proposed Human Factors Methods of Compliance for Flight Deck Certification.
- FAA Notice 8110.98, Addressing human factors/pilot interface issues of complex, integrated avionics as part of the Technical Standard Order (TSO) process

4.4 Other documents

The following is a list of other documents that are relevant to flight deck design and flight crew interfaces and which may be useful when reviewing this document. However, some contain special constraints and limitations particularly those that are not aviation specific. For example, International Standard ISO 9241-4 has considerable useful guidance that is not aviation specific. Therefore, when using this document, applicants should take into account environmental factors such as the intended operational environment, turbulence, and lighting as well as cross-side reach.

- SAE ARP 4033 (Pilot-System Integration), August 1995
- SAE ARP5289, Electronic Aeronautical Symbols
- SAE ARP-4102/7, Electronic Displays
- FAA Human Factors Team report on: The Interfaces Between Flight crews and Modern Flight Deck Systems, 1996
- DOT/FAA/RD -93/5: Human Factors for Flight Deck Certification Personnel
- ICAO 8400/5. Procedures for Air Navigation Services ICAO Abbreviations and Codes. Fifth Edition, 1999
- ICAO Human Factors Training Manual: DOC 9683 - AN/950
- International Standards ISO 9241-4, Ergonomic requirements for office work with visual display terminals (VDTs)

5. DEFINITIONS AND ACRONYMS.

The following is a list of terms, abbreviations, and acronyms used throughout this advisory material and in part 25.

5.1 Abbreviations and acronyms

AC – Advisory Circular

AMC – Acceptable Means of Compliance (EASA term)

CS – Certification Specifications (EASA term)

DOT – Department of Transportation

EASA – European Aviation Safety Agency

FAA – Federal Aviation Administration

FAR – Federal Aviation Regulations

ICAO – International Civil Aviation Organization

ISO – International Standards Organization

JAA – Joint Aviation Authorities

JAR – Joint Aviation Requirements

JAR OPS – Joint Aviation Regulations (Operations)

MOC – Means of Compliance

RTCA – Radio Technical Committee for Aeronautics

SAE – Society of Automotive Engineers

STC – Supplemental Type Certificate

TAWS – Terrain Awareness Warning System

TC – Type Certificate

TCAS – Traffic Collision Avoidance System

TSO – Technical Standards Order

V/S – Vertical Speed

V1 – Speed designating go/no-go decision point on take off

VNAV – Vertical Navigation

VOR – VHF Omni Range

5.2 Definitions

The following is a list of terms and definitions used in this document.

Alert – A generic term used to describe a flight deck indication meant to attract the attention of, and identify to, the flight crew a non-normal operational or airplane system condition. Warnings, Cautions, and Advisories are considered to be alerts. (Reference definition in AC/AMC 25.1322)

Automation – The autonomous execution of a task (or tasks) by the aircraft systems initiated by a high level control action of the flight crew.

Conformity – The official verification that the flight deck/system/product is of the actual hardware/software and complies and conforms with the design documentation. Conformity of the facility is one parameter that distinguishes one means of compliance from another.

Control Device (Flight Deck Control) – Device utilized by the flight crew to transmit their desired intention(s) to the aircraft systems.

Cursor Control Device – Control device, typically used in conjunction with a graphical user interface on an electro-optical display, for interacting with virtual controls.

Design Philosophy – A high level description of human-centered design principles that guide the designer and aid in ensuring that a consistent, coherent user interface is presented to the flight crew.

Display – Device (typically visual but may be auditory or tactile) that transmits data or information from the aircraft to the flight crew.

Multifunction Control – A control device that can be used for many functions as apposed to a control device with a single dedicated function.

Task Analysis – A formal analytical method used to describe the nature and relationship of complex tasks involving a human operator.

6. METHODOLOGICAL APPROACH TO CERTIFICATION COMPLIANCE

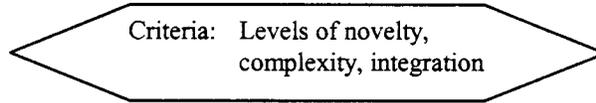
This section describes applicant activities, communication between the applicant and the authority, and the documentation of these activities.

There are significant advantages for applicants to involve the regulatory authorities in the earliest possible phases of application and design and to reach timely agreements on potential design related human factors issues in order to reduce the risk during the certification process. The following activities typically take place during the development of a new type certification or a new flight deck system or function. These activities occur before official certification data is submitted to demonstrate compliance with the regulations. The applicant may choose to discuss or share these activities with the regulatory authorities on an information-only basis, and, where appropriate, the regulatory authorities may wish to participate in assessments that the applicant is performing with mockups, prototypes, and simulators.

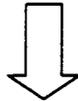
A negotiation process may occur that will determine the level of involvement of the regulatory authorities in the compliance demonstrations. This is sometime called “certification credit”. Certification credit means that the authorities and the applicant have agreed, as part of the certification planning process, that a specific evaluation, analysis or assessment of a human factors issue will become part of the demonstration that the design is in compliance with the regulations. Refer to the relevant FAA documents.

Figure 1 illustrates the interaction between section 6.0, 7.0 and 8.0 of this document. These sections are used simultaneously during the certification process. Section 6.0 details the applicant activities and communication between the applicant and the authorities. Section 7 provides means of compliance on specific topics. Sections 7.2, 7.6 and 7.7 provide the applicant assistance in determining the inputs required for the scoping discussion outlines in section 6.1. Sections 7.3 through 7.5 provide the applicant assistance in determining the list of applicable regulations required for the discussions in section 6.2. Section 8 provides a list of acceptable general means of compliance used to guide the discussions for section 6.3. Section 6.4 lists the items that may be documented as a result of the above discussions.

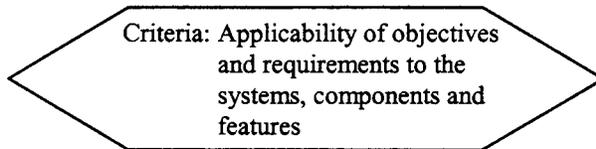
- Inputs: - Systems, components and features
 - Intended function and relation to flight crew tasks (guidance in **section 7.2**)
 - Integration aspects (guidance in **section 7.7**)



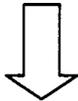
- Outputs: - List of selected systems, components and features (including their intended functions and relevant flight crew tasks)



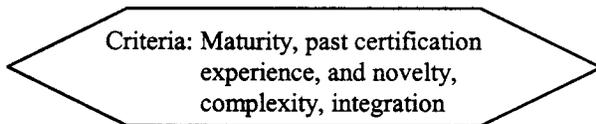
- Inputs: - List of selected systems, components and features including their intended functions and relevant flight crew tasks)
 - Section 7: Objectives and specific guidance for Error (7.2), Controls (7.3), Displays (7.4), System Behavior (7.5) and Integration (7.6)



- Outputs: - Objectives and requirements applicable to the list of selected systems, components and features
 - Selected systems, components and features that require usual MoC and those that require more elaborated MoC because section 7 guidance material is not applicable



- Inputs: - List of selected systems, components and design features requiring usual or more elaborated Mo
 - Section 8 MoC (list, usage, limitations)



- Outputs: - List of MoC for selected design features documented in a certification plan

1st Step described in section 6.1:
 Identify systems, components and features in terms of degree of novelty, complexity, and integration

2nd Step described in section 6.2
 Identify how the regulations apply to the selected systems, components, and features and which aspects of the design require substantiation

3rd Step described in section 6.3
 Select appropriate means of compliance

Section 6 - Fig. 1: Methodical approach to planning certification for design related human performance issues

6.1 Definition of scope

The following tasks will define the scope of the certification program.

In a process internal to the applicant, the flight deck controls, information and system behavior that involve flight crew interaction should be considered. The applicant should be able to explain the intended functions of the system(s), components and features. The objective is to improve understanding about how the flight crew tasks might be changed or modified as a result of the introduction of the proposed system(s), components and features. Guidance can be found in section 7.2, Intended Function and Associated Tasks.

The applicant should identify the level of integration of the new system or feature and its interaction with other flight deck systems. Specific information on integration aspects can be found in section 7.7.

The applicant should identify the safety implications of the flight deck system, new or additional controls, or information display. Section 7.6, Flight Crew Error Management, provides guidance on error detection, error recovery and error effects.

The applicant should identify the degree of design novelty. When determining degree of novelty associated with a design, the following factors should be considered:

- a. Introducing new technologies that operate in new ways for either established or new flight deck designs.
- b. Introducing unusual or additional operational procedures as a result of the introduction of new technologies.
- c. Introducing a new way for the flight crew to interact with systems utilizing either conventional or innovative technology.
- d. Introducing new uses for existing systems that change the flight crew's tasks or responsibilities.

The expected outcome is a listing of two types of features distinguished by their novelty. The first are the least novel systems, components and features that may not require extra scrutiny during the certification process. These features must still be shown to be compliant with the regulations, but will follow a typical certification process that may be less rigorous and may not follow the process described below. The second types of features are the more novel ones that may require extra scrutiny during the certification process. Demonstration of compliance for these features will follow the process documented in this section.

The applicant should discuss the two lists with the authorities and reach an agreement on the scope of flight deck controls, information and system behavior that will require extra scrutiny during the certification process.

The results of this section, the two lists, should be documented in accordance with section 6.4.

6.2 Applicable Regulations

The applicant should identify design approval objectives of the systems, components, and features for which means of demonstrating compliance must be selected. This can be accomplished in part by identifying design attributes that can adversely affect flight crew performance or pertain to avoidance and management of flight crew errors.

Specific design approval objectives for regulations involving human performance are discussed in Section 7. The applicability of each design approval objective in Section 7 will depend on the design features identified in section 6.1.

Since section 7 is a set of design objectives to comply with a subset of regulations. The applicant should also consider the applicability of the entire list of regulations provided in section 4.

The expected output is a list of regulations that will be complied with and for which design approval objectives will be scrutinized.

6.3 Select appropriate means of compliance.

After identifying what should be shown in order to demonstrate compliance, the applicant should review sections 7 and 8 for guidance on selecting the means, or multiple means, appropriate to the design.

Section 7 identifies specific means of compliance that can be used to demonstrate compliance to the regulations.

Section 8 identifies general means of compliance that have been used on many certification programs and discusses their selection, appropriate uses, and limitations. Other means may be proposed by the applicant, subject to approval by the authorities.

All of these factors should be considered together, so appropriate method(s) of compliance can be selected and agreed by the Authority.

Once the human performance issues have been identified and means of compliance have been selected and proposed to the regulatory authorities, a negotiation process can occur that will determine the level of involvement of the regulatory authorities in the compliance demonstrations. This is sometime called "certification credit". Certification credit means that the authorities and the applicant have agreed, as part of the certification planning process, that a specific evaluation, analysis or assessment of a human factors issue will become part of the demonstration that the design is in compliance with the regulations. Certification credit can be granted when data is transmitted to the authorities using standard certification processes. This data will be a part of the final record of how the applicant has complied with the rules.

The output of this step will consist of the means of compliance that will be used to show compliance to the regulations. The output will also list any certification credit efforts that will take place during the certification process.

6.4 Documentation

The applicant may document the certification process, outputs and agreements described in the previous paragraphs. The following is a summary of what may be contained in the document:

- a. The new aircraft, system, control, information or feature(s)
- b. The design feature(s) that is being evaluated and is the feature(s) new or novel
- c. The level of integration of the new feature(s)
- d. The safety implication of the new feature(s)
- e. The flight crew tasks that are affected or any new tasks
- f. Any new flight crew procedures
- g. The specific regulations that must be complied with
- h. The means of compliance (one or several) that will be used to show compliance
- i. The method for transferring data to the authorities

7. DESIGN APPROVAL OBJECTIVES

After the identification of systems, components, and features of a new design that are potentially affected by the regulations, and once the degrees of novelty, complexity, and level of integration have been assessed using the initial process steps found in Section 6, the following contents of Section 7 will help identify what should be shown to demonstrate compliance.

To comply with the requirements of 14 FAR/CS 25, the design of the flight deck systems should appropriately address the foreseeable capabilities and limitations of the flight crew. To assist the applicant in complying with this overall objective, this section has been divided into subsections that provide guidance on meeting the applicable design approval objectives associated with the following topics:

- a. Intended function and associated tasks
- b. Controls
- c. Presentation of information
- d. Equipment behavior
- e. Flight crew error management

f. Integration

Each sub-section covers the following two elements:

- a. What the applicant should show to establish compliance with the applicable regulations. These statements express the airworthiness standard for use in complying with the applicable regulations. They are not what might otherwise be referred to as industry “best practices.” Obviously, not all criteria can or should be met by all systems. Because the nature of the guidance in this AC/AMC is broad and general, some of the guidance will conflict in certain instances. Therefore, the applicant and regulator must apply some judgment and experience in determining which guidance applies for what parts of the design and in what situations.
- b. Applicable regulations, for which compliance is being shown.

As described in the Background and Scope sections of this document, flight crew error is a contributing factor in accidents. Regulation 25.1302 was developed to provide a regulatory basis, and this AC/AMC provides guidance to address design-related aspects of avoidance and management of flight crew error by taking the following approach:

- a. First, by providing guidance about design characteristics that are known to reduce or avoid flight crew error and that address flight crew capabilities and limitations. Subparagraphs (a) through (c) of 25.1302 describe requirements that are intended to reduce the design contribution by assuring the provision of information and controls needed by the flight crew to perform the tasks associated with the intended function of the installed equipment, and by assuring that the controls and information are provided in a usable form. In addition, the system behavior that is operationally relevant must be understandable, predictable, and supportive of the flight crew tasks. The following discussions on intended function, controls, presentation of information, system behavior, and integration contain guidance that supports avoidance of design-induced flight crew error.
- b. Second, subparagraph (d) of 14 CFR/JAR 25.1302 addresses the fact that since flight crew errors will occur, even with a well-trained and proficient flight crew operating well-designed systems, the design must support the management of those errors to avoid safety consequences. The section below on flight crew error management provides relevant guidance.

Sections 7.2 through 7.7 expand on this approach and, in combination with Sections 6 and 8, provide acceptable means of compliance to achieve these design approval objectives. Section 7.1 provides explanatory and applicability material extracted from the preamble of 25.1302 to assure that the methods of compliance match the intent of the rule.

7.1 Explanatory and Applicability Material about 25.1302

14 CFR/CS Part 25 contains regulations for the design of flight deck equipment that are system-specific (e.g., 25.777, 1321, 1329, 1543 etc.), generally applicable (e.g., 25.1301(a), 1309(c), 771(a)), and for establishing minimum flight crew in 25.1523 and Appendix D. Regulation 25.1302 augments the previously existing generally applicable rules by adding more explicit requirements for design attributes related to the avoidance and management of flight crew error. In addition, other ways to avoid and manage flight crew error are regulated through the rules (e.g., Parts 61, 91, 121, 135 etc. for FAA and JAR FCL and JAR Ops for EASA) that govern the licensing and qualification of pilots and aircraft operations. Taken together, these complementary approaches provide a high degree of safety.

This complementary approach is important, and is based upon the recognition that equipment design, training/licensing/qualification, and operations/procedures each provide a safety contribution to risk mitigation and that an appropriate balance is needed among them. In the past there have been cases where design characteristics known to contribute to flight crew error were accepted based upon the rationale that training or procedures would mitigate that risk. However, it is now known that this approach often can be inappropriate. Similarly, due to unintended consequences, it would not be appropriate to require the equipment design to provide total risk mitigation. For example, if a pilot misunderstands a controller's clearance, it does not follow that the authorities should mandate datalink or some other design solution as a Part 25 requirement. In the current regulations, some error mitigations are required of the equipment as part of the operating rule requirements (e.g., Terrain Awareness and Warning Systems), but not as part of the airworthiness requirements.

As stated, a proper balance is needed among design approval requirements in the minimum airworthiness standards embodied in Part 25 and the requirements for training/ licensing/ qualification and operations/procedures. The proposed regulation is written and scoped with the intention of achieving that appropriate balance.

The following material is extracted from the preamble of 25.1302:

Introduction. The introductory sentence of this rule states the requirement that the provisions of this paragraph apply to each item of installed equipment that is intended for the flight crew's use in operation of the aircraft from their normally seated positions on the flight deck.

"Intended for the flight crewmember's use in the operation of the aircraft from their normally seated position," means that the intended function of the installed equipment includes use by the flight crew in the operation of the aircraft; e.g., a display that provides information to enable the flight crew to navigate. The phrase "flight crewmembers" is intended to include any or all individuals comprising the minimum flight crew as determined for compliance with §25.1523. The phrase "from their normally seated position" means the flight crewmembers are seated at their normal duty stations for operating the aircraft. It is intended to limit the scope of this requirement so that it does not address systems or equipment that are not used while performing their duties in normal and non-normal conditions in the operation of the aircraft. For example, this paragraph is not intended to apply to items such as certain circuit breakers or maintenance controls intended for use by the maintenance crew (or by the flight crew when they are not operating the aircraft).

The phrase "it must be shown" means that the applicant is required to provide sufficient evidence to support compliance determinations for each of the requirements in the proposed rule. This language "must be shown" is not intended to require a showing of compliance beyond what would be required according to §21.21(b). Accordingly, the extent of demonstrations, tests or data needed to show compliance with the proposed rule is not expected to entail more extensive or onerous efforts for items that are simple or similar to previously approved equipment and installations.

The phrase "individually and in combination with other such equipment" means that the requirements of this paragraph must be met when the equipment is installed on the flight deck with other equipment and that it must not cause other equipment to be unable to comply with these requirements. For example, a display must not be designed such that it provides inconsistent or conflicting information relative to other installed equipment.

In addition, the provisions of this paragraph presume a qualified flight crew trained in the use of the installed equipment. This means that the design must meet these requirements for flight crewmembers who are allowed to fly the airplane by meeting the qualification requirements in the operating rules for the relevant authority (in the US, the FAA). If type design or supplemental type design approval is sought before a training program is accepted, the applicant should document any novel, complex, or highly integrated design features and assumptions made during design that have the potential to affect training time or flight crew procedures. The rule and associated material are written assuming that either these design features and assumptions, or knowledge of a training program (proposed or in the process of being developed) will be coordinated with the appropriate operational approval authorities when judging the adequacy of the design.

The phrase that states "the equipment be designed so that the flight crew can safely perform the tasks associated with the intended function of the equipment" applies in both normal and non-normal conditions. Tasks intended for performance under non-normal conditions are generally those prescribed by non-normal (including emergency) flight crew procedures. The phrase "safely perform the tasks" is intended to describe the safety objective that the equipment design enables the flight crew to perform the tasks with sufficient accuracy and in a timely manner, and without unduly interfering with other required tasks. The phrase "tasks associated with the intended function" is intended to characterize either tasks required to operate the equipment or tasks for which the equipment's intended function provides support.

25.1302 (a) This sentence requires that, for any flight deck equipment identified in the lead sentences of the proposed rule, appropriate controls must be installed and the necessary information must be provided. In addition, the controls and information displays must be sufficient to accomplish the tasks of the flight crew. This was included because a review of the existing deficiencies in the regulations revealed that it was necessary to explicitly describe that the control and information requirements of the flight crew must be met. Although this may seem obvious, it is important to be explicit, since it is not reflected in other parts of the rules.

25.1302 (b) This subparagraph addresses the requirements of the flight deck controls and information that are necessary and appropriate to accomplish the flight crew tasks, as determined through (a) above. The intent is to assure that the design of the control and information devices make them usable by the flight crew. In particular, this subparagraph seeks to reduce the occurrence of design-induced flight crew errors by imposing design requirements on flight deck information presentation and controls. Subparagraphs (1) through (3) specify these design requirements for different aspects of that usability.

Design requirements about information and controls are necessary to:

- Properly support the flight crew in planning their tasks,
- Make available to the flight crew appropriate, effective means to carry-out planned actions, and
- Enable the flight crew to have appropriate feedback information about the effects of their actions on the aircraft.

Subparagraph (1) specifically requires that the controls and information must be provided in a clear and unambiguous form, at a resolution and precision appropriate to the task. For information, “clear and unambiguous” means that it can be perceived correctly (e.g., is legible), that the information can be comprehended in the context of the flight crew task, and that it supports the flight crew’s ability to carry out the action intended to support the tasks. For controls, the requirement for “clear and unambiguous” presentation means that the crew must be able to use them appropriately to achieve the intended function of the equipment without contributing to crew confusion or errors that could degrade safe operation.

The general intent is to foster the design of equipment controls whose operation is intuitive, consistent with the effects on the parameters or states they affect, and compatible with the operation of other controls on the flight deck. “Resolution and precision appropriate to the task” means that the control or information must be provided, or must operate, at a level of detail and accuracy appropriate to the accuracy required for accomplishing the task expected of the flight crew. Insufficient resolution or accuracy would mean that the flight crew could not perform the task adequately. Conversely, excessive resolution has the potential to make a task too difficult due to poor readability or the implication that a task must be accomplished more accurately than it needs to be.

Subparagraph (2) requires that the controls and information be accessible and usable by the flight crew in a manner consistent with the urgency, frequency, and duration of their tasks. This means, for example, that controls used more frequently or urgently must be readily accessed (e.g., take fewer steps or actions to perform the control function). Less accessible controls may be acceptable if they are needed less frequently or urgently. It also means that controls used less frequently or urgently should not interfere with controls that are used more urgently or frequently.

Subparagraph (3) requires that the equipment presents information so that the flight crew can be aware of the effects on the aircraft or systems that result from flight crew actions, if that awareness is required for safe operation. The intent of this requirement is to assure that flight deck equipment provides feedback to the flight crew about system or aircraft states that result from flight crew actions, so that the flight crew can detect their own errors.

This subparagraph was included to provide a regulatory basis that recognizes that new technology enables new kinds of flight crew interfaces that previous rules don’t address. Specific deficiencies of existing rules are described below:

- 25.771 (a) addresses this topic for controls, but does not include criteria for information presentation.
- 25.777 (a) addresses controls, but only its location.
- 25.777(b) and 25.779 address direction of motion and actuation but do not encompass new types of controls such as cursor control devices. These rules also do not encompass the types of control interfaces that can be incorporated into displays via menus, for example, thus affecting their accessibility.
- 25.1523 and Appendix D have a different context and purpose (i.e., determining minimum crew), so they do not address these requirements in a sufficiently general way.

25.1302 (c) states that the installed equipment must be designed so that the behavior of the equipment that is operationally relevant to the flight crew's tasks is: (1) predictable and unambiguous, and (2) designed to enable the flight crew to intervene in a manner appropriate to the task (and intended function).

This rule paragraph was included because those improved flight deck technologies, involving integrated and complex information and control systems, which have increased safety and performance, have also introduced the need to ensure proper cooperation between the flight crew and those systems. Service experience has shown that flight crew confusion can result from equipment behavior (especially from automated systems) that is excessively complex or dependent upon logical states or mode transitions that are not well understood or expected by the flight crew. Such design characteristics have been determined to contribute to incidents and accidents.

The phrase "operationally-relevant behavior" is intended to convey the net effect of the equipment's system logic, controls, and displayed information upon the flight crew's awareness or perception of the system's operation that is necessary for planning actions or operating the system. This is intended to distinguish such system behavior from the functional logic within the system design, much of which the flight crew does not know or need to know and which should be transparent to them.

Subparagraph (1) is intended to describe that the system behavior needs to be such that a qualified flight crew can know what the system is doing and why. "Predictable and unambiguous" means that a crew can retain enough information about what the system will do under foreseeable circumstances as a result of crew action or a changing situation so that they can operate the system safely. It is necessary for this behavior to be unambiguous because crew actions may have different effects on the airplane depending on the current state of the airplane or its operational circumstances.

Subparagraph (2) requires that the design must be such that the flight crew will be able to take some action, or change or alter an input to the system in a manner appropriate to the task.

Subparagraph 25.1302 (d) addresses the reality that even well-trained, proficient flight crews using well-designed systems will make errors, so the equipment must be designed to enable the flight crew to manage errors that result from their interaction with the installed equipment. Errors "resulting from flight crew interaction with the equipment" means those errors in some way attributable to, or related to, the design of the controls, information, or equipment behavior (e.g., indications and controls that are complex and inconsistent with each other or other systems on the flight deck, or a procedure that is inconsistent with the design of the equipment) are considered to be within the scope of this regulatory and advisory material.

"Managing errors" means that the design of the equipment must:

- a. Enable the flight crew to detect and/or recover from the errors resulting from their interaction with the equipment, or
- b. Ensure that effects of such flight crew errors on the airplane functions or capabilities are evident to the flight crew and continued safe flight and landing is possible, or
- c. Discourage such flight crew errors by using switch guards, interlocks, confirmation actions, or other effective means, or
- d. Preclude the effects of errors through system logic, redundant, robust, or fault tolerant system design.

The requirement to manage errors applies to those errors that can be reasonably expected in service from qualified and trained flight crews. The term "reasonably expected in service" means those errors that have been seen in service with similar or comparable equipment or which can be projected to occur based upon general experience and knowledge of human performance capabilities and limitations related to the use of controls, information, or system logic of the type being assessed.

The statement "This subparagraph does not apply to skill-related errors associated with manual control of the airplane" is intended to exclude errors resulting from flight crew proficiency in control of flight path and attitude with the primary roll, pitch, yaw and thrust controls, and which are related to the design of the flight control systems. These issues are considered to be adequately addressed by existing rules such as 14 CFR 25 Sub-part B and §25.671(a).

It is not intended that the design be required to compensate for deficiencies in flight crew training or currency/recency of experience, assuming at least the minimum requirements for flight crew certification for the intended operation based on certification of flight crewmembers (per 14 CFR or JAR Ops or JAR FCL, for the US and Europe, respectively) as discussed above.

This requirement is intended to exclude management of errors as a result of decisions, acts, or omissions by the flight crew that are not in good faith. This exclusion is intended to avoid imposing requirements on the design to accommodate errors that are committed with malicious or purely contrary intent. The proposed rule is not intended to require the applicant to consider errors that are a result of acts of violence or threats of violence.

This "good faith" exclusion is also intended to avoid imposing requirements on the design to accommodate errors that are due to obvious disregard for safety by a flight crewmember. However, it is recognized that errors committed intentionally may still be in good faith and could be contributed to by design characteristics under certain circumstances; for example, a poorly designed procedure that is not compatible with the controls or information provided to the flight crew.

Requiring that errors be manageable only "to the extent practicable" is intended to address both economic and operational practicability. The intent is to avoid imposing requirements without considering the economic feasibility and commensurate safety benefit. In addition, it is intended to address operational practicability, i.e., to avoid introducing error management features into the design that would inappropriately impede flight crew actions or decisions in normal or non-normal conditions. For example, it is not intended to require so many guards or interlocks on the means to shut down an engine that the flight crew would not be able to do this reliably within the time available. Similarly, it is not intended to reduce the authority or means for the flight crew to intervene or carry out an action when it is their responsibility to do so using their best judgment in good faith.

This subparagraph was included because managing the errors that do occur as a result of flight crew interaction with the equipment (that can be reasonably expected in service) is an important safety objective. Even though the scope of applicability of this material is limited to errors for which there is a contribution from, or relationship to, design, this rule subparagraph is expected to result in design changes that will contribute to safety (such as "undo" functions in certain designs, among others).

7.2 Intended Function and Associated Tasks

To demonstrate compliance with §25.1301(a) and §25.1302, an applicant must show that the design is appropriate for its intended function. Additionally the applicant should explain the intended functions of the systems, components and features. This includes ensuring that the equipment is designed such that the flight crew can safely perform their tasks associated with the intended function, individually and in combination with other such equipment, in normal and non-normal conditions. Additionally it is important to understand how flight crew tasks might be changed or modified as a result of the introduction of the proposed systems, components and features. The applicant's statement of intended function must be sufficiently specific and detailed for the Authority to be able to evaluate if the system is appropriate for the intended function(s) and the associated pilot tasks. This is particularly important for systems with a flight crew interface, as the Authority must evaluate the intended function from the pilot's perspective as well as from a systems perspective. For example, a statement that a new display system is intended to "enhance situation awareness" must be further substantiated, as a wide variety of different displays (e.g., terrain awareness, vertical profile, and even the primary flight displays) enhance situation awareness in different ways. Thus, it is necessary to provide a greater level of detail to identify the specific aspect(s) of situation awareness that are to be enhanced and show how the design supports those aspects.

It is recommended that intended function(s) and associated task(s) be described for the system, as well as for individual features or functions of that system. It is acceptable for a system to have multiple intended functions, provided each is documented and that all information depicted or indicated to the flight crew support one or more of the documented intended functions.

The questions below are intended to provide guidance in determining compliance with 25.1301 and 25.1302 with respect to the applicant's statement of intended function. As with determining compliance with any regulation, the Authority will make the determination of whether the statement of intended function is sufficiently specific and detailed to be able to evaluate compliance. A formal task analysis is not required. Additionally, it should be

noted that new and novel features may require a greater level of detail, while previously approved systems and features typically require less.

The following questions may be used to evaluate whether the statement of intended function(s) and associated task(s) are sufficiently specific and detailed:

- a. Does each feature and function have a stated intended function? Are there one or more tasks associated with this feature/function? Is this task (or tasks) described?
- b. What assessments, decisions, or actions are the flight crew members intended to make based on the system?
- c. What other information is assumed to be used in combination with the system?
- d. What is the assumed operational environment in which the equipment will be used (e.g., the pilots tasks and operations within the flight deck, phase of flight and flight procedures)?
- e. If the flight deck or system is designed with a subset of pilots in mind, what are the assumptions made about those pilots (e.g., special consideration to accommodate pilot heights beyond those required by 25.777(c), unique cultural experiences, language, training, etc.)?
- f. What impact will the design or use of this system have on other flight deck systems?

The method(s) of compliance must be adequate to enable the Authority to determine the following:

- a. Are the controls and information adequate to support the intended functions and associated tasks?
- b. Is the statement of intended function detailed enough to enable an evaluation of compliance with §25.1301?
- c. Are the precision, resolution, integrity, reliability, timeliness, and update rate of the information matched appropriately to the task(s) associated with the intended function?
- d. Does the system provide awareness to the flight crew, if required for safe operation, of the effects resulting from flight crew actions on the aircraft or systems?
- e. Does the use of the system impair the flight crew's ability to use other systems? Does the system design or use impair the intended function of other systems or equipment?

7.2.1 Example of Intended Function Considerations for Controls

The following is an example of items to be considered when demonstrating compliance to §25.1301 and §25.1302 for controls. As discussed above, the intended function or purpose and individual features of each control should be identified, defined, and related to flight crew tasks so that functionality can be evaluated.

Intended function of a control should be defined and documented as part of a system functional description at the following levels, as applicable:

- a. System response to control input (e.g., direction, length and restrictions of travel, sensitivity)
- b. Control method (graphical display of controls and selections, pop-up elements, conditional function requiring separate arming action)
- c. Control hierarchy (when several control methods are available or when manual and automatic features are available)
- d. Integration with displays and other controls
- e. Normal control modes (auto, manual, standby, etc.)
- f. Reversion, degraded, and failure modes

7.3 Controls

7.3.1 Introduction

To comply with 25.1302, the design of flight deck controls must adequately address the following aspects: clear and unambiguous presentation of control related information, accessibility, usability, the adequacy of feedback (including clear and unambiguous indication when crew input is not accepted or followed by the system), and design of controls for error management. This sub-section provides design approval objectives for requirements found in 25.771(a), 25.777(a), 25.777(b), 25.1301(a), 25.1301(b), 25.1302, 25.1543(b), 25.1555(a).

For the purposes of this AC/AMC, controls are defined as devices which the flight crew manipulates in order to operate, configure, and manage the airplane and its flight controls, systems, and other equipment. This may include equipment in the flight deck such as control panels, cursor control devices, and keypads but also includes graphical user interfaces for control such as pop-up windows and pull-down menus that provide control functions.

Controls can take the form of (for example):

- a. Handles
- b. Buttons
- c. Switches/knobs
- d. Alphanumeric keyboards
- e. Cursor control devices
- f. Touch screens
- g. Voice controls

7.3.2 Clear and Unambiguous Presentation of Control Related Information

7.3.2.1 Distinguishable and Predictable Controls [§25.1301(a), § 25.1302]

Each flight crew member should be able to identify and select the current function of the control with speed and accuracy appropriate for the task. The function of a control should be readily apparent such that little or no familiarization is required. The consequences of control activation should be evaluated to be predictable and obvious to each flight crewmember. This includes the control of multiple displays with a single device and shared display areas that the flight crewmembers access with individual controls. Some ways in which controls can be made distinguishable or predictable are via differences in form, color, location, and/or labeling. Color coding is usually not sufficient as a sole distinguishing feature. This applies to physical controls as well as controls that are part of a graphical user interface.

7.3.2.2 Labeling [§25.1301(b), § 25.1543(b), §25.1555(a)]

For general marking of controls see §25.1555(a). Labels should be readable from the crewmember's normally seated position in all lighting and environmental conditions. If a control performs more than one function, labeling should include all intended functions unless the function of the control is obvious. Labels of graphical controls accessed via a cursor control device such as a trackball should be included on the graphical display. In the case of menus that lead to additional choices, the menu label should be meaningful given the choices to which it will give access.

Labeling can be accomplished by means of text or icons. Text and icons should be shown to be distinct and meaningful for the function that they label. Standard and/or non-ambiguous abbreviations, nomenclature, or icons consistent within a function and across the flight deck should be used. For example, ICAO 8400 provides standard abbreviations and is one possible standard that could be applied to the flight deck.

Hidden functions (such as clicking on empty space on a display to make something happen) may be acceptable if alternate means are available for accessing the function. However, the design should still be evaluated for ease of use and crew understanding.

When using icons instead of text labeling, it should be shown that only brief exposure to the icon is required in order for the flight crew to determine the function and method of operation of a control. Based on design experience, the following guidelines for icons have been shown to lead to designs that are usable:

- a. Icons should be analogous to the object it represents, or
- b. Icons should be in general use in aviation and well known to flight crews, or
- c. Icons should be based on established standards, when they exist, and conventional meanings.

In all cases, use of icons in lieu of text should be shown to be at least equivalent in terms of speed and error rate to text labels or it should be shown that the increased error rate or task times have no significant implications for safety, flight crewmember confusion, or flight crew workload.

7.3.2.3 Interaction of Multiple Controls [§25.1302]

If multiple controls for the flight crew are provided for a function, it should be shown that there is sufficient information to provide the flight crew awareness of which control is currently functioning (e.g., which flight crewmember's input has priority when two cursor control devices can access the same display). Caution should be used when dual controls can affect the same parameter simultaneously.

7.3.3 Accessibility of controls [§25.777(a), §25.1302]

It should be shown that each flight crewmember in the minimum flight crew, as defined by 25.1523, has access to and can operate all necessary controls. Accessibility is one factor in determining whether controls support the intended function of the equipment used by the flight crew. Any control required for flight crewmember operation in the event of incapacitation of other flight crewmembers (in both normal and non-normal conditions) should be shown to be viewable, reachable, and operable by flight crewmembers with the stature as specified in 25.777(c), from the seated position with shoulder restraints on (if shoulder restraints are lockable, this may be shown with shoulder restraints unlocked).

Each flight deck control should provide for full and unrestricted movement without interference from other controls, equipment, or structure in the flight deck.

The layering of information, such as by the use of menus or multiple displays, should not hinder the flight crew in identifying the location of the desired control. Accessibility should be shown in conditions of system failures (including crew incapacitation) and Minimum Equipment List dispatch.

Control position and direction of motion should be oriented from the vantage point of the flight crewmember, and control/display compatibility should be maintained from that regard. For example, a control on an overhead panel requires movement of the flight crewmember's head backwards and orientation of the control movement should take this into consideration.

7.3.4 Usability

7.3.4.1 Environmental issues with controls [§ 25.1301(a), § 25.1302]

Turbulence or vibrations and extremes in lighting levels should not prevent the crew from performing all the tasks at an acceptable level of performance and workload. If the use of gloves is anticipated for cold weather operations, the design should account for the effect of their use on the precision and size of the controls. The sensitivity of controls should be such as to afford precision sufficient to perform the tasks even in adverse environments as defined for the aircraft's operational envelope. Analysis of environmental issues as a means of compliance (see 8.3.3) is necessary but not sufficient for new control types or technologies or for novel use of controls that are themselves not new or novel.

Controls required to regain aircraft or system control and controls required to continue to operate the airplane in a safe manner should be shown to be usable in conditions such as dense smoke in the flight deck or severe vibrations (fan blade loss; see AC 25-24 for definition of sustained engine imbalance).

7.3.4.2 Control-display compatibility [§ 25.777(b)]

The relationship and interaction between a control and its display must be readily apparent, understandable, and logical. In many instances a control input is required in response to information on a display or is needed to

change a parameter setting on a display. For example, when using a rotary knob that has no obvious “increase” or “decrease” function, the control motion should be assessed with regards to flight crew expectations and its consistency with other controls in the flight deck. A thorough evaluation of the implementation regarding its susceptibility to entry errors at the appropriate level of simulation may be necessary. SAE ARP 4102, section 5.3, is an acceptable means of compliance for controls utilized in flight deck equipment.

When a control is used to move an actuator through its range of travel, feedback of the actuator’s position within its range should be provided within the time required for the relevant task (e.g., trim system positions, autothrottle target speed, and various systems valves).

Dedicated display controls should be mounted as close as possible to the display or function being controlled. It is generally preferable to locate controls immediately below a display because in many cases mounting controls immediately above a display will cause the flight crewmember’s hand to obscure viewing of the display when operating controls. However, controls on the bezel of multifunction displays have been found to be acceptable.

Spatial separation between a control and its display may be necessary, as is the case with a system’s control located with others for that same system, or as one of several controls on a panel dedicated to controls for that multifunction display. Because large spatial separation between a control and the display can result in usability problems, particularly if a control is widely separated from the display, it should be shown that the control is usable (e.g., error rate and access time) for the task.

In general, blocking visibility of information with controls should be avoided. If range of control movement temporarily blocks visibility of information, it should be shown that this information is either not necessary at that time or is available elsewhere.

Annunciations/labels on electronic displays should be identical to labels on the related switches and buttons located elsewhere in the flight deck. If display labels are not identical to related controls, then it must be shown that flight crew members can quickly, easily, and accurately identify associated controls.

7.3.5 Adequacy of Feedback [§25.771(a), § 25.1301(a), § 25.1302]

In order to provide awareness to the flight crew of the effects of their actions, feedback for control inputs is necessary. Each control should provide feedback to the crewmember for menu selections, data entries, control actions, or other inputs. This feedback might be visual, audible, or tactile. Feedback, of whatever form, should be provided to inform the crew that a control has been activated (commanded state/value), that the function is in process (given an extended processing time), and/or that the action associated with the control has been initiated (actual state/value if different from the commanded state). The type, length of display, and appropriateness of feedback will depend upon the crew’s task and the specific information required for successful operation. For example, switch position alone is insufficient if feedback of actual system response or the state of the system as a result of an action is required.

Tactile feedback is valuable for controls that may be used while looking outside or at unrelated displays. Keypads should provide tactile feedback for any key depression. In cases when this is omitted, it should be replaced with appropriate visual or other feedback to show that the system has received the inputs and is responding as expected.

Visual feedback of varying forms is useful not only for knob, switch, and pushbutton position, but also for graphical control methods such as pull-down menus and pop-up windows. When interacting with a graphical control, the user should be given positive indication that a hierarchical menu item has been selected, a graphical button has been activated, or other input has been accepted.

Feedback in all forms should be shown to be obvious and unambiguous to the flight crew in performance of the tasks associated with the intended function of the equipment.

7.3.6 Controls and Error Mitigation [§ 25.1302]

It should be shown that the controls enable the flight crew to meet performance requirements and manage errors. The following control features are acceptable means to enable the flight crew to manage errors:

- a. Arrangement taking into consideration flight deck tasks and the sequence of controls required to perform that task in order to facilitate correct flight crew actions, for example, arranging controls used in pre-flight setup and non-normal procedures to result in a simplified checklist flow.

- b. Protection against inadvertent operation, related to the criticality of the function, by the use of covers, barriers, or other physical impediments such as multiple sequential motion provisions (e.g., depress before rotating), or requiring depression of the selection/actuation button or touchscreen for a specific length of time.
- c. Provision of interlocking controls by either software logic, or physical or electrical means to minimize erroneous activation for controls intended only for use during specific flight phases (e.g., thrust reversers or propeller pitch for ground use).
- d. Employment of logic for error detection and recovery to prevent erroneous data entry whenever possible for data entry keyboards, touch screens, cursor controls and similar input devices.
- e. Indications of control mode, including both control state (on/off, auto/manual) and progress (e.g., armed/capture/track or hold), and their locations for ease of interpretation and error avoidance in normal and non-normal situations.
- f. Provision of easily invoked control input recovery, for example, an “undo” capability that erases changes just made by the flight crew member and restores the current display to its previous state (particularly for data entry or menu navigation).

The applicant should show how errors can be managed for controls that do not incorporate one or more of these features (see Section 7.6 Flight Crew Error Management).

7.4 Presentation of Information

7.4.1 Introduction.

Presentation of information to the flight crew can be visual (e.g., on an LCD) and auditory (e.g., a “talking” checklist). Information presentation on the integrated flight deck, regardless of the medium used, should adequately address clear and unambiguous presentation of information, accessibility of information, and usability. For visual displays, this AC/AMC addresses mainly display format issues and not display hardware characteristics. This sub-section provides design approval objectives for requirements found in 25.1301(a), 25.1301(b), 25.1302, and 25.1543(b). In the event of a conflict between this document and AC/AMC 25-11 regarding guidance on specific electronic visual display functions, AC/AMC 25-11 takes precedence.

7.4.2 Clear and Unambiguous Presentation of Information

7.4.2.1 Qualitative and quantitative display formats [§25.1301(a), §25.1302]

Display formats should be shown to include the type of information that the flight crew requires for the task (e.g., text message, numerical value, rate, and state information), specifically with regard to the speed and precision of reading required. Rate information gives the flight crew an indication of the rate of change in the value of a certain parameter. State information conveys information concerning specific values at a given point in time.

If the sole means of detecting non-normal values is by flight crew monitoring of the values presented on the display, qualitative display formats should be employed as they better convey rate and trend information. In cases where this is not practical, the applicant must show that the flight crew can perform the tasks for which the information is used. Quantitative presentation of information is better for tasks requiring precise values.

Digital readouts or present value indices incorporated into qualitative displays should not make the scale markings or graduations unusable as they pass the present value index.

7.4.2.2 Consistency [§25.1302]

Where similar information is presented in multiple locations or modes (e.g., visual, auditory), consistent presentation of information is desirable. Consistency within the system in information presentation tends to minimize flight crew error. If information presentation cannot be made consistent within the flight deck it should be shown that differences do not increase error rate or tasks times leading to significant safety, flight crewmember confusion, or flight crew workload implications.

7.4.2.3 Characters, fonts, lines and scale markings [§25.1301(b), § 25.1543(b)]

Analysis as the sole means of compliance is not sufficient for new or novel display management schemes. Simulation of typical operational scenarios should be used to validate the ability of the flight crew to manage the available information.

7.4.3.2 Clutter [§ 25.1302]

Clutter is the presentation of information that is distracting from the flight crewmember's primary task. Visual or auditory clutter is undesirable. Information should be presented simply and in a well-ordered way in order to reduce interpretation time. It should be shown that an information presentation (whether visual or auditory) presents the information the flight crewmember actually requires in order to perform the task at hand. The amount of information that needs to be presented at any point in time can be limited at the discretion of the flight crew (e.g., the most important information can be displayed all the time, and less important information can be displayed upon a user's request). When flight crew selection of additional information is allowed, the basic display modes should remain uncluttered.

Automatic de-selection of data to enhance the flight crewmember's performance in certain emergency conditions should be shown to provide the information that the flight crewmember requires, because automatically de-cluttering display options can hide needed information from the flight crewmember. Use of part-time displays depends not only on information de-clutter goals but also on display availability and criticality. Therefore, when designing such features, AC/AMC25-11 should be followed.

Because of the transient nature of auditory information presentation, care should be taken to avoid the potential for competing auditory presentations that may conflict with each other and hinder interpretation. Prioritization and timing may be useful to avoid this potential problem.

Information should be prioritized in accordance with the criticality of task; lower priority information should not mask higher priority information and higher priority information should be available, easily discernable, and usable. This does not imply that the display format needs to change based on phase of flight.

7.4.3.3 System response to control input [§25.1302]

Long or variable response times between control input and system response can adversely affect usability of a system. Response to control input such as setting values, displaying parameters, or moving a cursor symbol on a graphical display should be shown to be fast enough to allow the flight crew to complete the task to an acceptable level of performance. For actions requiring noticeable system processing time, an indication should be provided that the system response is pending.

7.5 System Behavior

7.5.1 Introduction

This section addresses the system behavior of installed equipment that is used on the flight deck. For the purpose of this section, systems are defined as hardware or software that performs a function or set of functions that the flight crewmembers need or use to accomplish their assigned tasks. Flight crew task demands vary depending on the characteristics of the system design. Systems differ in their responses to relevant flight crew input. The response can be direct and unique as in mechanical systems. The response can also vary as a function of an intervening subsystem (such as hydraulics or electrics). Some systems even automatically vary their response to capture or maintain a desired aircraft or system state.

As described in Section 7.1, paragraph (c) of 14 CFR 25.1302 states that the installed equipment must be designed so that the behavior of the equipment that is operationally relevant to the flight crew's tasks is: (1) predictable and unambiguous, and (2) designed to enable the flight crew to intervene in a manner appropriate to the task (and intended function).

Subparagraph (1) is intended to describe that the system behavior needs to be such that a qualified flight crew can know what the system is doing and why. "Predictable and unambiguous" means that a crew can retain enough information about what the system will do under foreseeable circumstances as a result of crew action or a changing situation so that they can operate the system safely. This is intended to distinguish such system behavior from the functional logic within the system design, much of which the flight crew does not know or need to know.

Subparagraph (2) recognizes that if flight crew intervention is part of the intended function of the system the crewmember may need to take some action, or change an input to the system, and *therefore* the system must be designed accordingly.

Improved technologies, which have increased safety and performance, have also introduced the need to ensure proper cooperation between the flight crew and the integrated, complex information and control systems. If system behavior is not understood or expected by the flight crew, confusion may result. As system behavior depends on the functions allocated to it and the allocation of such functions also directly affects flight crew tasks, both should be considered in close combination.

Since a system can be automated, system behavior also includes automated system behavior. For the purposes of this section, 'automated systems' are defined as hardware or software that performs a function, or set of functions, that the flight crew could perform but that is performed by the automated system under control or supervision of the flight crew. Such systems still involve human tasks and require crew attention for effective and safe performance. Examples include the FMS, electrical system controllers, and fuel system controllers. Alternatively, systems that are designed to operate autonomously, in the sense that they require very limited or no human interactions, are referred to as 'automatic systems'. Such systems are switched 'on' or 'off' or run automatically and are not covered in this section. Examples include Fly-By-Wire, FADEC, and Yaw Dampers. Detailed specific guidance for automatic systems can be found in relevant parts of 14 CFR/CS 25.

Service experience has shown that flight crew confusion can result from automated system behavior that is excessively complex or dependent on logical states, or mode transitions that are not understood or expected by the flight crew. Such design characteristics have been determined to contribute to incidents and accidents.

This sub-section provides guidance material for showing compliance with these design approval objectives for requirements found in 25.1302(c), rules 25.1301 (a), 25.1309 (c) or any other relevant parts of 14 CFR/CS 25.

7.5.2 System Function Allocation

As system behavior depends on the functions allocated to it and allocation of such functions also directly affects the flight crew tasks, both should be considered in close combination. The result of a system functional allocation is a description of system functions and flight crew tasks allocated to either the system, the human, or a combination thereof. It is recommended that functional allocation be documented as part of the design development activities, and that the allocation be applied in a manner that is consistent with the relevant flight deck design philosophy.

As a design approval objective the applicant should show that functions were allocated in such a way that:

- a. The flight crew can be expected to complete their allocated tasks successfully in both normal and non-normal operational conditions, within the bounds of acceptable workload and without inducing undue concentration and fatigue (see FAR 25.1523 for workload evaluation);
- b. Flight crew interaction with the system enables them to understand the situation as assumed per the design assumptions, and enables timely detection of failures and crew intervention if applicable;
- c. Task sharing and the distribution of tasks between the flight crew members during normal and non-normal operations is considered.

7.5.3 System Functional Behavior

The functional logic of the system is manifested in its behavior as experienced by the flight crew. The system's behavior results from the interaction between the flight crew and the automated system and is determined by:

- a. The system's functions and the logic that governs its operation; and
- b. The user interface, which consists of the controls and information displays that communicate the flight crew's inputs to the system and provide feedback on system behavior to the crew.

This distinction is crucial for designing automated systems appropriately because designers may underestimate the undesirable impact on crew performance that can result from the functional logic governing system behavior. Examples of such difficulties for the flight crew are:

- a. Complexity of the interface for both inputs (entering data) and outputs;

- b. Understanding and anticipating mode selections and transitions; and
- c. Understanding and anticipating system intentions and behaviors.

As a design approval objective the applicant should describe the relationship between operation of the system and how it is exhibited on the flight deck in relation to crew tasks. In addition the applicant should show that the system's functional behavior is designed so that:

- a. It is predictable, unambiguous, and consistent from a flight crew perspective; and
- b. It enables the flight crew to intervene in a manner appropriate to the task; and
- c. The crew can maintain awareness and understanding of system behavior in a timely manner.

7.5.4 Controls for Automated Systems

Automated systems can perform various tasks as selected by the crew and performed under supervision of the crew. Controls should be provided for managing the functionalities of such a system or set of systems. The design of such ("automation specific") controls should enable the crew to:

- a. Safely prepare the system for the task to be executed or the subsequent task to be executed. Preparation of a new task (e.g., new flight trajectory) should not interfere, or be confused with, the task being executed by the automated system.
- b. Activate the appropriate system function without confusion about what is being controlled, in accordance with crew expectations (e.g., there should not be confusion when using a vertical speed selector which could set either vertical speed or flight path angle).
- c. Manually intervene in any system function, as required by operational conditions, or to revert to manual control (e.g., loss of system functionality, system abnormalities, or failure conditions).

7.5.5 Displays for Automated Systems

Automated systems can perform various tasks with minimal crew interventions, but under the supervision of the flight crew. To ensure effective supervision and maintain crew awareness on system state and system "intention" (future states) the displays should provide salient feedback on:

- a. Entries made by the crew into the system so that the crew can detect and correct errors.
- b. Present state of the automated system or mode of operation. (What is it trying to do?)
- c. Actions taken by the system to achieve or maintain a desired state. (What is it doing?)
- d. Future states scheduled by the automation. (What is it doing next?)
- e. Transitions between system states. (What is it going to do?)

The applicant should consider the following aspects of automated system design:

- a. Indications of commanded and actual values enable the flight crew to determine whether the automated systems will perform in accordance with their expectations;
- b. If the automated system nears its operational authority or is operating abnormally for the conditions, or is unable to perform at the selected level, it will inform the flight crew, as appropriate for the task;
- c. Support of crew coordination and cooperation by ensuring shared awareness of system status and crew inputs to the system; and
- d. Enabling the flight crew to review and confirm the accuracy of commands constructed before being activated. This is particularly important for automated systems as they can require complex input tasks.

7.6 Flight Crew Error Management

7.6.1 Showing Compliance with 25.1302(d)

It is important to recognize that flight crews will make errors, even when well trained, experienced and rested individuals are using well-designed systems. Therefore, §25.1302(d) requires that "To the extent practicable, the installed equipment must enable the flight crew to manage errors resulting from flight crew interaction with the

equipment that can be reasonably expected in service, assuming flight crews acting in good faith. This subparagraph does not apply to skill-related errors associated with manual control of the airplane.”

To comply with §25.1302(d) the design should:

- a. Enable the flight crew to detect (see 7.6.2), and/or recover from the errors (see 7.6.3); or
- b. Ensure that effects of flight crew errors on the airplane functions or capabilities are evident to the flight crew and continued safe flight and landing is possible (see 7.6.4); or
- c. Discourage flight crew errors by using switch guards, interlocks, confirmation actions, or similar means, or preclude the effects of errors through system logic, redundant, robust, or fault tolerant system design (see 7.6.5).

These objectives:

- a. Are, in a general sense, in a preferred order. However, any of the three are acceptable methods of compliance, subject to the further details in this section and the specific design characteristics.
- b. Recognize and assume that flight crew errors cannot be entirely prevented, and that no validated methods exist to reliably predict either their probability or all the sequences of events with which they may be associated.
- c. Call for means of compliance that are methodical and complementary to, and separate and distinct from, airplane system analysis methods such as system safety assessments.

Compliance with §25.1302(d) is not intended to require consideration of errors that are a result of acts of violence or threat of violence. Additionally, the rule is intended to require consideration of only those errors that are related to the design.

Errors that do have a design-related component (e.g., a procedure that is inconsistent with the design of the equipment, or indications and controls that are complex and inconsistent with each other or other systems on the flight deck) are considered to be within the scope of this regulatory and advisory material.

When demonstrating compliance, the applicant should evaluate flight crew tasks in both normal and non-normal conditions, considering that many of the same design characteristics are relevant in either case. For example, under non-normal conditions, the monitoring, communication, navigation, and flying tasks required for normal conditions are generally still present (although they may be more difficult in some non-normal conditions), so tasks associated with the non-normal conditions should be considered as additive. Therefore, the applicant should not expect the errors considered to be different than those in normal conditions, but any evaluation should account for the change in expected tasks.

To show compliance with 25.1302(d), an applicant may employ any of the general types of methods of compliance discussed in Section 8, singly or in combination, consistent with an approved certification plan as discussed in Section 6, and accounting for the objectives above and the considerations described below. When using some of these methods, it may be helpful for some applicants to refer to other references relating to understanding error occurrence. Here is a brief summary of those methods and how they can be applied to address flight crew error considerations:

Statement of Similarity (section 8.3.1): A statement of similarity may be used to substantiate that the design has sufficient certification precedent to conclude that the ability of the flight crew to manage errors is not significantly changed. Data from service experience may also be used to identify errors known to commonly occur for similar crew interfaces or system behavior. As part of showing the applicant should identify steps taken in the new design to avoid or mitigate similar errors.

Design Descriptions (section 8.3.2): Design descriptions and rationale may be structured to show how various types of errors are considered in the design, and addressed, mitigated or managed. A description of how the design adheres to an established and valid design philosophy can also be used as a means of substantiating that the design enables the flight crew to manage errors.

Calculation and Engineering Analysis (section 8.3.3): As one possible means of showing compliance with 25.1302(d), an applicant may document means of error management through analysis of controls,

indications, system behavior, and related flight crew tasks in conjunction with an understanding of potential error opportunities and the means available for the flight crew to manage the errors. Note that in most cases it is not considered to be feasible to predict the probability of flight crew errors with sufficient validity or precision. If an applicant chooses to use a quantitative approach, the validity of the approach should be established.

Evaluations, Demonstrations, and Tests (section 8.3.4-6): For compliance purposes, evaluations are intended to identify error possibilities that may be considered in design or training for mitigation. In any case, the objectives and assumptions for the scenarios should be clearly stated before running the evaluations, demonstrations, or tests so that any discrepancy with those expectations can be discussed and explained in the analysis of the results.

As discussed further in Section 8, appropriate scenarios should be used in these evaluations, demonstrations, or tests that reflect the intended function and tasks, including use of the equipment in normal and non-normal conditions, and the scenarios should be designed to consider flight crew error. If inappropriate scenarios are used or important conditions are not considered, incorrect conclusions can result. For example, if no errors occur during an evaluation it may mean only that the scenarios are too simple. On the other hand, if some errors do occur, it may either mean that the design, procedures, or training should be modified, that the scenarios are unrealistically challenging, or that insufficient training occurred prior to the evaluation. In such evaluations it is not considered feasible to establish criteria for error frequency.

7.6.2 Error Detection

The equipment should be designed to provide information so that the flight crew can become aware of an error or a system/aircraft state that results from a system action. The applicant should show that this information is available to the flight crew, adequately detectable, and clearly related to the error in order to enable recovery in a timely manner.

Information for error detection may take three basic forms:

- a. Indications provided to the flight crew during normal monitoring tasks (e.g., incorrect knob used, resulting in an unintended heading change that is detected through the display of target values; or presentation of a temporary flight plan for flight crew review before accepting it).

Indications on instruments in the primary field of view that are used during normal operation may be adequate if the indications themselves contain information used on a regular basis and are provided in a readily accessible form. These may include mode annunciations and normal aircraft state information such as altitude or heading. Other locations for the information may be appropriate depending on the flight crew's tasks; e.g., on the Control-Display Unit when the task involves dealing with a flight plan. Section 7.4, Presentation of Information, contains additional guidance to determine whether the information is adequately detectable.

- b. Crew indications that provide information of an error or a resulting airplane system condition (e.g., an alert to the flight crew about the system state that results from accidentally shutting down an hydraulic pump). Note that if the indication is an alert, it is related to the resulting system state, not necessarily directly to the error itself.

The existence of a crew alert that occurs in response to a flight crew error may be sufficient to establish that information exists and is adequately detectable, if the alert directly and appropriately relates to the error. Definitions of alert levels in §25.1322 are sufficient to establish that the urgency of the alert is appropriate. The content of the indication providing information resulting from an error should directly relate to the error; indications for indirect effects of an error may lead the crew to believe there may be non-error causes for the annunciated condition.

- c. "Global" alerts that cover a multitude of possible errors by annunciating external hazards or airplane envelope or operational conditions. Examples include monitoring systems such as TAWS and TCAS (e.g., a TAWS alert that results from turning the wrong direction in a holding pattern in mountainous terrain).

Consideration should be given to the following issues when establishing whether the degree or type of information is available to the flight crew, adequately detectable, and clearly related to the error:

- a. The effects of some errors are easily and reliably determined by the system (e.g., by design), and some are not. In other cases, error detection can be facilitated through the design and arrangement of information that is monitored and scanned by flight crew, such as by aligning needles in the same direction during normal operation (e.g., engine speeds).
- b. Airplane alerting and indication systems may not detect whether an action is erroneous because the systems cannot know pilot intent for many operational circumstances. In these cases, reliance is often placed on the flight crew's ability to scan and observe indications that will change as a result of an action (e.g., selecting a new altitude or heading, or making a change to a flight plan in a flight management system). For errors of this nature, detection is dependent on flight crew interpretation of information available. Training, crew resource management, and monitoring systems such as TAWS and TCAS are examples of ways to provide a redundant level of safety if a pilot, or all flight crewmembers, fail to detect certain errors.
- c. From a design standpoint, some information, such as heading, altitude, and fuel state, should be provided as readily available indications rather than in the form of alerts when there is the potential for them to contribute to excessive nuisance alerts.

Establishing that the information is available and clearly related to the error may be done by design description when precedent exists or when a reasonable case may be made that the content of the information is clearly related to the error that caused it. In some cases, piloted evaluations (see 8.3.4) may be needed to assess whether the information provided is adequately available and detectable.

7.6.3 Error Recovery

Assuming that the flight crew detects errors or their effects, the next logical step is to assure that the error can be reversed, or the effect of the error can be mitigated in some way so that the airplane is returned to a safe state.

Acceptable means to establish that the error is recoverable is whether:

1. Controls and indications exist that can be used
 - a. to reverse an erroneous action directly so that the airplane or system is returned to the original state, or
 - b. to mitigate the effect so that the airplane or system is returned to a safe state, and
2. The flight crew can be expected to use those controls and indications to accomplish the corrective actions in a timely manner.

To establish the adequacy of controls and indications that facilitate recovery from an error, a description of the system and crew interface may be sufficient. For simple or familiar system interface types, a description of the crew interfaces and procedures associated with indications will generally suffice. For systems and interfaces that are not novel, even if complex, appropriate precedence or service experience is an acceptable means of compliance.

To establish that the flight crew can be expected to use those controls and indications to accomplish the corrective actions in a timely manner, evaluation of flight crew procedures in a simulated flight deck environment can be a highly effective means. This should include examination of nomenclature used in alert messages, controls, and other indications, as well as the logical flow of procedural steps and the effects that executing the procedures have on other systems.

7.6.4 Error Effects

Another means of satisfying the objective of error mitigation is to assure that the effects of the error (e.g., relevant effects on aircraft state)

- a. Are evident to the flight crew, and
- b. Do not adversely impact safety (e.g., do not prevent continued safe flight and landing).

Piloted evaluations in the aircraft or in simulation may be relevant if pilot performance issues are in question for the purpose of determining if a state following an error permits continued safe flight and landing. Evaluations and/or analyses may be used to show that following an error, the flight crew has the information in an effective form and has the aircraft capability required to continue safe flight and landing.

7.6.5 Precluding Errors or Their Effects

For errors that are not reversible and which have potential safety implications, means to discourage the error are recommended. Acceptable ways to discourage errors include switch guards, interlocks or multiple confirmation actions. For example, generator drive controls on many airplanes have guards over the switches to discourage inadvertent actuation, because once disengaged, the drives cannot be re-engaged while in flight or with the engine running. An example of multiple confirmations would be presentation of a temporary flight plan for flight crew review before accepting it. Misleading display of information, which may include inaccurate information (e.g., sensor failures), may be addressed by removing the inaccurate information from the display, such as removing flight director bars or removing “own-ship” position from an airport surface map display when the data driving the symbol are incorrect.

The applicant should avoid applying an excessive number of protections for a given error. This could have unintended safety consequences if it hampers the pilot’s ability to use judgment and take actions which are in the best interest of safety under circumstances and which have not been predicted by the applicant. Protections that become a nuisance in daily operation may be circumvented by flight crews using well-intended and inventive means which could have further effects not anticipated by the operator or the designer.

7.7 Integration

7.7.1 Introduction

This section addresses the installation and integration of a system into the flight deck, as well as the integration of a new or modified function into an existing system. Many systems, such as flight management systems, are integrated physically and functionally into the flight deck and may interact with other flight deck systems. Thus, it is important to consider the design not just in isolation, but also in the context of the overall flight deck. Integration issues include where a display or control is installed, how it interacts with other systems, internal consistency across functions within a multi-function display, as well as consistency with the rest of the flight deck’s equipment.

This integration section provides general guidelines for the identification and resolution of integration issues, as well as guidance material for showing compliance with regulations related to integration. These regulations include 14 CFR/JAR §§ 25.771(a), 25.771(c), 25.773, 25.777(a-c), 25.1301(a), 25.1302, 25.1303 (JAR), 25.1309(a), 25.1321, 25.1322, 25.1523 and Appendix D. This applies to modifications and updates of existing flight decks with new installed equipment and new flight deck designs. The guidance is split into the following subsections:

- a. Consistency (see 7.6.2)
- b. Consistency trade-offs (see 7.6.3)
- c. Environmental considerations (see 7.6.4)
- d. Integration related workload and error (see 7.6.5)

7.7.2 Consistency

Consistency needs to be considered within a given system and across the flight deck. Inconsistencies may result in vulnerabilities, such as increased workload and errors, especially during stressful situations. For example, in some flight management systems the format for entering latitude and longitude differs across the display pages, which may induce pilot errors or at least increase pilot workload. Additionally, as errors may result if the latitude and longitude information is displayed in a format that differs from the way it is formatted on the most commonly used paper charts it is desirable to use formats that are consistent with other media whenever possible. While it is noted that trade-offs exist, as discussed in the next section, the following are areas to consider with respect to consistency within and across systems:

- a. Symbology, data entry conventions, formatting, color philosophy, terminology, and labeling.

- b. Function and logic, e.g., where two or more systems are active and performing the same function then they should operate consistently and use the same style interface.
- c. Information presented with other information of the same type that is used in the flight deck, e.g., the navigation symbology used on other flight deck systems or on commonly used paper charts should be considered when developing the symbology to be used on electronic map displays.
- d. The operational environment, e.g., where a flight management system is consistent with the operational environment so that the order of the steps required to enter a clearance into the system is consistent with the order in which they are given by air traffic management.

Adherence to a flight deck design philosophy is one means of achieving consistency within a given system as well as within the overall flight deck. Another means of achieving consistency is to standardize aspects of the design, such as by using accepted, published industry standards such as the labels and abbreviations recommended in ICAO Annex 8400/5. Standardizing the symbols used to depict navigation aids (e.g., VORs) might be done by following the conventions recommended in SAE ARP5289. However, inappropriate standardization, rigidly applied, can be a barrier to innovation and product improvement. Additionally, standardization may result in a standard to the lowest common denominator. Thus, guidance in this section is to promote consistency rather than rigid standardization.

7.7.3 Consistency Trade-Offs

It is recognized that it is neither always possible nor desirable to provide a consistent pilot interface. It is possible to negatively impact workload, despite conformance with the flight deck design philosophy, principles of consistency, etc. For example, all auditory alerts may adhere to the flight deck alerting philosophy, but the number of alerts may be unacceptable. Additionally, individual task requirements may necessitate the presentation of data in two significantly different formats. An example is where a display format for a weather radar display may show a sector of the environment, while a moving map display may show a 360 degree view. In such cases it should be demonstrated that the interface design is compatible with the requirements of the piloting task and that it can be used individually and in combination with other interfaces without interference to either system or function.

Additionally:

- a. The applicant should provide an analysis which identifies each piece of information or data that is presented in multiple locations and show that the data is presented in a consistent manner or, where that is not true, justify why that is not appropriate.
- b. Where information is inconsistent, the inconsistency should be obvious or annunciated, and should not contribute to errors in information interpretation.
- c. There should be a rationale for instances where a system's design diverges from the flight deck design philosophy, and the impact on workload and errors should be considered.
- d. The applicant should describe what conclusion the pilot is expected to draw and what action should be taken when the information on the display may conflict with other information in the flight deck (either with or without a failure).

7.7.4 Flight Deck Environment

It should also be recognized that the flight deck system is influenced by the physical characteristics of the aircraft into which a system is integrated, as well as the environmental characteristics. Thus, the system is subject to influences in and on the flight deck such as turbulence, noise, ambient light, smoke, and vibrations (such as those that may be due to ice or fan blade loss). Design of the system should recognize how such influences may affect usability, workload, and crew task performance. Turbulence and ambient light, for example, may affect the readability of a display. Flight deck noise may affect the audibility of auditory alerts. The impact of the flight deck environment must also be considered for non-normal situations, such as unusual attitude recovery or regaining control of the aircraft or system.

The flight deck environment also includes the layout, or physical arrangement, of the controls and information displays. The layout should take into account crew requirements in terms of:

- a. Access and reach (to controls);
- b. Visibility and readability of displays and labels; and
- c. Task oriented location and grouping of human-machine interaction elements.

An example of poor physical integration would be a required traffic avoidance system obscured by thrust levers in the normal operating position.

7.7.5 Integration Related Workload and Error

When integrating functions and/or equipment, designers should be aware of the potential effects, both positive and negative, that integration can have on crew workload and its subsequent impact on error management. Systems must be designed and evaluated both in isolation and in combination with other flight deck systems to ensure that the flight crew is able to detect, reverse, or recover from errors. This may be more challenging when integrating systems that employ higher levels of automation or that have a high degree of interaction and dependency on other flight deck systems.

Applicants should show that the integrated design does not adversely impact workload or errors given the context of the entire flight regime (e.g., increased time to interpret a function, make a decision, and/or take appropriate actions). Controls, particularly multi-function controls and/or novel control types, may present the potential for misidentification and increased response times. Multi-function controls with hidden functions increase both crew workload and the potential for error, and therefore should generally be avoided.

Here are two examples of integrated design features that may or may not impact error and workload:

- a. Presenting the same information in two different formats. This may increase workload, such as when altitude information is presented concurrently in tape and round-dial formats. Yet, different formats may be suitable depending on the design and the pilot task. For example, an analog display of engine revolutions-per-minute can facilitate a quick scan, whereas a digital numeric display can facilitate precise inputs. It is the applicant's responsibility to demonstrate compliance with 14 CFR 25.1523 and show that unacceptable levels of workload do not result from differences in the formats.
- b. Presenting conflicting information. Increases in workload and error may result from two displays depicting conflicting altitude information on the flight deck concurrently, regardless of format. For instance, systems may exhibit minor differences between each pilot station, but all such differences should be evaluated specifically to ensure that the potential for interpretation error is minimized, or that a method exists for the flight crew to detect incorrect information, or that the effects of these errors can be precluded.

It should also be shown that the proposed function will not inappropriately draw attention away from other flight deck information and tasks so as to degrade pilot performance and thereby decrease the overall level of safety. Nevertheless, there are some cases where it may be acceptable for system design to increase workload. For example, adding a display into the flight deck may increase workload by virtue of the additional time pilots spend looking at it; however, this may be an acceptable trade-off given the safety benefit that the additional information provides.

Because each new system integrated into the flight deck may have a positive or negative effect on workload, it must be evaluated in isolation and combination with the other systems for compliance with 14 CFR 25.1523 to ensure that the overall workload is acceptable, i.e., that performance of flight tasks is not adversely impacted and that the crew's detection and interpretation of information does not lead to unacceptable response times. Special attention should be paid to 14 CFR Appendix D and specifically compliance issues with items listed as workload factors in that appendix such "accessibility, ease, and simplicity of operation of all necessary flight, power, and equipment controls."

8. MEANS OF COMPLIANCE

This section discusses considerations in the selection of the means of compliance. Six general means of compliance that have been found to be acceptable in demonstrating compliance to address human performance issues are provided. These means of compliance are generic and are used in all certification programs. The

acceptable means of compliance to be used on any given project should be determined on a case-by-case basis, driven by the compliance issues. They should be developed and proposed by the applicant, and then agreed to by the certificating authority. The uses and limitations of each type of means of compliance are provided in section 8.3.

8.1 Selecting Means of Compliance

The means of compliance discussed in this section include: statements of similarity, design description reviews, calculations/analyses, evaluations, demonstrations, or tests. It should be recognized that there is no generic method to determine the appropriate means of compliance for a specific project. The choice of the appropriate means of compliance or a combination of several different means of compliance is dependent upon a number of factors specific to the project.

For some certification projects it may be necessary to employ more than one means of demonstrating compliance with a particular regulation (e.g., when flight testing in a conformed airplane is not possible, a combination of a design review and a part-task simulation evaluation may be proposed).

Once the design feature and/or tasks to be evaluated have been identified in Section 6 and the following questions have been answered as part of the process described in Section 6:

- a. What safety implications are being evaluated?
- b. What design features are being evaluated?
- c. Are the features new or novel?
- d. Are the design features parts of a highly complex system?
- e. What specific flight crew task or tasks are being evaluated?
- f. Is the task new or novel?
- g. Are the procedures associated with the task new or novel?
- h. What is the complexity of the task or tasks being evaluated?
- i. What is the safety implication of not being able to correctly accomplish the task or tasks?

Then determine if an evaluation, demonstration or a test is needed to prove compliance.

- a. What is the objective of the evaluation?
- b. What data will need to be collected during the evaluation to meet the objective?
- c. How will the data be collected and analyzed?
- d. When appropriate, determine the pass/fail criteria for the evaluation?

Answering the following questions will aid in the selection of the means of compliance.

- a. With which means of compliance will it possible to gather the required certification data?
- b. Will a single means of compliance provide all of the data or will several means of compliance be used in series or in parallel?
- c. What level of fidelity of the facility is required to collect the required data?
- d. Who will be the participants?
- e. What level of training is required prior to acting as a participant?
- f. How will the data from an evaluation be presented to show compliance, since the Authority will not be present?
- g. Will results of a demonstration be submitted for credit?
- h. If a test is required, what conformed facility will be used?

8.2 Discussion and Agreement with the Authority on Compliance Demonstrations

The applicant's proposal for means of compliance must be coordinated with appropriate regulatory authorities or designated representatives to ensure that all aspects necessary for desired credit towards certification are achieved. These could include the planned scenarios, the necessary types of human performance issues to be explored, or the conditions under which the test will be conducted to provide a realistic environment for the evaluation.

8.3 Description of Means of Compliance

There are six general means of compliance found to be acceptable for use in demonstrating compliance related to flight deck design. They are:

- a. Statement of Similarity (section 8.3.1),
- b. Design Descriptions (section 8.3.2),
- c. Calculation and Engineering Analysis (section 8.3.3),
- d. Evaluations (section 8.3.4),
- e. Demonstrations (section 8.3.5), and
- f. Tests (section 8.3.6).

8.3.1 Statement of Similarity

| | |
|---------------------|---|
| Description | This is a description of the system to be approved and a description of a previously approved system, which details the physical, logical, and operational similarities, with respect to compliance with the regulations. |
| Where used | The statement of similarity could be part of the certification report with reference to existing certification data/documents. |
| Participants | Not applicable. |
| Conformance | Not applicable. |
| Uses | It may be possible to substantiate the adequacy of a design by comparison to previously certificated systems, which have shown that they are robust with respect to their lack of contribution to crew error and/or the ability for the flight crew to manage the situation should an error occur. This avoids repetition of unnecessary effort to justify the safety of such systems. |
| Comments | This means of compliance must be used with care because the flight deck should be evaluated as a whole, rather than merely as set of individual functions or systems. For example, two functions that have been previously approved on two different programs may be incompatible when combined on a single flight deck. Also, changing one feature in a flight deck may necessitate corresponding changes in other features, in order to maintain consistency and prevent confusion. |
| Examples | If the window design in a new aircraft is identical to that in an existing aircraft, a statement of similarity may be an acceptable means of compliance to meet FAR/CS 773. |

8.3.2 Design Description

The applicant may elect to substantiate that the design meets the requirements of a regulation by describing the design. Drawings, Configuration Description and/or Design Philosophy have traditionally been used by

applicants to show compliance with particular regulations. Selection of participants and conformity are not relevant to this group of means of compliance.

8.3.2.1 Drawings

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| Description |
| Layout drawings or engineering drawings, or both, which depict the geometric arrangement of hardware or display graphics. |
| Deliverable |
| The drawing which can be part of a certification report. |
| Uses |
| Drawings can be used for very simple certification programs when the change to the flight deck may be very simple and straight forward. Drawings can also be used to support the findings of compliance for more complex interfaces. |
| Limitations |
| The use of drawings is limited to physical arrangements and graphical concerns. |

8.3.2.2 Configuration Description

| |
|---|
| Description |
| This is a description of the layout, general arrangement, direction of movement, etc., of the regulated item, or a reference to similar documentation. For example, such a description could be used to show the relative locations of flight instruments, groupings of control functions, allocation of color codes to displays and alerts, etc. |
| Deliverable |
| Explanation of functional aspects of crew interface to system: text description of certification item and/or functional aspects of the crew interface with the system (with visuals as appropriate). |
| Uses |
| Configuration descriptions are generally less formalized than engineering drawings, and are developed in order to point out the features of the design that are supportive of a finding of compliance. In some cases, such configuration descriptions may provide sufficient information for a finding of compliance with a specific requirement; however, more often, they provide important background information, while final confirmation of compliance is found via other means, such as demonstrations or tests. The background information provided by configuration descriptions may significantly reduce the complexity and/or risk associated with the demonstrations or tests because the applicant will have already communicated how a system works and any discussions or assumptions may have already been coordinated. |
| Limitations |
| Configuration descriptions may provide sufficient information for a finding of compliance with a specific requirement; however, more often, they provide important background information, while final confirmation of compliance is found via other means, such as demonstrations or tests. The background information provided by configuration descriptions may significantly reduce the complexity and/or risk associated with the demonstrations or tests. |

8.3.2.3 Design philosophy

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|--------------------|
| Description |
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| This means of compliance can be used to demonstrate that the design philosophy found in the design documents' specifications for the product/system or flight deck have been used in the design process. | |
| Deliverable | |
| Text description of certification item and/or functional aspects of the crew interface with the system (with figures and drawings as appropriate) and relationship to overall design philosophy. | |
| Uses | |
| Documents the ability of a design to meet the requirements of the regulation while adhering to the flight deck philosophy. | |
| Limitations | |
| In most cases, this means of compliance will not be sufficient as the sole means to demonstrate compliance. | |
| Example | |
| Design philosophy may be used as a means of compliance when a new alert is added to the flight deck. The new alert may be consistent with the existing alerting philosophy. | |

8.3.3 Calculation/analysis

| | |
|---|--|
| Description | |
| Calculations or engineering analyses ("paper and pencil") assessments that do not require direct participant interaction with a physical representation of the equipment. | |
| Deliverable | |
| Report that details the type of analysis, its basis for decision making, components, and evaluation assumptions. Details the results and conclusions. | |
| Participants | |
| Conducted by the applicant. | |
| Conformance | |
| Not applicable. | |
| Use | |
| Provides a systematic evaluation of specific or overall aspects of the human interface part of the product/system/flight deck. May be specified by regulatory guidance material. | |
| Limitations | |
| For analyses that are not based on advisory material or accepted industry standard methods, the validity of the assessment technique should be carefully considered. Furthermore, applicants may be asked to validate any computation tools used in such analyses. If analysis involves comparing measured characteristics to recommendations derived from pre-existing research (internal or public domain), the applicant may be asked to validate the use of the data derived from the research. | |
| Example | |
| A vision analysis may be conducted to demonstrate that the pilot has a clear and undistorted view out the windows. Similarly an analysis may be performed that demonstrates that flight, navigation and powerplant instruments are plainly visible from the pilot station. Conformation of the analysis may be necessary in a ground or flight test. | |

8.3.4 Evaluations

A wide variety of part-task to full-installation representations of the product/system or flight deck can be used for evaluations. These all have two characteristics in common: (1) the representation of the human interface and the system interface do not necessarily conform to the final documentation, and (2) the certification authorities are generally not present. The sections below address mock-ups, part-task simulation, full simulation, and in-flight evaluations that typically make up this group of means of compliance.

| |
|---|
| Description |
| Evaluations are assessments of the design that are conducted by the applicant who then provides a report of the results to the regulatory agency. |
| Deliverable |
| A report is delivered to the regulatory agency. |
| Participants |
| A participant may be anyone other than the regulator or a designee of the regulator. |
| Facilities |
| An evaluation can be conducted in a mock-up, bench or laboratory, simulator or an aircraft. |
| Conformity |
| Conformity is not required. |
| Mock-up evaluation |
| Mock-ups can be used as representations of the design, which allow participants to physically interact with the design. Three-dimensional representations of the design in a CAD system, in conjunction with three-dimensional models of the flight deck occupants, also have been used as "virtual" mock-ups for certain limited types of evaluations. For example, reach assessments using this technique can use either. |
| Example of a mock-up evaluation |
| An analysis to demonstrate that the controls are arranged so that pilots from 5'2" to 6'3" in height can reach all the controls. This analysis may use computer generated data based on engineering drawings. The applicant may demonstrate the results of the analysis in the actual aircraft. |
| Bench or laboratory evaluation |
| An evaluation can be conducted using devices that emulate the crew interfaces for a single system or a related group of systems, using flight hardware, simulated systems, or combinations of these. |
| Example of a bench evaluation |
| A bench evaluation for an integrated system could be an avionics suite installed in a mock-up of a flight deck, with the main displays and autopilot controllers included. Such a tool may be valuable during development and for providing system familiarization to the authorities. However, in a highly integrated architecture, it may be difficult or impossible to assess how well the avionics system will fit into the overall flight deck without more complete simulation or use of the actual airplane. |
| Simulator evaluation |
| A simulator evaluation uses devices that present an integrated emulation (using flight hardware, simulated systems, or combinations of these) of the flight deck and the operational environment. They can also be "flown" with response characteristics that replicate, to some extent, the responses of the airplane. Fidelity requirements should be evaluated in view of the issue being evaluated. |
| In-flight evaluation |
| This is an evaluation conducted in the actual aircraft. |

| |
|---|
| Uses |
| Traditionally, these types of activities have been used as part of the design process without formal certification credit. However, these activities can result in better designs that are more likely to be compliant with applicable regulations. |
| Limitations |
| Evaluations are limited by the extent to which the facilities actually represent the flight deck configuration and realism of the flight crew tasks. As flight deck systems become more integrated, part-task evaluations may become less useful as a means of compliance, even though their utility as engineering tools may increase. |

8.3.5 Demonstrations

Demonstrations are evaluations (as described above), but conducted by the applicant with participation by the regulatory authority and not requiring conformity. The applicant may provide a report or summary, requesting regulator concurrence on the findings. In each case, the applicant should note the limitations of the demonstration and how those limitations relate to the compliance issues being considered. The regulator should carefully consider which of its specialists will participate (for example, pilots, human factors specialists, or systems engineers), what data will be collected, and how the data will be collected. This is to ensure that the demonstration adequately addresses the compliance issues and that there is participation by the appropriate regulatory evaluators.

8.3.6 Test

Tests are means of compliance that are conducted in a manner very similar to evaluations which are described in above in section 8.3.4. There are a few significant differences: tests require a conformed product/system, and system interface; and the authorities or their designee must be present. A test can be conducted on a bench/laboratory, in a simulator, or on an aircraft.

| |
|--|
| Description |
| Tests are assessments of the design that are conducted with the regulatory authority present. |
| Deliverables |
| A report is delivered to the regulatory agency. |
| Participants |
| The regulatory authority must be present. |
| Facilities |
| A test can be conducted in a on a bench or in a laboratory, simulator or an aircraft. |
| Conformance |
| The facility must be conformed. |
| Benefits |
| This type of testing is usually confined to showing that the components perform as designed. Bench tests are usually insufficient to stand alone as a means of showing compliance, but can provide useful supporting data in combination with other means. |
| Example |
| Visibility of a display under the brightest of the expected lighting conditions might be shown with a bench test, provided there is supporting analysis to define the expected lighting conditions. This might include a geometric analysis to show the potential directions from which the sun could shine on the display, along with calculations of expected viewing angles. These conditions might then be replicated in the laboratory. |

The part or system would need to be conformed for it to show compliance.

Simulator test

A simulator test uses devices that present an integrated emulation (using flight hardware, simulated systems, or combinations of these) of the flight deck and the operational environment. They can also be “flown” with response characteristics that replicate the responses of the airplane. Fidelity requirements should be evaluated in view of the issue being evaluated.

Simulator test conformity

If only parts of the flight deck are conformed then only those parts could be used. A flight crew training simulator can be used to validate most of the normal and emergency procedures for the design, and any workload effects of the equipment on the flight crew. If the flight deck is fully conformed and the avionics are driven by conformed hardware and software, then integrated avionics testing can be conducted and used for compliance. It should be noted that not all aspects of the simulation must have a high level of fidelity for any given compliance issue. Rather, fidelity requirements should be evaluated in view of the issue being evaluated.

The tests can be conducted either on the ground or in flight.

Example

An example of a ground test is an evaluation for the potential of reflections on the displays. Such a test usually involves covering the flight deck windows to simulate darkness and setting the flight deck lighting to desired levels. This particular test may not be possible in a simulator, due to differences in the light sources, display hardware, and/or window construction.

Flight testing during certification is the final demonstration of the design. Prior evaluations, tests and demonstrations are conducted in a variety of ways and at different levels of conformity. These are tests conducted in the actual airplane during flight. The airplane and its components (flight deck) are the most representative of the actual type design to be certified and will be the closest to real operations of the equipment. In-flight testing is the most realistic testing environment although this environment is limited to those evaluations that can safely be conducted. Flight testing can be the validation and verification of all the tests that have been conducted throughout the development and certification program. It is often best to use flight testing as a final confirmation of data collected using other means of compliance, including analyses and evaluations.

Limitations

Flight tests may be limited by the extent to which the flight conditions of particular interest (e.g., weather, failure, unusual attitudes) can be located/generated and then safely evaluated in flight. It should also be noted that flight testing on the aircraft provides the least control over conditions of any of the means of compliance. The regulator and the applicant should discuss thoroughly how and when flight tests will be used to show compliance, as well as how flight test results will be used.

Appendix 2 . Human Factors HWG Process

1. Overview of the Human Factors HWG Processes

The HWG went through several steps to identify deficiencies in the regulations and associated advisory material to determine what changes were needed. Figure A.2.1 shows these steps as well as the process adopted by the Working Group and its relationship with the Terms of Reference.

First, the HF HWG defined the material to be reviewed as instructed by the ARAC tasking. A subgroup (A) was tasked to provide the list of regulatory material to be reviewed.

Second, the HWG employed two different, but complementary, approaches to identifying deficiencies in the rules. The first approach (subgroup B) was a direct review of the regulatory material using a carefully constructed list of human factors topics to examine each component of the rules and associated advisory documents to determine if the topics were consistently addressed or not, and why (or why not). The second approach (subgroup C) was experience based (based on data from accidents, incidents, in service experience and pilot/certification experience). This approach enabled the group to find data-driven evidence of gaps or deficiencies in the regulations.

A fourth subgroup (D) developed criteria to evaluate the safety benefit as well as the expected acceptance and efficiency of these recommendations and indicate priority of implementation.

After the deficiencies in the regulations and advisory material were identified, they were ranked by the HWG members and discussed to ensure that those that fell into the "top" or highest priority category were appropriate. Once the members were satisfied with the results, each of the top deficiencies were addressed by either recommending work be done in other ARAC groups or developing regulatory material to address them within the HF HWG. Two ARAC groups that were already tasked were given recommendations include deficiencies in their work. These two groups were the Avionics HWG and the Icing HWG. A Terms of Reference document was also prepared to be used for a future group tasking to address automation philosophy. The remaining 33 deficiencies were addressed within the HF HWG.

The HWG then organized the 33 deficiencies into a set of chapters, which are reflected in the current advisory material outline. All members contributed to authoring one or more chapters and had the opportunity to provide input into the development of the regulation. To ensure each member had input to sections beyond the ones they helped author, all members was tasked to review and provide comments on each section of each of the fifteen drafts that were distributed prior to finalizing the material submitted with this report. Members were also asked to coordinate within their organizations to get organizational feedback on the documents. Members reported out at various plenary sessions of the HWG meetings on the status of issues their organization identified per draft, in addition to documenting their concerns using a standard comment form. Thus, issues raised throughout the drafting process were documented in the official meeting notes and also in the comment forms.

Members were asked to provide proposed text to resolve any issues identified using a standard comment form which enabled group members to track issues associated with each paragraph, the proposed resolution by the comment author, and the disposition of each comment by the HWG. If a comment author or his/her organization did not feel that their concerns were adequately addressed, they had the opportunity to re-submit that comment on the next revision/version of the document. This process was used to ensure that each comment was systematically addressed to the satisfaction of the author and his/her organization. Thus, by the end of the fifteenth draft the group felt confident that all major concerns had been addressed.

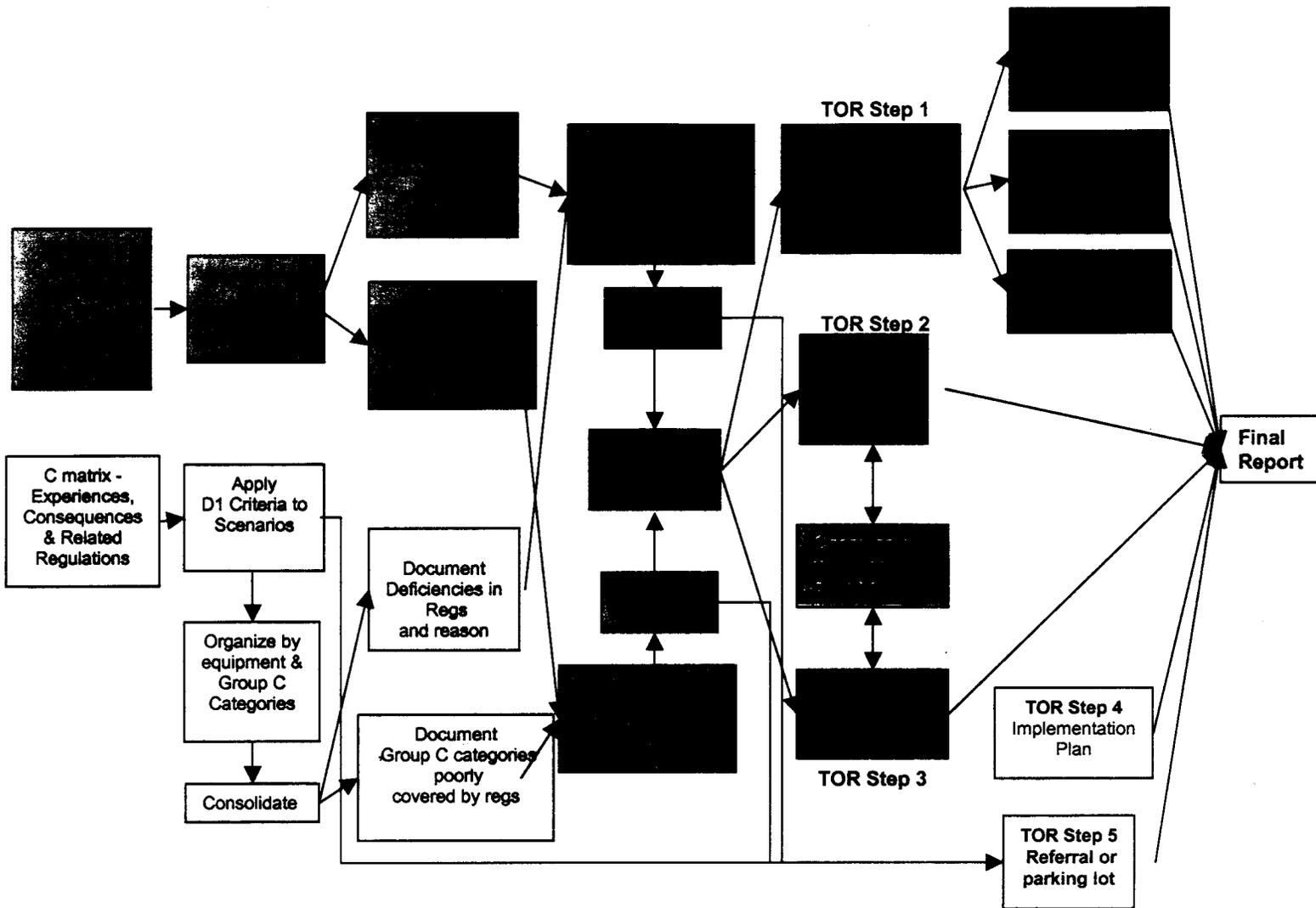


Figure A.2.1 Overview of the WG Process.

The text referred in the Figure can be expanded as follows:

B Matrix – HF topic deficiencies in existing rules:

This represents the analysis product (an Excel spreadsheet called a “matrix”) of Subgroup B, with regulation and advisory material paragraphs down the rows and human factors topics across the columns.

C matrix – experiences, consequences, and related regulations:

This represents the analysis product of Subgroup C (an excel spreadsheet called a “matrix”), with incidents, accidents, and other experience listed down the rows and other documentation, including places to cite related regulations and advisory material, listed across the columns.

Consolidate DRG (Document Review Group) team data:

In this step, the various ratings and comments resulting from the Subgroup B analysis were consolidated and organized by small Document Review Groups. Different DRGs focused their reviews on particular sets of human factors topics or related regulations and advisory material. The purpose of these reviews

was to develop the analysis results into understandable statements of deficiencies regarding how the full set of documents addressed the human factors topics or how each of the regulations or advisory documents covered the human factors topics specific to their intent.

Apply D1 criteria to scenarios:

This represents the process wherein Subgroup C applied the Subgroup D criteria to individual items in order to determine whether each one should be kept for further consideration. (see 5.5.1 of this Appendix).

Organize by equipment and Group C categories:

In this step, Subgroup C filled four fields (columns) for mapping issues to rules or to human factors categories. These columns were used as follows:

Equipment type – Regulation and Other Equipment (columns 1 and 2)

The "equipment" columns in the matrix were intended to provide a means to associate each issue or deficiency identified with the type of aircraft equipment (e.g., flaps or Flight Management System), if it was associated with a specific type of equipment. This was done using a table of equipment names and related regulation paragraphs. For a given equipment reference, the table for the equipment name (such as "flaps") was searched. If the name was contained in either the "equipment name" or "aliases" columns of the table, related regulation paragraphs were given in the "FAR references" column. The texts of the related 14 CFR references were also provided to help speed the process of determining whether a given reference was relevant to the scenario in question. When the appropriate references, if any, were found, they were documented in the "Related FAR/JAR or advisory material" column of the spreadsheet. Identifying the related regulation and advisory material in this way enabled Subgroup C items to be combined directly with the Subgroup B items for the same material.

For any equipment involved in a scenario that was not referenced in the regulations, a regulatory deficiency could exist. This was most likely for new or emerging technologies, such as GPS.

Subgroup C category

The "Subgroup C category" column was intended to help consolidate items so redundancies could be identified, and to help identify deficiencies across the regulations.

Functions

The "Functions" column was intended to enable later analysis of Subgroup C data by relevant functions. This may be useful because future regulations may have to move toward more of a function-based organization than an equipment-based organization, as more functionality is assigned to software residing on generic architectures. If the function(s) related to a scenario are defined, in terms of aviation, navigation, communication, manage equipment, and manage tasks, or some other broad set of functional categories, it may be easier to reconcile Subgroup C data with a future, more functional organization of classification of regulations.

Consolidate:

The purpose of this step was to identify and remove or combine redundant items in the Subgroup C matrix. This was done by organizing the items by equipment to identify redundant equipment and issue references, and by organizing the items by Subgroup C category. Consolidation was undertaken before continuing with analysis in order to reduce the number of items to be analyzed.

Document deficiencies in regulations and reasons (upper):

Subgroup B identified potential deficiencies with individual regulations and advisory material on a paragraph-by-paragraph basis, based on associations between the paragraphs and the human factors topics. (Example: lack of reference to pilot population for ergonomics, suitable forces, etc.)

Document deficiencies in regulations and reasons (lower):

Subgroup C identified potential deficiencies with individual regulations and advisory material by associating scenario items with regulations and advisory material through the equipment column of the Subgroup C matrix (Example: take off configuration warning doesn't segregate the different flap configurations).

Document general HF topics poorly covered by regulations:

In this step, Subgroup B Document Review Groups identified human factors topics that appeared to be inadequately treated by the regulations and advisory material by reviewing each of the topics (columns in the Subgroup B matrix) that had poor coverage across all of the regulatory documents that were analyzed (Example: rules address equipment in isolation: integration is not specifically addressed).

Document Subgroup C categories poorly covered by regulations:

In this step, Subgroup C carried out an analogous process to identify Subgroup C categories that were poorly covered by the regulations and advisory materials. This was done by assessing the adequacy of coverage of regulatory and advisory material references that exist for each category. Categories with little or no coverage may suggest broad deficiencies in the materials (Example: Crew error is only addressed in the context of reaction to a system failure).

Consolidate list of deficiencies with regulations and advisory material:

In this step, the deficiencies produced by Subgroups B and C were combined. For each regulation and advisory material paragraph, the relevant deficiencies identified by each of the subgroups were compiled (the intention was to add strength with each noted Subgroup B deficiency with factual evidence identified by Subgroup C).

Apply D2 criteria:

In this step, the Subgroup D criteria were applied to the set of deficiencies resulting from the prior step. The purpose of applying these criteria was to filter out the deficiencies that were outside the scope of the HWG's tasking (see 5.5.2 of this Appendix).

Consolidate and organize B topics and C categories:

In this step, the deficiencies of Subgroup B at the topic level and Subgroup C at the category level were combined and consolidated.

Apply D3 criteria:

In this step, the Subgroup D criteria were applied to the consolidated category and topics deficiencies to filter out those that were outside of the scope of the HWG's tasking (see 5.5.2 of this Appendix).

Consolidate list of deficiencies:

In this step, the filtered deficiencies addressing the documents and the category/topics were combined, consolidated, and prioritized. The top 33 deficiencies are listed in Appendix 3.

Develop recommendations for regulations and advisory material to be updated:

This represents the development of recommendations for updates based on examination of individual paragraphs and their associated deficiencies, per Step 1 in the original TORs.

Develop new advisory material:

This represents the development of new advisory material to address the broad deficiencies that exist across the regulations and advisory material, where particular human factors topics or issues were not adequately covered. This addresses Step 2 in the original TORs.

Develop new regulation:

This represents the development of new regulatory material to address the same broad deficiencies where required. This addresses Step 3 in the original TORs.

Coordinate with home organizations:

In this step, each member's home organization was consulted on the content of the regulation and advisory material and any objections identified. All organization representatives were asked to provide comments using the standard comment form and to verbally summarize the issue during the specified plenary discussion time.

TOR Step 4 - Implementation plan:

This was one of the overall technical products from the entire HWG, and addresses Step 4 in the original Terms of Reference. This TOR drifted to actual planning and writing of the material associated with recommendations resulting from tasks 2 and 3.

TOR Step 5 – Referral or parking lot:

In this step, hand-offs to other working groups were identified. This addresses step 5 in the original TORs.

2. Detailed Description of Sub-Group A Activity

2.1 Objective The task of Subgroup A was to identify, assemble, and make available to the entire HF HWG all the relevant 14/JAR 25 regulations, advisory material, policy, and related references from both the FAA and JAA for analysis by Subgroups B and C. Figure A.2.2. shows the interactions between the subgroups in detail.

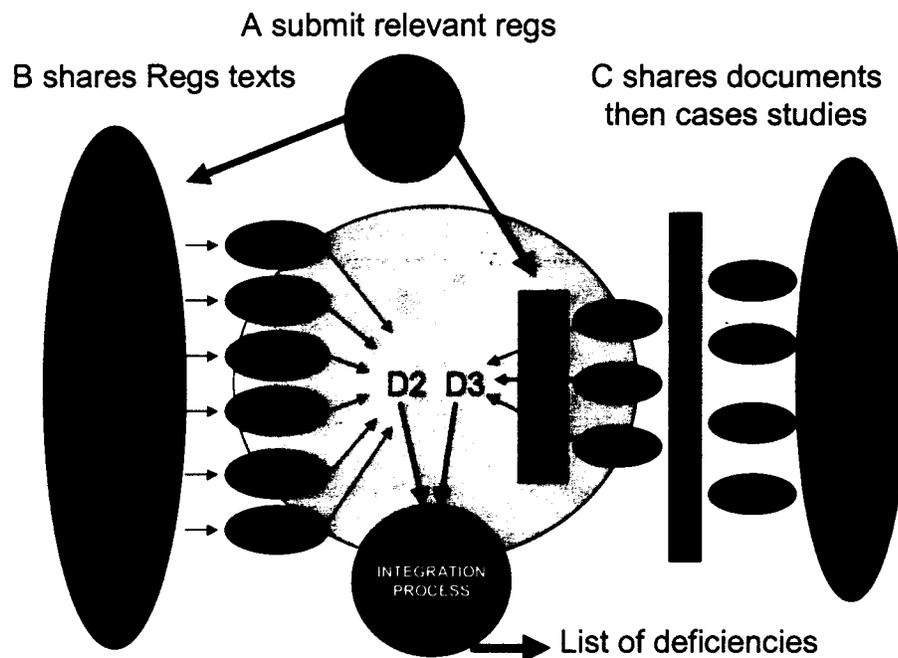


Figure A.2.2. Interactions between subgroups.

2.2 Assumptions. The material to be identified should be relevant to 14 CFR/JAR 25 and should be accomplished in the context of both the Type Certification and Supplemental Type Certification processes. When identifying material to be included in the review, Subgroup A should err on the side of being more inclusive. The review conducted by Subgroups B and C will be more detailed and better able to determine which documents are applicable to their specific tasks. The bulk of Subgroup A's task had to be completed before Subgroups B and C could conduct their review of the documents.

2.3 Background. Subgroup A was comprised of members who were familiar either with the regulations and guidance material of the FAA, with the regulations and guidance material of the JAA, or previous work identifying regulation and advisory material related to human factors issues.

2.4 Process. Subgroup A responded to the task of identifying, assembling, and making available to the entire working group all the relevant regulations and guidance documents from both the FAA and JAA for analysis by Subgroups B and C. The regulations that were considered were FAR Part 25 and JAR25 at Change 15. The guidance material considered were FAA Advisory Circulars of the 20, 25, and 120/121 Series, and JAA Advisory Circulars of the 25 Series. Subgroup A accomplished this by performing the following tasks:

- Identify FAA regulations and guidance material
- Identify JAA regulations and guidance material
- Make the recommended material available to entire working group.

These steps are described in the subsequent paragraphs.

2.4.1 Identify FAA Regulations and Guidance Material

To identify potentially relevant FAA regulations and guidance for the HF HWG's review task, Subgroup A built upon work performed as part of an ongoing FAA funded research project to develop an Aircraft Certification Job Aid for Flight Deck Human Factors. As part of this project, a set of Human Performance Considerations (HPCs) was defined to categorize Part 25 FARs and related Advisory Circulars (ACs) based on human factors and human performance literature. Part 25 FAR sections and related ACs were then reviewed thoroughly to identify excerpts that relate to the HPCs. Each excerpt and the associated HPC(s) were then recorded in a database. One result of this effort was a list of Part 25 FARs and related ACs that were identified as potentially addressing human performance. Subgroup A recommended that the Part 25 FARs and ACs included on this listing be included in the HF HWG's review.

To supplement the list of potentially relevant ACs generated as part of the previously described process, each of the subgroup members reviewed a list of all the ACs of the 20, 25, and 120/121 Series and came to a consensus about which additional ACs should be recommended.

2.4.2 Identify JAA Regulations and Guidance Material

To identify potentially relevant JAA regulations and guidance for the HF HWG's review task, the JAA members of the subgroup conducted a review to select potentially relevant material. The process used for selection was to read the text of each of the JAR-25 regulations, ACJs and AMJs and to categorize them as rejected or candidate (i.e., not rejected) according to the following criteria:

Material was categorized as "rejected" if it dealt ONLY with the following:

- System concern (e.g., component, performance, mechanical and flight tests)
- Structure
- Weight
- Flight tests techniques
- Training
- Maintenance
- Cabin issues
- Manual (except AFM)
- Operation
- General aviation
- Not JAR25 (applicable for ACJs only)

Other material was categorized as "candidate". This categorization method allowed the subgroup to provide justification for the rejection or selection of each of the JAR-25 regulations, ACJs and AMJs. The material classified as "candidate" was then recommended by Subgroup A for review by the HF HWG.

2.5 Results. The following types of documents were identified as relevant to the HWG's task:

- Part 25 FARs (133 regulations and 3 appendices) at amendment 87
- FAA Advisory Circulars (AC) - 20 series (19 ACs)
- FAA Advisory Circulars (AC) - 25 series (22 ACs)
- FAA Advisory Circulars (AC) - 120/121 series (10 ACs)
- JAR-25 (136 regulations) at change 15
- JAA Advisory Circulars (ACJ) 25 series (108 ACJs)
- Temporary Guidance Leaflets (TGL) 25 series (10 TGLs)

3. Detailed Description of Subgroup B Activity

3.1 Objective. The objective of Subgroup B was to assess the adequacy of the Part 25 regulations and advisory material with regard to established human factors knowledge (i.e., topics). The tasks of the subgroup were to:

- Develop the human factors topics to use for the review,
- Develop the process for reviewing the documents for deficiencies,
- Review the documents using the process,
- Analyze the review data and define subgroup results of deficiencies in specific documents and general deficiencies across the documents, and
- Communicate the subgroup results to the rest of the HF HWG.

3.2 Process. This section describes how Subgroup B accomplished each of the five tasks.

3.2.1 Human Factors Topics. The human factors topics to use in the review process were developed by the full subgroup. The development was guided by a conceptual model of human/system interaction, shown in Figure A.2.3, to ensure that the group of topics was inclusive of all known human factors considerations related to flight deck design. The topics each describe some type of information that may be useful to include in regulations and advisory material documents. The topics are organized into seven categories:

- Information
- Controls
- Means to Communicate
- Human/Machine Integration
- Pilot Characteristics
- Flight Deck Environment
- External Environment

3.2.2 Review Process for Regulations and Advisory Material. The review process was developed to produce a systematic review of the regulations and guidance material determined to be appropriate (based on the suggestions of Subgroup A) and a determination of the human factors related deficiencies of the regulations and guidance material based on the human factors topics. The process was developed to review the large volume of documents (regulation and advisory material) as efficiently as possible. The subgroup selected a Process Coordinator to oversee the process and decided to conduct the detailed review work using five document review groups (DRGs). Each DRG was made up of a DRG coordinator and three other members. Membership of the DRGs was assigned by balancing expertise (regulator, human factors, flight operations, and industry design). Each DRG was assigned a set of regulations and advisory material to review. The regulations under a Subpart were assigned to a group so that the review could be done for the inadequacies in the set if necessary rather than in a specific section or paragraph. The advisory material related to each of the regulation subparts was assigned to the same DRGs. For each set of regulations the DRG determined how adequately each of the HF Topics are addressed by entering a mark and comments in the appropriate cell of a matrix in an Microsoft™ Excel file. The DRG members were encouraged to include comments related to all of their adequacy assessments. After the DRGs completed their reviews the results were reviewed by the full subgroup and integrated into a set of subgroup findings about the deficiencies in specific regulations and general deficiencies for particular human factors topics.

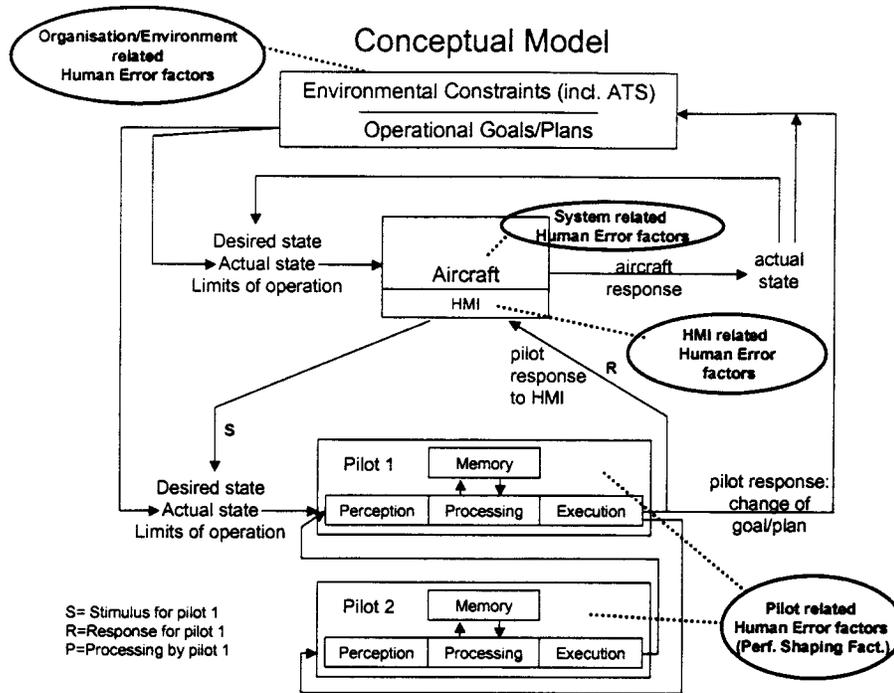


Figure A.2. 3. Conceptual Model of Human Behavior.

4. Detailed Description of Subgroup C Activity

4.1 Objective. The objective of Subgroup C was to take a data-driven, experienced based approach at identifying human performance design related deficiencies within and across the Part 25 regulatory material. The subgroup was tasked with reviewing a set of published reports that document analyses of accidents, incidents, and safety related events and research studies. To supplement this literature, the subgroup was also tasked with reviewing experience-based items from the manufacturers’ databases; in-flight operational experience collected from airline pilots and test pilots; and regulatory experience compiled from various certification projects. The list of issues compiled from these published and unpublished sources was used to identify deficiencies of the regulatory material. This approach ensures that the resulting list of deficiencies addressed issues that have been documented in the literature, experienced in the field and derived from research. Furthermore, it ensured the final recommendations were data driven.

4.2 Assumptions. The review was intended to be representative rather than exhaustive. Additionally, since some reports presented an analysis of accidents and incidents and summarized their findings, the subgroup used these summaries. The subgroup assumed it was not necessary to duplicate the analysis of the accidents or incidents.

4.3 Background. The process used by Subgroup C was based on the philosophy that any new endeavor should build upon the previously documented work and lessons learned. Thus, Subgroup C started out by reviewing the literature and gathering experience-based data from industry and regulatory experts. This approach was taken in order to ensure that any deficiencies identified would be data driven and objective. Additionally, this method served as a check against the approach taken by Subgroup B, since it is possible that an individual line-by-line review of the regulatory material may overlook elements that are missing rather than inadequate.

4.4 Process. The process included the following steps:

STEP 1: Identify the list of sources. A list of sources for obtaining relevant Part 25 human performance experience-based issues was identified. Forty-five sources were reviewed including documents which summarized accident and incident data, research literature, experience-based items from the manufacturers' databases, in-flight operational experience collected from airline pilots, test pilots and regulatory experience compiled from various certification projects

STEP 2: The Matrix. Relevant issues were entered into a spreadsheet, referred to as "the matrix." Data collected included, but were not limited to, a description of each human performance issue or scenario, potential consequences, related regulatory material, and the regulatory issue raised.

STEP 3: The Filter. Issues that did not have a FAR/JAR 25 or strong design linked component were not entered into the matrix. The group was conservative and inclusive in its approach by keeping issues which could have a design solution to guard against the risk of not considering potentially useful data just because one solution could be training. All issues not related to human performance were screened out.

STEP 4: Linking to the specific regulatory paragraphs. Since the HWG's task was to identify deficiencies within and across the FAR/JAR 25 regulatory material, issues were linked to the associated individual regulatory paragraphs.

STEP 5: Linking to equipment types. A second methodology had to be used to link other types of experienced-based issues that were not easily linked to the equipment type-FARs; for example, issues associated with new technologies not specifically called out in the FARs.

STEP 6: Identify Issue Categories. The matrix items were also classified by category. These categories are more general in nature, such as issues with a flight crew lack of situation awareness. These general issues were linked, where possible, to specific regulations. Alternatively, some categories of issues could not be mapped back to specific regulatory material, and were flagged as a general deficiency across the regulatory material.

STEP 7: Consolidation. Once the matrix data was complete, the next step in the Subgroup C process was organizing and consolidating the issues. This was critical since Subgroup C identified over 400 individual issues. This step was necessary in order to attain the two key goals, to:

1. Develop a list of deficiencies related to any given regulation or advisory document, section, or paragraph;
2. Develop a list of particular human factors concerns that were not adequately addressed across the body of the regulations and advisory material.

5. Detailed Description of Sub-Group D Activity

5.1 Objective. Subgroup D developed a series of critical questions and success criteria and then placed them into a decision flow-chart. This flow-chart was intended to enable the HWG to assess each subgroup's final product(s) and provide rationale for inclusion or rejection of HWG recommendations. The main objective was to focus the output on effective safety improvements. To help the HWG to focus its analytical effort on relevant issues, Subgroup D had to incorporate some of the criteria into the review processes being utilized by Subgroup C and by the whole HWG after Subgroup B and C integration.

5.2 Assumptions

- The primary goal of the HF HWG was to increase the level of safety of aircraft. Therefore, the criteria should reflect that priority.
- The recommendations were intended to focus on those changes that would be incorporated into FAR/JAR 25. However, other potential regulatory changes that would affect other FAR/JAR parts will be retained and provided as recommendations for other groups.
- In addition to having an effect on safety, recommendations should be feasible to implement and cost-effective. Therefore, the criteria should also reflect these considerations.
- Recommendations should be prioritized based on a combination of the factors listed above.

5.3 Background. The method used to define the best criteria was based on the review of the literature and expertise of the Subgroup D members. The evaluation criteria were based on the expected safety improvement which will result from the modifications introduced by the HWG, as well as the feasibility of the recommendations, based on technical issues, cost-effectiveness, and the expected level of acceptance by

both the regulatory authorities and industry. The prioritization of the recommendations were based on the methodology developed by the Safer Skies JSAT/JSIT/JSSI processes, which already used an accepted prioritization methodology for CAST regulation recommendations. This process uses expert judgment to evaluate recommendations against a set of rating scales, which are then combined partly through the use of a mathematical algorithm.

5.4 Process. The criteria were specified in three main categories and are adapted to the specifics of the Subgroup B and C requirements: Subgroup B was considered more concept oriented, and Subgroup C was considered more experience oriented. The criteria fell into three main categories:

1. Safety aspects (related to Steps 1, 2, 3 of the Tasking and considered the most important criteria)
2. Effects on industry (related to Steps 2 and 3)
3. Industry/authority Acceptance (related to Steps 2 and 3)

The criteria were applied in three different and sequential steps within the HF HWG activity.

- **First step (during task1):**
Apply the *filter function*, to the integrated Subgroup C matrix. This filter was applied during the collection and collation of the data. The purpose was to identify the relevant (and consolidated) data, retaining an audit trail of the data that were eliminated.
- **Second step (during task 1):**
Apply the *filter function to the regulation-based and topic-based findings*, after integration of Subgroup B and Subgroup C data.
- **Third step (during tasks 2 & 3) :**
Apply a *prioritization function* to the recommendations. This would be based on the ratio between the amount of effort necessary to implement a recommendation and the expected effectiveness of that recommendation if it could be achieved.

In order to help the analyst(s) to use the criteria, the criteria were presented as a list of questions to be discussed, instead of a list of terms, or some other forms.

5.5 Results

5.5.1 The criteria (D1) related to Step 1 of the Tasking are:

Aviation Safety: These factors were considered during Task 1 to assess the significance of any deficiencies in the rules and/or advisory material.

Concept-based criteria: Is the deficiency associated with current designs, or just older designs that are no longer in production (utility for current design including retrofit STCs) ? Is the regulatory deficiency associated with known future technology or operational concepts (utility for future design)? Does the deficiency represent a significant gap, from a safety perspective? In other words, do we believe that the identified lack of coverage would allow realistic but hazardous designs to be approved (impact for safety)?

Experience-based criteria: Were flight deck design, pilot interaction, or flight crew performance a potential causal or contributing factor in the accident or incident (human- flight deck design problem)? Is the accident or incident data well supported (sound data)? Has the problem been evident in more than one incident (coverage of the problem)? How severe were the incidents - how "close" were they to an accident (impact for safety)?

5.5.2 The criteria related to Steps 2(D2) and 3(D3) of the Tasking

Aviation Safety: These factors were to be considered during Tasks 2 and 3 to assess the expected effectiveness of any proposed changes to the rules and/or advisory material.

Concept-based criteria: Will the change significantly affect safety or just close a "conceptual gap" in the regulatory or guidance material? Do we have reason to believe that unacceptable designs will be proposed unless this change is made?

Experience-based criteria: Does this change directly address the design-related problems identified from the accident/incident data? If this change had been in place and enforced (with the resultant design changes), is it likely that the relevant accidents/incidents would still have happened?

General criteria: Are there any potential negative safety impacts of the proposed change (does it solve one problem but create another)? What is the expected overall safety impact? Is the proposed change compatible with other proposed changes?

Effects on industry: Is the expected cost of compliance appropriate for the expected gain in safety? Is compliance technically reasonable? Will the proposed change significantly increase the time needed to develop and certify the airplanes? Is the proposal consistent with realistic assumptions about the aviation environment? Is the recommendation a performance standard, or is it a design specification that will interfere with desirable innovation or will prohibit acceptable alternative solutions?

Authority/industry acceptance: Can we reasonably expect the various certification authorities (airworthiness and operations) to agree upon this change? Can we expect strong resistance from manufacturers, due to cost, schedule, aircraft performance, design philosophy, or other competitive issues? Can we expect support from the pilot community? Can we expect strong resistance from airlines, due to cost, fleet commonality issues, operational philosophies, or competitive issues?

Appendix 3. Top 33 Deficiencies Identified by the HF HWG

| <i>Topic Working Group Identifier</i> | <i>Description of Deficiency</i> |
|---------------------------------------|--|
| HMI -1 | Lack of information on and definition of feedback requirements |
| HMI -2 | Design of the autopilot/autothrottle human-machine interface |
| HMI -5 | Lack of criteria/guidance on understanding of automation behavior [pilot knowledge, skills, etc] |
| HMI -8 | Standardization is not addressed in regulations/guidance. |
| HMI -9 | Human Machine Interface – Lack of guidance on error resistance |
| Information 1 | Information Required – Lack of guidance on what information is required and when it is needed. |
| Information 4 | Information – Consistency of presented information |
| Displays 6 | FAR25.703 Takeoff Warning Systems |
| Displays 13 | FAR/JAR 25.777 Cockpit controls. |
| General 18 | AR/JAR 25.1581 No regulation or AC guidance about distributing automation philosophy in users guides or pilot manuals |
| Extra General 3. | AC 25-15 Approval Of Flight Management Systems In Transport Category Aircraft 3 |
| Pilot Characteristics 2 | Pilot population characteristics that apply |
| Pilot Characteristics 4 | Consistency with pilot expectations |
| Pilot Characteristics 5 | Lack of guidance on the implication of the system design on the crew workload |
| Pilot Characteristics 7 | Lack of guidance on the scenarios to use for testing design and no guidance on evaluators (pilots) background and training |
| Pilot Characteristics 9 | Lack of criteria/guidance on “exceptional piloting skill or alertness” (e.g., how this is to be interpreted with respect to mode awareness issues with autoland systems) |
| Controls 1 | Controls all aspects |
| Controls 2 | Tasks that require controls |
| Controls 5 | Accessibility and Operability |
| Controls 7 | Consistency with other controls |

| <i>Topic Working Group Identifier</i> | <i>Description of Deficiency</i> |
|---------------------------------------|---|
| Controls 8 | Distinguishability from other controls |
| Controls 10 | Error tolerance |
| FAR- 1 | The design of the system (i.e., intended function from the designer's perspective) may be inconsistent with the operational environment. |
| FAR- 2 | FAR/JAR 25.1303 Flight and Navigation Instruments – inadequate guidance |
| FAR- 3 | FAR/JAR 25.1305, Powerplant Instruments |
| FAR- 5 | FAR/JAR 25.1309, Equipment, systems, and installations |
| FAR- 7 | Warning, caution and advisory lights |
| FAR- 8 | FAR/JAR 25.1323 Airspeed indicating system. |
| FAR -9 | FAR/JAR 25.1329 Automatic Pilot system |
| FAR- 13 | AC 20-73, Aircraft Ice Protection |
| FAR -14 | AC 25-11 Transport Category Airplane Electronic Display Systems |
| Regulatory 1 | Lack of guidance on the scenarios to use for testing design. No guidance on the test evaluator (pilots) background and training. This relates to testing. |
| Regulatory 6 | Lack of guidance on non-essential systems |

Appendix 4. Membership and Meetings

Since the launch of Human Factors HWG activity in October 1999, meetings have been held on a quarterly basis and lasted three to four days, as needed. Eighteen meetings were held, distributing the locations on both sides of the Atlantic Ocean so as to roughly balance the travel costs among participants.

| Meeting Nr. | Period | Location | Hosted by |
|-------------|---------------|-----------------|------------------|
| 1 | October 1999 | Seattle | Boeing |
| 2 | January 2000 | Toulouse | Airbus |
| 3 | April 2000 | Phoenix | Honeywell |
| 4 | June 2000 | Montreal | Bombardier |
| 5 | October 2000 | Amsterdam | NLR |
| 6 | January 2001 | Seattle | BF Goodrich |
| 7 | April 2001 | Brighton | UK CAA |
| 8 | June 2001 | Munich | Dornier |
| 9 | October 2001 | Boston | FAA |
| 10 | January 2002 | Long Beach | FAA |
| 11 | April 2002 | Paris | DGAC |
| 12 | June 2002 | Ispra | JRC |
| 13 | October 2002 | Washington DC | FAA / ALPA |
| 14 | January 2003 | West Palm Beach | Embraer |
| 15 | April 2003 | Cheltenham | Smiths |
| 16 | June 2003 | Ottawa | Transport Canada |
| 17 | October 2003 | Linköping | Saab |
| 18 | February 2004 | Paris | DGAC |

Along the four years activity period, membership has evolved, maintaining an average of 30-32 members with a consistent core of about 20. The co-chairs were attentive to keep the group balanced in terms of expertise (HF specialist vs. certification specialists vs. designers vs. pilots), origin (North America, South America, and Europe) and organizations (authorities vs. industry) represented. This balance was crucial to get all the pertaining opinions and perspectives regarding the task related issues. The following list includes all persons having participated in the group activity together with the organization each represented.

List of members:**1- Current Members**

| <u>Name</u> | <u>Organization</u> |
|-------------------------|----------------------------|
| Abbott, Kathy | FAA |
| Beaujard, Florence | Airbus |
| Bousquie, Jean-François | Airbus |
| Carr, Tom | Garmin |
| Crane, Jean | Boeing |
| Deharvengt, Stéphane | JAA Representative |
| Donovan, Colleen | FAA |
| Emmerson, Paul | BAE Systems |
| Gagnon, Pierre | Bombardier |
| Garloch, Julie | Rockwell-Collins |
| Graeber, Curt | Boeing |
| Harris, Don | Cranfield University |
| Hecht, Sharon | Research Integrations |
| Jorna, Peter | NLR |
| Kelly, Brian | Boeing |
| Lawrence, Simon | ALPA |
| Lyll, Beth | Research Integrations |
| McConnell, John | FAA Representative |
| Menini, Eduardo | Embraer |
| Newman, Pam | UK Small Industries |
| Reuzeau, Florence | Airbus |
| Ronceray, Didier | Airbus |
| Singer, Gideon | Saab |
| Starr, Alison | Smiths Industries |
| Stephen, Don | Transport Canada |
| Venn, Paula | IFALPA |
| Thiel, Guy | FAA |
| Walsh, Christine | Boeing |

2- Former active members that left the group for various reasons:

| <u>Name</u> | <u>Organization</u> |
|---------------------|----------------------------|
| Armstrong, Don | FAA |
| Birowo, Imam | Dornier |
| Boyd, Stephen | FAA |
| Courteney, Hazel | CAA |
| Fiore, Eric (†) | Bombardier |
| Hicks, Marck | SEA |
| Imrich, Tom | FAA |
| Kimball, Ken | Cessna |
| Leard, Tom | Honeywell |
| Landy, Michael | BF Goodrich |
| May, Doug | Bombardier |
| New, Michael | IFALPA |
| Newman, Terry | JAA/CAA |
| Nibbelke, Rene | BAE |
| Proust, Jean-Michel | Air France |
| Reinhold, Svenja | Dornier |
| Riley, Vic | Honeywell |

3- Occasional attendees

| <u>Name</u> | <u>Organization</u> |
|--------------------|----------------------------|
| Berner, Ann | Aero Engineering |
| Bresley, Bill | Universal Avionics |
| Chappel, Sherry | Delta Technology |
| Dekker, Sidney | Linköping Inst of Tech |
| Delesalle, Eric | Sogerma |
| Fabre, François | JAA |
| Glover, Howard | Honeywell |
| Gurney, Dan | BAE |
| Julie, Marcel | Dassault |
| Price, Alan | Delta Airlines |
| Rebender Georges | JAA |
| Schwartz, Patricia | American Airlines |
| Shamo, Marcia | Avionitek |
| Sam Slentz | Universal Avionics |
| Vint, Rebekah | Research Integrations |
| Wilson, Jennifer | Research Integrations |

Appendix 5. Description of Relevant Regulations

14 CFR 25:

14 CFR 25.671(a) Pilot compartment. (as amended 4/30/1965)

Each control and control system must operate with the ease, smoothness, and positiveness appropriate to its function.

14 CFR 25.771(a) Pilot compartment. (as amended 4/30/1965)

Each pilot compartment and its equipment must allow the minimum flight crew (established under Sec. 25.1523) to perform their duties without unreasonable concentration or fatigue.

14 CFR 25.771(c) Pilot compartment. (as amended 4/30/1965)

If provision is made for a second pilot, the airplane must be controllable with equal safety from either pilot seat.

14 CFR 25.771(e) Pilot compartment. (as amended 4/30/1965)

Vibration and noise characteristics of cockpit equipment may not interfere with safe operation of the airplane.

14 CFR 25.777 Cockpit controls. (as amended 12/1/1978)

(a) Each cockpit control must be located to provide convenient operation and to prevent confusion and inadvertent operation.

(b) The direction of movement of cockpit controls must meet the requirements of Sec. 25.779. Wherever practicable, the sense of motion involved in the operation of other controls must correspond to the sense of the effect of the operation upon the airplane or upon the part operated. Controls of a variable nature using a rotary motion must move clockwise from the off position, through an increasing range, to the full on position.

(c) The controls must be located and arranged, with respect to the pilots' seats, so that there is full and unrestricted movement of each control without interference from the cockpit structure or the clothing of the minimum flight crew (established under Sec. 25.1523) when any member of this flight crew, from 5'2" to 6'3" in height, is seated with the seat belt and shoulder harness (if provided) fastened.

(d) Identical powerplant controls for each engine must be located to prevent confusion as to the engines they control.

(e) Wing flap controls and other auxiliary lift device controls must be located on top of the pedestal, aft of the throttles, centrally or to the right of the pedestal centerline, and not less than 10 inches aft of the landing gear control.

(f) The landing gear control must be located forward of the throttles and must be operable by each pilot when seated with seat belt and shoulder harness (if provided) fastened.

(g) Control knobs must be shaped in accordance with Sec. 25.781. In addition, the knobs must be of the same color, and this color must contrast with the color of the control knobs for other purposes and the surrounding cockpit.

(h) If a flight engineer is required as part of the minimum flight crew (established under Sec. 25.1523), the airplane must have a flight engineer station located and arranged so that the flight crewmembers can perform their functions efficiently and without interfering with each other.

14 CFR 25.779 Motion and effect of cockpit controls (as amended 8/20/1990).

Cockpit controls must be designed so that they operate in accordance with the following movement and actuation:

(a) Aerodynamic controls:

(1) Primary.

| <i>Controls</i> | <i>Motion and effect</i> |
|-----------------|--|
| Aileron | Right (clockwise) for right wing down. |
| Elevator | Rearward for nose up. |
| Rudder | Right pedal forward for nose right. |

(2) Secondary.

| <i>Controls</i> | <i>Motion and effect</i> |
|---------------------|--------------------------|
| Flaps (or auxiliary | Forward for flaps up: |

| | |
|----------------------------|---|
| lift devices). | rearward for flaps down. |
| Trim tabs (or equivalent). | Rotate to produce similar rotation of the airplane about an axis parallel to the axis of the control. |

- (b) Powerplant and auxiliary controls:
 - (1) Powerplant.

| <i>Controls</i> | <i>Motion and effect</i> |
|---------------------|---|
| Power or thrust | Forward to increase forward thrust and rearward to increase rearward thrust. |
| Propellers | Forward to increase rpm. |
| Mixture | Forward or upward for rich. |
| Carburetor air heat | Forward or upward for cold. |
| Super-charger | Forward or upward for low blower. For turbosuperchargers, forward, upward, or clockwise, to increase pressure. |

- (2) Auxiliary.

| <i>Controls</i> | <i>Motion and effect</i> |
|-----------------|--------------------------|
| Landing gear | Down to extend. |

14 CFR 25.1301 Function and installation. (10/3/1964)

Each item of installed equipment must--

- (a) Be of a kind and design appropriate to its intended function;
- (b) Be labeled as to its identification, function, or operating limitations, or any applicable combination of these factors;
- (c) Be installed according to limitations specified for that equipment; and
- (d) Function properly when installed.

14 CFR 25.1309(a) Equipment, systems, and installations.(as amended 9/1/1977)

The equipment, systems, and installations whose functioning is required by this subchapter, must be designed to ensure that they perform their intended functions under any foreseeable operating condition.

14 CFR 25.1309(c) Equipment, systems, and installations.(as amended 9/1/1977)

Warning information must be provided to alert the crew to unsafe system operating conditions, and to enable them to take appropriate corrective action. Systems, controls, and associated monitoring and warning means must be designed to minimize crew errors which could create additional hazards.

14 CFR 25.1523 Minimum flight crew. (as amended 5/28/1965)

The minimum flight crew must be established so that it is sufficient for safe operation, considering--

- (a) The workload on individual crewmembers;
- (b) The accessibility and ease of operation of necessary controls by the appropriate crewmember; and
- (c) The kind of operation authorized under Sec. 25.1525.

The criteria used in making the determinations required by this section are set forth in Appendix D.

14 CFR 25 Appendix D Criteria for determining minimum flight crew. (as amended 5/28/1965)

The following are considered by the Agency in determining the minimum flight crew under Sec. 25.1523:

- a. *Basic workload functions.* The following basic workload functions are considered:
 - (1) Flight path control.
 - (2) Collision avoidance.
 - (3) Navigation.
 - (4) Communications.
 - (5) Operation and monitoring of aircraft engines and systems.

- (6) Command decisions.
- b. *Workload factors.* The following workload factors are considered significant when analyzing and demonstrating workload for minimum flight crew determination:
- (1) The accessibility, ease, and simplicity of operation of all necessary flight, power, and equipment controls, including emergency fuel shutoff valves, electrical controls, electronic controls, pressurization system controls, and engine controls.
 - (2) The accessibility and conspicuity of all necessary instruments and failure warning devices such as fire warning, electrical system malfunction, and other failure or caution indicators. The extent to which such instruments or devices direct the proper corrective action is also considered.
 - (3) The number, urgency, and complexity of operating procedures with particular consideration given to the specific fuel management schedule imposed by center of gravity, structural or other considerations of an airworthiness nature, and to the ability of each engine to operate at all times from a single tank or source which is automatically replenished if fuel is also stored in other tanks.
 - (4) The degree and duration of concentrated mental and physical effort involved in normal operation and in diagnosing and coping with malfunctions and emergencies.
 - (5) The extent of required monitoring of the fuel, hydraulic, pressurization, electrical, electronic, deicing, and other systems while en route.
 - (6) The actions requiring a crewmember to be unavailable at his assigned duty station, including: observation of systems, emergency operation of any control, and emergencies in any compartment.
 - (7) The degree of automation provided in the aircraft systems to afford (after failures or malfunctions) automatic crossover or isolation of difficulties to minimize the need for flight crew action to guard against loss of hydraulic or electric power to flight controls or to other essential systems.
 - (8) The communications and navigation workload.
 - (9) The possibility of increased workload associated with any emergency that may lead to other emergencies.
 - (10) Incapacitation of a flight crewmember whenever the applicable operating rule requires a minimum flight crew of at least two pilots.
- (c) *Kind of operation authorized.* The determination of the kind of operation authorized requires consideration of the operating rules under which the airplane will be operated. Unless an applicant desires approval for a more limited kind of operation, it is assumed that each airplane certificated under this Part will operate under IFR conditions.

EASA CS 25:

Aircraft, including any installed product, part and appliance shall comply with the EASA Essential Requirements (ER) for Airworthiness. ER for airworthiness states that "Information needed for the safe conduct of the flight and information concerning unsafe conditions must be provided to the crew, or maintenance personnel, as appropriate, in a clear, consistent and unambiguous manner. Systems, equipment and controls, including signs and announcements must be designed and located to minimize errors which could contribute to the creation of hazards".

Certification Specifications 25 (CS-25), which is the applicable airworthiness code for ensuring compliance with the ER, only address those issues by equipment specific rules and AMC or by general applicability rules that lack adequate guidance. The EASA rules corresponding to those listed for 14 CFR 25 above are completely harmonized with the exception of CS 25.1301, CS 25.1309(a) and 25.1309(c). The full text of CS 25.1301 and CS 25.1309 is as follows:

CS 25.1301 Function and installation

(See AMC 25.1301)

Each item of installed equipment must –

- (a) Be of a kind and design appropriate to its intended function;
- (b) Be labeled as to its identification, function, or operating limitations, or any applicable combination of these factors. (See AMC 25.1301(b).)

(c) Be installed according to limitations specified for that equipment;

25.1309 Equipment, systems, and installations

(See AMC 25.1309)

The requirements of this paragraph, except as identified below, are applicable, in addition to specific design requirements of CS-25, to any equipment or system as installed in the airplane. Although this paragraph does not apply to the performance and flight characteristic requirements of Subpart B and the structural requirements of Subparts C and D, it does apply to any system on which compliance with any of those requirements is dependent. Certain single failures or jams covered by CS 25.671(c)(1) and CS 25.671(c)(3) are excepted from the requirements of CS 25.1309(b)(1)(ii).

Certain single failures covered by CS 25.735(b) are excepted from the requirements of CS 25.1309(b).

The failure effects covered by CS 25.810(a)(1)(v) and CSMC 25.812 are excepted from the requirements of CS 25.1309(b). The requirements of CS 25.1309(b) apply to powerplant installations as specified in CS 25.901(c).

(a) The airplane equipment and systems must be designed and installed so that:

(1) Those required for type certification or by operating rules, or whose improper functioning would reduce safety, perform as intended under the aeroplane operating and environmental conditions.

(2) Other equipment and systems are not a source of danger in themselves and do not adversely affect the proper functioning of those covered by sub-paragraph (a)(1) of this paragraph.

(b) The airplane systems and associated components, considered separately and in relation to other systems, must be designed so that -

(1) Any catastrophic failure condition

(i) is extremely improbable; and

(ii) does not result from a single failure; and

(2) Any hazardous failure condition is extremely remote; and

(3) Any major failure condition is remote.

(c) Information concerning unsafe system operating conditions must be provided to the crew to enable them to take appropriate corrective action. A warning indication must be provided if immediate corrective action is required. Systems and controls, including indications and annunciations must be designed to minimize crew errors, which could create additional hazards.

As an interim solution to comply with the above-mentioned ER referring to human performance for flight deck design, the assessment of human factors aspects of flight deck design is done with JAA Interim Policy 25-14 published on 15 March 2001.

Appendix 6. List of Typical Flight Crew Errors

When performing the experience related review of safety records and accident reports, the group developed a matrix which listed, to the best of the HWG's knowledge, all relevant issues regarding human performance or error on the flight deck. The matrix remained an internal working document from which to extract events focused on actual crew error with actual safety consequences. The intent was to have an as extensive as possible list of typical dangerous crew errors and to understand where design was involved or could help mitigate the error class.

We believe that the proposed rule and AC/AMC describe as much as can reasonably be expected from the design viewpoint to address these safety issues. However the matrix included many issues which cannot be treated or mitigated by this new material focused on design. Therefore, we decided that it would be beneficial to share this information with other organizations involved in aircraft safety so that they may use it in their own field of activity and possibly take relevant actions to address some of the issues.

The following is the list of those issues with some associated examples organized in terms of operational behavior. All these examples have resulted in serious safety consequences, eventually airplane losses. They are therefore valuable situations to be considered by all for the sake of aircraft safety.

1. **Absence of reaction:**

The crew does not react when they are supposed to, following a stimulus (alert, airplane behavior,...)

- No reaction to an engine failure
- No reaction to failure warning

2. **Procedural deviations:**

The crew doesn't act or react as they are supposed to, intentionally or unintentionally, according to basic airmanship behavior or type-specific procedures.

- Engine start with lever not at idle
- Take off abort after V1
- Landing gear or aural warning C/B pulled because of permanent warning
- Incorrect fuel balancing procedure leading to engine loss.
- Reset of an active computer leading to upset
- Procedure incomplete

3. **Limitations transgressions:**

The crew performs an action out of the allowed envelope, therefore exceeding the limits of the airplane. Although these are most frequently violations, in some cases, these actions may be simple unintentional errors.

- Surface or landing gear extension out of certified envelope
- Inappropriate use of autoland (mainly exceeding autoland limitations on X-wind and contaminated runway)
- Flight above maximum altitude

4. **Pilot fighting against automation:**

This happens when the crew is surprised or not satisfied by the performance of an automatic system (in general, autopilot or autothrust) and reacts to override it instead of disconnecting the active system and then recovering manually. This pilot action may induce abnormal behavior of the systems that may lead to hazard or catastrophe.

- Overriding AP without disconnecting, leading to deep out-of-trim condition
- Overriding AT without disconnecting during flare, leading to inadvertent high thrust setting after landing

5. Incorrect data entry

The crew fails to enter and correctly crosscheck safety critical values. Usually due to unchecked wrong key entries but may also arise from the wrong reading of correct information.

- Wrong IRS alignment position
- Wrong FLEX temperature
- Wrong take-off speed

6. Energy management

The crew fails to manage the speed of the airplane so as:

- to keep the speed within the normal envelope, while having the ability to do so
- to bring the airplane at the correct location with the correct speed, because of incorrect estimation of the acceleration/deceleration capabilities of the airplane, or unexpected requests from ATC (route shortening, "expedite FL")

This generally results in undesired speeds such as excessively high speeds at landing, or excessively slow speeds in climb, but the contrary may also happen:

- Inadvertent stalls (especially with one engine inoperative during circling or final turns)
- Speed too high at threshold leading to runway overrun (especially when braking is poor and/or reversers are inoperative)
- Use of AP modes that exceed the capability of the airplane (V/S, VNAV)
- No use of available extra thrust when drastically under performing
- Airbrakes not retracted during go around

7. Poor mastery of novel systems

When novel and/or complex systems (e.g., TCAS, FMSs) are introduced, the associated training is insufficient to enable crew members to feel comfortable using the system without significant adverse issues which may result in crews feeling weak and dominated by the system (e.g., "How should I do this?," "What is it doing now?," "Oops !", etc...).

8. Fuel management

The crew fails to manage the remaining fuel in the airplane so that fuel reserves at landing are abnormally low or so that, eventually, all engines flame out.

- Poor check of remaining fuel or assessment of fuel leaks
- Poor decision making on management of fuel reserves during diversion
- Confusion on units (lbs versus kg)

9. Ice and consequences of icing awareness

The crew failed to identify and/or react to prevailing icing conditions.

- No recognition of icing
- No application of procedure for flight in icing conditions

10. Information integrity

The crew fails to sort between erroneous and correct data.

- No detection of erroneous data (wrong database, navigation data, or anemometric information)
- No reaction to actual failures when frequent erroneous warnings occur

11. Language

The crew's mastery of English is insufficient for flying in occidental airplanes or airspaces.

- Lack of or late understanding of presented information (displays, aural alerts, manuals, ATC clearances) for crew whose native language is not English.

12. Minimum altitude violation (even more critical in approach)

When flying in instrument meteorological conditions, where visual ground contact is not present, the crew has to fly above declared minimum altitudes that insure proper ground clearances. When flying below these altitudes, the clearance is decreased and eventually zeroed, leading to CFIT.

13. Misuse of adjacent controls

For some reason, the crew moves a control adjacent to the one that is supposed to be used. It should be noted that a chain of several elementary actions that are usually performed in sequence may be considered in this context as a single action.

- Acting on hydraulics pumps P/Bs when thinking to perform the fuel balancing procedure, because of similar shape and close placement, despite not on the same panel.
- Confusion between speed, heading, altitude knobs on AP interface
- Shutdown of wrong engine
- Feathering of the wrong propeller

14. Standardization issues

Accustomed to equipment with given characteristics, crew members may be faced with other equipment whose characteristics are different, eventually reversed, thus favoring the probability of error.

- "Inside-Out" versus "Outside-In" ADI
- Data entry formats

15. Take-off configuration

The crew takes off with a configuration that deeply penalizes performance. This may result in a considerably unsafe take-off, or in a rejected take-off, possibly ending in a runway overrun if the crew decision is late.

- Take-off with Parking brake set
- Take-off with bleeds ON, when they're supposed to be OFF due to performance requirements
- Take-off with wrong T/O flaps configuration

16. Incorrect take-off data

The parameters computed by the crew for take-off are wrong for some reason. Performance limited take-offs may be considerably unsafe.

- Erroneous speeds
- Erroneous weight
- Erroneous flex temperature or derate
- Late change of runway with no change of speeds
- Use of wrong performance data (wrong a/c type, engine type, bleed, dry/wet...)

17. Wrong approach path

- Flying across final approach course due to approach mode not properly armed (terrain may be on the opposite side)
- Flying across Glideslope from above (with high vertical speed...) due to approach mode not properly armed
- Wrong course selected with small offset (automatic roll out may be hazardous)
- Wrong course selected with big offset (capture may be hazardous)
- Wrong position at final descent initiation
- Wrong execution of a clearance when vectored (wrong turn)

Appendix 7 – FAA Position Paper.

FAA Position Statement for Submission in the Final Report of the ARAC Human Factors Harmonization Working Group

The FAA concurs with and supports the proposed rule drafted by the Human Factors Harmonization Working Group (HFHWG). In addition, we have no specific disagreements with the general scope, structure or substantive content of the draft advisory circular. We believe, however, that this AC requires some additional guidance with respect to acceptable ways to show compliance to the proposed rule and to some of the other rules the draft document addresses. Upon formal receipt of the HFHWG recommendations, and as part of our normal process of using an ARAC Fast Track recommendation in the development of an NPRM and proposed AC, the FAA will develop this additional guidance material where needed.

The FAA considers both harmonization and consistency with the consensus of the HFHWG to be important. Therefore, during this development, we will work with the representatives from EASA/JAA and Transport Canada, and with other members of the HFHWG, to maintain harmonization of both the regulatory and guidance material and to maximize the consistency of this additional guidance with the consensus intent of the Working Group. Finally, we are in full support of the anticipated request by the HFHWG for a Phase 4 review of the draft NPRM package and proposed AC prior to publication.

Note: this Position Statement reflects the views of the FAA and is not intended to represent the position of the HFHWG or of any other member(s) of the HF HWG.

or joint applicant who is at least 21 years old has the ability to make the required minimum periodic payments in accordance with § 1026.51(b)(2)(i)(B).

* * * * *

Dated: April 29, 2013.

Richard Cordray,

Director, Bureau of Consumer Financial Protection.

[FR Doc. 2013-10429 Filed 5-2-13; 8:45 am]

BILLING CODE 4810-AM-P

DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

14 CFR Part 25

[Docket No.: FAA-2010-1175; Amdt. No. 25-138]

RIN 2120-AJ83

Installed Systems and Equipment for Use by the Flightcrew

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: This rule amends design requirements in the airworthiness standards for transport category airplanes to minimize the occurrence of design-related flightcrew errors. The new design requirements will enable a flightcrew member to detect and manage his or her errors when the errors occur. Adopting this rule will eliminate regulatory differences between the airworthiness standards of the United States (U.S.) and those of the European Aviation Safety Agency (EASA) without affecting current industry design practices.

DATES: Effective July 2, 2013.

ADDRESSES: For information on where to obtain copies of rulemaking documents and other information related to this final rule, see “How To Obtain Additional Information” in the **SUPPLEMENTARY INFORMATION** section of this document.

FOR FURTHER INFORMATION CONTACT: For technical questions concerning this final rule, contact Loran Haworth, Airplane and Flightcrew Interface Branch, ANM-111, Transport Airplane Directorate, Aircraft Certification Service, 1601 Lind Avenue SW., Renton, Washington, 98057-3356; telephone (425) 227-1133; facsimile (425) 227-1320; email Loran.Haworth@faa.gov.

For legal questions about this final rule, contact Doug Anderson, Office of the Regional Counsel (ANM-7), 1601 Lind Avenue SW., Renton, Washington 98057-3356; telephone (425) 227-2166;

facsimile 425-227-1007; email Douglas.Anderson@faa.gov.

SUPPLEMENTARY INFORMATION:

Authority for This Rulemaking

The FAA’s authority to issue rules on aviation safety is found in Title 49 of the United States Code. Subtitle I, Section 106 describes the authority of the FAA Administrator. Subtitle VII, Aviation Programs, describes in more detail the scope of the agency’s authority.

This rulemaking is promulgated under the authority described in Subtitle VII, Part A, Subpart III, Section 44701, “General requirements.” Under that section, the FAA is charged with prescribing regulations and minimum standards for the design and performance of aircraft that the Administrator finds necessary for safety in air commerce. This regulation is within the scope of that authority. It prescribes new safety standards for the design, production, and operation of transport category airplanes.

List of Abbreviations and Acronyms Frequently Used in This Document

AFM Airplane Flight Manual
 ALPA Air Line Pilots Association, International
 ARAC Aviation Rulemaking Advisory Committee
 ATC Air Traffic Control
 DER Designated Engineering Representative
 EASA European Aviation Safety Agency
 EFB Electronic Flight Bag
 FAA Federal Aviation Administration
 FMS Flight Management System
 HF Human Factors
 ICAO International Civil Aviation Organization
 NPRM Notice of Proposed Rulemaking
 OEM Original Equipment Manufacturer
 RFA Regulatory Flexibility Act
 SBREFA Small Business Regulatory Enforcement Fairness Act
 STC Supplemental Type Certificate
 TC Type Certificate
 UM Unit Member

I. Overview of Final Rule

This final rule adds § 25.1302 which addresses—

- Design requirements to minimize errors made by the flightcrew and enable them to detect and manage their errors when the errors occur;
- Flightcrew limitations and control requirements not covered by current regulations;
- Flightcrew interactions with the equipment that can be reasonably expected in service;
- Uniform standards that address design for flightcrew error in transport category airplanes; and
- Harmonization of the United States (U.S.) and EASA airworthiness standards.

II. Background

Accidents often result from a sequence or combination of flightcrew errors and safety related events. Flightcrews contribute positively to the safety of the air transportation system by using their ability to assess complex situations and make reasoned decisions. However, even trained, qualified, checked, alert flightcrew members can make errors.

Flightcrew errors that could impact safety are often detected and mitigated in the normal course of events. However, accident analyses have identified flightcrew performance and error as significant factors in a majority of accidents involving transport category airplanes. Some errors may be influenced by the design of the systems the flightcrew uses to operate the airplane and by the flightcrew interfaces of those systems, even those that are carefully designed.

The design of the flight deck and other systems may influence flightcrew task performance and may also affect the rate of occurrence and effects of flightcrew errors.

Human error is generally characterized as a deviation from what is considered correct in some context. In the hindsight of analysis of accidents, incidents, or other events of interest, these deviations might include an inappropriate action, a difference from what is expected in a procedure, a mistaken decision, a slip of the fingers in typing, an omission of some kind, and many other examples.

A. Statement of the Problem

The FAA tasked the Aviation Rulemaking Advisory Committee (ARAC) through its Human Factors Harmonization Working Group to review existing regulations and recommend measures to address the contribution of design and certification of transport category airplane flight decks to flightcrew error. The ARAC submitted its recommendations to the FAA in a report, Human Factors—Harmonization Working Group (HFHWG) Final Report, dated June 15, 2004. This final rule implements these recommendations.

The HFHWG acknowledged that existing regulations are designed to address differing aspects of flightcrew performance. Flightcrew capabilities are carefully considered through—

1. Airworthiness standards for the issuance of type certificates for airplanes (14 CFR part 25);
2. Airplane operating requirements (14 CFR part 121);
3. Certification and operating requirements (14 CFR part 119); and

4. Requirements for issuing pilot certificates and ratings (14 CFR part 61).

Taken together, these requirements provide a high degree of operational safety in the air transportation system. They take into consideration equipment design, training, qualifications for pilot certificates, airplane operations and procedures, and the interaction of systems, equipment and personnel and how each contribute to operating safely through risk management.

However, the HFHWG noted that design characteristics can contribute to flightcrew error. They recommended that more explicit requirements for design attributes related to managing and avoiding flightcrew error be included to augment the existing regulations. These requirements are codified in new § 25.1302.

EASA incorporated these same regulations in 2006 based on the ARAC recommendations. The requirements in the new § 25.1302 are harmonized with those in the current EASA CS 25.1302 (Amendment 25/3). Thus, this rulemaking eliminates regulatory differences between the applicable sections of the U.S. and Europe.

B. Current Requirements

Several existing regulations apply to aspects of flightcrew performance. These regulations are listed and discussed in the ARAC report, Human Factors—Harmonization Working Group Final Report, June 15, 2004, which is posted on the FAA Web site http://www.faa.gov/regulations_policies/rulemaking/committees/documents/media/TAehfhT1-072299.pdf.

C. Summary of the NPRM

The FAA published a notice of proposed rulemaking (NPRM) on February 3, 2011 (76 FR 6088) and posted the draft of AC 25.1302 for comment at the same time. The proposed rule augments existing generally applicable rules with more explicit requirements for design attributes related to avoiding and managing flightcrew error. The comment period closed on April 4, 2011 for both documents.

This rule is one aspect of a balanced approach involving both design approval requirements in the minimum airworthiness standards of part 25 and requirements for training/licensing/qualification, operations, and procedures such as those found in parts 61, 91, 121, and 135.

D. General Overview of Comments

The FAA received comments from Airbus, the Boeing Company, the Cessna Aircraft Company, the Garmin

Company, the Mitsubishi Company and the Air Line Pilots Association, International (ALPA). The commenters discussed the following:

- Airbus had no comments on § 25.1302 and four comments on Advisory Circular (AC) 25.1302.
- Boeing welcomed § 25.1302 and had “no specific comments on the proposed rule.”
- ALPA supports the new § 25.1302 as well as AC 25.1302.
- Cessna stated the “content of this regulation is indeed good and valuable; however demonstrating and documenting compliance to the stated requirements will very likely impose a large burden on the part of the applicant.”
- Garmin also commented on cost and burden.
- Both Cessna and Garmin are concerned with future delegation of findings.
- Cessna and Mitsubishi both commented on the example of an intentional error described in the preamble.

None of the commenters opposed the proposed rule.

III. Discussion of Public Comments and Final Rule

Cost of Rule

The economic analysis for the proposed rule stated there would be no additional costs to transport airplane manufacturers as they are already in compliance or intend to fully comply with the EASA standard. Cessna and Garmin commented that the cost impact of this rule is not small and unimportant.

Cessna believes substantial nonrecurring cost will result from demonstrating compliance with this rule. In addition to securing the services of human factors specialists, substantial time and cost will be associated with the “more methodological approach” specified in Figure 1 of Advisory Circular 25.1302.

The FAA notes all new transport airplane type certificate (TC) applicants, including Cessna, are expected to seek EASA validation. In response to our request for clarification, Cessna explicitly did not dispute our statement in the NPRM that “The requirements of these proposed standards are similar to those in the current EASA CS 25.1302. Means of compliance are intended to be identical.” The costs to which Cessna refers are unavoidable if Cessna is to comply with the current CS 25.1302, as well as our rule. There are no incremental costs as a result of the harmonization of standards itself.

Accordingly, no change was made to this rule as a result of this comment.

Garmin commented that “very few applicants have truly complied with the EASA rule and many manufacturers have noted increased cost and certification burden in showing compliance to the rule. Additionally, very few ‘clean sheet’ aircraft certifications have been performed since 2006, while a majority of certification projects typically involve type design changes to already certified aircraft (examples include updating avionics systems, engines, drag reduction, interior enhancements, etc). In this process applicants often are not required to comply with the latest certification regulations. The FAA’s draft AC 25.1302 makes clear the proposed rule’s applicability is not limited to new TC designs but is also intended for STC design changes.” Garmin believes the FAA may not have considered the cost impact of these efforts.

For design changes, increased costs result only if both of the following are true:

1. The project would not be expected to seek EASA validation, and
2. The certification basis for the design change is updated to include this rule.

The requirements of § 21.101, Designation of Applicable Regulations, will determine which future design changes need to have the certification basis updated to include the requirements of this final rule. Minor changes to the flight deck are not considered significant product-level changes and would not warrant changing the certification basis under § 21.101. Significant changes to the flight deck do require an updated certification basis; however, costs associated with the updated certification basis required by § 21.101 were accounted for in the economic evaluation for that rule.

As noted in the Benefits discussion of Type Certification Procedures for Changed Products (65 FR 36244, June 7, 2000), compliance is required with all later regulations where such compliance will contribute materially to the level of safety.

The requirements of § 21.101 do not require compliance with later regulations under the following circumstances:

- (1) If the change in the aeronautical product is not significant,
- (2) for those areas or components of the product not affected by the change,
- (3) if such compliance would not contribute materially to the level of safety of the changed product,

(4) or in the final analysis, if such compliance would be impractical; i.e., would result in costs that would not commensurate with the safety benefit that would be derived.

Therefore, the incremental costs for changed products have already been justified by the benefits and are not attributable to this rulemaking. Accordingly, no change was made to this rule as a result of this comment.

Applicability and Scope

Manufacturers are concerned about the broad applicability of the rule.

Cessna expressed concern about documentation needed when the applicant seeks a design approval before a training program is accepted. Cessna stated that in nearly every case, the aircraft manufacturer is going to seek aircraft certification prior to training program acceptance. So, in nearly every situation, the original equipment manufacturer (OEM) would have to guess the impacts on training time because the training provider is rarely involved, or even selected in some cases, at that early phase due to company confidentiality with new products.

The FAA is aware that applicants may have different processes for developing a training program while simultaneously seeking design approval. Given these different processes, the applicant only needs to document novel, complex, or highly integrated design features and any new and different design assumptions that have the potential to affect training time or flightcrew procedures. It is not necessary to document the impact on training time to receive a design approval. However, the close relationship between design requirements and requirements for training, licensing, operations and procedures is recognized and is also clarified in AC 25.1302.

Cessna recommended more specific information to address the possibility that failure conditions may present conflicting information on flightdeck displays. Cessna states that conflicting indications can be addressed by accomplishment of appropriate flightcrew procedures (i.e., selection of reversion display modes).

Airworthiness design guidance regarding information conflicts is provided in AC 25-11A and AC 25.1302. For example, AC 25-11A provides guidance on reversion display modes. In addition, AC 25.1302 paragraph 5-8 C 1 (d) states: "The applicant should describe what conclusion the flightcrew is expected to draw and what action should be taken

when information on the display conflicts with other information on the flightdeck either with or without a failure." Other examples can be found by searching for the word "failure" in AC 25.1302. These issues are also covered as part of the systems safety assessment required by § 25.1309. We do agree with Cessna that when the flightcrew is fully aware of and understands the information conflict, crew procedures may be used to help flightcrew members make display reversion selections or to ignore the erroneous information.

Cessna stated there was no discussion regarding the interface with other equipment, such as the electronic flight bag (EFB). AC 120-76A provides guidance for Class 3 EFB's; however, Class 1 and 2 EFB's are considered portable electronic devices that are not part of the airplane type design, and thus conflicts between information on these devices and installed systems are not covered under § 25.1302.

Cessna remarked that § 25.1302(a) requires that information on all possible functions and features for all flight deck equipment be included in the Airplane Flight Manual (AFM). Cessna acknowledged the intent of § 25.1302 is to require "necessary information" for the flightcrew to properly accomplish tasks associated with use of equipment, which should not require an exhaustive discussion of all possible functions or uses. Cessna stated that identifying and addressing every possible function or use of all installed equipment, especially for a flight management system (FMS) with extensive capabilities and features, would result in voluminous written material which is of little benefit to the flightcrew.

Cessna suggests limiting the provision of information in the AFM to only what is necessary for the airplane in its operational environment. More extensive discussions of "all features and capabilities" could be in the information provided by the equipment manufacturer (e.g., a Pilot's Guide).

Cessna is correct that the intent is that flightcrews be provided with all "necessary information." However, we do not agree that § 25.1302(a) requires all information to be in the AFM. A major function of § 25.1302 is to require that installed systems, rather than the AFM, provide information needed by the crew. Section 25.1302 does not require an exhaustive discussion of all possible functions or uses, but does require a discussion of the tasks associated with the intended function as further clarified in AC 25.1302. Guidance for the level of information of the equipment's intended function and

types of documents needed to show compliance with § 25.1302(a) is contained in section 5-3 of AC 25.1302.

ALPA stated that the provisions contained in the NPRM and AC should apply to both normal and non-normal operations. These provisions call for equipment to be designed so the flightcrew can safely perform the tasks associated with the equipment's intended function in both normal and non-normal operations. ALPA noted the AC includes this provision, but the NPRM does not, and proposes that the following text be added to the introductory paragraph of § 25.1302: "The applicant must show that these systems and installed equipment, individually and in combination with other such systems and equipment, are designed so that qualified flightcrew members trained in their use can safely perform all of the tasks associated with the systems' and equipment's intended function 'during normal and non-normal conditions'."

The FAA notes this issue is addressed under the heading, "Applicability and Scope" of the NPRM preamble. The FAA envisions that equipment will be designed so the flightcrew can safely perform tasks associated with the equipment's intended function. This requirement would apply to operations in both normal and non-normal conditions, since the requirements of § 25.1302 are generally applicable and not limited to specific conditions. Therefore, we did not change the rule in this regard.

Ambiguity in the Rule

Cessna suggested that "the FAA and foreign regulatory agencies have little experience in establishing compliance with highly subjective criteria such as stated in the proposed rule, and this will likely lead to ambiguity and differences of opinion among the agencies and individual offices within the agencies."

The FAA notes that the rule, its guidance material, and harmonization with EASA's regulations will provide more structure, reduce ambiguity, and help resolve differences of opinion. It is the lack of any criteria that leads to differences. The methods of compliance established in AC 25.1302 provide acceptable ways for applicants to address the performance-based aspects of the rule. As is often the case, we expect that as the FAA and industry gain experience with § 25.1302, those methods of compliance will be further refined. The FAA did not change the rule language based on the above comments.

Delegation and Oversight

Both Cessna and Garmin expressed concerns about delegation and oversight of proposed § 25.1302. Cessna saw no clear path for delegation of compliance findings for the requirements of § 25.1302 to authorized individuals or organizations. No Unit Member (UM) or Designated Engineering Representative (DER) chart exists for Human Factors (HF), so Cessna assumed either there would be no delegation in this area or the delegation would be accomplished through creative use of the “special” delegation on other systems charts. Cessna stated, “the FAA has not been willing to approve this delegation for HF specialists in the past,” and suggested “the FAA needs a well thought out approach to HF issues prior to simply adopting this regulation for harmonization with EASA.”

Cessna further stated in a follow-up discussion that the proposed FAA requirements and guidance for § 25.1302 are similar to those of EASA, but not identical. Cessna stated EASA has a process for delegating findings to the FAA or a designee, but the FAA currently lacks a delegation process and this will result in additional costs for this rule “should the applicant have to wait for availability of limited FAA human factors specialists in finding compliance.”

Garmin stated that there is a question of designee oversight and authority. It is not clear who is delegated to make findings of compliance in this proposed rule or corresponding AC.

The FAA recognizes the need to plan an approach for delegation and oversight. The FAA will strive to work with industry and designees to develop the experience necessary to delegate in this area. This may initially result in limitations requiring the FAA’s review of designee recommendations before we fully delegate the findings. Until the FAA and designees have gained experience in applying the standards and recommending findings of compliance, we will not fully delegate the findings. This is typical of all new airworthiness standards.

We are currently defining the roles and responsibilities for all HF specialists in the FAA Aircraft Certification Service. These actions will also aid in determining the technical roles and responsibilities of potential HF designees. When the work is completed, we intend to develop a plan for formalizing HF delegation. Until that time, we expect that formal findings of compliance to § 25.1302 will be handled by limiting designees approval authority until they have established their

knowledge, skills, and abilities to make HF findings. We have not changed the rule language based on this comment.

We also note that whatever the costs incurred owing to initially limited delegation, these costs are unavoidable if the applicant is to comply with the current CS 25.1302, as well as our rule. There are no incremental costs as a result of the harmonization of standards itself. The existence of a delegation program is desirable for many reasons, including reduced certification burden to both the FAA and manufacturers. However, a delegation program does not create any incremental costs or reduce savings that may result from harmonization of the FAA standards with EASA standards.

Redundancy of Rule

Cessna stated the proposed rule is redundant for certain controls already installed in the cockpit. The proposed rule should clarify that controls addressed in §§ 25.777 and 25.779 are excluded from the requirements of § 25.1302.

Section 25.1302 is generally applicable and not intended to replace more specific rules. We consider §§ 25.777, 25.779, and 25.1302 to be consistent and mutually supportive. We do not believe that showing compliance with §§ 25.777 or 25.779 would in any way conflict with the requirements of § 25.1302. However, showing compliance with those specific rules is not sufficient, by itself, to show that flightcrew errors associated with controls have been properly addressed as required by § 25.1302. Therefore, compliance with § 25.1302 for flight deck controls still must be shown.

Equipment Behavior and Pilot Background

Cessna commented the proposal appears to ignore pilot background. Many pilots express different perceptions of the same equipment based on their prior background. Cessna believes this is a significant contributor to their perception of equipment function and operation. If the intent of the “qualified flightcrew” in § 25.1301(c)(1) is to eliminate prior bias from earlier training and/or operation of other systems, it is not clear. Cessna also made a related statement on error management and prior training and recommended a clear statement of the level of training presumed.

While we understand the concern, this rule is not intended to directly address prior bias from earlier training or operation of other systems. This rule assumes at least the minimum flightcrew requirements for the intended

operation, as discussed at the beginning of subchapter 5–2 of AC 25.1302. We do not intend that the design must compensate for deficiencies in flightcrew training or experience. Given the qualification assumption, the behavior of the installed equipment must be predictable and unambiguous to the flightcrew. AC 25.1322–1, chapter 5–6 also provides additional information regarding system behavior.

Intentional Errors

Cessna took issue with the preamble statement, “An example of an intentional error that might occur would be a situation where an alert occurs, but the flightcrew does not perform the associated procedure because they believe it to be a nuisance alert.” In this situation, § 25.1302(d) requires the applicant to show that this error can be detected. Cessna interpreted this statement to mean it is an “error” to ignore something intentionally, and thus the applicant has to make sure the pilot detects and manages the fact that he or she is ignoring something intentionally. Cessna suggested that the statement should focus on reducing the number of nuisance alerts. Mitsubishi Aircraft Corporation also commented on the same example and suggested deleting the sentence and referring to § 25.1322.

We agree with Cessna that not responding to a valid alert is an error. In this example, the flightcrew ignores the alert since they believe it is not valid. Cessna is also correct in stating that the design must provide a means to allow the flightcrew to manage the error as stated in § 25.1302(d). In response to Cessna’s comment that the rule should promote the reduction of nuisance alerts, we note that this requirement is already included in §§ 25.1322(d) and 25.1322(d)(1).

We do not agree with Mitsubishi that the sentence should be deleted since this is a good illustration of an intentional error. Mitsubishi requested to “instead, cover the proposed rule with the existing regulation and statement from § 25.1322(d).” The error discussed in the preamble is the intentional act of disregarding a valid alert. This sentence is still warranted to illustrate the distinction for the appropriate application of this regulation. The example in this sentence demonstrates the flightcrew’s misinterpretation of a valid alert as being a nuisance alert (i.e., it is invalid) which may be caused by design deficiencies that lead to frequent nuisance alerts. This is one underlying design deficiency that § 25.1302 is intended to address. While this

particular example relates to nuisance alerts, there may be other design characteristics that lead flightcrew members to make other kinds of intentional errors.

No changes to the rule text were made based on these comments.

Type of Flightcrew Participation

ALPA suggested the rule promote design for active flightcrew participation, as opposed to design for passive flightcrew involvement, i.e., systems that only monitor operation. ALPA suggested keeping the flightcrew actively involved in the process of controlling all the aircraft systems, equipment, and the aircraft itself, so that they understand the situation better. Active designs would enable the flightcrew to detect failures better and intervene quicker in airplane operation.

While it may be desirable for the flightcrew to be “actively involved” with some systems, the FAA believes it is not appropriate to require “active involvement” for all systems and equipment. Such a mandated involvement may impose a significant workload on the flightcrew. However, the FAA agrees the design should enable the flightcrew to understand the situation, detect failures, and determine the need for intervention in a timely manner. Unrelated to the ALPA comment, the FAA clarified the intent of this rule for controls and information with the following change (shown in *italic*) to the rule language in this same section: “Flight deck controls must be installed to allow accomplishment of all the tasks required to safely perform the equipment’s intended function *and information must be provided* to the flightcrew that is necessary to accomplish the defined tasks.” This wording change provides clarity while remaining in harmony with the intent of the EASA CS 25.1302(a) language.

Visibility of System and Equipment Displays

ALPA commented that AC 25.1302 discusses the need for the system and equipment displays to be visible in all lighting conditions. ALPA supports this and recommends revising § 25.1302(b)(1) as follows: “Be provided in a clear and unambiguous manner at a resolution and precision appropriate to the task *in all lighting conditions and in all phases of flight* (additions in italicized text).”

The FAA generally agrees with the ALPA recommendation; however, the rule already requires a “clear and unambiguous manner at a resolution and precision appropriate to the task” in all phases of flight, which would

indicate the flightcrew would need sufficient lighting for controls and information to be clear and unambiguous. This issue is covered in AC 25.1302. No change to the rule language was made as a result of this comment.

IV. Regulatory Notices and Analyses

A. Regulatory Evaluation

Changes to Federal regulations must undergo several economic analyses. First, Executive Orders 12866 and 13563 direct that each Federal agency shall propose or adopt a regulation only upon a reasoned determination that the benefits of the intended regulation justify its costs. Second, the Regulatory Flexibility Act of 1980 (Pub. L. 96–354) requires agencies to analyze the economic impact of regulatory changes on small entities. Third, the Trade Agreements Act (Pub. L. 96–39) prohibits agencies from setting standards that create unnecessary obstacles to the foreign commerce of the United States. In developing U.S. standards, this Trade Act requires agencies to consider international standards and, where appropriate, that they be the basis of U.S. standards. Fourth, the Unfunded Mandates Reform Act of 1995 (Pub. L. 104–4) requires agencies to prepare a written assessment of the costs, benefits, and other effects of proposed or final rules that include a Federal mandate likely to result in the expenditure by State, local, or tribal governments, in the aggregate, or by the private sector, of \$100 million or more annually (adjusted for inflation with base year of 1995). This portion of the preamble summarizes the FAA’s analysis of the economic impact of the final rule.

Department of Transportation Order DOT 2100.5 prescribes policies and procedures for simplification, analysis, and review of regulations. If the expected cost impact is so minimal that a proposed or final rule does not warrant a full evaluation, this order permits that a statement to that effect and the basis for it be included in the preamble if a full regulatory evaluation of the costs and benefits is not prepared. Such a determination has been made for this final rule.

The reasoning for this determination follows similar logic used in the NPRM. The final rule, § 25.1302, addresses human factors as they apply to installed equipment on the flight deck because crew limitations and design-related errors are not currently covered by the regulations in so specific a manner. The final rule will harmonize with EASA’s CS 25.1302, which is already in effect

and for which there is no counterpart in the current CFR. This final rule will require compliance from manufacturers and modifiers of transport category aircraft. A review of current manufacturers has revealed they already meet or intend to meet the EASA standard as it exists in CS 25.1302. The compliance of manufacturers with the EASA requirements increase safety by (1) reducing the likelihood of flight crew errors and (2) enabling detection and recovery from errors that do occur, or mitigating their effects. Since the manufacturers intend to comply with the EASA requirements, there will be no additional safety benefits from compliance with this rule. And since the requirements in the final rule are identical to those in CS 25.1302, the manufacturers will incur no additional costs. We received no comments on the NPRM regarding a similar determination. Although there are no additional costs or benefits accruing to manufacturers as a result of this final rule, the rule does promote the social benefit of international cooperation between the FAA and EASA. The FAA therefore has determined that this final rule has benefits that justify the costs and does not warrant a full regulatory evaluation.

The FAA has also determined that this final rule is not a “significant regulatory action” as defined in section 3(f) of Executive Order 12866, and is not “significant” as defined in DOT’s Regulatory Policies and Procedures.

B. Regulatory Flexibility Determination

The Regulatory Flexibility Act of 1980 (Pub. L. 96–354) (RFA) establishes “as a principle of regulatory issuance that agencies shall endeavor, consistent with the objectives of the rule and of applicable statutes, to fit regulatory and informational requirements to the scale of the businesses, organizations, and governmental jurisdictions subject to regulation. To achieve this principle, agencies are required to solicit and consider flexible regulatory proposals and to explain the rationale for their actions to assure that such proposals are given serious consideration.” The RFA covers a wide-range of small entities, including small businesses, not-for-profit organizations, and small governmental jurisdictions.

Agencies must perform a review to determine whether a rule will have a significant economic impact on a substantial number of small entities. If the agency determines that it will, the agency must prepare a regulatory flexibility analysis as described in the RFA. However, if an agency determines that a rule is not expected to have a

significant economic impact on a substantial number of small entities, section 605(b) of the RFA provides that the head of the agency may so certify and a regulatory flexibility analysis is not required. The certification must include a statement providing the factual basis for this determination, and the reasoning should be clear.

The FAA believes that this final rule will not have a significant impact on a substantial number of small entities for the following reason: As noted above, this final rule will not entail additional costs to manufacturers as they are already in compliance or intend to fully comply with the EASA standard. We received no comments from small entities on the same determination made in the NPRM. Therefore as the FAA Administrator, I certify that this final rule will not have a significant economic impact on a substantial number of small entities.

C. International Trade Impact Assessment

The Trade Agreements Act of 1979 (Pub. L. 96–39), as amended by the Uruguay Round Agreements Act (Pub. L. 103–465), prohibits Federal agencies from establishing standards or engaging in related activities that create unnecessary obstacles to the foreign commerce of the United States. Pursuant to these Acts, the establishment of standards is not considered an unnecessary obstacle to the foreign commerce of the United States, so long as the standard has a legitimate domestic objective, such as the protection of safety, and does not operate in a manner that excludes imports that meet this objective. The statute also requires consideration of international standards and, where appropriate, that they be the basis for U.S. standards. The FAA has assessed the potential effect of this final rule and determined that it will promote international trade by harmonizing with corresponding European Aviation Safety Agency (EASA) regulations.

D. Unfunded Mandates Assessment

Title II of the Unfunded Mandates Reform Act of 1995 (Pub. L. 104–4) requires each Federal agency to prepare a written statement assessing the effects of any Federal mandate in a proposed or final agency rule that may result in an expenditure of \$100 million or more (adjusted annually for inflation with the base year 1995) in any one year by State, local, and tribal governments, in the aggregate, or by the private sector; such a mandate is deemed to be a “significant regulatory action.” The FAA currently

uses an inflation-adjusted value of \$143.1 million.

This final rule does not contain such a mandate. The requirements of Title II do not apply.

E. Paperwork Reduction Act

The Paperwork Reduction Act of 1995 (44 U.S.C. 3507(d)) requires that the FAA consider the impact of paperwork and other information collection burdens imposed on the public. The FAA has determined that there is no new requirement for information collection associated with this final rule. To the extent you may have comments on the information collection burdens associated with the aircraft certification application process, please direct those comments to the information collection associated with OMB Control Number 2120–0018.

International Compatibility

In keeping with U.S. obligations under the Convention on International Civil Aviation, it is FAA policy to conform its regulations to International Civil Aviation Organization (ICAO) Standards and Recommended Practices to the maximum extent practicable. The FAA has reviewed the corresponding ICAO Standards and found no ICAO standards comparable to § 25.1302.

F. Environmental Analysis

FAA Order 1050.1E identifies FAA actions that are categorically excluded from preparation of an environmental assessment or environmental impact statement under the National Environmental Policy Act in the absence of extraordinary circumstances. The FAA has determined this rulemaking action qualifies for the categorical exclusion identified in FAA Order 1050.1E, Chapter 3, Paragraph 312d and involves no extraordinary circumstances.

G. Regulations Affecting Intrastate Aviation in Alaska

Section 1205 of the FAA Reauthorization Act of 1996 (110 Stat. 3213) requires the FAA, when modifying its regulations in a manner affecting intrastate aviation in Alaska, to consider the extent to which Alaska is not served by transportation modes other than aviation and to establish appropriate regulatory distinctions. In the NPRM, the FAA requested comments on whether the final rule should apply differently to intrastate operations in Alaska. The agency did not receive any comments and has determined, based on the administrative record of this rulemaking, that there is no need to make any regulatory

distinctions applicable to intrastate aviation in Alaska.

V. Executive Order Determinations

A. Executive Order 13132, Federalism

The FAA has analyzed this final rule under the principles and criteria of Executive Order 13132, Federalism. The agency determined that this action will not have a substantial direct effect on the States, or the relationship between the Federal Government and the States, or on the distribution of power and responsibilities among the various levels of government, and, therefore, does not have Federalism implications.

B. Executive Order 13211, Regulations That Significantly Affect Energy Supply, Distribution, or Use

The FAA analyzed this final rule under Executive Order 13211, Actions Concerning Regulations that Significantly Affect Energy Supply, Distribution, or Use (May 18, 2001). The agency has determined that it is not a “significant energy action” under the executive order and it is not likely to have a significant adverse effect on the supply, distribution, or use of energy.

C. Executive Order 13563, Improving Regulation and Regulatory Review

The FAA analyzed this final rule under the principles and criteria of Executive Order 13563, Improving Regulation and Regulatory Review. The agency determined that this rule is adopted under public participation with an open exchange of all stakeholders. The rule is tailored to impose the least burden on society while obtaining regulatory objectives. It is a carefully written rule which harmonizes with the existing EASA rule and minimizes the cumulative effects of new and existing rules in human factors.

D. Executive Order 13609, Promoting International Regulatory Cooperation

Executive Order (EO) 13609, Promoting International Regulatory Cooperation, (77 FR 26413, May 4, 2012) promotes international regulatory cooperation to meet shared challenges involving health, safety, labor, security, environmental, and other issues and reduce, eliminate, or prevent unnecessary differences in regulatory requirements. The FAA has analyzed this action under the policy and agency responsibilities of Executive Order 13609, Promoting International Regulatory Cooperation. The agency has determined that this action would eliminate differences between U.S. aviation standards and those of other civil aviation authorities by harmonizing EASA CS 25.1302 with

this new rule. Transport Canada will also harmonize with this new rule after it is issued.

VI. How To Obtain Additional Information

A. Rulemaking Documents

An electronic copy of a rulemaking document may be obtained by using the Internet—

1. Search the Federal eRulemaking Portal (<http://www.regulations.gov>);
2. Visit the FAA's Regulations and Policies Web page at http://www.faa.gov/regulations_policies/ or
3. Access the Government Printing Office's Web page at <http://www.gpo.gov/fdsys/>.

Copies may also be obtained by sending a request (identified by notice, amendment, or docket number of this rulemaking) to the Federal Aviation Administration, Office of Rulemaking, ARM-1, 800 Independence Avenue SW., Washington, DC 20591, or by calling (202) 267-9680.

B. Comments Submitted to the Docket

Comments received may be viewed by going to <http://www.regulations.gov> and following the online instructions to search the docket number for this action. Anyone is able to search the electronic form of all comments received into any of the FAA's dockets by the name of the individual submitting the comment (or signing the comment, if submitted on behalf of an association, business, labor union, etc.).

C. Small Business Regulatory Enforcement Fairness Act

The Small Business Regulatory Enforcement Fairness Act (SBREFA) of 1996 requires FAA to comply with small entity requests for information or advice about compliance with statutes and regulations within its jurisdiction. A small entity with questions regarding this document, may contact its local FAA official, or the person listed under the **FOR FURTHER INFORMATION CONTACT** heading at the beginning of the preamble. To find out more about SBREFA on the Internet, visit http://www.faa.gov/regulations_policies/rulemaking/sbre_act/.

List of Subjects in 14 CFR Part 25

Aircraft, Aviation safety, Human factors, Reporting and recordkeeping requirements, Safety, Transportation.

The Amendment

In consideration of the foregoing, the Federal Aviation Administration amends part 25 of Title 14, Code of Federal Regulations, as follows:

PART 25—AIRWORTHINESS STANDARDS: TRANSPORT CATEGORY AIRPLANES

- 1. The authority citation for part 25 continues to read as follows:

Authority: 49 U.S.C. 106(g), 40113, 44701, 44702 and 44704

- 2. Add § 25.1302 to subpart F to read as follows:

§ 25.1302 Installed systems and equipment for use by the flightcrew.

This section applies to installed systems and equipment intended for flightcrew members' use in operating the airplane from their normally seated positions on the flight deck. The applicant must show that these systems and installed equipment, individually and in combination with other such systems and equipment, are designed so that qualified flightcrew members trained in their use can safely perform all of the tasks associated with the systems' and equipment's intended functions. Such installed equipment and systems must meet the following requirements:

(a) Flight deck controls must be installed to allow accomplishment of all the tasks required to safely perform the equipment's intended function, and information must be provided to the flightcrew that is necessary to accomplish the defined tasks.

(b) Flight deck controls and information intended for the flightcrew's use must:

- (1) Be provided in a clear and unambiguous manner at a resolution and precision appropriate to the task;
- (2) Be accessible and usable by the flightcrew in a manner consistent with the urgency, frequency, and duration of their tasks; and

(3) Enable flightcrew awareness, if awareness is required for safe operation, of the effects on the airplane or systems resulting from flightcrew actions.

(c) Operationally-relevant behavior of the installed equipment must be:

- (1) Predictable and unambiguous; and
- (2) Designed to enable the flightcrew to intervene in a manner appropriate to the task.

(d) To the extent practicable, installed equipment must incorporate means to enable the flightcrew to manage errors resulting from the kinds of flightcrew interactions with the equipment that can be reasonably expected in service. This paragraph does not apply to any of the following:

- (1) Skill-related errors associated with manual control of the airplane;
- (2) Errors that result from decisions, actions, or omissions committed with malicious intent;

(3) Errors arising from a crewmember's reckless decisions, actions, or omissions reflecting a substantial disregard for safety; and

(4) Errors resulting from acts or threats of violence, including actions taken under duress.

Issued in Washington, DC, on April 22, 2013.

Michael P. Huerta,
Administrator.

[FR Doc. 2013-10554 Filed 5-2-13; 8:45 am]

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DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

14 CFR Part 25

[Docket No. FAA-2013-0374; Special Conditions No. 25-488-SC]

Special Conditions: Airbus, Model A340-600 Series Airplanes; Lower Deck Crew Rest Compartments

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final special condition; request for comments.

SUMMARY: These special conditions are issued for the Airbus Model A340 series airplanes. These airplanes, as modified by Flight Structures, Inc., will have a novel or unusual design feature associated with the installation of lower deck crew rest (LDCR) compartments. The LDCR compartment is novel in terms of part 25 in that it will be located under the passenger cabin floor in the aft cargo compartment of Airbus Model A340-200 series airplanes. The applicable airworthiness regulations do not contain adequate or appropriate safety standards for this design feature. These special conditions contain the additional safety standards that the Administrator considers necessary to establish a level of safety equivalent to that established by the existing airworthiness standards.

DATES: The effective date of these special conditions is April 29, 2013. We must receive your comments by June 17, 2013.

ADDRESSES: Send comments identified by docket number FAA-2013-0374 using any of the following methods:

- **Federal eRegulations Portal:** Go to <http://www.regulations.gov/> and follow the online instructions for sending your comments electronically.

Mail: Send comments to Docket Operations, M-30, U.S. Department of Transportation (DOT), 1200 New Jersey