U.S. DEPARTMENT OF TRANSPORTATION FEDERAL AVIATION ADMINISTRATION

CERTIFICATE OF WAIVER

ISSUED TO

University of North Dakota Responsible Person: Paul Snyder Waiver Number: 107W-2024-04032

ADDRESS -

3980 Campus Rd Stop 9007 Grand Forks, ND 58202

This certificate is issued for the operations specifically described hereinafter. No person shall conduct any operation pursuant to the authority of this certificate except in accordance with the provisions contained in this certificate.

OPERATIONS AUTHORIZED

Small unmanned aircraft system (sUAS) operations beyond the visual line of sight of the remote pilot in command (PIC); Small unmanned aircraft system (sUAS) operations in which a participating Visual Observer (VO) is not able to see the unmanned aircraft; sUAS operations higher than 400 feet above ground level, when not within a 400 foot radius of a structure.

LIST OF WAIVED REGULATIONS BY SECTION AND TITLE

14 CFR §§ 107.31—Visual line of sight aircraft operation, 107.33(b) & (c)(2)—Visual observer, and 107.51(b)—Operating limitations for small unmanned aircraft - Altitude

STANDARD PROVISIONS

- 1. A copy of the application made for this certificate shall be attached to and become a part hereof.
- 2. This certificate shall be presented for inspection upon the request of any authorized representative of the Administrator of the Federal Aviation Administration, or of any State or municipal official charged with the duty of enforcing local laws or regulations.
- 3. The holder of this certificate shall be responsible for the strict observance of the terms and provisions contained herein.
- 4. This certificate is nontransferable.

NOTE—This certificate constitutes a waiver of those Federal rules or regulations specifically referred to above. It does not constitute a waiver of any State law or local ordinance.

SPECIAL PROVISIONS

Special Provisions Nos. 1 to 25, inclusive, are set forth on the attached pages.

This Certificate of Waiver is effective from **January 10, 2025, to January 31, 2027**, and is subject to cancellation at any time upon notice by the Administrator or an authorized representative.

BY DIRECTION OF THE ADMINISTRATOR

FAA, Central Service Area (Region)

Dallas W. Lantz (Signature)

<u>Tactical Operations Team Manager (A) (AJV-C23)</u>
(Title)

SPECIAL PROVISIONS ISSUED TO

University of North Dakota

General.

The FAA's Flight Standards Service has reviewed your application to ensure compliance with the requirements of 14 CFR § 107.200 and § 107.205. Pursuant to these authorities, the Administrator finds that the proposed small unmanned aircraft (sUA) operation can be conducted safely under the provisions of this Certificate of Waiver (Waiver) as listed below because you have established adequate mitigations for risks involved with operating your sUA in the manner you described. Adherence to the provisions of this Waiver establishes the required level of safety within the national airspace system.

The Administrator may cancel this Waiver at any time. As a general rule, this Waiver may be canceled when it is no longer required, there is an abuse of its provisions, or when unforeseen safety factors develop. Failure to comply with any provision listed below is a violation of the terms of this Waiver and will serve as justification for cancellation.

List of Regulations Waived by Section and Title. The following regulations are waived:

14 CFR § 107.31, Visual line of sight aircraft operation, is waived to allow operation of the small unmanned aircraft (sUA) beyond the direct visual line of sight of the remote pilot in command (PIC);

14 CFR § 107.33(b) & (c)(2), Visual observer, is waived to the extent necessary to allow operation of the small unmanned aircraft (sUA) when any Visual Observer (VO) who is participating in the operation may not be able see the unmanned aircraft in the manner specified in § 107.31;

14 CFR § 107.51(b), Operating limitations for small unmanned aircraft - Altitude, is waived to allow sUAS operations higher than 400 feet above ground level (AGL), not to exceed 1100 feet AGL.

No part of this waiver will function as an airspace authorization under 14 CFR § 107.41. The FAA's Air Traffic Organization responds directly to requests for such authorizations.

Common Special Provisions. The Responsible Person is directly responsible for safety of operations conducted under this Waiver and will ensure the Remote Pilot in Command (remote PIC), manipulator of the controls, and visual observer(s) (VO)¹ comply with all provisions of this Waiver.

- 1. The Responsible Person listed on the Waiver is responsible to the FAA for the safe conduct of the operations. Prior to conducting operations that are the subject of this Waiver, the Responsible Person:
 - a. Must ensure the remote PIC, manipulators of the controls, and VO(s) are informed of the terms and provisions of this Waiver and strictly observe the terms and provisions herein;
 - b. Must ensure the remote PIC, manipulators of the controls, and VO(s) are informed and familiar with part 107 regulations; and

¹ Title 14 CFR § 107.3 defines the term "visual observer." Any VO participating in operations conducted under this Waiver must meet the requirements listed in § 107.33 throughout the duration of flight operations.

- c. Evidence of the above (a and b) must be documented and must be presented for inspection upon request from the Administrator or an authorized representative;
- 2. This Waiver may not be combined with any other waiver(s), authorizations(s), or exemption(s) without specific authorization from the FAA;
- 3. The FAA has the authority to cancel or delay any or all flight operations if the safety of persons or property on the ground or in the air are in jeopardy or there is a violation of the terms of this Waiver;
- 4. A copy of this Waiver must be accessible and available to the remote PIC at the ground control station during sUA operations that are the subject of this Waiver;
- 5. The Responsible Person listed on this Waiver must maintain a current list of pilots by name and remote pilot certificate number used in operations under this Waiver. This list must be presented for inspection upon request from the Administrator or an authorized representative;
- 6. The Responsible Person listed on this Waiver must maintain a current list of sUA by registration number(s) used in operations under this Waiver. This list must be presented for inspection upon request from the Administrator or an authorized representative;
- 7. For the purposes of this Waiver, direct participants are the remote PICs, persons manipulating the controls, VOs, and any persons whose involvement is necessary for safety of the sUA operation. All other persons are considered non-participants;
- 8. If a discrepancy exists between the provisions in this Waiver and the waiver application, the Waiver takes precedence and must be followed;

Waiver Specific Special Provisions. The remote PIC and Visual Observer (VO) may conduct sUAS operations at altitudes above 400 feet above ground level not to exceed 1100 feet AGL, without the ability to see the unmanned aircraft throughout the entire flight, provided:

- 9. Visual Observers: All operations conducted under this Waiver must use one or more VO as described in the waiver application:
 - All VOs must receive documented training for their duties and responsibilities to include;
 - 1) Scanning of the Operational Volume for Air and Ground based intruders;
 - 2) Communications procedures and phraseology;
 - 3) Overall operations conducted under this Waiver;
 - b. Sufficient VO(s), defined as the minimum number of VO(s) required to continuously observe at least a 2 statute mile radius of airspace surrounding the sUA in flight, are;
 - 1) Used to identify any non-participating aircraft prior to their entry into the planned operational area;
 - 2) Physically located such that the remote PIC receives sufficient notice to ensure the UA remains well clear of all other aircraft;
 - 3) Physically located to notify the remote PIC of any moving vehicle or human presence that may pose a hazard to the operation;
 - c. Communication between the remote PIC and VO must occur to facilitate, when necessary, for the remote PIC taking action to maneuver the sUA with sufficient time to:
 - 1) Give way to all other aircraft in accordance with § 107.37;
 - 2) React to any unforeseen operational or mechanical failure without creating a hazard to other people, other aircraft, or property in the event of a loss of control of the sUA; and
 - 3) Maintain compliance with this Waiver and un-waived provisions of part 107;

- d. If communication between the VO and the remote PIC will occur by electronic device:
 - 1) The device must be continuous full-duplex;
 - 2) The remote PIC must be able to use the device hands-free; and
 - 3) There must be a reliable back-up communication method;
- 10. NOTAM: The Responsible Person must file a Notice to Air Mission (NOTAM) no more than 72 hours and no less than 24 hours prior to operating under this waiver. A NOTAM can be filed by calling 1-877-487-6867 (1-877-4-US-NTMS). The Responsible Person must verify the NOTAM has been issued prior to conducting waivered operations;
- 11. Prior to sUA operations that are subject to this Waiver, the remote PIC must ensure that the flight controller for each aircraft, geo-fence systems and the associated DLS flight management system operate as described in the waiver application;
- 12. The remote PIC (RPIC) and Electronic Observer (EO) must be co-located in the same operations center which must be located within the continental United States;
- 13. Anti-Collision Lighting: The sUA must be equipped with anti-collision lighting to increase the conspicuity of the sUA to 1 statute mile for daytime operations and 3 statute miles for civil twilight and/or night operations;
- 14. ADS-B out (1090/978 MHz) may not be transmitted from the sUAS when operating pursuant to this Waiver. An ADS-B in Receiver must be operational and monitored during all operations pursuant to this Waiver;
- 15. All emitters used in sUAS must be compliant with all applicable FCC regulations provisions of the FCC authorization granted for the emitter. A FCC Experimental authorization may not be used for sUAS operations under this Waiver;
- 16. The Detect and Avoid Systems specified in the Waiver application must be active and under observation by the remote PIC or Electronic Observer, as applicable during all operations as described in the Waiver application;
- 17. The remote PIC (RPIC) must ensure:
 - a. Prior to conducting operations under this Waiver, the RPIC must perform a documented site survey to:
 - 1) Identify flight operational area obstacles and boundaries so as to avoid collision with, or damage to property;
 - 2) Validate C2 signal strength is sufficient for control through the entire route;
 - 3) Validate suitable launch/recovery site(s); and
 - 4) Complete and document a Flight Risk Assessment;
 - b. Routes are preplanned (using waypoints) prior to flight and aircraft are programmed to automatically follow the route. Manual flights are allowed as necessary;
 - c. Geofencing is used to confine the Operational Volume. (defined as the property lines of the assets or perimeter boundaries of the controlled access area or right of way specified in the Waiver application + maximum allowed altitude);
 - d. Return to Home/Return to Land feature must not allow the sUA to deviate from the defined operational volume;

- e. Launch or recovery areas are pre-designated and monitored to keep any human being who is not directly participating in the operation out of the areas prior to, during, and immediately following flight operations;
- f. Individuals directly participating in the operation of the sUAS must be easily identifiable visually (e.g., apparel, safety vests); and
- g. Non-participant Company personnel inside the operational area are notified of sUA operations;

18. The Responsible Person must ensure:

- a. A copy of the Waiver application and Waiver is available to the remote PIC and all other direct participants prior to and during sUAS operations that are the subject of this Waiver;
- b. A copy of the current operations manual required by this Waiver is available to the remote PIC and all other direct participants prior to and during sUAS operations that are the subject of this Waiver;
- c. All operations conducted under this Waiver follow the procedures outlined in the current operations manual. If a discrepancy exists between the provisions in this Waiver and the procedures outlined in the operations manual, the provisions of this Waiver take precedence and must be followed;
- d. A documented notification from the operator(s) of the sUA to any airport, heliport, known agricultural aerial application operation or aerial military installation within a 3 mile radius of the operating area; and
- e. Each remote PIC who will conduct operations under this Waiver is trained in a manner that addresses the items listed in § 107.49(a) and in a manner that is consistent with how the sUAS will be operated under this Waiver. All training and demonstration for all remote PICs and VO(s) must be documented and made available upon request by the Administrator or an authorized representative. Training operations may only be conducted under the standard requirements of part 107 (without waiver).

The training must include:

- 1) sUA limitations;
- 2) sUA programming;
- 3) sUA operational procedures;
- 4) Abnormal procedures;
- 5) Air traffic avoidance procedures;
- 6) Crew Resource Management;
- 7) sUA flight training; and
- 8) Demonstration of sUA ground and flight skills;
- 19. Lost Link Procedures: If the remote PIC loses command or control link with the sUA, the sUA must follow a predetermined route to immediately reestablish command and control link. If command and control link is not immediately reestablished and the remote PIC no longer has the ability to direct the sUAS to ensure compliance with applicable provisions of part 107, the sUA must follow the loss of command and control procedure as described in the waiver application;
- 20. As described in the waiver application, when operating within 5 statute miles of any airport, airport management must be notified prior to the sUAS operation, a method of radio or telephone communication with airport management must be established, and the remote PIC or VO must monitor the airport's common traffic advisory frequency;

- 21. Operations conducted under this Waiver may only occur with the make and model sUAS described in the waiver application. Proposed operations of any other manufacturer, make or model of sUAS will require a new waiver application or a request to amend this Waiver;
- 22. ADS-B out (1090/978 MHz) may not be transmitted from the sUAS when operating pursuant to this Waiver. An ADS-B in Receiver must be operational and monitored during all operations pursuant to this Waiver:
- 23. Ground Control Station, Control and Communications (C2) System:
 - a. Telemetry: The sUAS ground control station must display in real-time the sUA altitude, position, direction of flight, ground speed, C2 signal strength, battery condition, and sUA distance from the control station as described in the Waiver application;
 - b. Alerting: The remote PIC must ensure the GCS is configured to audibly and/or visually alert the remote PIC of degraded system performance, geofence loss of containment, loss of Control link with the sUA, and intrusion alerts as described in the Waiver application;
 - c. Emergency Profiles: The remote PIC must ensure the GCS is configured for automated emergency actions (e.g. RTL/RTH, altitude descend, position hold etc.) as described in the Waiver application; and
 - d. Sensors: the remote PIC must ensure any installed collision/obstacle avoidance sensors are operational;
- 24. All emitters used in sUAS must be compliant with all applicable FCC regulations provisions of the FCC authorization granted for the emitter. A FCC Experimental authorization may not be used for sUAS operations under this Waiver; and

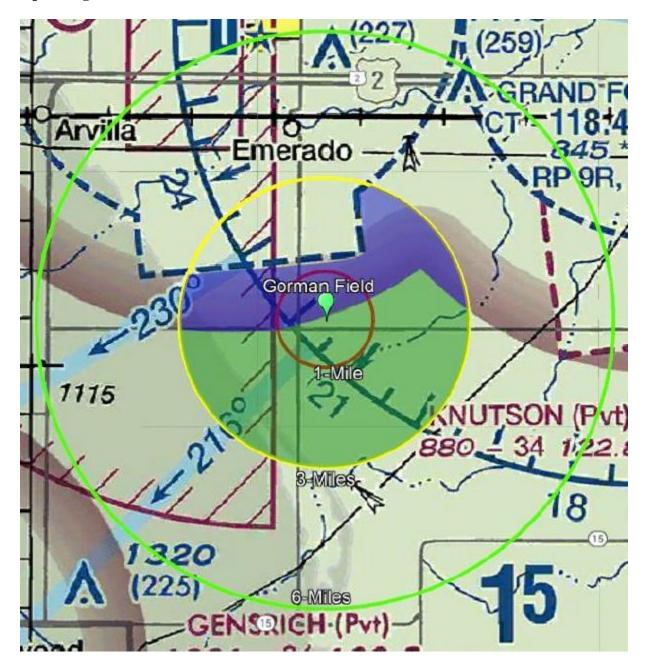
OPERATING AREA AND AIR TRAFFIC CONTROL REQUIREMENTS

25. Operations Area: Operations conducted under this Waiver are limited to Class G airspace in the vicinity of Grand Forks AFB under the jurisdiction of Grand Forks (RDR) Air Traffic Control Tower/Radar Approach Control as defined and depicted in the Attachments.

Operating Area: Class G airspace only in the vicinity of Grand Forks AFB as defined and depicted below.

47.8485N, 97.3485W 3NM Radius, excluding Class D and E airspace

Operating Altitude: At or below 1,100 feet MSL*



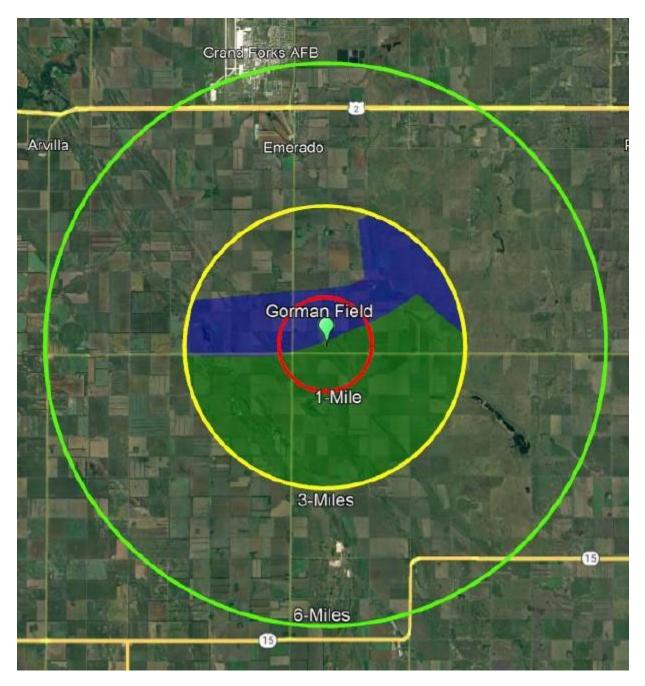
^{*}Green Shaded Area – At or Below 1,100 feet AGL

^{*}Blue Shaded Area – At or Below 600 feet AGL

Operating Area: Class G airspace only in the vicinity of Grand Forks AFB as defined and depicted below.

47.8485N, 97.3485W 3NM Radius, excluding Class D and E airspace

Operating Altitude: At or below 1,100 feet MSL*



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