

November 3, 2023

The Honorable Mike Johnson Speaker of the House of Representatives Washington, DC 20515

Dear Mr. Speaker:

Enclosed is the Federal Aviation Administration (FAA) Report to Congress on the Airport Improvement Program (AIP) for Fiscal Years 2020 and 2021.

As required by 49 United States Code (U.S.C.) § 47131, this report provides information about the AIP and the Airport Land Use Compliance Program. This report also satisfies the requirement in Section 167 (b) of the FAA Reauthorization Act of 2018 (Public Law 115-254) that the Secretary of Transportation submit to the appropriate committees of Congress a report on Buy America waivers issued under 49 U.S.C. § 50101 during the fiscal year.

The purpose of the AIP is to assist in airport development to meet the Nation's current and future aviation needs while optimizing safety, capacity, and efficiency. This report summarizes federal investment in airport infrastructure during the reporting period.

A similar letter has been sent to the President of the Senate.

Sincerely,

Michael G. Whitaker

Mobile

Administrator

Enclosure



November 3, 2023

The Honorable Kamala D. Harris President of the Senate Washington, DC 20510

Dear Madam Vice President:

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Cover Photograph

Background Photo 1: The Atqasuk Airport in Alaska pre-construction

Background Photo 2: Interior of Hangar 665 after expansion was completed to store larger next

generation regional aircraft at Sawyer International Airport in Michigan

Background Photo 3: Ongoing construction of a terminal expansion project at the Scott Air Force

Base/MidAmerica Airport in Illinois

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Executive Summary

The Secretary of Transportation submits this Federal Aviation Administration (FAA) Report to Congress on the Airport Improvement Program (AIP) for Fiscal Years (FYs) 2020 and 2021 in accordance with Title 49 of the United States Code (49 U.S.C.) § 47131. This report covers activities carried out under this subchapter as mandated by Congress for the fiscal years ending September 30, 2020, and September 30, 2021. For FY 2020, \$3.3 billion in AIP grants were available, and \$3.2 billion in AIP grants were available in FY 2021. In addition, on February 15, 2019, the President signed Public Law (Pub. L.) 116-6, Consolidated Appropriations Act, 2019, which included a supplemental amount of \$500 million for discretionary grants under the AIP statute, 49 U.S.C. Chapters 471 and 475. In FY 2020, the Consolidated Appropriations Act of 2020 (Public Law 116-94) provided an additional \$400 million for supplemental discretionary program under the AIP. In FY 2021, the Consolidated Appropriations Act of 2021 (Pub. L. 116-260) provided an additional \$400 million for supplemental discretionary program under the AIP. The FAA Reauthorization Act of 2018, Pub. L. 115-254, included numerous changes to statutes and other authorizing laws governing the operations and administration of multiple programs under the Office of Airports (ARP). The 2018 Act:

- → Amended and expanded airport types and eligibility;
- **\rightarrow** Extended, expanded, or modified existing programs;
- → Enhanced and clarified airport zero-emissions vehicle (ZEV) programs, the voluntary airport low emissions (VALE) program, and airport energy efficiency programs;
- Clarified environmental and noise programs under 49 U.S.C. Chapter 471 (the primary statutory provision governing the AIP); and
- Required an additional reporting requirement for Buy American waivers issued for products reimbursed by AIP.

Separate from AIP funding, Congress passed the Coronavirus Aid, Relief, and Economic Security (CARES) Act (Pub. L. 116-136) on March 27, 2020. The CARES Act provided \$10 billion in funding directly from the U.S. Treasury's General Fund to prevent, prepare for, and respond to the impacts of the COVID-19 pandemic.

In further response to the COVID-19 pandemic, two subsequent acts were passed by the U.S. Congress in FY 2021: The Coronavirus Response and Relief Supplemental Appropriation (CRRSA) Act (Pub. L.116-260) and the American Rescue Plan Act of 2021 (ARPA) (Pub. L 117-2). On December 27, 2020, the President signed into law the CRRSA Act, which provided \$2 billion in funds to be awarded as economic relief to eligible U.S. airports and eligible concessions at those airports to prevent, prepare for, and respond to the COVID-19 pandemic. The following spring, on March 11, 2021, the President signed into law the ARP Act, which provided an additional \$8 billion to airports for the same purpose. Section 2.4 of this report provides additional information on the FAA's administration of funding under the CARES and CRRSA acts and ARPA. This report also satisfies the requirement in Section 167 (b) of the FAA Reauthorization Act of 2018 (Pub. L. 115-254), which requires the Secretary of Transportation to submit to the appropriate committees of Congress a report on Buy American waivers issued under 49 U.S.C. § 50101 during the fiscal year.

From the AIP program's inception, AIP grants have been critical to improving the safety, capacity, and efficiency of United States airport infrastructure. The FAA works closely with more than 3,300 individual airports, related aviation organizations, and state agencies to develop critical airport projects ranging from runways, taxiways, and aprons to lighting, drainage systems, and emergency response equipment. The FAA awards AIP funds to help ensure there is a safe and reliable system of airports to support the needs of the traveling public, commercial operators (such as airlines, cargo carriers, and charter operators), and other aeronautical functions (such as flight training, business/corporate aviation, and agricultural support). U.S. airports also support basic community needs (such as emergency medical services, disaster response, and law enforcement), among many other key functions.

The U.S. system of airports, defined by the FAA's National Plan of Integrated Airport Systems (NPIAS)¹, is a critical part of the national network of transportation systems and provides 99 percent of the U.S. population with access to air transportation. Airports in the NPIAS account for approximately 900 million annual passenger enplanements.² These airports are part of a global aviation industry that supported approximately 5 million American jobs and supports more than 2.3 percent of the United States annual gross domestic product in 2020.³

The statute that authorizes the AIP defines funding formulas and set-asides that shape and guide the overall administration of the AIP. The FAA, in partnership with airport sponsors, state agencies, and local aviation organizations, refers to these formulas and set-asides when making decisions affecting the distribution of the AIP's discretionary and entitlement funds. Additionally, the FAA coordinates the release of available AIP entitlement funds to support timely project execution. Projects identified to receive AIP funds are scrutinized carefully to ensure that they are eligible and justified for AIP funding based on established FAA priorities intended to enhance safety, improve security, satisfy aeronautical demand, and address environmental concerns. ARP administers congressionally established set-aside funding to:

- → Enhance system capacity;
- > Reduce aircraft operational delays;
- → Meet forecasted aviation demand;
- → Develop reliever airports;
- → Minimize environmental impacts on nearby communities;
- → Convert former military bases to civilian use; and
- → Implement a variety of other provisions to ensure a safe and efficient airport system.

The FAA implements policies to give the highest priority to projects that enhance the goals of safety, security, capacity, and access to the U.S. airport system identified by the NPIAS or that advance other major policy objectives as directed by Congress. By addressing the highest priority projects, the FAA ensures that current airport infrastructure needs are met, including increasing the capacity of facilities to accommodate growing passenger and cargo demand.

¹ The latest edition of the NPIAS report may be accessed on the Web at: http://www.faa.gov/airports/planning_capacity/npias/.

² Additional information on the impact of air transportation is available in the NPIAS: https://www.faa.gov/sites/faa.gov/files/airports/planning_capacity/npias/current/NPIAS-2021-2025-Narrative.pdf

³ The report on the Economic Impact of U.S. Civil Aviation is available here: https://www.faa.gov/sites/faa.gov/files/2022-08/2022-APL-038%202022 economic%20impact report.pdf

To achieve these priorities, the FAA uses a national priority rating system that considers appropriation levels and the assignment of a numerical score based on the project type. The FAA uses the numerical project score ranking, along with other selection criteria, in the development of the national Airports Capital Improvement Plan (ACIP). The ACIP provides a selection process for distributing AIP funds to projects with the greatest potential for improving the national system of airports.

For additional information regarding specific aspects of the program not included in this report, please visit the FAA's AIP webpage.⁴ The AIP webpage contains links to further details, including information on program policies and procedures, statistics and data, and the following special programs:

- → The ACIP process, including project development steps;
- The environmental set-asides designed to provide federal assistance to communities surrounding airports for noise mitigation, sound insulation, and ZEV and VALE programs.
- The Military Airport Program (MAP) set-aside designed to provide federal assistance to former military airports converting to civilian use;
- → Letters of Intent (LOI), which are designed to help fund large-scale capacity projects at primary or reliever airports;
- → Yearly totals for AIP grant funding authorizations, obligation limitations, and obligations since 1982;
- → AIP totals to date for apportioned and discretionary funds; and
- → AIP totals by development, planning, and funding types.

The AIP webpage also contains links to other relevant program information not referenced in this report. This information includes, but is not limited to:

- → Program history, glossary, and background;
- → Planning processes and AIP grant assurances;
- → Environmental responsibilities tied to the execution of AIP grants or projects;
- → Pilot programs or other limited-duration programs, such as special funding initiatives;
- → Relationship of the AIP to the Passenger Facility Charge (PFC) Program;
- → LOI payments and commitments listed by release date;
- Fiscal Year AIP grants awarded and grant amounts by airport type and state; and
- → Competition plan requirements.

⁴ More information regarding the AIP may be found online at: http://www.faa.gov/airports/aip/.

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Chapter 1: Summary of Airport Development and Planning

he Airport and Airway Development Act of 1970 (Pub. L. 91-258), as amended, established the Airport and Airway Trust Fund (Trust Fund) and authorized the use of Trust Fund assets to issue grants under the AIP on a fiscal year basis. Trust Fund revenues are accrued primarily from passenger ticket taxes and aviation fuel taxes.

This chapter summarizes AIP financial commitments for FY 2020 in Table 1 and for FY 2021 in Table 2. This table highlights information related to the actual number of grants awarded by airport type. For more information on individual grants awarded during this period, please refer to the FAA's AIP webpage. The AIP webpage provides grant summaries for all airports included in the NPIAS and a searchable individual grant history database by region, state, and location. The information includes grant amounts (entitlement and discretionary) and brief descriptions of projects for each grant awarded during the reporting period.

Table 1. AIP Funding Distribution Summary of New Grants in FY 2020⁶

Airport Category	Number of Grants Awarded	Percent of Total Grants (%)	Amounts for New Grants (\$ millions)	Percent of Total Amounts (%)
Large Airports				
Primary Large Hub	68	3.54	556.13	16.60
Primary Medium Hub	66	3.44	255.84	7.64
Grants to Large Airports Subtotal	134	6.98	811.97	24.24
Small Airports				
Primary Small Hub	114	5.94	560.59	16.73
Primary Nonhub	337	17.56	830.1	24.78
Nonprimary Commercial Service	61	3.18	84.75	2.53
Reliever	91	4.74	150.19	4.48
Other General Aviation	892	46.48	575.26	17.17
State Block Grant Program (SBGP) and Other State-Sponsored Locations	101	5.26	276.99	8.27
Grants to Small Airports Subtotal	1,596	83.17	2,477.87	73.96
Airport System Planning				
Planning Agencies and Other State- Sponsored Locations	189	9.85	60.49	1.81
System Planning Grants Subtotal	189	9.85	60.49	1.81
Total	1,919	100	3,350	100

⁵ AIP grant histories are available online at: http://www.faa.gov/airports/aip/grant histories/.

⁶ Subtotals and totals may not add exactly due to rounding.

Table 2. AIP Funding Distribution Summary of New Grants in FY 2021⁷

Airport Category	Number of Grants Awarded	Percent of Total Grants (%)	Amounts for New Grants (\$ millions)	Percent of Total Amounts (%)
Large Airports				
Primary Large Hub	48	2.85	447	14.3
Primary Medium Hub	53	3.15	228	7.3
Grants to Large Airports Subtotal	101	5.99	675	21.6
Small Airports				
Primary Small Hub	110	6.53	435	13.9
Primary Nonhub	296	17.57	723	23.1
Nonprimary Commercial Service	51	3.03	110	3.5
Reliever	101	5.99	172	5.5
Other General Aviation	933	55.37	700	22.4
State Block Grant Program (SBGP) and Other State-Sponsored Locations	74	4.39	266	8.5
Grants to Small Airports Subtotal	1,565	92.88	2,406	76.9
Airport System Planning				
Planning Agencies and Other State- Sponsored Locations	3	1.13	47.9	1.5
System Planning Grants Subtotal	3	1.1	47.9	1.5
Total	1,669	100	3,129	100

The following table, Table 3, includes the funding breakdown by project type for all new AIP grant awards made during FYs 2020 and 2021. The data reflected in Table 3 refers to new grant obligations, which include current-year funding, re-obligated funds recovered from a prior year, and protected entitlements (entitlements declared unused during the prior year and made available under the current year).

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⁷ Subtotals and totals may not add exactly due to rounding.

Table 3. FYs 2019, 2020, and 2021 Project Types Receiving AIP Funds⁸

Project Type	FY 2019 Total Awarded (\$)	FY 2020 Total Awarded (\$)	FY 2021 Total Awarded (\$)	FY 2019 Percent (%) of Total Awarded ⁹	FY 2020 Percent (%) of Total Awarded	FY 2021 Percent (%) of Total Awarded
Apron	\$394,547,136	\$443,019,602.07	\$338,377,923	11.87	13.56	10.81
Airport Rescue and Fire Fighting	60,629,120	56,544,764	62,077,765	1.82	1.73	1.98
Conduct Noise Compatibility Plan Study	3,798,596	-	-	0.11	-	
Equipment	6,339,799	19,588,975.77	15,302,017	0.19	0.60	0.49
Heliport	-	-	-	-	-	-
Land	31,059,878	10,054,642.30	13,531,398.26	0.93	0.31	0.43
New Airport	24,461,329	3,225,318	32,365,726	0.74	0.10	1.03
Noise	64,391,732	70,306,681	66,087,405	1.94	2.15	2.11
Planning	76,278,060	52,596,910.93	79,602,389	2.29	1.61	2.54
Reconstruct Parking Lot	1,160,792	-	-	0.03	-	-
Reconstruct Terminal Building	5,768,122	-	-	0.17	-	-
Rehabilitate Seaplane Base	-	-	5,751,028	-	-	0.18
Roads	41,738,314	29,238,786	22,264,089.45	1.26	0.89	-
Runway Safety Area	38,361,173	43,717,713.05	39,635,671	1.15	1.34	0.71
Runway	1,054,130,084	994,855,497.97	1,004,460,742.77	31.70	30.44	32.10
Security	52,242,920	30,118,407	14,518,113.68	1.57	0.92	0.46
Snow Removal	81,902,724	71,249,772.11	63,610,277	2.46	2.18	2.03
State Block Grant Program (SBGP)	227,236,954	259,694,775.79	264,285,304	6.83	7.95	8.45
Taxiway	822,480,052	766,050,264.48	744,062,027.59	24.74	23.44	23.78
Terminal	168,049,586	206,377,330.40	146,415,367	5.05	6.31	4.68
Voluntary Airport Low Emissions (VALE)	13,910,483	9,989,769.84	29,275,503	0.42	0.31	0.94
Zero Emissions	3,503,033	7,152,654	16,823,056	0.11	0.22	0.54
Other ¹⁰	152,845,919	194,517,467.39	170,798,205.85	4.60	5.95	5.46
Total	\$3,324,835,806	\$3,268,299,328.10	\$3,129,244,008.70	100.00%	100.00%	100.00%

⁸ These totals represent aggregate amounts that may include a mix of entitlement and discretionary funding awarded through AIP during the fiscal year.

⁹ The FAA previously reported FY 2019 data to Congress and is including it here for historical comparison purposes only.

¹⁰ Other projects may include constructing utilities, removing obstructions, improving airport drainage, installing airport beacons, and other related projects.

STATE BLOCK GRANT PROGRAM

Ten states are authorized to participate in the State Block Grant Program (SBGP). Participating states administer AIP funds on behalf of the FAA and provide sub-awards to nonprimary airports within their respective state. Tables 4 and 5 provide a summary of the total AIP funds awarded through the SBGP during FY 2020 and 2021.

Table 4	State F	lock	Grant	Total	cfor	EV 2020	١
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Chaha	Block Grant Fund	Block Grant Funds FY 2020 (\$)				
State	Apportionment ¹³	Discretionary	State Total (\$)			
Georgia	\$18,797,953	\$22,873,777	\$41,671,730			
Illinois	\$15,710,989	\$7,788,393	\$23,499,382			
Michigan	\$17,478,060	\$20,910,038	\$38,388,098			
Missouri	\$18,612,079	\$29,589,758	\$48,201,837			
New Hampshire	\$17,384,822	\$9,866,309	\$27,251,131			
North Carolina	\$2,099,963	\$6,321,903	\$8,421,866			
Pennsylvania	\$10,509,800	\$4,141,708	\$14,651,508			
Tennessee	\$17,422,043	\$21,176,023	\$38,598,066			
Texas	\$45,468,038	\$23,839,237	\$69,307,275			
Wisconsin	\$15,676,871	\$11,900,438	\$27,577,309			
State Block Grant Totals	\$179,160,618	\$158,407,584	\$337,568,202			

Table 5. State Block Grant Totals for FY 2021

Chala	Block Grant Fund		
State	Apportionment ¹⁴	Discretionary	State Total (\$)
Georgia	\$18,636,732	\$23,987,680	\$42,624,412
Illinois	\$16,072,743	\$20,185,537	\$36,258,280
Michigan	\$18,271,546	\$11,262,941	\$29,534,487
Missouri	\$13,840,449	\$31,143,564	\$44,984,013
New Hampshire	\$13,516,216	\$7,976,175	\$21,492,391
North Carolina	\$2,125,950	\$4,949,830	\$7,075,780
Pennsylvania	\$9,888,600	\$4,920,999	\$14,809,599
Tennessee	\$13,197,552	\$10,106,963	\$23,304,515
Texas	\$52,685,170	\$28,827,822	\$81,512,992
Wisconsin	\$18,951,345	\$24,724,093	\$43,675,438
State Block Grant Totals	\$177,186,303	\$168,085,604	\$345,271,907

¹¹ 49 U.S.C. § 47128

¹² The Federal Funding Accountability and Transparency Act requires federal grant awardees, including participants in the SBGP, to report subaward information. Specific information related to SBGP subawards are available at: www.fsrs.gov.

¹³ Apportionment amounts include nonprimary entitlements and state apportionments.

¹⁴ Apportionment amounts include nonprimary entitlements and state apportionments.

LETTERS OF INTENT

Letters of Intent (LOIs) help fund large-scale capacity projects at primary or reliever airports. LOIs state that the FAA intends to obligate AIP funds from a future budgetary authority in an amount not greater than the Federal Government's share of allowable costs for that project. The FAA issues an LOI to establish that reimbursement will be made according to a given schedule as funds become available from Congress each year over the term of the approved LOI. LOIs enable airports to proceed with large-scale capacity projects in advance of receiving the annual discretionary grant award, which is otherwise not permissible. Table 6 reflects all LOI payments, including entitlement and discretionary funding during FY 2020, and Table 7 reflects all LOI payments during FY 2021.

Table 6. LOI Payments During FY 2020

State	City	Airport Name	Hub Type	Discretionary 2020 (in thousands)	Entitlement 2020 (in thousands)
FL	Fort Lauderdale	Fort Lauderdale/Hollywood International	L	20,000	0
IL	Chicago	Chicago O'Hare International (Ph. 1)	L	20,000	0
IL	Chicago	Chicago O'Hare International (Ph. 2)	L	45,000	0
ОН	Cleveland	Cleveland-Hopkins International	М	0	2,201
TX	Dallas-Fort Worth	Dallas-Fort Worth International	L	15,000	9,000
Total				\$ 100,000	\$ 11,201

Table 7. LOI Payments During FY 2021

State	City	Airport Name	Hub Type	Discretionary 2021 (in thousands)	Entitlement 2021 (in thousands)
FL	Fort Lauderdale	Fort Lauderdale/Hollywood International	L	20,000	0
IL	Chicago	Chicago O'Hare International (Ph. 2)	L	25,000	0
ОН	Cleveland	Cleveland-Hopkins International	М	0	1,485
TX	Dallas-Fort Worth	Dallas-Fort Worth International	L	31,000	9,000
Total				\$ 76,000	\$ 10,485

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Chapter 2: Accounting of Discretionary and Appropriated Funds

ongress authorizes AIP contract authority, which permits the FAA to obligate funds from the Trust Fund. This contract authority is contained in 49 U.S.C., § 48114.

The amounts available for obligation fall into two basic categories: apportionment funds (also known as entitlement funds) and discretionary funds. Apportionment funds are calculated for each airport based on formulas prescribed in 49 U.S.C. § 47114(c). Funds apportioned to NPIAS airports generally may be used for any AIP-eligible airport planning or development.

The FAA approves other funds for use on projects after consideration of the project priority and other selection criteria. Although airport sponsors are given some flexibility in determining how entitlement funds will be used, statutory requirements and FAA policies discourage using entitlement funds for lower-priority projects while also seeking discretionary funding. Discretionary funds are limited and consequently are directed only to higher priority needs as determined by the FAA and Secretary of Transportation.

2.1 CALCULATION AND DISTRIBUTION OF APPORTIONED FUNDS

Entitlement funds are available to airport sponsors in the year they are first apportioned. In the case of large, medium, and small hub airports, if the funds are not obligated in the year they were apportioned, a comparable amount remains available for up to two subsequent fiscal years. In the case of nonhub primary and nonprimary airports, unobligated entitlement funds are available in the year they are first apportioned and remain available for three subsequent fiscal years.¹⁵

2.1.1 Primary Airports

Each primary airport's entitlement funds are based on the number of passenger boardings at the airport. The minimum amount of entitlement funds that may be apportioned to the airport sponsor of a primary airport is \$650,000, and the maximum is \$22 million. Individual airport annual entitlement funds are calculated as follows:

- → \$7.80 for each passenger boarding up to 50,000 passengers;
- → \$5.20 for each additional passenger boarding up to 100,000 passengers;
- → \$2.60 for each additional passenger boarding up to 500,000 passengers;
- → \$0.65 for each additional passenger boarding up to 1,000,000 passengers; and
- → \$0.50 for each additional passenger boarding from 1,000,001 passengers.

Under 49 U.S.C. § 47114(c)(1)(C), individual entitlements are doubled (with a minimum of \$1 million and a maximum of \$26 million per airport sponsor) when AIP funding in a fiscal year is at least \$3.2 billion.

¹⁵ 49 U.S.C. § 47117(b).

2.1.2 AIP Apportionment Reductions

In 1990, Congress enacted legislation that allows sponsors of commercial service airports (known as public agencies under the PFC statute) to charge enplaning passengers a \$1, \$2, or \$3 PFC. The Wendell H. Ford Aviation Investment and Reform Act for the 21st Century (AIR-21) (Pub. L. 106-181), signed into law in 2000, authorized additional PFC amounts of \$4 and \$4.50. Public agencies seeking to charge a PFC must apply to the FAA for this authority and must meet certain requirements.

Under 49 U.S.C. § 47114(f), AIP funds apportioned to a large or medium hub airport are reduced by 50 percent of the forecasted PFC revenue for that fiscal year, but not more than 50 percent of the preliminary apportionment for that fiscal year, if the airport imposes a PFC of \$1, \$2, or \$3. Likewise, AIP funds apportioned to a large or medium hub airport are reduced by 75 percent of the forecasted PFC revenue, but not more than 75 percent of the preliminary apportionment for that fiscal year, if the airport imposes a PFC of \$4 or \$4.50. Table 8 shows the number of large and medium hub airports whose entitlements were reduced based on approved PFC collections during FYs 2020 and 2021.

Table 8. Number of Large and Medium Hub Airports Subject to PFC-Related Entitlement Reductions in FYs 2019, 2020, and 2021¹⁶

Airports Subject to Entitlement Reduction	FY 2019	FY 2020	FY 2021
50 Percent Reduction	2	2	2
75 Percent Reduction	59	59	59
Total Number of Large and Medium Hubs with PFCs	61	61	61

Under 49 U.S.C. § 47116, the FAA redistributes 87.5 percent of the reduced apportionments for discretionary grants under the Small Airport Fund and the remaining 12.5 percent for other discretionary grants.

2.1.3 Cargo Service Airport Funding

Pursuant to 49 U.S.C. § 47114(c)(2)(A), the FAA allocates 3.5 percent of the amount available for AIP grants to cargo service airports. Each cargo service airport is eligible to receive additional AIP entitlement funds based on its proportion of landed weight of cargo aircraft to the total landed weight of cargo aircraft at all qualifying airports.¹⁷

¹⁶ The FAA previously reported FY 2019 data to Congress and is including it here for historical comparison purposes only.

¹⁷ "Landed weight" is defined in 49 U.S.C. § 47102(10), as the weight of aircraft transporting only cargo in intrastate, interstate, and foreign air transportation.

2.1.4 State/Insular Areas

Twenty percent of the amount available for AIP grants is available for nonprimary commercial service, general aviation, and reliever airports within the states and insular areas. ¹⁸ These airports are referred to collectively as nonprimary airports. Each eligible nonprimary airport may be entitled to an individual apportionment, commonly known as a nonprimary entitlement (NPE), calculated as one-fifth of the airport's 5-year capital needs as identified in the FAA's most recently published NPIAS report, but not to exceed \$150,000 per year.

The remainder (commonly referred to as "State apportionment") is apportioned by state based on the proportions of both the land area of each state to the total land area of all states and the population of each state to the total population of all states. These funds are then available for eligible and justified projects among the nonprimary airports within each state.

For FY 2020 and FY 2021, of the amount remaining after the allocation to individual nonprimary airports, the FAA apportioned 99.4 percent to airports within the 50 states, the District of Columbia, and Puerto Rico.¹⁹ The FAA apportioned the remaining 0.62 percent to airports in four insular areas: Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

2.1.5 Alaska Supplemental Funds

Title 49 U.S.C. § 47114(e) requires the FAA to apportion funds for certain Alaskan airports to ensure that Alaska receives at least as many funds as these airports were apportioned in FY 1980. This requirement provides an additional \$21.3 million for Alaskan airports based on a special apportionment rule applicable when the annual AIP funding level is \$3.2 billion or more.

2.1.6 Protected Entitlement Funds

Title 49 U.S.C., § 47117(f), allows the FAA to convert unused entitlements to discretionary funds for projects at other airports in the current year. The FAA must then protect an equal amount from the subsequent year's appropriation to be available to the original airport sponsor, along with the airport sponsor's entitlements for that subsequent fiscal year. If an airport sponsor has not used its entitlements within the 3- to 4-year period of availability based on airport type, the entitlement funds expire and convert to discretionary funds in the current year.

Pursuant to 49 U.S.C. § 47115(g), the FAA must make protected entitlements (amounts carried over from prior years) available to airport sponsors before any other type of funding may be calculated, which affects amounts available for entitlement and discretionary funding (including the minimum set-asides) in that subsequent year.

¹⁸ Under 49 U.S.C. § 47114(c)(2)(C), if AIP funding drops below \$3.2 billion, this allocation is reduced to 18.5 percent of AIP funding; that amount is not subdivided further in order to provide individual apportionments to each nonprimary airport.

¹⁹ The District of Columbia and Puerto Rico are treated as states for the purposes of the state apportionment in accordance with 49 U.S.C. § 47114(d).

2.2 DISTRIBUTION OF DISCRETIONARY FUNDS

The authorizing statute defines the remaining funds as discretionary funds and establishes setaside amounts to ensure the achievement of specified minimum funding levels. The five types of set-aside funding categories are:

Environmental: An amount equal to 35 percent of the discretionary fund is reserved for noise compatibility planning and implementing noise compatibility programs under 49 U.S.C. § 47501, *et seq.* The FAA can use entitlement funds to satisfy this minimum set-aside as long as the total AIP funds awarded for noise compatibility purposes equals the amount specified in the legislation. Funding for projects under the VALE²⁰ and ZEV program also comes from this set-aside.

Military Airport Program (MAP): 49 U.S.C. § 47117(e)(1)(B) requires the FAA to reserve a minimum of 4 percent of discretionary funds for the MAP. Table 9 provides annual highlights of the number of grants awarded and the total set-aside funds used during 2020 and 2021. The set-aside figures do not include any supplemental discretionary funding awarded or recoveries from prior year grants.

	FY 2019	FY 2020	FY 2021
Number of Grants Awarded	6	5	5
Set-Aside Amount (\$)	\$8,521.687	\$8,843,794	\$10,500,199

Table 9. Annual MAP for FYs 2019, 2020, and 2021²¹

Reliever: Under 49 U.S.C. § 47117(e)(1)(C), if the AIP funding level is \$3.2 billion or more, an amount equal to two-thirds of one percent of the total is to be made available for grants to sponsors of airports that have been designated by the U.S. Department of Transportation (DOT) as reliever airports and that have:

- → More than 75,000 annual operations;
- → A minimum usable runway length of at least 5,000 feet;
- → A precision instrument landing procedure; and
- → A minimum number of based aircraft (100) as determined by DOT.

Capacity/Safety/Security/Noise (C/S/S/N): In accordance with the 49 U.S.C. § 47115(c), after funding the environmental, MAP, and reliever set-asides, the FAA reserves 75 percent of the remaining discretionary funding for C/S/S/N projects.

Remaining Discretionary: After providing funds for the C/S/S/N set-aside, the remaining discretionary funding is available for any eligible project at any airport included in the NPIAS.

²⁰ The VALE program is intended to improve airport air quality and provide air quality credits to support future airport development. Through the VALE program, airport sponsors can use select AIP funds to finance low emission vehicles, refueling and recharging stations, and other airport air quality improvements.

²¹ The FAA previously reported FY 2019 data to Congress, and is including it here for historical comparison purposes only.

Other Distributions: In addition to the AIP grant program, as shown in Table 10, recent AIP appropriations also have made funding available for the following activities:

- A contribution to the Small Community Air Service Development Program (SCASDP), a program managed by the Office of the Secretary of Transportation, which awards grants to small communities seeking to improve air carrier service pursuant to 49 U.S.C. § 41743;
- → ARP administrative expenses;
- → Airport Technology Research and Development expenses; and
- → Airport Cooperative Research Program funds.

Table 10. AIP Allocation of Appropriations for FYs 2019, 2020, and 2021 (\$ millions)²²

		•	
	FY 2019	FY 2020	FY 2021
Authorized by Legislation and Available for AIP	3,350.0	\$3,350.0	\$3,350.0
Less:			
SCASDP	(10.0)	(10.0)	(10.0)
Administrative Expenses	(111.9)	(116.5)	(119.4)
Airport Technology Research and Development	(33.2)	(39.2)	(40.7)
Airport Cooperative Research Program	(15.0)	(15.0)	(15.0)
Total Available for AIP Grants	\$3,179.2	\$3,169.3	\$3,164.9
FUNDING DISTRIBUTION			
Entitlements/Apportionments			
Primary Airports	885.7	909.2	926.7
Cargo (3.5 Percent of the Total Available for AIP Grants)	111.3	110.9	110.8
Alaska Supplemental	21.3	21.3	21.3
States: (20 Percent of the Total Available for AIP Grants) ²³			
Nonprimary Entitlement	404.3	403.8	395.0
State Apportionment by Formula	231.5	230.0	237.9
Protected Entitlements	762.9	707.6	640.5
Entitlements Subtotal	\$2,417.2	\$2,382.9	\$2,332.3
Small Airport Fund			
Nonhub Airports	313.7	323.0	325.8
Noncommercial Service	156.9	161.5	162.9
Small Hub	78.4	80.8	81.5
Small Airport Fund Subtotal ²⁴	\$549.0	\$565.3	\$570.2
Nondiscretionary Subtotal	\$2,966.1	\$2,948.2	\$2,902.4
DISCRETIONARY			
Noise (35 Percent of Discretionary Funds)	74.6	77.4	91.9
Reliever (0.66 Percent of Discretionary Funds)	1.4	1.5	1.7
MAP (4 Percent of Discretionary Funds)	8.5	8.8	10.5
Discretionary Set-asides Subtotal	\$84.5	\$87.7	\$104.1
C/S/S/N	96.4	100.1	118.8
Remaining Discretionary	32.1	33.4	39.6
Other Discretionary Subtotal	\$128.5	\$133.4	\$158.4
Discretionary Subtotal	\$213.0	\$221.1	\$262.5
Funding Distribution Total for Fiscal Year Funds	\$3,179.2	\$3,169.3	\$3,164.9
Recovery Ceiling Authorized for Reobligation	\$119.1	\$124.5	\$135.4
Total Authorized Obligation Level	\$3,298.3 ²⁵	\$3,293.8	\$3,300.3

²² The FAA previously reported FY 2019 data to Congress and is including it here for historical comparison purposes only.

²³ These figures include amounts distributed through the SBGP, plus amounts in other states not participating in the SBGP.

²⁴ The Small Airport Fund is generated from 87.5 percent of the PFC-related entitlement reductions. The remaining

^{12.5} percent of such reductions becomes discretionary funding. For FYs 2018 and 2019, PFC-related entitlement reductions were \$594 and \$608 million, respectively.

²⁵ Totals may not add exactly due to rounding.

2.3 DISTRIBUTION OF SUPPLEMENTAL DISCRETIONARY FUNDS

The Consolidated Appropriations Act of 2018 provided an additional \$1 billion in AIP discretionary grants to enable the Secretary of Transportation to make grants for projects as authorized by subchapter 1 of chapter 471 and subchapter 1 of chapter 475 of 49 U.S.C. This funding was in addition to the \$3.35 billion appropriated under the regular AIP line item. These funds remained available for obligation until September 30, 2020. Similar to the two previous fiscal years, the Supplemental funds made available under this Act are derived from the General Fund and are not subject to existing AIP discretionary formulas or set-asides.

For FY 2020 funds, Congress included a distinction for this particular supplemental program. In the Joint Explanatory Statement accompanying H.R. 1865, Congress directed the FAA to meet a requirement "that not less than 50 percent of the funds made available for grants at nonhub, small hub, reliever, and nonprimary airports. The agreement directs the FAA to restrict this setaside to 50 percent and use the remaining funds for grants at medium hub and large hub airports. In addition, the agreement directs the FAA to provide priority consideration for grant applications that complete previously awarded discretionary grant projects and to provide priority consideration based on project justification and completeness of pre-grant actions."²⁶

Another funding distinction for the FY 2020 Supplemental program is the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which includes \$10 billion in funds to be awarded as economic relief to eligible U.S. airports affected by the prevention of, preparation for, and response to the COVID-19 pandemic. The CARES Act provides funds for airports to use as a local match for AIP and supplemental discretionary grants already planned for fiscal year 2020. Under normal circumstances, AIP grant recipients contribute a matching percentage of the project costs. Providing this additional funding and eliminating the local share allows critical safety and capacity projects to continue as planned, regardless of airport sponsors' current financial circumstances.

On April 30, 2020, the DOT announced an intent to award \$455 million in Supplemental Discretionary funding, which includes the aforementioned CARES Act Local Match at 83 airports in all 50 states, as well as the Northern Mariana Islands and Puerto Rico. Two other airports were awarded supplemental funding after this announcement. Again, as in previous supplemental programs, the selected projects included runway reconstruction and rehabilitation, as well as new or rehabilitated taxiways, aprons, and terminals. The FAA used its well-established Airport Capital Improvement Plan (ACIP) process to develop a proposed list of eligible and justified projects, which the Agency will execute through its regular AIP process. All Supplemental funds were awarded by September 30, 2022.

²⁶ The full text of the Joint Statement can be viewed here: https://docs.house.gov/billsthisweek/20191216/BILLS-116HR1865SA-JES-DIVISION-H.pdf

Table 11 shows the amount of supplemental funding obligated to states and territories during FYs 2020 and 2021. These funds include FY 2019 and FY 2020 Supplemental appropriated funds. No FY 2021 Supplemental funds were obligated in FY 2021 and, therefore, are not reflected in this table.

Table 11. Supplemental Funding Obligated in FY 2020 and FY 2021

ST	State	TOTALS
AK	Alaska	\$ 59,403,737
AL	Alabama	\$ 12,142,675
AR	Arkansas	\$ 6,479,150
AZ	Arizona	\$ 20,105,024
CA	California	\$ 54,561,759
CO	Colorado	\$18,062,500
CT	Connecticut	\$ 11,137,273
DE	Delaware	\$ 5,291,568
FL	Florida	\$ 47,067,138
GA	Georgia	\$ 13,535,813
HI	Hawaii	\$ 1,297,217
IA	Iowa	\$ 5,981,143
ID	Idaho	\$ 13,139,331
IL	Illinois	\$ 15,000,000
IN	Indiana	\$ 26,170,482
KS	Kansas	\$ 6,168,853
KY	Kentucky	\$ 13,392,031
LA	Louisiana	\$ 20,027,455
MA	Massachusetts	\$ 2,531,613
MD	Maryland	\$ 7,029,398
ME	Maine	\$ 6,268,949
MI	Michigan	\$ 27,199,694
MN	Minnesota	\$ 1,573,353
MS	Mississippi	\$ 10,158,654
МО	Missouri	\$ 13,510,008
MT	Montana	\$ 6,558,376
NC	North Carolina	\$ 27,256,350

ST	State	TOTALS
ND	North Dakota	\$ 7,250,000
NE	Nebraska	\$ 14,256,150
NH	New Hampshire	\$4,357,341
NJ	New Jersey	\$ 5,671,546
NM	New Mexico	\$ 16,081,643
NV	Nevada	\$ 7,284,851
NY	New York	\$ 10,684,115
ОН	Ohio	\$ 17,291,932
OK	Oklahoma	\$ 12,878,818
OR	Oregon	\$ 9,484,183
PA	Pennsylvania	\$ 30,500,000
RI	Rhode Island	\$ 749,999
SC	South Carolina	\$ 2,068,740
SD	South Dakota	\$ 25,695,465
TN	Tennessee	\$ 16,126,288
TX	Texas	\$ 40,466,743
UT	Utah	\$ 29,428,031
VA	Virginia	\$ 18,300,656
VT	Vermont	\$ 14,498,480
WA	Washington	\$ 24,537,072
WI	Wisconsin	\$ 12,760,916
WV	West Virginia	\$ 10,499,680
WY	Wyoming	\$ 7,373,546
AS	American Samoa	\$ -
GU	Guam	\$ 10,000,000
	Northern	
MP	Mariana Islands	\$ -
PR	Puerto Rico	\$ 9,774,667
VI	Virgin Islands	\$1,966,031
	Grand Total	\$ 811,036,437

2.4 DISTRIBUTION OF FUNDS UNDER THE CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY (CARES) ACT, THE CORONAVIRUS RESPONSE AND RELIEF SUPPLEMENTAL APPROPRIATION (CRRSA) ACT, AND THE AMERICAN RESCUE PLAN (ARP) ACT

2.4.1. CARES Act Funding

The CARES Act provided funds to increase the federal share to 100 percent for AIP and supplemental discretionary grants already planned for FYs 2020 and 2021. Under normal circumstances, AIP grant recipients contribute a matching percentage of the project costs. Providing this additional funding and eliminating the local share allows critical safety and capacity projects to continue as planned, regardless of airport sponsors' current financial circumstances.

In addition, the CARES Act provided new funds distributed by various formulas for all airports that are part of the national airport system. This includes all commercial service airports, all reliever airports, and some public-owned general aviation airports.

Congress divided the \$10 billion in CARES funding into four groups and established formulas for each group to allocate the funds to specific airports. None of these funds are discretionary. The four groups are as follows:

- → 100 Percent Federal Share for 2020 AIP Grants. At least \$500 million is available to increase the federal share to 100 percent for grants awarded under the FY 2020 appropriations cycle for FY 2020 AIP and FY 2020 supplemental discretionary grants. The federal share for FYs 2018 and 2019 supplemental discretionary grants will not increase. Any remaining funds after apportionment are distributed as described in the bullet directly below.
- → Commercial Service Airports. At least \$7.4 billion is available to commercial service airports for any purpose for which airport revenues may lawfully be used. The total allocation to an airport is determined by the following formula:
 - 50 percent of the total allocation is based on the number of enplanements the airport had during calendar year (CY) 2018 as a percentage of total 2018 enplanements for all commercial service airports;
 - 25 percent of the total allocation is based on the sponsor's fiscal year 2018 debt service as a percentage of the combined debt service for all commercial service airports; and
 - 25 percent of the total allocation is based on the sponsor's fiscal year 2018 ratio of unrestricted reserves to its respective debt service.
- → **Primary Airports.** Up to \$2 billion is available to large, medium, and small hub airports and non-hub primary airports for any purpose for which airport revenues may be lawfully used. These funds are allocated based on statutory AIP primary entitlement formulas. However, the \$26 million limit under 49 U.S.C. § 47114(c)(1)(C)(iii) and reduction for imposing passenger facility charges under 49 U.S.C. § 47114(f) do not apply to these allocations.
- General Aviation Airports. At least \$100 million is available to general aviation airports for any purpose for which airport revenues may be lawfully used. These funds are allocated

based on the categories published in the most current NPIAS, reflecting the percentage of the aggregate published eligible development costs for each such category, and then dividing the allocated funds evenly among the eligible airports in each category, rounded up to the nearest thousand dollars.

Table 12 shows the amount of the CARES Act funding that was allocated to States, American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands in FY 2020 and 2021. The table does not include the funds dedicated to matching AIP grants.

Table 12. FYs 2020 and 2021 CARES Act Funding by State

ST	\$ FY 2020 Total	\$ FY 2021 Total ²⁷	ST	\$ FY 2020 Total	\$ FY 2021 Total ²⁶
AK	124,179,622	1,529,409	MT	73,821,822	745,747
AL	53,969,529	879,216	NC	283,706,816	10,620,148
AR	51,307,856	656,215	ND	85,019,530	373,045
AS	1,381,262	18,448	NE	64,602,995	848,926
AZ	224,869,171	8,045,999	NH	15,224,474	305,025
CA	1,088,980,881	37,533,560	NJ	160,899,848	7,520,711
СО	366,981,542	11,101,570	NM	25,229,608	894,354
CT	30,344,477	1,050,127	NV	231,448,014	8,379,465
DE	257,000	-	NY	411,274,415	17,266,029
FL	896,185,022	29,812,862	ОН	108,807,073	3,300,050
GA	410,817,651	17,266,743	OK	42,202,730	1,162,072
GU	20,693,369	576,343	OR	140,163,415	3,555,353
HI	133,334,924	5,620,603	PA	239,219,866	7,052,856
IA	70,467,305	687,495	PR	42,975,833	1,607,480
ID	44,196,705	765,393	RI	24,017,194	613,352
IL	446,739,180	16,295,058	SC	97,263,093	1,771,881
IN	96,523,890	1,796,718	SD	36,248,983	303,596
KS	53,420,412	308,422	TN	124,092,305	4,131,528
KY	77,229,257	2,242,114	TX	811,535,428	28,497,873
LA	83,752,300	2,548,391	UT	93,363,009	4,086,266
MA	171,047,776	6,541,679	VA	309,729,392	8,946,828
MD	107,731,472	4,167,502	VI	41,145,247	184,201
ME	36,168,767	447,524	VT	9,000,115	214,055
MI	256,958,769	6,592,126	WA	310,329,949	8,823,606
MN	158,378,970	6,109,410	WI	83,193,655	1,723,652
МО	153,764,436	4,419,107	WV	9,398,776	121,442
MP	22,759,818	193,723	WY	49,758,279	199,781
MS	34,855,031	319,478	Grand Total	\$9,140,968,258	\$ 290,774,557

²⁷ The amounts in this table comprise reallocated CARES funding, which, based on a directive in the CRRSA legislation, was rolled up and redistributed to primary airports that received funding under the CRRSA Act in FY 2021.

2.4.2. CRRSA Act Funding

In FY 2021, Congress provided approximately \$2 billion in emergency COVID-19 pandemic relief CRRSA Act funds to airports, but the scope and allowable usage of these funds were narrower in scope than CARES Act funds. For example, the CRRSA Act did not provide funds to increase the federal share of AIP grants to 100 percent, nor did it provide funding for any airport that was allocated more than four times its annual operating expenses under the CARES Act. Funds were only available until September 30, 2021, and had to be obligated by that date. Airports categorized as "unclassified" in the NPIAS did not receive funding under CRRSA, given that unclassified airports had no development needs identified in the NPIAS through 2025. Finally, the purpose of CRRSA funds was limited to operations, personnel, cleaning, sanitization, janitorial services, combating the spread of pathogens at the airport, and debt service payments. Any CARES Act funds that were not allocated under the CARES Act were allocated in FY 2021 to primary airports receiving CRRSA Act funding.

CRRSA Act funds were also unique in that additional fund recipients were granted funds designated for specific use at airports. Nonprimary airports with FAA contract towers received funds for expenses borne by the towers. Also, airports received specific allocations to provide rent relief to certain on-airport concessions.

Similar to the CARES Act funds, the FAA distributed CRRSA Act funds according to a formula Congress designed. The four groups below do not include funds allocated under the CRRSA Act to the Small Community Air Service Development Program (SCASDP). DOT served as the administrator of SCASDP funds.

- → Primary Commercial Service Airports and Certain Cargo Airports shared not less than \$1.75 billion based first on the statutory AIP primary and cargo entitlement formulas. However, the \$26-million limit under 49 U.S.C. § 47114(c)(1)(C)(iii) and reduction for imposing passenger facility charges under 49 U.S.C. § 47114(f) did not apply to these allocations. After allocating based on the statutory entitlement formulas, the remainder was then allocated based on the number of enplanements the airport had in the most recent calendar year of available enplanement data (CY 2019), as a percentage of total 2019 enplanements for all primary airports.
- → NonPrimary Commercial Service and General Aviation Airports shared not less than \$45 million (less the amount allocated for nonprimary airports participating in the FAA Contract Tower Program) allocated based on the categories (National, Regional, Local, and Basic) published in the most current NPIAS, reflecting the percentage of the aggregate published eligible development costs for each such category, and then dividing the allocated funds evenly among the eligible airports in each category, rounded up to the nearest thousand.
- NonPrimary Airports Participating in the FAA Contract Tower Program shared not less than \$5 million of the \$45 million available to nonprimary airports. These funds are divided equally among eligible airports. Sponsors can use these funds to cover lawful expenses to support FAA contract tower operations.

→ Primary Commercial Service Airports shared not less than \$200 million allocated based on the number of enplanements the airport had in CY 2019 as a percentage of total CY 2019 enplanements for all primary airports. Sponsors could use these funds to provide relief from rent and minimum annual guarantees to on-airport car rental, on-airport parking, and interminal airport concessions.

Table 13 shows the amount of the CRRSA Act funding that was allocated to States, American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands in FY 2021.

Table 13. FY 2021 CRRSA ACT Funding

State	\$ FY 2021 Total*	State	\$ FY 2021 Total ²⁸
AK	49,707,073	MT	19,289,218
AL	16,376,036	NC	63,883,257
AR	11,818,358	ND	12,794,719
AS	1,032,368	NE	11,690,786
AZ	50,412,976	NH	6,846,529
CA	209,868,592	NJ	40,019,614
СО	63,258,870	NM	10,680,010
CT	8,960,641	NV	41,248,365
DE	93,000	NY	105,473,171
FL	179,382,586	ОН	29,610,963
GA	83,536,659	OK	14,036,447
GU	5,376,712	OR	28,386,543
HI	40,731,579	PA	48,575,138
IA	12,743,252	PR	17,530,658
ID	12,055,755	RI	5,561,473
IL	88,283,407	SC	21,497,849
IN	19,953,895	SD	9,938,360
KS	10,317,929	TN	39,743,195
KY	28,235,797	TX	168,132,298
LA	24,408,927	UT	26,483,918
MA	34,479,883	VA	57,431,852
MD	22,437,531	VI	3,642,486
ME	9,275,098	VT	3,919,375
MI	49,249,456	WA	55,469,499
MN	35,962,614	WI	22,768,467
МО	29,924,738	WV	5,752,881
MP	5,630,240	WY	9,810,168
MS	11,303,089	Grand Total	\$1,995,034,300

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 $^{^{28}}$ This amount does not include the unallocated CARES funding shown in Table 12, which was rolled up into CRRSA funding and distributed to primary airports.

2.4.3. American Rescue Plan Act (ARPA) Funding

Congress provided a third package of emergency COVID-19 pandemic funds to airports through ARPA. This \$8 billion in funds is available until September 24, 2024, and has the same purpose as CRRSA funds in that reimbursable expenses are limited to those related to operations, personnel, cleaning, sanitization, janitorial services, combating the spread of pathogens at the airport, and debt service payments. Relief was not provided to nonprimary airports with FAA contract towers under ARPA, but additional funding was provided to airports to give as rent credits to concessions operating in their terminals. Similar to CARES funds, the FAA awarded a 100 percent share for AIP and supplemental discretionary grants.

Similar to the CARES and CRRSA acts, Congress divided the funding into four groups based on formula:

- → 100% Federal Share for Airport Development Grants. Not more than \$608 million is available to pay a federal share of 100 percent for any grant awarded in FY 2021, or in FY 2020 with less than a 100 percent federal share, for an airport development project, as defined in 49 U.S.C. § 47102. Any amount remaining under this paragraph will be allocated as described below in the second bullet directly below.
- → General Grants for Primary Airports. Primary commercial service airports and certain cargo airports share not more than \$6.492 billion based first on the statutory AIP primary and cargo entitlement formulas. However, the \$26-million limit under 49 U.S.C. § 47114(c)(1)(C)(iii) and reduction for imposing passenger facility charges under 49 U.S.C. § 47114(f) do not apply to these allocations. After allocating based on the statutory entitlement formulas, the remainder is then allocated based on the number of enplanements the airport had in CY 2019 as a percentage of total CY 2019 enplanements for all primary airports.
- → General Grants for Nonprimary Airports. Nonprimary commercial service and general aviation airports share not more than \$100 million, allocated based on the categories (National, Regional, Local, and Basic) published in the most current NPIAS, reflecting the percentage of the aggregate published eligible development costs for each such category, and then dividing the allocated funds evenly among the eligible airports in each category, rounded up to the nearest thousand. Any amount remaining under this paragraph will be allocated as described in the second bullet above.
- Solution allocated based on the number of enplanements the airport had in CY 2019 as a percentage of total CY 2019 enplanements for all primary airports. Sponsors receive two allocations, a proportional share of \$640 million and a proportional share of \$160 million, to provide relief to small airport concessions and large airport concessions, respectively.

Table 14 shows the amount of the ARPA funding that was allocated to States, American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands in FY 2021. The table does not include the funds dedicated to match AIP grants.

State	\$ FY 2021 Total	State	\$ FY 2021 Total
AK	97,003,705	MT	34,124,979
AL	34,087,745	NC	256,405,224
AR	24,942,147	ND	20,493,203
AS	1,386,760	NE	28,315,479
AZ	196,758,471	NH	12,993,224
CA	899,826,548	NJ	177,217,101
СО	263,338,253	NM	27,835,004
CT	28,935,000	NV	191,919,576
DE	239,000	NY	418,100,788
FL	724,091,795	ОН	91,891,753
GA	395,699,085	OK	36,802,987
GU	15,763,258	OR	94,540,646
HI	144,330,100	PA	179,632,605
IA	26,947,772	PR	47,825,040
ID	26,556,103	RI	16,653,360
IL	388,088,882	SC	55,075,789
IN	58,300,830	SD	16,466,090
KS	17,250,613	TN	137,602,844
KY	89,981,962	TX	689,928,612
LA	71,366,509	UT	100,864,385
MA	152,293,981	VA	218,941,463
MD	98,263,281	VI	6,921,417
ME	17,739,398	VT	7,922,733
MI	169,677,474	WA	217,133,500
MN	147,570,644	WI	55,905,388
МО	110,971,842	WV	8,191,157
MP	9,091,659	WY	13,797,421
MS	17,932,415	Grand Total	\$7,391,937,000

More information on the FAA's administration of all three COVID-19 relief grant programs is available at https://www.faa.gov/airports/financial_assistance#COVID.

Chapter 3: Buy American Preference

Under 49 U.S.C. § 50101, all steel and manufactured goods used in AIP-funded projects must be produced in the United States. The FAA defines the origin of the manufactured good by the origin of its components. In accepting funding, AIP grant recipients must certify that all steel or manufactured products used on any portion of the AIP-funded project are produced in the United States and are made of 100 percent U.S. materials. As a practical matter, most AIP-funded projects require a waiver of these requirements. DOT has the authority to waive these Buy American Preferences if certain market or product conditions exist. These conditions are:

- **Type I:** Applying the Buy American Preferences would be inconsistent with the public interest;
- **Type II:** The steel or goods produced in the U.S. are not produced in a sufficient and reasonably available amount or are not of a satisfactory quality;
- **Type III:** The cost of components and subcomponents produced in the U.S. is more than 60 percent of the cost of all components of the facility or equipment procured and final assembly occurs in the United States; or
- **Type IV:** Including domestic material will increase the cost of the overall project by more than 25 percent.

Section 167(b) of the FAA Reauthorization Act of 2018 requires the FAA to submit an Annual Report for each fiscal year on waivers issued under 49 U.S.C. § 50101.

Table 15. Buy American Waiver Recipients and Types of Waivers Issued in FYs 2020 and 2021

FAA Waiver Recipients	Number of Waivers Issued 2020	Percent of Total Waivers Issued 2020	Number of Waivers Issued 2021	Percent of Total Waivers Issued 2021
Non-U.S. Manufacturers	2	1%	2	1%
U.S. Manufacturers	384	97%	129	90%
Airport Sponsors	9	2%	13	9%
Total Waivers Issued	395	100%	144	100%

Types of Waivers Issued in FY 2020	Number of Waivers	Percent of Total Waivers
Type III - Equipment/Buildings Products	381	96%
Type III - Construction Projects	14	4%
Grand Total	395	100%

Types of Waivers Issued in FY 2021	Number of Waivers	Percent of Total Waivers
Type III - Equipment/Buildings Products	126	88%
Type III - Construction Projects	16	11%
Type IV - Domestic Material Increases Costs by more than 25%	2	1%
Grand Total	144	100%

Table 16. Summary of AIP Categories in Which Waivers Were Issued in FYs 2020 and 2021

AIP Project Purpose Areas	Number of Waivers Issued FY 2020	Percent of Total Waivers Issued FY 2020	Number of Waivers Issued FY 2021	Percent of Total Waivers Issued FY 2021
Safety/Security	14	4	39	27
Other	0	0	3	2
Environmental	6	2	6	4
Noise	0	0	1	1
Special Interest	2	1	1	1
Standards	371	94	91	63
Reconstruction	0	0	1	1
Rehabilitation	2	1	2	1
Grand Total	395	100.0%	144	100.0%

Table 16 provides a detailed listing of the number of waivers issued based on the AIP project purpose.

Chapter 4: Airport Land Use Compliance

itle 49 U.S.C. § 47131 requires the FAA to prepare a Land Use Compliance Report listing airports that the Secretary of Transportation believes do not comply with federal grant assurances or other requirements with respect to airport lands. The report must include:

- → The name and location of the airport;
- → The circumstances of the noncompliance;
- → The corrective action the airport sponsor intends to take to bring the airport into compliance; and
- → The timeline for corrective action.

The Land Use Compliance Report for FYs 2020 and 2021 is incorporated in this document as Appendix A. It lists airport sponsors by the state that the FAA investigated regarding noncompliance or by airport sponsors that the FAA worked with to resolve a land use compliance issue. The appendix also includes airports identified in previous years for which a resolution is still in progress. The information contained in this report is based on data as of September 30, 2021. The report organizes each compliance issue by the ARP region where the issue occurred.

In monitoring the airport sponsor's compliance with land use requirements, the FAA relies in part on inspections of selected airports. Congress authorized this program in FY 2000, and the following fiscal year, the FAA implemented an annual program of land use inspections to include a minimum of two selected airports in each FAA region. The FAA has developed guidance on the procedures to be used when conducting land use inspections, including:

- → Airport selection criteria;
- → Data gathering;
- → Pre-inspection procedures;
- → On-site inspection procedures; and
- → Corrective actions.

The purpose of the land use inspections is to determine the airport sponsor's compliance with the terms and conditions of applicable federal obligations pertaining to the use of federally obligated airport property. The sponsor's federal obligations are incurred through the acceptance of airport development grants and/or surplus/non-surplus property conveyances. The FAA also uses this inspection program to promote standardized reporting formats and to provide supporting data for potential compliance determinations, both informal and formal. The results of these inspections are the basis of the Land Use Compliance Report.

For FYs 2020 and 2021, on-site land use inspections were not conducted due to the impacts of COVID-19 restrictions, although FAA personnel continued to work with airport sponsors remotely to resolve outstanding land use compliance deficiencies. At the end of FY 2020, there were 67 airport sponsors undertaking required corrective action. Fifteen airport sponsors were brought into compliance with their federal obligations in FY 2020 and removed from the Report to Congress. At the end of FY 2021, there were 60 airport sponsors remaining on the list of

sponsors undertaking required corrective actions. Seven airport sponsors satisfactorily completed their corrective action plans and were removed from the Report to Congress.

Appendix A: Land Use Compliance Report

The information contained in this report covers activity occurring during FYs 2020 and 2021 and provides the airport compliance status as of September 30, 2020, and September 30, 2021. It does not reflect any status changes that may have occurred after this date. The report organizes each compliance issue in groups according to the ARP region where the issue(s) occurred and the estimated completion or compliance date. The report also identifies airports with land use compliance issues related specifically to operations involving the U.S. Government or military. The regional abbreviations are identified as follows: Alaska (AAL), Central (ACE), Eastern (AEA), Great Lakes (AGL), New England (ANE), Northwest Mountain (ANM), Southern (ASO), Southwest (ASW), and Western Pacific (AWP). The following tables include the airports remaining on the list at the end of FY 2021.

Location	Region	City	State	LOCID	Compliance Issue	Corrective Action	Estimated Compliance Date
Dillingham Airport	AAL	Dillingham	AK	DLG	Airport Layout Plan; Good Title; Multiple Grant Assurances	Airport sponsor submitted a Corrective Action Plan; Sponsor has initiated action to address residence in trespass and resolve the good title issue. This action requires transfer of subject property back to the Bureau of Land Management to resolve an old Homestead Act claim.	December 2023
Kodiak Benny Benson Airport	AAL	Kodiak	AK	ADQ	Good Title; Preserving Rights and Powers; Airport Layout Plan; Non- aeronautical use of airport property	Airport sponsor is negotiating a corrective action plan with the FAA and the US Coast Guard.	December 2023
Merrill Field	AAL	Anchorage	AK	MRI	Airport Layout Plan; Preserving Rights and Powers; Multiple Grant Assurances	Corrective Action Plan has been submitted and is under revision based on an updated inspection. Sponsor is updating appraisals.	December 2025
Rocky Gutierrez Airport	AAL	Sitka	AK	SIT	Fee and Rental Structure; Financial reporting pursuant to 1994 FAA Reauthorization	Corrective actions require significant coordination with the Bureau of Land Management.	December 2023
St. Mary's Airport	AAL	St. Mary's	AK	KSM	Airport Layout Plan; Exhibit A Property Map; Non- aeronautical use of airport property	Corrective Action Plan and schedule submitted. Coordination with Bureau of Land Management ongoing.	December 2023

Location	Region	City	State	LOCID	Compliance Issue	Corrective Action	Estimated Compliance Date
St. Paul Island Airport	AAL	St. Paul	AK	SNP	Fee and Rental Structure	Corrective Action Plan submitted. Through-the-fence operations are being reviewed by the sponsor and FAA.	December 2022
Talkeetna Airport	AAL	Talkeetna	AK	TKA	Airport Layout Plan; Fee and Rental Structure	Airport sponsor submitted updated Corrective Action Plan. Court action to address the trespass is ongoing.	January 2022
Pocahontas Municipal Airport	ACE	Pocahontas	IA	РОН	Exhibit A Property Map; Fee and Rental Structure	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	May 2022
Schenck Field	ACE	Clarinda	IA	ICL	Non-aeronautical use of airport property	Airport sponsor directed to submit a Corrective Action Plan. Sponsor to provide copies of leases for municipal use of airport property.	June 2022
Liberal Mid-America Regional Airport	ACE	Liberal	KS	LBL	Non-aeronautical use of airport property	Airport sponsor directed to submit a Corrective Action Plan. CAP not yet submitted, but corrective actions are being developed.	August 2022
Macon-Fower Memorial Airport	ACE	Macon	МО	K89	Airport Layout Plan; Exhibit A Property Map	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	April 2022
Delaware Coastal Airport	AEA	Georgetown	DE	GED	Airport Layout Plan; Exhibit A Property Map; Non- aeronautical use of airport property; Fee and Rental Structure; Operations and Maintenance	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	December 2022

Location	Region	City	State	LOCID	Compliance Issue	Corrective Action	Estimated Compliance Date
Carroll County Airport	AEA	Westminster	MD	DMW	Airport Layout Plan; Exhibit A Property Map; Non- aeronautical use of airport property;	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	December 2022
Cape May County Airport	AEA	Cape May	NJ	WWD	Airport Layout Plan; Exhibit A Property Map; Non- aeronautical use of airport property;	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	December 2022
Saratoga County Airport	AEA	Ballston Spa	NY	5B2	Airport Layout Plan; Exhibit A Property Map; Non- aeronautical use of airport property; Fee and Rental Structure; Operations and Maintenance;	Saratoga County Airport	December 2021
Brookhaven Airport	AEA	Shirley	NY	HWV	Airport Layout Plan; Non-aeronautical use of airport property	Brookhaven Airport	December 2021
Hamilton Airport	AEA	Hamilton	NY	VGC	Airport Layout Plan; Exhibit A Property Map; Non- aeronautical use of airport property; Operations and Maintenance	Hamilton Airport	December 2021

Location	Region	City	State	LOCID	Compliance Issue	Corrective Action	Estimated Compliance Date
New Garden Airport	AEA	Toughkenamon	PA	N57	Airport Layout Plan; Exhibit A Property Map; Non- aeronautical use of airport property	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	December 2022
Lawrenceville –Vincennes International Airport	AGL	Lawrenceville	IL	LWV	Non-aeronautical use of airport property	Airport sponsor submitted a Corrective Action Plan and has begun implementation - concurrent use request and is under review.	April 2022
Warsaw Municipal Airport	AGL	Warsaw	IN	ASW	Non-aeronautical use of airport property	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	April 2022
Grosse Ile Municipal Airport	AGL	Grosse Ile	MI	ONZ	Non-aeronautical use of airport property	FAA reviewing final plan of corrective actions for closeout. A Section 163 determination is required.	December 2022
Akron Fulton International Airport	AGL	Akron	ОН	AKR	Non-aeronautical use of airport property	Airport sponsor submitted a Corrective Action Plan. Exhibit A update in process. A Section 163 determination is required.	September 2022
Cincinnati Municipal Airport Lunken Field	AGL	Cincinnati	ОН	LUK	Non-aeronautical use of airport property;	Corrective Action Plan has been received and is currently being coordinated between the ADO and regional office.	December 2022
Jefferson County Airpark	AGL	Steubenville	ОН	2G2	Non-aeronautical use of airport property	Airport sponsor submitted a Corrective Action Plan and has begun implementation. A land release is required. A Section 163 determination is required.	December 2022

Location	Region	City	State	LOCID	Compliance Issue	Corrective Action	Estimated Compliance Date
Wadsworth Municipal Airport	AGL	Wadsworth	ОН	3G3	Non-aeronautical use of airport property	The FAA is working with the airport sponsor on a land release for non-aeronautical land use.	September 2022
Mobridge Municipal Airport	AGL	Mobridge	SD	MBG	Airport Layout Plan; Exhibit A Property Map	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	December 2024
John F. Kennedy Memorial Airport	AGL	Ashland	WI	ASX	Airport Layout Plan; Exhibit A Property Map;	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	December 2023
Boire Field Airport	ANE	Nashua	NH	ASH	Non-aeronautical use of hangars	The airport has corrected four of the five requirements outlined in their Corrective Action Plan. The airport is working on the fifth element and is expected to provide the documentation required by August 2022.	August 2022
Lemhi County Airport	ANM	Salmon	ID	SMN	Airport Layout Plan; Exhibit A Property Map	Airport sponsor has provided a Corrective Action Plan, has corrected several issues, and is working to implement remaining corrective actions.	September 2022
McMinnville Municipal Airport	ANM	McMinnville	OR	MMV	Airport Layout Plan; Exhibit A Property Map	Airport sponsor has provided a Corrective Action Plan, has corrected several issues, and is working to implement remaining corrective actions.	January 2022
Carbon County Regional Airport	ANM	Price	UT	PUC	Airport Layout Plan; Exhibit A Property Map; Non- aeronautical use of airport property	Airport sponsor has provided a Corrective Action Plan, has corrected several issues, and is working to implement remaining corrective actions.	June 2022

Location	Region	City	State	LOCID	Compliance Issue	Corrective Action	Estimated Compliance Date
Bremerton National Airport	ANM	Port Orchard	WA	PWT	Airport Layout Plan; Exhibit A Property Map; Surplus Property Act restrictions	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	September 2022
Craig Field Airport	ASO	Selma	AL	SEM	Airport Layout Plan; Exhibit A Property Map; Non- aeronautical use of airport property; Multiple Grant Assurances	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	December 2022
New Smyrna Beach Municipal Airport	ASO	New Smyrna Beach	FL	EVB	Airport Layout Plan; Exhibit A Property Map	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	June 2022
Henry County Airport	ASO	Hampton	GA	НМР	Fee and Rental Structure; Non- aeronautical use of airport property; Multiple Grant Assurances	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	December 2022
Toccoa – RG LeTourneau Field	ASO	Toccoa	GA	тос	Good Title; Airport Layout Plan; Exhibit A Property Map	CAP nearing completion – Documentation pending.	February 2022
Bowman Field	ASO	Louisville	KY	LOU	Good Title; Exhibit A Property Map; Non- aeronautical use of airport property	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	October 2022
Michael J. Smith Field	ASO	Beaufort	NC	MRH	Airport Layout Plan; Exhibit A Property Map	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	October 2022

Location	Region	City	State	LOCID	Compliance Issue	Corrective Action	Estimated Compliance Date
Western Carolina Regional Airport	ASO	Andrews	NC	RHP	Airport Layout Plan; Exhibit A Property Map	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	October 2022
Darlington County Airport	ASO	Lamar	SC	UDG	Airport Layout Plan; Exhibit A Property Map; Non- aeronautical use of airport property	Airport sponsor is implementing its Corrective Action Plan. Airport sponsor was able to remove some municipal non-aeronautical use of airport property; however, some still remain.	December 2022
Pollock Municipal Airport	ASW	Pollock	LA	L66	Land Disposal; Revenue Use	Airport sponsor directed to submit a Corrective Action Plan.	December 2022
Deming Municipal Airport	ASW	Deming	NM	DMN	Land Disposal	Airport sponsor provided documentation to the FAA to obtain formal release of obligations.	June 2022
Ardmore Municipal Airport	ASW	Ardmore	ОК	ADM	Exhibit A Property Map	FAA legal review of land release is pending.	June 2022
Chickasha Municipal Airport	ASW	Chickasha	ОК	СНК	Exhibit A Property Map; Non- aeronautical use of airport property	Airport sponsor submitted a Corrective Action Plan. Sponsor is researching existing land use authorizations.	December 2021
Durant Regional – Eaker Field	ASW	Durant	ОК	DUA	Land Disposal; Airport Layout Plan; Exhibit A Property Map; Non- aeronautical use of airport property	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	September 2021

Location	Region	City	State	LOCID	Compliance Issue	Corrective Action	Estimated Compliance Date
John H. Hooks Memorial Airport	ASW	Rayville	LA	M79	Exhibit A Property Map and ALP need updating. Some leases were not available for review.	Airport sponsor has submitted a Corrective Action Plan and has begun implementation.	December 2021
Bisbee Municipal Airport	AWP	Bisbee	AZ	P04	Residential Through- The-Fence	Airport sponsor negotiated a TTF access agreement that did not meet section 136 of Pub. L. 112-95. Draft agreements under review.	September 2021
Cottonwood Airport	AWP	Cottonwood	AZ	P52	Non-aeronautical use of airport property; Multiple Grant Assurances	The Western-Pacific Region has determined that all non-aeronautical lease rates are below FMV. The airport sponsor has provided an interim corrective action reply and was to provide a final plan by December 31, 2014. The airport sponsor cannot fully correct the leases until the leases expire in 2082. A full resolution is not expected. The City hired a new Airport Manager in November 2021.	No formal date expected until lease expiration.
California City Municipal Airport	AWP	California City	CA	L71	Airport Layout Plan; Non-aeronautical use of airport property; Commercial Through-The-Fence	Airport sponsor provided a Corrective Action Plan in April 2018 to prevent future non-aeronautical uses unless approved by the FAA. Fair Market Value (FMV) rental rate deficiencies cannot be corrected until the 30-year leases expire around 2030.	No formal date expected until lease expiration. Estimate September 2030

Location	Region	City	State	LOCID	Compliance Issue	Corrective Action	Estimated Compliance Date
Compton Woodley Airport	AWP	Compton	CA	СРМ	Residential use of airport property; Non-aeronautical use of airport property; Airport Layout Plan; Exhibit A Property Map; Operations and Maintenance	Airport sponsor submitted a Corrective Action Plan and has implemented most corrective actions. Outstanding actions require additional FAA input.	April 2022
Holtville Airport	AWP	Holtville	CA	L04	Airport closure; Surplus Property Act	Surplus Property Act airport. The airport remains closed without FAA permission. Reversion back to GSA under consideration.	No formal Corrective Action Plan or completion date.
Garberville Airport	AWP	Garberville	CA	O16	Non-aeronautical use of airport property	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	December 2022
Humboldt County Airport	AWP	Humboldt County	CA	ACV	Airport Layout Plan; Non-aeronautical use of airport property; Non- aeronautical use of hangars	Airport sponsor submitted a Corrective Action Plan and has begun implementation. On December 14, 2021, Humboldt County Board of Supervisors approved the changes to the lease rates, and FMV rates are being charged.	December 2022
Murray Field Airport	AWP	Eureka	CA	EKA	Non-aeronautical use of airport property	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	December 2022

Location	Region	City	State	LOCID	Compliance Issue	Corrective Action	Estimated Compliance Date
Lake Tahoe Airport	AWP	Lake Tahoe	CA	TVL	Airport Layout Plan; Non-aeronautical use of airport property; Non- aeronautical use of hangars	Airport sponsor submitted a Corrective Action Plan and has implemented most corrective actions. Outstanding actions require additional FAA input. There will be no updates to the ALP since it is identified as mixed-use until the ALP Narrative is completed in 2027.	March 2027
Lampson Field	AWP	Lakeport	CA	102	Fee and Rental Structure; Commercial and Noncommercial Through-The-Fence	Airport sponsor submitted a Corrective Action Plan and has begun implementation.	March 2023
Oroville Municipal Airport	AWP	Oroville	CA	OVE	Fee and Rental Structure; Non- aeronautical use of airport property	The Corrective Action Plan was provided to the FAA on November 30, 2010. A land release has been granted by the FAA to correct some non-aeronautical use issues. Corrective actions for others will not be completed because the airport sponsor cannot amend the below FMV lease rates on non-aero-nautical leases until the leases expire starting in 2040.	No formal date expected until lease expiration in 2040.

Location	Region	City	State	LOCID	Compliance Issue	Corrective Action	Estimated Compliance Date
San Gabriel Valley Airport	AWP	El Monte	CA	EMT	Airport Layout Plan; Exhibit A Property Map; Non- aeronautical use of hangars; Operations and Maintenance	Airport sponsor submitted a Corrective Action Plan and has implemented most corrective actions. Outstanding actions require additional FAA input.	April 2022
Stockton Municipal Airport	AWP	Stockton	CA	SCK	Airport Layout Plan; Exhibit A Property Map; Non- aeronautical use of airport property; Fee and Rental Structure; Airport Revenues; Non- aeronautical use of hangars	Airport sponsor has provided an updated Corrective Action Plan.	October 2022
Tehachapi Municipal Airport	AWP	Tehachapi	CA	TSP	Airport Layout Plan; Non-aeronautical use of airport property	Airport sponsor has submitted a Corrective Action Plan. Additional FAA input required.	December 2022

United States Government/Military: Non-aeronautical Use of Airport Property											
Watsonville Municipal Airport	AWP	Watsonville	CA	WVI	USNG non- aeronautical use of airport property	N/A Policy Exception	N/A				
Wheeling Ohio County Airport	AEA	Wheeling	WV	HLG	USACE non- aeronautical use of airport property	N/A Policy Exception	N/A				