# 3. Climate

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The Intergovernmental Panel on Climate Change (IPCC) estimates that aviation accounted for 4.1 percent of global transportation GHG emissions. In the United States, U.S. Environmental Protection Agency (EPA) data indicate that commercial aviation contributed 6.6 percent of total CO<sub>2</sub> emissions in 2013, compared with other sources, including the remainder of the transportation sector (20.7 percent), industry (28.8 percent), commercial (16.9 percent), residential (16.9 percent), agricultural (9.7 percent) and U.S. territories (0.05 percent).<sup>1</sup>

Scientific research is ongoing to better understand climate change, including any incremental atmospheric impacts that may be caused by aviation. Uncertainties are too large to accurately predict the timing, magnitude, and location of aviation's climate impacts; however, it is clear that minimizing GHG emissions and identifying potential future impacts of climate change are important for a sustainable national airspace system.

Increasing concentrations of GHGs in the atmosphere affect global climate.<sup>2</sup> GHG emissions result from anthropogenic sources including the combustion of fossil fuels. GHGs are defined as including carbon CO<sub>2</sub>, methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O), hydrofluorocarbons (HFCs), perfluorocarbons (PFCs), and sulfur hexafluoride (SF<sub>6</sub>).<sup>3</sup> CO<sub>2</sub> is the most important anthropogenic GHG because it is a long-lived gas that remains in the atmosphere for up to 100 years.

<sup>&</sup>lt;sup>1</sup> GHG allocation by economic sector. Environmental Protection Agency (2015). *Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990-2013*. Available at: <u>http://www.epa.gov/climatechange/ghgemissions/usinventoryreport.html#fullreport</u>.

<sup>&</sup>lt;sup>2</sup> IPCC (2014). *Fifth Assessment Report*. Available at: <u>https://www.ipcc.ch/report/ar5/syr/</u>. United States Global Change Research Program (2009). *Global Climate Change Impacts in the United States*. Available at: <u>http://www.globalchange.gov/what-we-do/assessment/previous-assessments/global-climate-change-impacts-in-the-us-2009</u>.

<sup>&</sup>lt;sup>3</sup> Executive Order 13693, *Planning for Federal Sustainability in the Next Decade*. Available at: <u>https://www.whitehouse.gov/the-press-office/2015/03/19/executive-order-planning-federal-sustainability-next-decade</u>.

Climate change is a global phenomenon that can have local impacts.<sup>4</sup> Scientific measurements show that Earth's climate is warming, with concurrent impacts including warmer air temperatures, increased sea level rise, increased storm activity, and an increased intensity in precipitation events. Research has shown there is a direct correlation between fuel combustion and GHG emissions.

### **3.1. Regulatory Setting**

Exhibit 3-1 lists the primary statutes, regulations, and Executive Orders related to climate.

| Statute, Regulation,<br>or Executive Order  | Location in<br>U.S. Code or<br>Federal Register        | Implementing<br>Regulation or<br>Support<br>Document   | Oversight<br>Agency <sup>a</sup> | Summary <sup>a</sup>   |
|---|--|--|----------------------------------|--|
| Clean Air Act   | 42 U.S.C.<br>§§ 7408, 7521,<br>7571, 7661 et<br>seq.   | 40 CFR parts 85,<br>86, and 600 for<br>surface vehicles<br>40 CFR part 60<br>for stationary<br>power generation<br>sources         | EPA                              | Regulates GHG emissions from on-road<br>surface transportation vehicles and<br>stationary power generation sources.  |
| Executive Order<br>13514 Federal<br>Leadership in<br>Environmental<br>Energy and<br>Economic<br>Performance | 74 Federal<br>Register 52117<br>(October 8,<br>2009)   | Federal<br>Greenhouse Gas<br>Accounting and<br>Reporting<br>Guidance:<br>Technical<br>Support<br>Document<br>(October 26,<br>2010) | None                             | Makes it the policy of the United States<br>that Federal agencies measure, report,<br>and reduce their GHG emissions from<br>direct and indirect activities. Provides<br>for development of the Technical<br>Support Document that establishes<br>reporting criteria for GHGs. |
| Executive Order<br>13653, Preparing the<br>United States for the<br>Impacts of Climate<br>Change            | 78 Federal<br>Register 66817,<br>(November 6,<br>2013) | None   | None                             | Builds on a previously released (and<br>since revoked) EO I3514 Federal<br>Leadership in Environmental Energy,<br>and Economics Performance to<br>establish direction for federal agencies<br>on how to improve on climate<br>preparedness and reliance strategies.            |

Exhibit 3-1. Statutes, Regulations, and Executive Orders Related to Climate

<sup>&</sup>lt;sup>4</sup>As explained by the EPA, "greenhouse gases, once emitted, become well mixed in the atmosphere, meaning U.S. emissions can affect not only the U.S. population and environment but other regions of the world as well; likewise, emissions in other countries can affect the United States." EPA, (2009) Climate Change Division, Office of Atmospheric Programs, *Technical Support Document for Endangerment and Cause or Contribute Findings for Greenhouse Gases under Section 202(a) of the Clean Air Act 2-3.* Available at: <a href="http://www.epa.gov/climatechange/Downloads/endangerment/TSD\_Endangerment.pdf">http://www.epa.gov/climatechange/Downloads/endangerment/TSD\_Endangerment.pdf</a>.

| Statute, Regulation,<br>or Executive Order                          | Location in<br>U.S. Code or<br>Federal Register | Implementing<br>Regulation or<br>Support<br>Document | Oversight<br>Agency <sup>a</sup> | Summary <sup>a</sup>   |
|---|---|--|----------------------------------|--|
| Executive Order<br>13693, Planning for<br>Federal<br>Sustainability | 80 Federal<br>Register 15869                    | Forthcoming  | None                             | Reaffirms the policy of the United<br>States that Federal agencies measure,<br>report, and reduce their GHG emissions<br>from direct and indirect activities. Sets<br>sustainability goals for all agencies to<br>promote energy conservation,<br>efficiency, and management while by<br>reducing energy consumption and GHG<br>emissions. Builds on the adaptation and<br>resiliency goals in EO 13693 to ensure<br>agency operations and facilities prepare<br>for impacts of climate change. Revokes<br>EO 13514. |

<sup>a</sup> CFR = Code of Federal Regulations; EPA = U.S. Environmental Protection Agency; U.S.C. = United States Code.

In response to Executive Order 13514, Council on Environmental Quality (CEQ) developed *Federal Greenhouse Gas Accounting and Reporting Guidance* (October 6, 2010) (hereafter "Federal protocol"), which serves as the Federal government's official GHG reporting protocol. GHGs result primarily from combustion of fuels, and there is a direct relationship between fuel combustion and metric tonnes of CO<sub>2</sub> (MT CO<sub>2</sub>). In accordance with the Federal protocol, and to provide a single metric that embodies all GHGs, emissions should be discussed and reported in metric tonnes of CO<sub>2</sub> equivalent (MT CO<sub>2</sub>e). In December 2014, CEQ issued revised draft NEPA guidance for considering the effects of climate change and GHG emissions.<sup>5</sup> The draft CEQ guidance recommended consideration of: (1) the potential effects of a proposed action or its alternatives on climate change as indicated by its GHG emissions; (2) the implications of climate change for the environmental effects of a proposed action or alternatives. This chapter provides guidance on both of these considerations for FAA actions. There may also be state or local requirements applicable to particular proposed projects. Other Federal agencies with permitting or approval responsibility may also have guidance that should be considered. Early coordination with other agencies is recommended in order to identify any documentation needs.

#### 3.1.1. Overview of the NEPA Review Process

Discussion of potential climate impacts should be documented in a separate section of the NEPA document, distinct from air quality, under a heading labeled Climate.

• If GHGs and climate are not relevant to the proposed action and alternative(s) (i.e., because there would be no GHG emissions), this should be briefly noted and no further analysis is required.

<sup>&</sup>lt;sup>5</sup> CEQ (2014). Revised Draft Guidance, *Consideration of Greenhouse Gas Emissions and the Effects of Climate Change in NEPA Reviews*, 79 *Federal Register* 77801 (December 24, 2014). Available at: <u>https://www.federalregister.gov/articles/2014/12/24/2014-30035/revised-draft-guidance-for-federaldepartments-and-agencies-on-consideration-of-greenhouse-gas</u>.

- Where the proposed action or alternative(s) would not result in a net increase in GHG emissions (as indicated by quantitative data or proxy measures such as reduction in fuel burn, delay, or flight operations), a brief statement describing the factual basis for this conclusion is sufficient.
- Where the proposed action or alternative(s) would result in an increase in GHG emissions, the emissions should be assessed either qualitatively or quantitatively as described below. There are no significance thresholds for aviation or commercial space launch GHG emissions, and it is not currently useful for the NEPA analysis to attempt to link specific climate impacts to the proposed action or alternative(s) given the small percentage of emissions aviation and commercial space launch projects contribute.

# **3.2.** Affected Environment

For FAA project-level actions, the affected environment section for climate is highly dependent on the project itself and is defined as the entire geographic area that could be either directly or indirectly affected by the proposed project.

For an air traffic action, the study area is typically larger than the immediate vicinity of an airport, can incorporate more than one airport, and may extend vertically up to the extent of the project changes.

For airport actions, the study area is defined by the extent of the project changes (i.e., immediate vicinity of the airport) and should reflect the full extent of aircraft movements as part of the project changes. Please see FAA's Air Quality Handbook for more information for defining the study area.

As explained in Section 3.3.1 below, analysis of GHG emissions should be quantitatively assessed in certain circumstances, but otherwise may be qualitatively assessed. Where the analysis is quantitative, the affected environment section for climate should provide the quantitative data for the no action alternative, which provides the baseline of existing GHG emissions in the study area. Where the analysis is qualitative, the affected environment section should be tailored to the qualitative analysis.

The affected environment section should also discuss the current level of preparedness in the study area with respect to the impacts of climate change. This involves describing current measures that are in place within the study area to adapt to the impacts of climate change (e.g., sea level rise, stronger or more frequent storms, etc.). This discussion should be concise and may be quantitative or qualitative, depending on the nature of a project area.

# **3.3.** Environmental Consequences

#### **3.3.1.** NEPA Evaluation Process

The draft CEQ guidance affirmed the applicability of NEPA and the CEQ Regulations to GHGs and climate. As noted by CEQ, "climate change is a particularly complex challenge given its global nature and inherent interrelationships among its sources, causation, mechanisms of action, and impacts; however, analyzing the proposed action's climate impacts and the effects of climate change relevant to the proposed action's environmental outcomes can provide useful information

to decisionmakers and the public and should be very similar to considering the impacts of other environmental stressors under NEPA."<sup>6</sup> CEQ specifically asks agencies to consider<sup>7</sup>;

- 1. The potential effects of a proposed action on climate change as indicated by its GHG emissions; and
- 2. The implications of climate change for the environmental effects of a proposed

Considering GHG emissions for an FAA NEPA review should follow the basic procedure of considering the potential incremental change in CO<sub>2</sub> emissions that would result from the proposed action and alternative(s) compared to the no action alternative for the same timeframe, and discussing the context for interpreting and understanding the potential changes. For FAA NEPA reviews, this consideration could be qualitative (e.g., explanatory text), but may also include quantitative data (e.g., calculations of estimated project emissions). Proxy measurements such as delay time or fuel burn can be used in qualitative considerations, for example, to explain that the proposed action would cause no change or a decrease in emissions.

CO<sub>2</sub>e emissions should be quantified under the following circumstances:

- When there is reason to quantify emissions for air quality purposes, then MT CO<sub>2</sub>e should also be quantified and reported in the NEPA document; or
- When fuel burn is computed and reported in the NEPA document, quantification of MT CO<sub>2</sub>e calculated from the fuel burned should also be included in the document.<sup>8</sup>

Below are descriptions of two potential circumstances that may be encountered, with explanations of how the NEPA evaluation process should be conducted for each:

- 1. Proposed action and alternative(s) would not increase GHG emissions compared to the no action alternative. If the proposed action and alternative(s) would cause no net change or a net reduction in GHG emissions, based on a quantitative or qualitative assessment, this should be briefly explained in the Environmental Assessment (EA) or Environmental Impact Statement (EIS) and no further consideration of GHGs is necessary.
- 2. Proposed action or alternative(s) would result in an increase of GHG emissions over the no action alternative. GHG emissions that would be caused by a project should be discussed in their context. The process for considering the context of MT CO<sub>2</sub>e is described below. If GHG emissions are not quantified because other air emissions are not quantified and/or fuel burn is unable to be computed, context should be considered qualitatively. When reporting quantified calculations, the following guidelines on data analysis should be followed. When in doubt, the appropriate FAA Headquarters program office or FAA Office of Environment and Energy (AEE) should be consulted regarding how best to scope the analysis and discussion of GHG emissions.

<sup>&</sup>lt;sup>6</sup> Ibid.

<sup>7</sup> Ibid.

<sup>&</sup>lt;sup>8</sup> The draft CEQ Guidance has recommended a 25,000 metric ton threshold for disclosure purposes. FAA has not adopted this disclosure threshold. FAA discloses CO<sub>2</sub> emissions in the NEPA documentation whenever calculations are provided through modeling, regardless of whether it is above or below the 25,000 tons.

#### **3.3.2.** Data Analysis

Of the six recognized GHGs, only CO<sub>2</sub> is a direct aircraft combustion product. For FAA NEPA evaluations, the amount of CO<sub>2</sub> and/or fuel burn from aircraft operations should be calculated from an FAA-approved tool appropriate for the action. The Aviation Environmental Design Tool (AEDT) can generate CO<sub>2</sub> emissions for aircraft operations, as well as ground service equipment, motor vehicles, and other sources of emissions. If aircraft CO<sub>2</sub> is not calculated directly by the tools used, the CO<sub>2</sub> emissions should be calculated from projections of total fuel burned.

To convert consumed fuel quantities to CO<sub>2</sub> emissions, the following conversion factors should be used:

- 1 gallon of jet fuel consumed = 9.7438 kg of CO<sub>2</sub> = 0.0097438 MT CO<sub>2</sub>
- 1 pound of jet fuel consumed = 1.4329 kg of CO<sub>2</sub> = 0.0014329 MT CO<sub>2</sub>
- 1 gallon of avgas consumed = 8.3182 kg of CO<sub>2</sub> = 0.0083182 MT CO<sub>2</sub>
- 1 pound of avgas consumed = 1.3864 kg of CO<sub>2</sub> = 0.0013864 MT CO<sub>2</sub>

The calculation of aircraft CO<sub>2</sub> for an action alternative would be added to any other potential GHGs for that alternative in order to reach an overall CO<sub>2</sub>e total for that alternative. If the proposed action involves only aircraft operational changes, then the MT CO<sub>2</sub>e would be exactly the same as the aircraft MT CO<sub>2</sub>. If further details are necessary to convert fuel burn to CO<sub>2</sub>e for non-aircraft sources (e.g., stationary sources, construction equipment, etc.), then the Federal protocol should be consulted. The total MT CO<sub>2</sub>e should be calculated for what is reasonably foreseeable, using the same analytical timeframes currently used for NEPA analyses.

The study area for climate should be congruent with the scope of the air quality analysis. Note that non-aircraft emission sources are typically not affected by airspace and procedural actions. For an airport action, the GHG evaluation should include the same emission sources that would typically be included in the air quality analysis. For non-aircraft sources of emissions, GHG emissions should be determined from projections of fuel burn and converted to CO<sub>2</sub>e.

#### 3.3.3. Documentation

When CO<sub>2</sub>e is quantified, the MT CO<sub>2</sub>e results should be provided in a table or similar format that compares the alternatives directly. When fuel burn is computed, the MT CO<sub>2</sub> equal to that fuel content should be documented and discussed in the section of the document on Climate.

#### **3.3.4.** Significance Determination

There are no significance thresholds for aviation or commercial space launch GHG emissions, nor has the FAA identified specific factors to consider in making a significance determination for GHG emissions. There are currently no accepted methods of determining significance applicable to aviation or commercial space launch projects given the small percentage of emissions they contribute. CEQ has noted that "it is not currently useful for the NEPA analysis to attempt to link specific climatological changes, or the environmental impacts thereof, to the particular project or

emissions, as such direct linkage is difficult to isolate and to understand."<sup>9</sup> Accordingly, it is not useful to attempt to determine the significance of such impacts. There is a considerable amount of ongoing scientific research to improve understanding of global climate change and FAA guidance will evolve as the science matures or if new Federal requirements are established.

# **3.4. Reducing Emissions**

Reduction of GHG emissions resulting from FAA actions contributes towards the U.S. goal of reducing aviation's impacts on climate. For NEPA reviews of proposed FAA actions that would result in increased emissions of GHGs, consideration should be given to whether there are areas within the scope of a project where such emissions could be reduced. GHG emission reduction can come from measures such as changes to more fuel efficient equipment, delay reductions, use of renewable fuels, and operational changes (e.g., performance-based navigation procedures). However, GHG emission reduction is not mandated and will not be possible in all situations.

# **3.5.** Climate Adaptation

The environmental consequences section should include a discussion of the extent to which the proposed action or alternatives(s) could be affected by future climate conditions, based on published sources applicable to the study area. For example, a project area's ability to sustain impacts caused by climate changes should be described (e.g., identify current robustness and height of seawalls for coastal airports). This discussion should include any considerations to adapt to forecasted climate change conditions.

<sup>&</sup>lt;sup>9</sup> CEQ (2010). Draft Guidance, *Consideration of the Effects of Climate Change and Greenhouse Gas Emissions*, 75 *Federal Register* 8046 (February 23, 2010). Available at: <u>http://www.whitehouse.gov/sites/default/files/microsites/ceq/20100218-nepa-consideration-effects-ghg-draft-guidance.pdf</u>.

# Appendix B. Climate

Federal activities affecting all environmental impact categories are governed by many statutes, regulations, and Executive Orders. Each impact category chapter of this Desk Reference (Chapters 1-14, as applicable) contains an exhibit with a tabular overview of the major applicable Federal statutes, regulations, Executive Orders, and the agencies responsible for overseeing their implementation. This appendix supplements the background information relevant to those requirements that is provided in the chapter exhibits. Please note that these requirements may not be applicable to every FAA action, and should only be included when relevant to the proposed project.

### **B.3.** Climate

#### **B.3.1.** Clean Air Act

The CAA is the comprehensive federal law that regulates the emission of air pollutants from stationary and mobile sources. Among other things, the CAA authorizes the U.S. EPA to establish NAAQS for common air pollutants (known as "criteria pollutants") to protect public health and welfare, and to regulate emissions of HAPs. More information on the CAA is available at: <u>http://www2.epa.gov/laws-regulations/summary-clean-air-act</u>.