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Physiological Equivalence of Normobaric and Hypobaric Exposures of Humans to 25,000 Feet

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INTRODUCTION

Hypoxia awareness training is an accepted method of demonstrating to aircrew their individual hypoxia signature. The symptoms of hypoxia that airmen remember following their hypoxia awareness training appear to reflect accurately the symptoms they experience during acute hypoxia (20). Hypobaric (low barometric pressure) chamber training has been the traditional method of demonstrating hypoxia to aviators. Various training profiles are used by aeromedical training centers around the world to demonstrate hypoxia. The Federal Aviation Administration (FAA) began altitude training for civilian pilots and crewmembers in 1962 and continues providing physiological training on a frequent schedule.

Advances in technology have yielded a new generation of commercially available training devices capable of producing hypoxic environments at ground level (normobaric) by altering the fraction of ambient oxygen, thus avoiding some of the risk factors associated with altitude chamber training. Recently, the U.S. Navy (1) and Air Force (2) physiological training programs have instituted ground-level hypoxia training.

Respiratory physiologists have been skeptical for decades that normobaric and hypobaric hypoxic environments are equivalent (3, 5, 11, 14). These researchers argued that alveolar gas composition and respiratory quotients (RQ) under hypobaric and normobaric conditions will be quite different at the same level of ventilatory response to hypoxia. Additionally, the effectiveness of alveolar ventilation and the diffusivity of a gas vary in relation to the density of the gas breathed (3). In turn, this may differentially influence pulmonary blood flow distribution (6), resulting in higher hemoglobin desaturation rates in hypobaric hypoxic exposures. Recent work by Wolff and Garner (19) and West (18) suggest that because of reduced diffusivity constants of oxygen at high altitude, alveolar and end-pulmonary-capillary oxygen tensions may not reach equilibrium, leading to less oxygen availability than would be seen at the corresponding normobaric ambient oxygen tension.

The potential for an effect of barometric pressure, independent of lowered oxygen tension in hypobaric environments, has been addressed. Roach, Loeppky, and Icenoglea (13) found an increased severity of acute mountain sickness afflicting subjects in a controlled hypobaric environment when compared to a normobaric environment with identical ambient PO_2 . Furthermore, Savourey et al. (14) found greater hypoxemia, hypocapnia, blood alkalosis, and lower SAO_2 in subjects under hypobaric hypoxic conditions when compared to normobaric ones. They suggested these differences could be accounted for by an increase in dead space ventilation resulting from lowered air density.

These findings provided a rationale for additional study on how hypobaric and ground-level-induced hypoxia may differ. We compared the responses of 20 subjects to 5-min hypobaric and normobaric exposures at a simulated altitude of 25,000 ft. Differences in alveolar gas composition, rates of hemoglobin desaturation, and heart rate responses were studied using a repeated measures design. To see if physiological differences would translate into actual differences in hypoxia symptoms, we utilized a standardized hypoxia symptom questionnaire (see Appendix A) to compare subjects monitoring of their own symptoms during both the normobaric and hyperbaric exposures.

METHODS

Subjects

A sample of 20 healthy subjects, 17 men and 3 women [mean age, height, and weight: 42.0 yrs (\pm 10.8); 1.8m (\pm 0.09); 85.2 kg (\pm 18.3)], not acclimated to high altitude, participated in the study. None of the subjects had previous altitude chamber experience. The study protocol was approved in advance by the Civil Aerospace Medical Institute (CAMI) Institutional Review Board for the Protection of Human Subjects.

Each subject provided written informed consent before participating and possessed a current Class II Airman Medical Certificate. All were students enrolled in the FAA physiological training course.

Training Devices

The CAMI altitude training chamber (Figure 1) is a computer-controlled, man-rated, low-pressure (hypobaric) chamber. It normally accommodates 20 subjects and two inside safety observers. The altitude chamber uses a vacuum pump to remove gas/pressure from the chamber. As the pressure is removed, it simulates the corresponding pressure of a particular altitude according to the U.S. 1976 Standard Atmosphere (9).



Figure 1. The Civil Aerospace Medical Institute low-pressure altitude training chamber in operation with students and an instructor inside.



Figure 2. The Portable Reduced Oxygen Training Enclosure under test conditions.

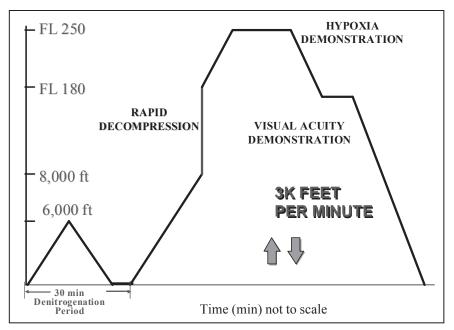


Figure 3. Altitude Chamber Flight Profile.

The Portable Reduced Oxygen Training Enclosure (PROTE, Figure 2) is a commercially available portable altitude training system developed by Colorado Altitude Training; Louisville, CO. The system's operational control is a microprocessor that monitors two oxygen sensors, a carbon dioxide sensor, and an atmospheric pressure sensor. It uses this information to calculate the simulated altitude and, in turn, to control nitrogen-concentrating air units, CO₂ scrubbers, and vents (as needed) to maintain the enclosure at the desired simulated altitude setting.

We monitored barometric pressure (P_b) in both the chamber and PROTE with a NIST-traceable precision absolute manometer (model M2O2; Meriam Process Technologies; Cleveland, OH). We monitored chamber and PROTE oxygen percentage (FiO₂) with a mass spectrometer (PerkinElmer Life and Analytical Sciences, Inc; Waltham, MA). Accuracies were ±1% of full scale for O_2 , and ±2% of full scale for CO_2 . Two-point calibrations were performed before each experiment using room air and a certified calibration gas with a different composition. Real-time equivalent altitude was computed using a lookup table that converted calculated ambient PO_2 ($P_b \ge FiO_2$) to altitude using values in the U.S. 1976 Standard Altitude Tables (9).

Procedure

All subjects were given their hypobaric exposure in the morning and their normobaric exposure in the afternoon of the same day. Although there were multiple students on each chamber flight, only one subject was tested. There were always two inside observers on each chamber flight, and one inside observer in the PROTE. We did not randomize the order of the tests because of concerns that, going from a high-nitrogen environment into a hypobaric, one would increase the risk of decompression sickness (12). We attempted to conduct the morning and afternoon tests with subjects in similar prandial states. The chamber flight profile depicted in Figure 3 was utilized in this study and is the standard training profile flight used by the FAA during the physiological training course.

The chamber and PROTE were manually adjusted to the 25,000 ft equivalent, with real-time mass spectrometer and barometer measurements prior to subjects beginning the hypoxia demonstration. In practice, the chamber Pb was adjusted by either adding or removing ambient air from the chamber, while the PROTE O₂ concentration was adjusted by altering the composition of the nitrogenrich air inside the enclosure. In the PROTE exposure, the subjects simply walked into the enclosure, sat down, and then removed their oxygen masks. Subjects in both the chamber and PROTE breathed 100% oxygen via an aviator's mask until the beginning of the 5-min exposure to 25,000 ft. In both the chamber and PROTE hypoxia exposures, the subjects gave alveolar air samples and filled out a new hypoxia symptoms questionnaire at 1, 3, and 4 min. All subjects went back on 100% oxygen at the 5-min point.

A questionnaire (Appendix A) listing the common symptoms of hypoxia was presented to the subjects in the chamber and PROTE. The subjects were given time to become familiar with the document prior to their hypoxia exposures. Immediately following the alveolar air sampling performed at 1, 3, and 4 min, they were asked to circle any symptoms and their severity on the sheets. An inside observer collected the sheets after each time point and presented the subject with a new sheet just prior to the next time point. Subjects were given access to their questionnaires from previous hypoxia exposures once they completed both test conditions.

Alveolar gas samples were collected by having subjects exhale into flow-through 'party blowouts' that had sample collecting ports connected directly to a mass spectrometer (Model MGA-1100; PerkinElmer Life and Analytical Sciences, Inc. Waltham, MA). The inflated party blowouts provided a small positive pressure in the oropharynx sufficient to prevent air from being drawn in through the nose. Exhaled breaths were analyzed for percent composition of CO₂, O₂, and N₂ in real time. Alveolar gas samples were collected at the end of the 1st, 3rd, and 4th minute of the hypoxia exposure by the inside observers using the technique of Rahn (10). Briefly, subjects exhaled forcibly into the collection device just after a normal inspiratory volume. They were instructed to keep the party blowout extended for as long as possible. Fractions of respiratory gases were then obtained by averaging values from 4/5ths through the expiratory effort, to the end of the breath. Respiratory quotients (RQ) for each alveolar air sample were calculated using the equation developed by the Subcommittee on Oxygen and Anoxia (16):

$$\frac{\text{RQ} = \text{FiN}_2 (\text{P}_{\text{ACO}_2})}{\text{FiO}_2 (\text{P}_{\text{b}} - 47 - \text{P}_{\text{ACO}_2}) - \text{P}_{\text{AO}_2}}$$

Percent hemoglobin saturation (SAO₂), expressed as the ratio of oxyhemoglobin to reduced hemoglobin in arterial blood, was measured from a forehead sensor placed above the eyebrow that emitted light at 660 and 940 nm. Absorption ratios and heart rate were then computed by a pulse oximeter (Model RAD-87, Masimo Corp.; Irvine, CA) and displayed as percent saturation and beats per minute (bpm), respectively. The same sensor was used for both conditions in each subject. We attempted to reposition the sensor for the PROTE exposure in exactly the same location as in the chamber exposure. To account for individual differences in baseline oxygen consumption, heart rate (HR) was indexed to body surface area (BSA) for each subject by using the Mostellar formula (8, 17).

Signals from the mass spectrometers, barometers, and pulse oximeters were digitized at 25 samples-sec⁻¹ and recorded with a custom-built LabView data acquisition instrument (National Instruments Corp.; Austin, TX).

Analysis

The analyses were conducted using a one-factor within subjects design, with all subjects being exposed to both environments (chamber and PROTE). Significance was set *a priori* at alpha ≤ 0.05 . All statistical analyses were performed using SPSS v. 17.0 software (SPSS, Inc.; Chicago, IL).

All physiological data were examined using a Student's two-tailed t-test for paired samples. The probabilities of observing chance effects of the dependent variables are presented as exact p-values. The dependent variables were heart rate, SAO₂, P_{AO_2} , P_{ACO_2} , and heart rate/BSA at 4 min, rate of hemoglobin saturation decline during the 1st min, and over the entire exposure. All data are expressed as means ± standard deviations (SD). All appropriate pairs of dependent variables were examined for relatedness using Pearson correlations. The change from baseline SAO₂ with time during the 5-min chamber and PROTE hypoxia exposures was described by a monoexponential curve-fitting routine using the method of least squares.

Alveolar gas samples were collected at the end of 1, 3, and 4 min. Samples collected at 5 min were not included in the dataset because hypoxic incapacitation at this point frequently prevented us from obtaining a satisfactory alveolar gas sample. Also, between-subjects variability for the 1- and 3-min samples was so great as to make comparisons difficult. However, this variability disappeared to a large extent by 4 min. Thus, the paired comparisons were limited to samples obtained at 4 min.

Mean number of hypoxia symptoms at 1, 3, and 4 min was the dependent variable. Differences in number of hypoxia symptoms reported by subjects between the chamber and PROTE exposures were tested for significance by a repeated measures two-way analysis of variance.

RESULTS

Physiological Measures

Representative traces of HR, SAO₂, and alveolar gas composition are shown in Figure 4 for both the chamber (top) and PROTE (bottom) exposures for one subject.

Although the rate of alveolar gas composition change with time was highly variable between subjects, all showed the general trend of decreasing P_{AO_2} and P_{ACO_2} as the ventilatory and cardiovascular responses to low ambient oxygen tension developed. Table I presents mean physiological measures (± SD) taken during the hypoxia exposures for all 20 subjects.

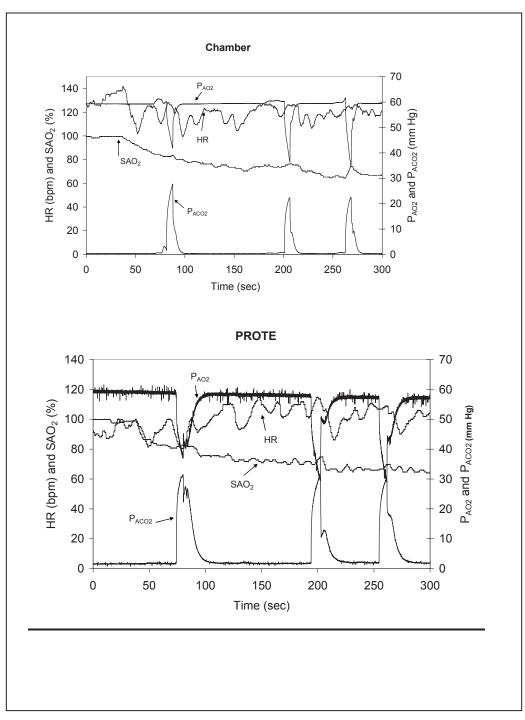


Figure 4. Representative recordings of alveolar gases, SAO2, and HR from a 5-min hypobaric (top) and normobaric (bottom) hypoxia exposure to 25,000 ft equivalent in one subject.

Table I. Physiological Measures During 5-Minute Exposures to a Hypobaric (Chamber) and Normobaric (PROTE) PO_2 of 58.9 mm Hg, simulating a 25,000-ft altitude.

Measure	Chamber	PROTE
Beginning HR (bpm)	104.9 ± 14.3	96.6 ± 14.6 *
HR at 4 min (bpm)	113.3 ± 12.3	102.2 ± 26.9
Beginning HR/BSA (bpm/m ²)	52.3 ± 8.7	47.8 ± 10.8 *
HR/BSA at 4 min (bpm/m^2)	56.4 ± 9.8	53.9 ± 10.6
Fall in SAO ₂ at 1 min (% SAT)	20.56 ± 3.8	19.6 ± 3.1
SAO ₂ at 4 min (% SAT)	62.3 ± 8.4	69.5 ± 4.9 *
SAO ₂ rate of decline over 5 min (%SAT/sec)	0.156 ± 0.032	0.135 ± 0.031 *
P _{AO2} at 4 min (mm Hg)	33.5 ± 2.4	31.4 ± 3.6 *
P _{ACO2} at 4 min (mm Hg)	28.2 ± 3.1	32.1 ± 2.6 *
RQ at 4 min	2.37 ± 0.53	1.41 ± 0.149 *

Values are mean \pm SD, * p< 0.05. All physiological data were examined using a student's two-tailed t-test for paired samples.

Alveolar Gas Composition and RQ

The results of the paired comparisons show that P_{AO_2} , [t (19) = 3.30; p = 0.004], P_{ACO_2} , [t (19) = -8.56; p = ≤ 0.005], and RQ, [t (19) = 10.51; p ≤ 0.005] differed significantly between the chamber and PROTE. Table I shows that P_{AO_2} was higher and P_{ACO_2} lower in the chamber. This is reflected in the mean RQ values of 2.37 (± 0.53) and 1.41 (± 0.15) for the chamber and PROTE, respectively.

Hemoglobin Oxygen Saturation

Figure 5 presents scatter plots of all 20 subjects' SAO₂ recordings during the 5-min exposures in the chamber and PROTE, along with a mean value line overlay. Both chamber and PROTE lines were fit to monoexponential decay functions with R values of 0.934 and 0.889, respectively. Declines in SAO₂ were biphasic, with steepest declines seen in the first minute. Mean initial rates of oxygen desaturation were not significantly different between the chamber and PROTE [t (19) = 1.17]. However, differences in rate of decline of SAO₂ over the entire 5-min exposure were significantly different [t (19) = 2.72; p = 0.013). Mean SAO₂ at 4 min also differed significantly between the hypobaric and normobaric exposures [t (19) = -4.76; p \leq 0.005].

Heart Rate Responses

Beginning mean heart rate was 104.9 (±14.3) bpm in the chamber and 96.6 (±14.6) bpm in the PROTE and differed significantly [t (19) = 2.37; p = 0.029;]. When HR was indexed to BSA, the significant difference persisted [t (19) = 2.83; p = 0.011]. These differences in HR [t (19) = 2.04] and HR/BSA [t (19) = 2.06] were not present at the 4-min point.

Relatedness of Physiological Variables

All appropriate pairs of dependent variables were examined for relatedness using Pearson correlations. Significant pairs are presented in Table II along with their r values. As expected, Alveolar PO₂ and PCO₂ at 4 min were highly related in both the chamber and the PROTE, showing a significant negative correlation. Interestingly, RQ at 4 min was significantly related to P_{AO_2} but not P_{ACO_2} in both normobaric and hypobaric hypoxic exposures. Mean SAO₂ at the 4 min point was significantly correlated with P_{AO_2} and P_{ACO_2} in the chamber but not the PROTE. However, the mean overall SAO₂ rate of decline in the PROTE was significantly correlated with the 4-min P_{AO_2} .

Subjective Hypoxia Symptoms

A two-way repeated measures analysis of variance was conducted on hypoxia environment (chamber, PROTE) and time of exposure (1 min, 3 min, and 4 min). The analysis revealed a statistically significant main effect of exposure time [F (2,38) = 8.99; p ≤ 0.001] but not hypoxia environment [F(1,19) = 0.003; p = 0.959]. However, there was a significant hypoxia environment • time of exposure interaction [F (2, 38) = 5.92; p = 0.006]. Figure 6 presents the average number (± SD) of symptoms identified by the subjects during their two hypoxia exposures. The subjects' number of reported hypoxia symptoms differed between the chamber and PROTE exposure by an average of 2.36, 3.4, and 4.89 at 1, 3, and 4 min, respectively. The number of symptoms the subjects experienced in the PROTE went from being less than those during the chamber at 1 min to increasingly more at 3 and 4 min.

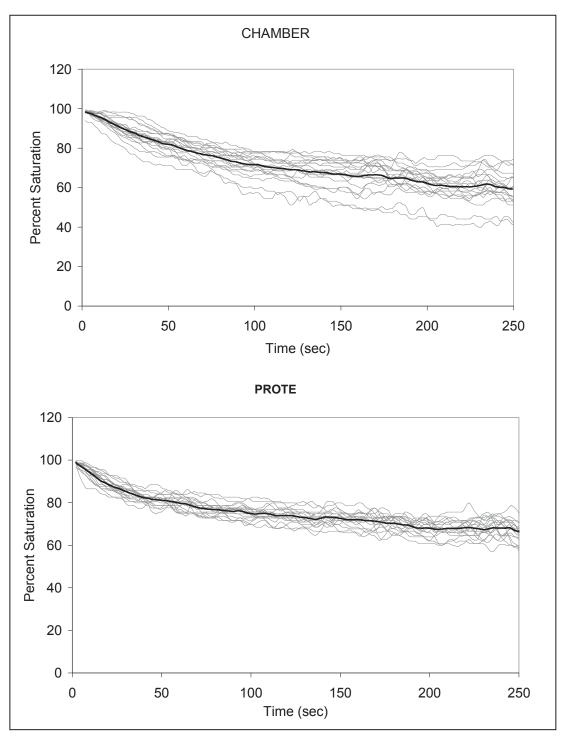


Figure 5. Scatter plots of percent hemoglobin saturation during a 5-min exposure to simulated 25,000 ft altitude. Shown are the raw traces with the mean at each time point (overlay).

Pair	R value	Two-tailed significance
Chamber SAO ₂ and P_{AO_2} at 4 min	0.470	0.037
Chamber SAO ₂ and P_{ACO_2} at 4 min	0.616	0.004
Chamber P_{AO_2} and P_{ACO_2} at 4 min	-0.726	< 0.005
PROTE P_{AO_2} and P_{ACO_2} at 4 min	-0.720	< 0.005
PROTE P_{AO_2} at 4 min and SAO_2 rate of decline	0.533	0.016
Chamber RQ and PAO2	0.647	0.002
PROTE RQ and PAO2	0.837	< 0.005

 Table II. Significant Pearson Correlations of Pairs of Dependent Variables.

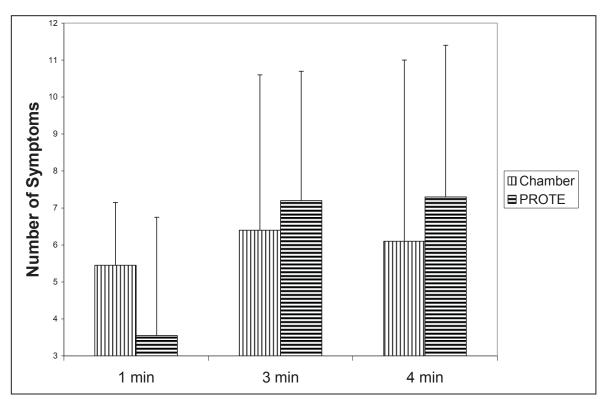


Figure 6. Mean number (± SD) of hypoxia symptoms of 20 subjects exposed to chamber and PROTE environments.

Tukey post-hoc comparisons showed that participants reported more hypoxia symptoms at 1 min in the chamber than in the PROTE (p < 0.05). Also, participants reported more symptoms in the PROTE at 3 min than at 1 min (p < 0.05). This was not the case in the chamber, where no statistically reliable difference occurred between the 3rd and 4th min and the 1-min point.

To see if a qualitative pattern of symptoms differed between the two hypoxia environments, we compared the number of subjects reporting each symptom at each time point. Figure 7 presents graphs of symptoms at 1, 3, and 4 min, along with their frequencies, showing their patterns of occurrence in the chamber and PROTE. It can be seen that the frequencies of symptoms reported in the hypobaric and normobaric environments were in very close agreement. In general, the frequencies were closest at 1 min, and least close at 4 min. Also, the most- and least-reported symptoms in one environment exhibited the same pattern in the other environment.

In summary, although the physiological variables differed between normobaric and hypobaric exposures, these differences did not result in meaningful differences in either the number of symptoms experienced by subjects or their pattern of occurrence. Table III summarizes our findings.

DISCUSSION

Ground-level hypoxia training has become an attractive pedagogy for use with aviators assigned to military fighter aircraft partly because of the *realism* in training advantages associated with breathing a hypoxic gas mixture through an aviator's mask in a flight simulator. However, to be an effective strategy, the hypoxia symptoms acquired under these normobaric conditions must mirror those experienced at altitude. The aim of this study was to compare differences in physiological responses between ground-level and hypobaric 5-min exposures to a simulated altitude of 25,000 ft. We utilized a repeated-measures design such that each subject was exposed to both conditions. Furthermore, we investigated whether physiological differences were sufficient to result in a difference in the symptoms of hypoxia the subjects reported during the exposures.

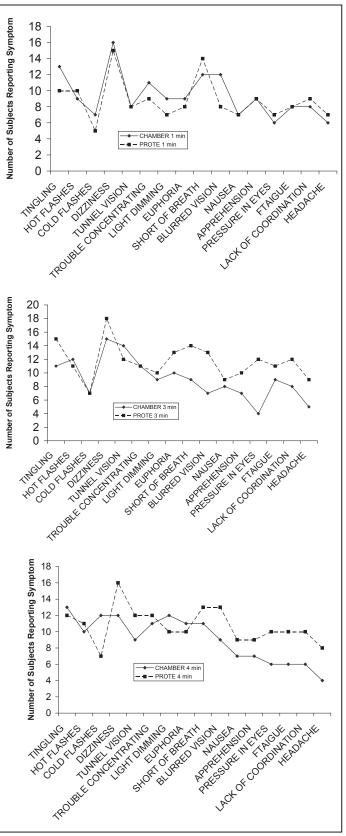


Figure 7. Patterns of symptoms in 20 subjects undergoing hypoxia exposures in normobaric and hypobaric environments.

MEASURED VARIABLE	SAME	DIFFERENT
Alveolar gas at 4 min		Х
SAO ₂ at 4 min		Х
SAO ₂ rate of decline		
at 1 min	Х	
at 5 min		Х
Heart rate		
at 1 min		Х
at 4 min	Х	
Hypoxia symptoms		
at 1 min		Х
at 3 min	Х	
at 4 min	Х	

Table III. Summary Comparison of Dependent Variables Between the Chamber and PROTE.

Our results agree with the predictions of Rahn & Fenn (11) that alveolar gas composition differs between hypobaric and normobaric exposures at the same ambient PO₂. This finding may reflect that RQ values are affected by both P_b and FiN_2 and dictate what P_{AO_2} and P_{ACO_2} values are possible. Alternatively, if ventilation² were higher during the chamber hypoxia episodes, it would be reflected in lower $\mathrm{P}_{\mathrm{ACO}_2}$ than those obtained in normobaric exposures. Although we did not measure ventilation, other researchers have presented convincing results showing that this is the case (4). We observed lower P_{ACO_2} values in the chamber than in the PROTE (28.2 vs. 32.1 mm Hg, respectively). This finding is consistent with a higher ventilatory response in our subjects in the hypobaric exposure. Other researchers have concluded that higher ventilation may reflect reduced work of breathing resulting from lower ambient air density (3). We measured actual alveolar gases rather than end-tidal gases. Previous work comparing normobaric and hypobaric hypoxia has made use of end-tidal CO₂, in particular, as an easily-obtained substitute for true alveolar gases (4, 14). This approach may have resulted in obtaining PCO₂ values that were lower than alveolar CO_2 tensions (7).

Hemoglobin saturation declined faster and to lower levels in the chamber than in the PROTE, although declines during the first minute were not statistically different. In Figure 3, it can be seen that variability in desaturation rates among subjects was higher in the chamber than in the PROTE, as evidenced by the greater scatter of the raw data points. This may have been due to the anxietyproducing aspects of the altitude chamber experience. This possibility is supported by a difference in heart rates at the beginning of the hypoxia exposure between the chamber and the PROTE. SAO₂ values at 4 min in

the chamber and SAO₂ rate of decline in the PROTE were correlated with P_{AO_2} but not HR or HR/BSA. It is interesting to note that SAO₂ fell to lower levels in the chamber but did so in the face of higher PAO2 values. We applied the Severinghaus equation (15) to predict what hemoglobin saturation should have been at a given P_{AO_2} . In the chamber, mean P_{AO_2} fell to 33.5 mm Hg at 4 min. The predicted SAO₂ is 64.6%, but we measured a mean value of 62.3%. In the PROTE, the mean 4 min P_{AO2} was 31.4 mm Hg and should have produced an SAO, value of 60.4%. Instead, it had a value of 69%. Hence, the SAO₂ was lower than predicted in the chamber and higher than predicted in the PROTE. Several mechanisms may partially explain this finding. The diffusivity constant for oxygen is affected by density such that the flux of oxygen should be greater in a hypobaric environment at the same PO₂. However, gas transfer from the alveoli to the blood under both normobaric and hypobaric conditions may be diffusion-limited by the combination of faster capillary transit times resulting from increased cardiac output and a drastically reduced concentration gradient (19). This may differentially affect subjects exposed to low $P_{\rm h}$ if dead space ventilation is increased as a result of lower air density. Previous work has shown that at the same ambient PO₂, hypobaric environments will induce lower $\mathrm{P}_{_{\!\mathrm{ACO}_2}}$ values, blood alkalosis, and a greater hypoxemia reflected in lower SAO₂ than normobaric (14). Our results are in agreement with these findings.

In general, all subjects reported increasing severity of their hypoxia symptoms with increasing time of exposure. During a follow-up interview, all but two subjects reported that their symptoms seemed more intense and quicker in onset in the chamber but that the individual symptoms were the same during both the hypobaric and normobaric exposures. This latter statement was supported by an examination of the frequencies of each reported symptom at the same time point in the chamber and PROTE environments, where there was remarkable similarity in their patterns of occurrence. We did, however, observe a significant difference in the mean number of hypoxia symptoms identified by subjects after 1 min (but not after 3 and 4 min) between the chamber and PROTE hypoxia exposure, with the chamber producing a greater number of symptoms. This difference may reflect the subjects' perception that their symptoms were slower in onset in the PROTE. Although we collected symptom severity data, we chose not to include it in this analysis because these data did little to clarify the answer to the basic experimental question of whether the two environments were equivalent.

Possible weaknesses in our experimental design may have resulted from both clinical concerns regarding decompression sickness and the necessity of using students enrolled in the FAA physiological training classes. Reliability of the subjects' responses to the hypoxia symptom questionnaires may have been affected by an ordering effect resulting from a lack of randomization in presentation order and the inability to "blind" the subjects to experimental condition. However, the pattern of identifying a greater number of symptoms in the chamber than the PROTE, initially, followed by a reversal of this relationship as the time of exposure increased, was manifested across all subjects (Figure 7). Furthermore, the disparity between the post-hypoxia interview responses of the subjects and the questionnaire responses during the hypoxia exposure limits our certainty in using the subjective data as a basis to argue for or against environmental equivalence. Accordingly, further investigation is warranted in which performance on a cognitive task is objectively measured.

CONCLUSIONS

The results of this study revealed that alveolar gas composition, as well as arterial hemoglobin oxygen desaturation patterns, differed between a ground-level and hypobaric exposure to a simulated altitude of 25,000 ft. Differences in mean number of hypoxia symptoms between hypobaric and normobaric environments after 1 min, but not at 3 and 4 min, coupled with similar patterns in symptom occurrence, suggest that ground-level hypoxia training may be a sufficiently faithful surrogate for altitude chamber training.

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