HOW TO BECOME AN ON-DEMAND AIR CARRIER OPERATOR

PREPARED BY:

FEDERAL AVIATION ADMINISTRATION TECHNICAL PROGRAMS BRANCH AFS-260

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PART I: INTRODUCTION TO ON-DEMAND AIR CARRIER OPERATIONS

In Title 14 of the Code of Federal Regulations (14 CFR), the Department of Transportation (DOT) established a classification of air carriers designated as "on-demand air carrier operators." These carriers engage in air transportation¹ using "small aircraft," that is, aircraft which have a maximum passenger capacity of <u>60 seats or less</u> and a maximum payload capacity of <u>18,000 pounds or less</u>². An air carrier, if authorized, may conduct passenger, cargo and mail service in air transportation between any points and at any rates or fares, except as limited by 14 CFR Part 298. The carrier can operate indefinitely as long as it complies with all the requirements of Part 298 and relevant Federal Aviation Administration (FAA) regulations. These requirements have the force of law, and any violations (such as flying without a valid aircraft liability insurance certificate on file with the Department) can result in severe penalties including revocation of operating authority. Please read Part 298 carefully. For questions, contact The FAA, Air Transportation Division (AFS-200).

PART II: HOW TO APPLY FOR ON-DEMAND AIR CARRIER AUTHORITY

Part 298 requires every prospective air carrier operator to file two forms with the FAA. The first is a registration application (OST Form 4507) which is completed by the carrier. The second is a current aircraft liability insurance certificate (OST Form 6410) which is completed by the carrier's insurance company or broker in accordance with Part 205 of the Department's regulations. Copies of both these forms including the address to which they should be submitted are in Part III of this booklet. Links to Parts 298 and 205 are included in Part IV. When the FAA receives these forms and determines them to be in order, the FAA will return an approved copy of OST Form 4507 for the carrier's file. Emailed forms with signatures are encouraged. See part III of this Booklet for email addresses.

At the time an air carrier submits OST Forms 4507 and 6410 to the FAA, it should contact its local FAA, Flight Standards District Office (FSDO) regarding the FAA's certification requirements. An air carrier cannot operate until the FSDO grants it an active air carrier certificate, and the FSDO will not do so until the air carrier receives a verification of insurance and registration authorization from the FAA Headquarters. The air carrier should ensure that it submits OST Forms 4507 and 6410, along with the required fee, to the FAA Headquarters 30 days prior to receiving its air carrier certificate.

PART III: DOT FORMS AND INSTRUCTIONS FOR COMPLETING FORMS

A. Form Submissions. Filing instructions can be found on the OST forms. Additionally, OST forms may be submitted by Facsimile (FAX) or email (preferred). The website link for fillable/fileable forms:

https://www.faa.gov/about/office_org/headquarters_offices/avs/offices/afx/afs/afs200/afs260/exemptions/

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¹<u>Air Transportation</u>, defined by the DOT, is the carriage of persons or property in interstate, overseas, or foreign commerce as a common carrier for compensation or hire, or the carriage of mail by aircraft.

² The DOT's definition of a <u>small aircraft</u> is different than the FAA's definition contained in 14 CFR part 1.

1) For Operators located in Alaska submit OST Forms 4507 and 6410 to:

Mail	Fax	Email
Federal Aviation Administration AFS-260, Attn: Kim V. Edwards 946 E. 36 th Ave., Suite 600 Anchorage, AK 99508	907-280-6812	AFS-260-Insurance@faa.gov

2) For all other locations Submit the OST Forms 4507 and 6410 to:

Mail	Fax	Email
Federal Aviation Administration Air Transportation Division, AFS-200 800 Independence Ave., SW, Rm. 831 Washington, DC 20591 Telephone (202) 267-8166	202-267-5229	AFS-260-Insurance@faa.gov

B. Assistance with OST forms. For help and/or information on submitted OST forms, please contact.

1) Kim V. Edwards, (907) 280-6931 or email to: kim.v.edwards@faa.gov.

2) Or questions can be emailed to AFS-260-Insurance@faa.gov.

C. Instructions for Completing OST Form 4507. Complete <u>all</u> numbered areas if possible of OST Form 4507 according to the following instructions. The filing fee will be made payable to the "U.S. Department of Transportation." The initial registration fee is \$8. There is no charge for amendments to information previously filed. Please type all information required (except the signature) or print legibly.

1) **Block 1a.** Indicate the full name of the company as it is recorded on the FAA Air Carrier Certificate and mailing address, including zip code. If doing business under one or more names which are different from the corporate name, include those names in this block as "DBA." If registering for the first time and an FAA certificate has not been issued yet, indicate the name which will appear on that certificate when issued.

2) **Block 1b. and 1c.** Provide telephone number with area code, office FAX number, and email address to allow the agency to contact you, if questions about your registration are needed.

3) Block 2a. and 2b. Indicate the address of the principal place of business if different from the mailing address indicated in Block 1, telephone number with area code (office FAX number /email address).

4) **Block 3.** Indicate the FAA Air Carrier Certificate number or precertification number, the address and telephone number of the local FAA, Flight Standards District Office (FSDO). Provide the name of the FAA Principal Operations Inspector.

5) **Block 4.** Check "Initial" if this is a first-time registration, and indicate the proposed date to begin operations. [Please note that the insurance coverage must go into effect no later than within 30 days of this date.]

a) If the company has registered in the past and the authority was canceled, either voluntarily or involuntarily, you must register as an "Initial" filing and pay the \$8 registration fee. "Amendments to reflect changes since previous filing" should be used only if you have already registered and have current economic authority from the FAA.

b) Amended registrations must be submitted to report changes in the information on file concerning your operations. Changes in any item on the OST Form 4507, including additions or deletions of listed aircraft, change of name or address, changes in type of operations performed, or cessation of operations are to be received by the FAA no later than 30 days after the change has occurred. All changes (other than notice of cessation of operations) should be made on a new OST Form 4507.

6) **Block 5.** Check whether the company is currently performing (or intends to perform when operations start) scheduled cargo service, on-demand passenger or cargo service, mail service under a U.S. Postal Service contract, seasonal service, air ambulance operations, or any other services. Companies proposing to or operating "scheduled passenger" services may not conduct operations under air taxi operator registration. Such companies must be found "fit, willing, and able" to provide such services as a commuter air carrier. See 14 CFR Part 298, Subpart E.

7) **Block 6.** List all aircraft types which the company operates or proposes to operate in air taxi service, including the FAA registration number (the "N" number) and the number of passenger seats installed in each aircraft. Do not include seats occupied by the pilot and copilot, unless the latter is also available for passenger use. The FAA registration number of each aircraft must correspond exactly to the number listed on the certificate of insurance covering your operations. Unless there is a blanket policy certificate of insurance coverage for all aircraft owned and or operated by your company, you must report to the FAA within 30 days any additions or deletions of aircraft made after your initial registration. We cannot accept notices from the insurance company deleting aircraft from the insurance policy as notification of changes in air taxi operator registration required by § 298.23.

8) **Block 7.** Check whether the company is a U.S. citizen. The Federal Aviation Act requires that an air carrier registered under Part 298 must be a citizen of the United States. The Federal Aviation Act defines a citizen as (a) an individual who is a U.S. citizen; (b) a partnership of which each member is a U.S. citizen; or (c) a corporation of which the President and two-thirds or more of the Officers and Directors are U.S. citizens and at least 75 percent of the voting stock is owned or controlled by U.S. citizens. Questions about qualifying under the definition of U.S. citizen should be referred to the DOT Air Carrier Fitness Division, 1200 New Jersey Ave, SE, Washington, DC 20590, (202) 366-9721.

9) **Block 8.** Complete this item only if this company has previously registered with the FAA. Check whether the company has carried any passengers during the last 12 months in foreign air transportation, i.e., from the U.S. to another country.

10) **Block 9.** Blocks 9a and 9b should be used only when reporting changes to the information already on file. If you are reporting a change in the company's name, the former name and new name should be indicated here, and the new name should also be listed in Block 1.

11) **Block 10.** This form must be signed by a responsible officer of the air carrier, such as the President, Vice President, Secretary, Treasurer, partner, or owner. Please indicate the date and place of signing, as well as the name and title of the person signing the certification. Be sure to type or print the name and title below the signature.

Figure 1a., Sample Form 4507.

Approved by OMB OMB No. 2105-0565 Expires: 8/31/2022

PAPER WORK REDUCTION ACT OF 1995 This information is collected to determine whether air taxl operations meet the Department's 14 CFR Part 298. We estimate that it will take 30-60 minutes to complete. The use of this form is ma Act of 1995, no persons are required to respond to a collection of information unless it displays a valid this collection is 2105-0565. Comments concerning the accuracy of this burden and suggestions for r U.S. Department of Transportation, Office of Aviation Analysis (X-56), 1200 New Jersey Aven	ndatory. Under the Paperwork Reduction OMB Control Number. The OMB Control Number for educing the burden should be directed to:
AIR TAXI OPERATOR REGISTRATION U.S. Department of Transportation Office of the Secretary of Transportation AIR TAXI OPERATOR REGISTRATION AND AMENDMENTS UNDER PART 298 OF THE REGULATIONS OF THE DEPARTMENT OF TRANSPORTATION	FOR USE BY DOT ONLY
Where to file: Submit this form, in duplicate, along with a Certificate of Insurance (OST Form 6410) evidencing required liability insurance coverage for the alroraft listed in Block 6 of this registration, to: Federal Aviation Administration (FAA) Air Transportation Division, AFS-200, Room 831 800 Independence Avenue, S.W., Washington, D.C. 20591 Exception: For air taxis located in the <u>State of Alaska</u> , submit this form and the OST Form 6410 to the Federal Aviation Administration (FAA), Alaskan Region Headquarters, AAL-231, 222 West 7 th Avenue, Box 14, Anchorage, Alaska 99513.	
Fees: The fee for the initial registration of an air taxi is \$8. There is no filing fee for amendments to	Effective date of registration/smendments
registrations previously filed. 1a. Name (and DBA, if applicable) and Mailing Address of the Registering Carrier.	3e. Federal Aviation Administration certificate number:
ta. Name (and bury, ir approade) and maning houredo of the neglocing carrier.	3b. Address of local FAA office:
1b. Telephone No. Fax No.	
10. Feephone wo Pax wo	-
	-
2a. Address of principal place of business (if different from above):	3c. FAA Telephone No.:
	3d. FAA Principal Operations Inspector:
2b. Telephone No Fax No	
4. This filing is the carrier's:	1
Initial Registration Amendment to reflect changes since	vevious filing (Complete Item Ø)
If Initial registration, give proposed date of commencement of operations:	
Type of service the carrier intends to perform upon commencement of operations, or, for amendmen (check all that apply).	is, service the carrier is currently performing
Passenger Seasonal Air ambulance	Mail under a U.S. Postal Service contract
Cargo Other (Please specify)**	
** For example, if the carrier performs other services such as fire fighting operations for the U.S.	Forest Service, it should be indicated here.
Companies proposing or operating passenger services of five (5) or more round trips per points pursuant to published flight schedules which specify the times, days of the week, a performed may not conduct such operations under this registration. Instead, such compa provide such services as a commuter air carrier. See 14 CFR 238, Subpart E.	nd places between which such flights are

OST Form 4507 (Rev. 3-2013)

Figure 1b., Sample Form 4507.

6. Aircraft which the carrier proposes to ope	erate in air taxi service or, for amendments,		7. Is the registering carrier a U.S. citizen?
aircraft currently operated:	C14 Devictorias Municipal	Passenger Seats Installed*	YES NO
Aircraft Make and Model	FAA Registration Number	mstaneo-	Note: An air taxl or commuter registered under Part 298 must be a citizen of the United States. 49 USC 40102(s)(15) defines a U.S. citizen sa (s)
			an individual who is a U.S. citizer: (b) a partnership of which each member is a U.S. citizen; or (c) a corporation or association organized under the laws of the United States or a state, the Datrict of Columbia, or
2			a territory or possession of the United States, of which the president and at least two-thirds of the board of directors and other managing officers
3			are officers of the United States, which is under the actual control of citizens of the United States, and in which at least 75 percent of the voting interest is owned or controlled by persons that are citizens of the
4			United States.
5			 If this is an amendment, has the carrier carried passengers in foreign air transportation, that is,
(Add	additional sheets if necessary)		between any point in the United States and any point outside thereof, during the past 12
* This does not include seats occupied by th passenger use.	e pliot or co-pliot unless the latter is available fo	r	months:
 REPORT CHANGES OR AMENDMENTS a. Change in Carrier's Name and/or Add 	S TO INFORMATION PREVIOULSLY FILED WI	THIN 30 DAYS OF TH	E EFFECTIVE DATE:
a. Change in Carrier's Name and/or Add			Current Name and Address:
			Corrent name and Address.
b. Description of Any Other Changes or A	Amendments (Including additions or deletions of	alvcraft, change in type	of operations, registration numbers, etc.):
10. Certification			
			urate to the best of my knowledge. The
			on Measures to Implement the IATA the IATA Intercarrier Agreement to be
Included in Conditions of C	arriage and Tariffs (see OST For	m 4523-A), and i	in accordance with those Agreements agrees
			amended by the Hague Protocol that the defined in the Convention are waived in their
entirety.	injury of dealer in memorial and	insponation as c	inter in the convention are waived in their
	1	Signature:	(See note)
			Loce unes
Date:		Name:	(Please type)
Place:	(City and State)	Ttle:	
Note: This registration must be sign of the carrier.	med by a responsible officer, such as the Presid	ient, Vice President, Se	cretary or Treasurer, or partner or owner
TO ENSURE PROPER P	ROCESSING OF THIS REGISTRAT	ION, PLEASE CO	MPLETE THIS FORM IN ITS ENTIRETY.

D. Instructions for Completing OST Form 6410, Certificate of Insurance (Completed and signed by the Insurance Company). Complete all appropriate areas of OST Form 6410 according to the following instructions. Please type all information required (except the signature) or print legibly.

1) Line 1. Indicate name and address of the insurance company.

2) Line 2-3. Indicate name and address of air carrier insured by the policy. If an insurance policy is issued to a person or company other than the air carrier, the certificate of insurance must indicate that the air carrier is also covered under that policy. Also, list the Air Carrier's FAA Certificate number (if already issued). Indicate the effective date of the policy. Note that the policy must remain in effect until ten (10) days after written notice from the insurer or carrier of the intent to terminate coverage is received by the Department.

3) Section 1. Indicate whether the insurance company is licensed to issue aircraft insurance policies in the United States or by a foreign government or is an approved surplus line insurer. Note that more than one block may be checked.

4) Section 2. Part A. Indicate whether the insured air carrier has separate coverage or combined coverage by marking the appropriate block placing the policy number in the specified place. Please note that the minimum limits of liability required by the Department are already listed on the certificate. Do not fill out Section 2. Part B and C.

5) Section 3. Indicate whether the policy covers (1) all aircraft operated by the insured air carrier, or (2) specify the general groups or types of aircraft covered by the policy (use additional pages if necessary). All aircraft listed on OST Form 6410 must be covered by a currently effective certificate of insurance.

6) Section 4. Indicate name, address, contact person, and telephone numbers with area code (office FAX number/email address) of insurer, and, if applicable, of the broker. This form must be signed by an officer or authorized representative of the insurance company and /or broker.

Figure 2a., Sample OST Form 6410.

of Transportation NOTE: For information on where to file completed copies of this form, see <u>FILING INSTRUCTIONS</u> below. OMB No. 2106-0030 Expires 2- U.S. AIR CARRIERS - CERTIFICATE OF INSURANCE POLICIES OF INSURANCE FOR AIRCRAFT ACCIDENT BODILY INJURY AND PROPERTY DAMAGE LIABILITY FILING INSTRUCTIONS: File a signed original of this form with the Federal Aviation Administration, AFS-260, 800 Independence Ave., S.W., Was D.C. 2051. (See EXCEPTIONS) and 2 below.) FILING INSTRUCTIONS: File a signed original of this form with the Federal Aviation Administration, AFS-260, 800 Independence Ave., S.W., Was D.C. 2051. (See EXCEPTIONS) to an 2 below.) EXCEPTION 2: For any insured that is located in the State of Alaska (regardless as to whether Block 2A, 2B, or 2C is filled in), file a signed original form with the Federal Aviation Administration, Alaskan Region Hq., AAL-230, 222 W. 7 th Ave., #14, Anchorage, Alaska 99513. (Please type information, except signatures.) THIS CERTIFIES THAT: (Name, address and FAA Certificate number of Insured U.S. Air Carrier) (Name, address and FAA Certificate number of Insured U.S. Air Carrier) (Name, address and FAA Certificate number of Insured U.S. Air Carrier or carrier of the inte to terminate coverage is received by the Department of Transportation. NOTE: Part 205 of the Department's Regulations does not allow for a predetermined termination date, and a certificate showing such date is unacceptable. 1. The Insurer (Check One): is licensed to issue aircraft insurance policies in the United States; is licensed
Comment on the accuracy of the estimate or make suggestions for reducing this burden plases direct your comments for the spectration. Office of visition Analysis, VS6, 400 7° St. SW. Washington, D.C. 20590. According to the spectra of the Sector of Information unless it displays a valid of the Sector of the Sector of the Sector of the Sector of Information and the set of the sector of the Sector of Information and the set of the sector of the Sector of Information unless it displays a valid of the Sector of the Sector of Information and the set of the sector of Information unless it displays a valid of the Sector of the Sector of Information and the set of the completed copies of this form, see FILING INSTRUCTIONS below. Construct the Sector of the Sector of the Sector of Insurance of the Information and the set of the Information and the set of the Information and Information Information and Information Information and Information and Inform
of Transportation NOTE For information on where to the completed copies of this torm, see FILING INSTRUCTIONS Delow. OMB No. 2106-0030 Expires 2: U.S. AIR CARRIERS - CERTIFICATE OF INSURANCE POLICIES OF INSURANCE FOR AIRCRAFT ACCIDENT BODILY INJURY AND PROPERTY DAMAGE LIABILITY EILING INSTRUCTIONS: File a signed original of this form with the Federal Aviation Administration, AFS-260, 800 Independence Ave., S.W., Was D.C. 20591. (See EXCEPTION S1 and 2 below.) EXCEPTION: 1 ind 2 belo
U.S. AIR CARRIERS - CERTIFICATE OF INSURANCE POLICIES OF INSURANCE FOR AIRCRAFT ACCIDENT BODILY INJURY AND PROPERTY DAMAGE LIABILITY FILMG INSTRUCTIONS: File a signed original of this form with the Federal Aviation Administration, AFS-260, 800 Independence Ave., S.W., Was D.C. 20591. (See EXCEPTIONS 1 and 2 below.) EXCEPTION 1: If Block 28 on the reverse is filed in because the insured is a commuter air carrier, file a signed original of this form with the Depart Transportation, Air Carrier Filess DWISION, X-56, 400 7° S1, SW, Washington, DC 20590. EXCEPTION 2: If Block 28 on the reverse is filed in because the insured is a commuter air carrier, file a signed original of this form with the Depart Transportation, Air Carrier Filess DWISION, X-56, 400 7° S1, SW, Washington, DC 20590. EXCEPTION 2: If Block 28 on the reverse is filed in because the insured is a commuter air carrier, file a signed original of this form with the Federal Aviation Administration, Alaskan Region Hq., AAL-230, 222 W, 7° Ave., #14, Anchorage, Alaska 99513. (Please type information, except signatures.) THIS CERTIFIES THAT:
POLICIES OF INSURANCE FOR AIRCRAFT ACCIDENT BODILY INJURY AND PROPERTY DAMAGE LIABILITY FILING INSTRUCTIONS: File a signed original of this form with the Federal Aviation Administration, AFS-260, 800 Independence Ave., S.W., Was D.C. 20591. (See EXCEPTIONS 1 and 2 below.) <u>EXCEPTION</u> 11 Block 28 on the reverse is filled in because the insured is a <u>commuter air carrier</u> , file a signed original of this form with the Depart Transportation, Air Carrier Fitness Division, X-56, 400 7 th St., SW, Washington, DC 20590. EXCEPTION 11 and 2 below.) <u>EXCEPTION 2</u> , For any insured that is located in the State of Alaska (regardless as to whether Block 2A, 2B, or 2C is filled in), file a signed original form with the Federal Aviation Administration, Alaskan Region Hq., AAL-230, 222 W. 7 th Ave., #14, Anchorage, Alaska 99513. (Please type information, except signatures.) THIS CERTIFIES THAT:
AND PROPERTY DAMAGE LIABILITY FILING INSTRUCTIONS: File a signed original of this form with the Federal Aviation Administration, AFS-260, 800 Independence Ave., S.W., Was D.C. 20591. (See EXCEPTIONS 1 and 2 below.) EXCEPTION 1: If Block 28 on the reverse is filled in because the insured is a <u>commuter air carrier</u> , file a signed original of this form with the Depart Transportation, Air Carrier Fitness Division, X-56, 400 /7th St., SW, Washington, DC 20590. EXCEPTION 2: For any insured that is located in the State of Alaska (regardless as to whether Block 2A, 2B, or 2C is filled in), file a signed original form with the Federal Aviation Administration, Alaskan Region Hq., AAL-230, 222 W. 7th Ave., #14, Anchorage, Alaska 99513. (Please type information, except signatures.) THIS CERTIFIES THAT: (Name of Insurer) has issued a policy or policies of Aircraft Liability Insurance to
D.C. 20051. (See EXCEPTIONS 1 and 2 below.) EXCEPTION 1: If Block 250 on the reverse is filled in because the insured is a <u>commuter air carrier</u> , file a signed original of this form with the Depart Transportation, Air Carrier Filness Division, X-56, 400 7 th St., SW, Washington, DC 20090. EXCEPTION 2: For <u>any</u> insured that is located in the <u>State of Alaska</u> (regardless as to whether Block 2A, 2B, or 2C is filled in), file a signed original form with the Federal Availation Administration, Alaskan Region Hq., AAL-230, 222 W. 7 th Ave., #14, Anchorage, Alaska 99513. (Please type information, except signatures.) THIS CERTIFIES THAT:
(Please type information, except signatures.) THIS CERTIFIES THAT:
THIS CERTIFIES THAT:
(Name of Insurer) has issued a policy or policies of Aircraft Liability Insurance to
has issued a policy or policies of Aircraft Liability Insurance to
FAA Certificate Number
(Name, address and FAA Certificate number of Insured U.S. Air Carrier) effective fromuntil ten (10) days after written notice from the insurer or carrier of the inter to terminate coverage is received by the Department of Transportation. NOTE: Part 205 of the Department's Regulations does not allow for a predetermined termination date, and a certificate showing such tate is unacceptable. I. The Insurer (<u>Check One</u>): I. The Insurer (<u>Check One</u>): I. is licensed to issue aircraft insurance policies in the United States; I. is licensed or approved by the government of to issue aircraft insurance policies I is an approved surplus line insurer in the State(s) of 2. The insurer assumes, under the policy or policies listed below, aircraft accident liability insured to minimums at least equal to the following during operation, maintenance, or use of aircraft in "air transportation" as that term is defined in 49 U.S.C. 40102. (<u>Complete applicable section(s) A, B, or C below</u>): A. U.S. AIR TAXI OPERATORS (<u>EXCLUDING</u> U.S. COMMUTER AIR CARRIERS) WITH PART 298 AUTHORITY ONLY: The aircraft covered by this policy are SMALL AIRCRAFT (i.e., with 60 or fewer passenger seats or with a maximum payload capacity of 18,000 pounds or less). (<u>Complete separate or combined coverage as appropriate</u>): I. Separate Coverages: I. Minimum Limit
o terminate coverage is received by the Department of Transportation. NOTE: Part 205 of the Department's Regulations does not allow for a predetermined termination date, and a certificate showing such late is unacceptable. I. The Insurer (<u>Check One</u>): is licensed to issue aircraft insurance policies in the United States; is licensed or approved by the government of to issue aircraft insurance policies is an approved surplus line insurer in the State(s) of 2. The insurer assumes, under the policy or policies listed below, aircraft accident liability insured to minimums at least equal to the following during operation, maintenance, or use of aircraft in "air transportation" as that term is defined in 49 U.S.C. 40102. (<u>Complete applicable section(s) A, B, or C below</u>): A. U.S. AIR TAXI OPERATORS (<u>EXCLUDING</u> U.S. COMMUTER AIR CARRIERS) WITH PART 298 AUTHORITY ONLY: The aircraft covered by this policy are SMALL AIRCRAFT (i.e., with 60 or fewer passenger seats or with a maximum payload capacity of 18,000 pounds or less). (<u>Complete separate or combined coverage as appropriate</u>): Image: Separate Coverages:
Interins unacceptable. The Insurer (<u>Check One</u>): is licensed to issue aircraft insurance policies in the United States; is licensed or approved by the government of to issue aircraft insurance policies is an approved surplus line insurer in the State(s) of to issue aircraft insurance policies Is an approved surplus line insurer in the State(s) of to issue aircraft in surance policies Interinsurer assumes, under the policy or policies listed below, aircraft accident liability insured to minimums at least equal to the following during operation, maintenance, or use of aircraft in "air transportation" as that term is defined in 49 U.S.C. 40102. (Complete applicable section(s) A, B, or C below): A. U.S. AIR TAXI OPERATORS (EXCLUDING U.S. COMMUTER AIR CARRIERS) WITH PART 298 AUTHORITY ONLY: The aircraft covered by this policy are SMALL AIRCRAFT (i.e., with 60 or fewer passenger seats or with a maximum payload capacity of 18,000 pounds or less). (Complete separate or combined coverage as appropriate): Separate Coverages:
 is licensed to issue aircraft insurance policies in the United States; is licensed or approved by the government of to issue aircraft insurance policies is an approved surplus line insurer in the State(s) of The insurer assumes, under the policy or policies listed below, aircraft accident liability insured to minimums at least equal to the following during operation, maintenance, or use of aircraft in "air transportation" as that term is defined in 49 U.S.C. 40102. (Complete applicable section(s) A, B, or C below): A. U.S. AIR TAXI OPERATORS (EXCLUDING U.S. COMMUTER AIR CARRIERS) WITH PART 298 AUTHORITY ONLY: The aircraft covered by this policy are SMALL AIRCRAFT (i.e., with 60 or fewer passenger seats or with a maximum payload capacity of 18,000 pounds or less). (Complete separate or combined coverage as appropriate): Separate Coverages:
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 is an approved surplus line insurer in the State(s) of
 is an approved surplus line insurer in the State(s) of
the following during operation, maintenance, or use of aircraft in "air transportation" as that term is defined in 49 U.S.C. 40102. (Complete applicable section(s) A, B, or C below): A. U.S. AIR TAXI OPERATORS (EXCLUDING U.S. COMMUTER AIR CARRIERS) WITH PART 298 AUTHORITY ONLY: The aircraft covered by this policy are SMALL AIRCRAFT (i.e., with 60 or fewer passenger seats or with a maximum payload capacity of 18,000 pounds or less). (Complete separate or combined coverage as appropriate): Separate Coverages: Minimum Limit
The aircraft covered by this policy are SMALL AIRCRAFT (i.e., with 60 or fewer passenger seats or with a maximum payload capacity of 18,000 pounds or less). (<u>Complete separate or combined coverage as appropriate</u>): Separate Coverages: <u>Minimum Limit</u>
Minimum Limit
Policy No Type of Lightlifty Each person Each Occurrence
Bodily Injury Liability (Excluding Passengers) \$75,000 \$300,000
Passenger Bodily Injury \$75,000 \$75,000 x 75% c total number of passenger seats installed in aircra
Property Damage \$100,000
Combined Coverage: The amount of coverage set forth below is a single limit of liability for each occurrence at least equivalent the required minimums stated above for bodily injury (excluding passengers), property damage, and passenger bodily in
ure required minimums stated above for bouny injury (excluding passengers), property damage, and passenger bouny inj
Policy No Amount of Coverage

Figure 2b., Sample OST Form 6410.

			olicy are SMALL AIRCRAFT (i.e., with less). (Complete separate or combine		seats or with a n	naximum payload
		Separate Coverages:		-	Minim	um Limit
		Policy No.	Type of Liability		Each person	Each Occurrence
			Combined Bodily Injury (Excluding P than cargo attendants) and Property		\$300,000	\$2,000,000
			Passenger Bodily Injury		\$300,000	\$300,000 x 75% o total number of passenger seats installed in aircraft
			ne amount of coverage set forth below i tated above for bodily injury (excluding			
		Policy No		Amount of Coverage	ge	
		This policy covers CARG	O operations only and excludes passe	enger liability insurance		
C.	The	aircraft covered by this p	RRIERS OPERATING LARGE AIRCR olicy are LARGE AIRCRAFT (i.e., with pounds). (<u>Complete separate or comb</u>	more than 60 passeng		a maximum payload
		Separate Coverages:		-	Minimu	um Limit
		Policy No.	Type of Liability		Each person	Each Occurrence
			Combined Bodily Injury (Excluding P than cargo attendants) and Property		\$300,000	\$20,000,000
			Passenger Bodily Injury		\$300,000	\$300,000 x 75% c total number of
	п	Combined Coverage: Th	amount of coverage set forth below	is a single limit of liabili	ty for each occur	
		the required minimums s Policy No	te amount of coverage set forth below i tated above for bodily injury (excluding 	passengers), property Amount of Covera	damage, and pa ge	installed in aircraf rence at least equal t issenger bodily injury
Th		the required minimums s Policy No This policy covers CARG	tated above for bodily injury (excluding	passengers), property Amount of Covera	damage, and pa ge FA	installed in aircraf rence at least equal t issenger bodily injury
Th	Die pol	the required minimums s Policy No This policy covers CARG icy or policies listed in this	tated above for bodily injury (excluding	passengers), property Amount of Coverag	damage, and pa ge FA	installed in aircrafi rence at least equal t issenger bodily injury A or Foreign Flag
	Die pol Ope Ope	the required minimums s Policy No This policy covers CARG icy or policies listed in this erations conducted with all erations conducted with th	tated above for bodily injury (excluding O operations <i>only</i> and <i>excludes</i> passe certificate insure(s) (<u>Check One</u>): l aircraft operated by the insured e following types of aircraft:	passengers), property Amount of Coverag	damage, and pa ge FA	installed in aircrafi rence at least equal t issenger bodily injury A or Foreign Flag
	De pol Ope Ope	the required minimums s Policy No This policy covers CARG icy or policies listed in this erations conducted with all erations conducted with the erations with the following	tated above for bodily injury (excluding O operations only and excludes passe certificate insure(s) (<u>Check One</u>): l aircraft operated by the insured e following types of aircraft: aircraft: (Use additional page if necessary)	passengers), property Amount of Coveragenger liability insurance. Make and Model	damage, and pa ge FA	installed in aircrafi rence at least equal t issenger bodily injury A or Foreign Flag
	De pol Ope Ope	the required minimums s Policy No This policy covers CARG icy or policies listed in this erations conducted with all erations conducted with the erations with the following	tated above for bodily injury (excluding O operations <i>only</i> and <i>excludes</i> passe certificate insure(s) (<u>Check One</u>): l aircraft operated by the insured e following types of aircraft:	passengers), property Amount of Coveragenger liability insurance. Make and Model	damage, and pa ge FA	installed in aircraft rence at least equal t issenger bodily injury.
	De pol Ope Ope	the required minimums s Policy No This policy covers CARG icy or policies listed in this erations conducted with all erations conducted with the erations with the following	tated above for bodily injury (excluding O operations <i>only</i> and <i>excludes</i> passe certificate insure(s) (<u>Check One</u>): I aircraft operated by the insured e following types of aircraft: aircraft: (Use additional page if necessary) e meets or exceeds the requirements in	Amount of Coveragenger liability insurance. Make and Model	damage, and pa ge FA	installed in aircrafi rence at least equal t issenger bodily injury A or Foreign Flag egistration No.
	De pol Ope Ope	the required minimums s Policy No This policy covers CARG icy or policies listed in this erations conducted with all erations conducted with the erations with the following licy listed in this certificate	tated above for bodily injury (excluding O operations only and excludes passe certificate insure(s) (<u>Check One</u>): l aircraft operated by the insured e following types of aircraft: aircraft: (Use additional page if necessary) e meets or exceeds the requirements in urer)	Amount of Coveragenger liability insurance. Make and Model	damage, and pa ge FA R	installed in aircrafi rence at least equal t issenger bodily injury A or Foreign Flag egistration No.
	De pol Ope Ope	the required minimums s Policy No	tated above for bodily injury (excluding O operations only and excludes passe certificate insure(s) (<u>Check One</u>): I aircraft operated by the insured e following types of aircraft: aircraft: (Use additional page if necessary) e meets or exceeds the requirements in urer)	Amount of Coveragenger liability insurance. Make and Model	damage, and pa ge FA R e of Broker, if appl	installed in aircrafi rence at least equal t issenger bodily injury. A or Foreign Flag egistration No.
Ea	ope ope ope ch po	the required minimums s Policy No	tated above for bodily injury (excluding O operations only and excludes passe certificate insure(s) (<u>Check One</u>): a ircraft operated by the insured e following types of aircraft: aircraft: (Use additional page if necessary) e meets or exceeds the requirements in urer)	a passengers), property Amount of Coverage enger liability insurance. Make and Model h 14 CFR Part 205. (Name (Name)	damage, and pa ge FA R e of Broker, if appl (Address)	installed in aircraf rence at least equal t issenger bodily injury A or Foreign Flag egistration No.
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PART IV: APPLICABLE DEPARTMENT OF TRANSPORTATION REGULATIONS

A. 14 CFR Part 205: Link to Part 205 - Aircraft Accident Liability Insurance

B. 14 CFR Part 298: Link to Part 298 - Exemptions for Air Taxi and Commuter Air Carriers

PART V: <u>FAA CERTIFICATION REQUIREMENTS FOR AIR CARRIERS AND</u> <u>COMMERCIAL OPERATORS</u>

A. Background. 14 CFR Part 119, "Certification: Air Carriers and Commercial Operators," was published in the Federal Register (60 FR 65832). Part 119 reorganized into one part certification and operations specifications requirements that formerly existed in Parts 121 and 135 that apply to companies engaged in intrastate common carriage operations, interstate, and foreign operations.

B. 14 CFR Part 119: <u>Link to Part 119</u> – Certification: Air Carriers and Commercial Operators.

C. 14 CFR Part 135: <u>Link to Part 135</u> – Operating Requirements: Commuter and On Demand Operations and Rules Governing Persons on Board Such Aircraft.

D. Advisor Circular 120-49A: <u>Link to AC 120-49A</u> – Parts 121 and 135 Certification. This AC provides guidance and basic information on the certification process for 14 CFR Parts 121 and 135 Air Carrier or Operating Certificates.